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**DRAFT General Budget  
of the European Union  
for the financial year 2013**

**VOLUME 3  
SECTION III  
COMMISSION**

# DOCUMENTS



## **DRAFT General Budget of the European Union for the financial year 2013**

### **VOLUME 3**

*SECTION III*  
COMMISSION

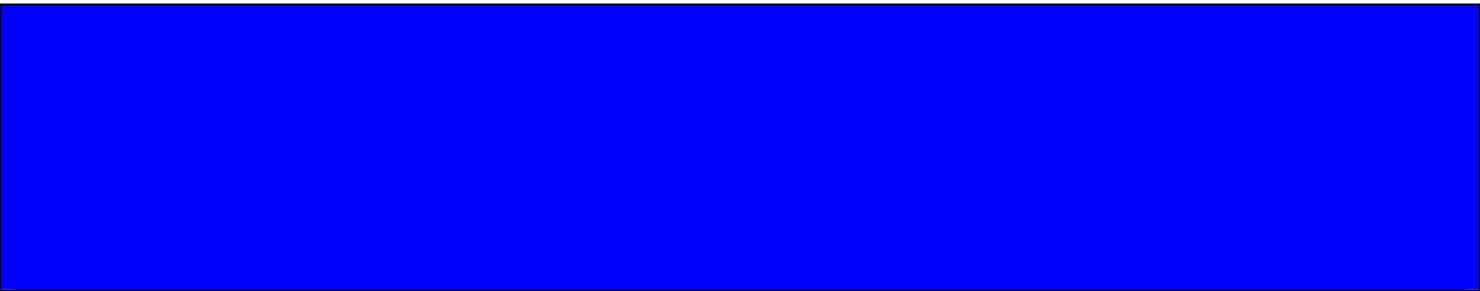
**Revenue**

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# SECTION III — COMMISSION

## EXPENDITURE — EXPENDITURE

### TITLE 08 — RESEARCH

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 01	Administrative expenditure of the 'Research' policy area		346 871 798	346 871 798	341 227 540	341 227 540	329 879 548,62	329 879 548,62
	40 01 40		157 165 347 028 963	157 165 347 028 963	4 490 341 232 030	4 490 341 232 030		
08 02	Cooperation — Health	1	1 007 548 000	757 453 000	939 533 855	573 724 702	850 584 621,53	514 836 782,73
08 03	Cooperation — Food, agriculture and fisheries, and biotechnology	1	361 475 000	317 924 000	312 784 295	181 450 215	274 225 130,09	185 430 487,82
08 04	Cooperation — Nanosciences, nanotechnologies, materials and new production technologies	1	618 706 000	601 399 000	510 906 344	432 572 918	471 627 638,37	415 589 386,22
08 05	Cooperation — Energy	1	217 825 000	169 478 000	189 932 521	144 811 788	161 131 610,87	118 107 811,89
08 06	Cooperation — Environment (including climate change)	1	339 086 000	271 991 000	285 273 359	253 139 879	258 475 931,23	269 132 372,11
08 07	Cooperation — Transport (including aeronautics)	1	558 819 000	489 678 243	483 484 270	430 934 281	432 909 352,88	396 537 401,79
08 08	Cooperation — Socioeconomic sciences and the humanities	1	112 181 000	67 960 000	92 395 240	54 274 481	86 360 644,74	49 364 837,18
08 09	Cooperation — Risk-sharing finance facility (RSFF)	1	p.m.	p.m.	198 004 478	181 450 215	204 760 000,00	204 760 000,00
08 10	Ideas	1	1 707 158 000	1 226 786 278	1 564 948 330	848 082 810	1 332 343 546,24	733 822 780,52
08 12	Capacities — Research infrastructures	1	74 663 000	134 969 000	50 228 387	126 769 285	160 066 351,28	205 691 420,00
08 13	Capacities — Research for the benefit of small and medium-sized enterprises (SMEs)	1	273 226 000	236 953 000	251 176 486	182 498 997	229 311 140,02	172 825 421,02
08 14	Capacities — Regions of knowledge	1	27 231 000	20 700 000	20 078 078	18 299 254	19 304 773,00	16 581 088,49
08 15	Capacities — Research potential	1	73 939 000	58 000 000	66 609 035	56 521 742	65 320 488,00	52 934 445,55
08 16	Capacities — Science in society	1	63 376 000	38 456 000	44 828 259	27 650 291	45 864 192,00	31 194 908,00
08 17	Capacities — International cooperation activities	1	39 683 000	27 597 000	32 102 471	31 917 093	28 937 707,00	19 496 817,00
08 18	Capacities — Risk-sharing finance facility (RSFF)	1	50 000 000	50 000 000	p.m.	p.m.	51 190 000,00	51 190 000,00
08 19	Capacities — Support for coherent development of research policies	1	13 411 000	9 850 000	13 101 602	9 434 504	10 037 335,00	5 762 666,99
08 20	Euratom — Fusion energy	1	937 355 000	584 984 000	1 129 274 000	371 849 555	396 090 000,00	235 116 604,68
08 21	Euratom — Nuclear fission and radiation protection	1	55 839 000	57 000 000	54 105 000	49 898 809	52 000 000,00	35 780 564,00
08 22	Completion of previous framework programmes and other activities	1	p.m.	27 054 000	p.m.	113 860 010	208 635 431,08	517 943 687,58
08 23	Research programme of the research fund for coal and steel	1	p.m.	p.m.	p.m.	p.m.	61 197 634,00	50 999 625,11
	<b>Title 08 — Total</b>		<b>6 878 392 798</b>	<b>5 495 104 319</b>	<b>6 579 993 550</b>	<b>4 430 368 369</b>	<b>5 730 253 075,95</b>	<b>4 612 978 657,30</b>
	40 01 40		157 165	157 165	4 490	4 490		
	<b>Total including reserves</b>		<b>6 878 549 963</b>	<b>5 495 261 484</b>	<b>6 579 998 040</b>	<b>4 430 372 859</b>		

## Remarks

These remarks apply to all the budget headings in this title (with the exception of Chapter 08 22).

These appropriations will be used in accordance with Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1) and Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

For all appropriations under this title the same definition of small and medium-sized enterprises (SMEs) as is used for the horizontal SME-specific programmes within the same framework programme shall apply. That definition reads as follows: 'An eligible SME is a legal entity that complies with the SME definition set out in Commission Recommendation 2003/361/EC and is not a research centre, research institute, contract research organisation or consultancy firm'. All research activities conducted pursuant to the Seventh Framework Programme will be carried out in compliance with fundamental ethical principles (in accordance with Article 6(1) of Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1)), including animal welfare requirements. This will include, in particular, the principles laid down in Article 6 of the Treaty on European Union and in the Charter of Fundamental Rights of the European Union. Particular account will be taken of the need to step up efforts to enhance the participation and role of women in science and research.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations also cover administrative expenditure, including expenditure on staff, whether covered by the Staff Regulations or not, information, publications, administrative and technical operation, and certain other expenditure Items relating to internal infrastructure linked with the achievement of the objective of the measure of which they form an integral part, including the action and initiatives necessary for preparation and monitoring of the Union's strategy on research, technological development and demonstration (RTD).

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

The possibility of third countries or institutes from third countries taking part in European Cooperation in the field of Scientific and Technical Research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Revenue from States taking part in the European Cooperation in the field of Scientific and Technical Research will be entered in Item 6 0 1 6 of the of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations being made available in accordance with Article 18 of the Financial Regulation.

Additional appropriations will be made available under Article 08 22 04.

In order to be able to meet the goal of 15 % SME participation in the projects financed by these appropriations, as laid down in Decision No 1982/2006/EC, more specific action is needed. Qualifying projects under the SME specific programmes should be made eligible for funding under the thematic programme when they fulfil the necessary (thematic) requirements.

## CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH’ POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
08 01	Administrative expenditure of the ‘Research’ policy area				
<b>08 01 01</b>	<b>Expenditure related to staff in active employment in the ‘Research’ policy area</b>	5	8 879 594	9 193 290	9 340 340,93
	40 01 40		153 969		
			9 033 563		
<b>08 01 02</b>	<b>External staff and other management expenditure of the ‘Research’ policy area</b>				
08 01 02 01	External staff	5	265 716	210 031	219 017,00
	40 01 40		3 196		
			268 912		
08 01 02 11	Other management expenditure	5	394 554	392 244	440 843,00
	40 01 40			4 490	
				396 734	
	<i>Article 08 01 02 — Subtotal</i>		660 270	602 275	659 860,00
	40 01 40		3 196	4 490	
			663 466	606 765	
<b>08 01 03</b>	<b>Expenditure related to information and communication technology equipment and services of the ‘Research’ policy area</b>	5	561 934	586 975	702 509,91
<b>08 01 04</b>	<b>Support expenditure for operations of the ‘Research’ policy area</b>				
08 01 04 30	European Research Council Executive Agency (ERCEA)	1.1	39 000 000	39 000 000	35 600 737,00
08 01 04 31	Research Executive Agency (REA)	1.1	49 300 000	47 339 000	38 496 928,00
08 01 04 40	European Joint Undertaking for ITER — Fusion for Energy (F4E) — Expenditure on administrative management	1.1	39 390 000	39 000 000	35 900 000,00
	<i>Article 08 01 04 — Subtotal</i>		127 690 000	125 339 000	109 997 665,00
<b>08 01 05</b>	<b>Support expenditure for operations in the ‘Research’ policy area</b>				
08 01 05 01	Expenditure related to research staff	1.1	127 793 000	124 219 000	128 017 000,12
08 01 05 02	External staff for research	1.1	26 287 000	26 287 000	27 690 716,00
08 01 05 03	Other management expenditure for research	1.1	55 000 000	55 000 000	53 471 456,66
	<i>Article 08 01 05 — Subtotal</i>		209 080 000	205 506 000	209 179 172,78
	<b>Chapter 08 01 — Total</b>		<b>346 871 798</b>	<b>341 227 540</b>	<b>329 879 548,62</b>
	40 01 40		157 165	4 490	
	Total including reserves		347 028 963	341 232 030	

### Article 08 01 01 — Expenditure related to staff in active employment in the ‘Research’ policy area

#### Figures

	Budget 2013	Appropriations 2012	Outturn 2011
08 01 01	8 879 594	9 193 290	9 340 340,93
40 01 40	153 969		
Total	9 033 563	9 193 290	9 340 340,93

### Article 08 01 02 — External staff and other management expenditure of the ‘Research’ policy area

#### Item 08 01 02 01 — External staff

#### Figures

	Budget 2013	Appropriations 2012	Outturn 2011
08 01 02 01	265 716	210 031	219 017,00

	Budget 2013	Appropriations 2012	Outturn 2011
40 01 40	3 196		
Total	268 912	210 031	219 017,00

Item 08 01 02 11 — Other management expenditure

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
08 01 02 11	394 554	392 244	440 843,00
40 01 40		4 490	
Total	394 554	396 734	440 843,00

**Article 08 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Research’ policy area**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
561 934	586 975	702 509,91

**Article 08 01 04 — Support expenditure for operations of the ‘Research’ policy area**

Item 08 01 04 30 — European Research Council Executive Agency (ERCEA)

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
39 000 000	39 000 000	35 600 737,00

*Remarks*

This appropriation is intended to cover the operating costs of the European Research Council Executive Agency incurred as a result of the Agency’s role in the management of the specific ‘Ideas’ programme in the field of frontier research.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The Agency’s establishment plan is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission (Volume 3).

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme ‘Ideas’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

### Reference acts

Commission Decision 2008/37/EC of 14 December 2007 setting up the 'European Research Council Executive Agency' for the management of the specific Community programme 'Ideas' in the field of frontier research in application of Council Regulation (EC) No 58/2003 (OJ L 9, 12.1.2008, p. 15).

### Item 08 01 04 31 — Research Executive Agency (REA)

#### Figures

Budget 2013	Appropriations 2012	Outturn 2011
49 300 000	47 339 000	38 496 928,00

#### Remarks

This appropriation is intended to cover the operating costs of the Research Executive Agency incurred as a result of the Agency's role in the management of certain areas of the specific 'People', 'Capacities' and 'Cooperation' programmes in the field of research.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

#### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 272).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

### Reference acts

Commission Decision 2008/46/EC of 14 December 2007 setting up the 'Research Executive Agency' for the management of certain areas of the specific Community programmes People, Capacities and Cooperation in the field of research in application of Council Regulation (EC) No 58/2003 (OJ L 11, 15.1.2008, p. 9).

### Item 08 01 04 40 — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Expenditure on administrative management

#### Figures

Budget 2013	Appropriations 2012	Outturn 2011
39 390 000	39 000 000	35 900 000,00

## Remarks

Fusion offers the prospect of an almost limitless supply of clean energy, with ITER being the crucial next step in the progress towards this ultimate goal. To this end, the European Organisation for ITER and the Development of Fusion Energy, in the form of a Joint Undertaking was established. This European Joint Undertaking for ITER and the Development of Fusion Energy (Fusion for Energy) has the following tasks:

- (a) to provide the contribution of Euratom to the ITER International Fusion Energy Organization;
- (b) to provide the contribution of Euratom to broader approach activities with Japan for the rapid realisation of fusion energy;
- (c) to implement a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities including the International Fusion Materials Irradiation Facility (IFMIF).

## Legal basis

Council Decision of 25 September 2006 concerning the conclusion, by the Commission, of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project, of the Arrangement on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation on the ITER Project and of the Agreement on the Privileges and Immunities of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project.

Commission Decision 2006/943/Euratom of 17 November 2006 on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project and of the Agreement on Privileges and Immunities of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project (OJ L 358, 16.12.2006, p. 60).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

## Article 08 01 05 — Support expenditure for operations in the ‘Research’ policy area

Item 08 01 05 01 — Expenditure related to research staff

### Figures

Budget 2013	Appropriations 2012	Outturn 2011
127 793 000	124 219 000	128 017 000,12

Item 08 01 05 02 — External staff for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
26 287 000	26 287 000	27 690 716,00

Item 08 01 05 03 — Other management expenditure for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
55 000 000	55 000 000	53 471 456,66

## CHAPTER 08 02 — COOPERATION — HEALTH

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 02	Cooperation — Health							
<b>08 02 01</b>	<b>Cooperation — Health</b>	1.1	796 240 000	627 563 000	639 533 855	478 124 028	686 776 621,53	496 363 548,73
<b>08 02 02</b>	<b>Cooperation — Health — Innovative Medicines Initiative Joint Undertaking</b>	1.1	207 068 000	125 650 000	294 300 000	90 725 107	159 098 520,00	14 622 613,00
<b>08 02 03</b>	<b>Cooperation — Health — Support expenditure for Innovative Medicines Initiative Joint Undertaking</b>	1.1	4 240 000	4 240 000	5 700 000	4 875 567	4 709 480,00	3 850 621,00
	<b>Chapter 08 02 — Total</b>		<b>1 007 548 000</b>	<b>757 453 000</b>	<b>939 533 855</b>	<b>573 724 702</b>	<b>850 584 621,53</b>	<b>514 836 782,73</b>

### Article 08 02 01 — Cooperation — Health

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
796 240 000	627 563 000	639 533 855	478 124 028	686 776 621,53	496 363 548,73

Remarks

The actions carried out in the area of health are intended to improve the health of the European public and boost the competitiveness of industries and companies linked to the health sector in Europe, while at the same time addressing world health problems, including new epidemics. The emphasis will be on translational research (transposing basic findings into clinical applications), developing and validating new treatments, identifying ways of promoting health and preventing illness, diagnostic tools and technologies for making diagnoses, as well as sustainable and effective healthcare systems. Special attention will be given to communicating research findings and to the initiation of a dialogue with civil society, in particular patient groups, at as early a stage as possible in new developments produced by biomedical and genetics research.

Funding may be given to clinical research on many diseases, for instance HIV/AIDS, malaria, tuberculosis, diabetes and other chronic diseases (e.g. arthritis, rheumatic diseases and musculo-skeletal diseases, as well as respiratory diseases), or rare diseases.

An increased share of funding should go to research on diseases related to ageing.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 400, 30.12.2006, p. 86).

### *Reference acts*

European Parliament resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 591).

## **Article 08 02 02 — Cooperation — Health — Innovative Medicines Initiative Joint Undertaking**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
207 068 000	125 650 000	294 300 000	90 725 107	159 098 520,00	14 622 613,00

### *Remarks*

The Innovative Medicines Initiative Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the 'Health' theme of the specific 'Cooperation' programme implementing the Seventh Framework Programme. It shall have the objective of significantly improving the efficiency and effectiveness of the drug development process with the long-term aim that the pharmaceutical sector produce more effective and safer innovative medicines. In particular it shall:

- support 'pre-competitive pharmaceutical research and development' in the Member States and countries associated to the Seventh Framework Programme via a coordinated approach to overcome the identified research bottlenecks in the drug development process,
- support the implementation of the research priorities as set out by the Research Agenda of the Joint Technology Initiative on Innovative Medicines ('Research Activities'), notably by awarding grants following competitive calls for proposals,
- ensure complementarity with other activities of the Seventh Framework Programme,
- be a public-private partnership aiming at increasing the research investment in the biopharmaceutical sector in the Member States and countries associated to the Seventh Framework Programme by pooling resources and fostering collaboration between the public and private sectors,
- promote the involvement of small and medium-sized enterprises in its activities, in line with the objectives of the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 73/2008 of 20 December 2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines (OJ L 30, 4.2.2008, p. 38).

**Article 08 02 03 — Cooperation — Health — Support expenditure for Innovative Medicines Initiative Joint Undertaking**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 240 000	4 240 000	5 700 000	4 875 567	4 709 480,00	3 850 621,00

*Remarks*

The Innovative Medicines Initiative Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the ‘Health’ theme of the specific ‘Cooperation’ programme implementing the Seventh Framework Programme. It shall have the objective of significantly improving the efficiency and effectiveness of the drug development process with the long-term aim that the pharmaceutical sector produce more effective and safer innovative medicines. In particular it shall:

- support ‘pre-competitive pharmaceutical research and development’ in the Member States and countries associated to the Seventh Framework Programme via a coordinated approach to overcome the identified research bottlenecks in the drug development process,
- support the implementation of the research priorities as set out by the Research Agenda of the Joint Technology Initiative on Innovative Medicines (‘Research Activities’), notably by awarding grants following competitive calls for proposals,
- ensure complementarity with other activities of the Seventh Framework Programme,
- be a public-private partnership aiming at increasing the research investment in the biopharmaceutical sector in the Member States and countries associated to the Seventh Framework Programme by pooling resources and fostering collaboration between the public and private sectors,
- promote the involvement of small and medium-sized enterprises in its activities, in line with the objectives of the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 73/2008 of 20 December 2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines (OJ L 30, 4.2.2008, p. 38).

## CHAPTER 08 03 — COOPERATION — FOOD, AGRICULTURE AND FISHERIES, AND BIOTECHNOLOGY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 03	Cooperation — Food, agriculture and fisheries, and biotechnology							
<b>08 03 01</b>	<b>Cooperation — Food, agriculture and fisheries, and biotechnology</b>	1.1	361 475 000	317 924 000	312 784 295	181 450 215	274 225 130,09	185 430 487,82
	<b>Chapter 08 03 — Total</b>		<b>361 475 000</b>	<b>317 924 000</b>	<b>312 784 295</b>	<b>181 450 215</b>	<b>274 225 130,09</b>	<b>185 430 487,82</b>

### Article 08 03 01 — Cooperation — Food, agriculture and fisheries, and biotechnology

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
361 475 000	317 924 000	312 784 295	181 450 215	274 225 130,09	185 430 487,82

### Remarks

The actions carried out in this area are intended to help establish the integrated scientific and technological bases needed to develop a European 'knowledge-based bioeconomy' by bringing together science, industry and other stakeholders. Three pillars support this approach: (1) sustainable production and management of biological resources from land, forest, and aquatic environments; (2) farm to fork: food, health and well being, and (3) life sciences and biotechnology for sustainable non-food products and processes. This way new and emerging research opportunities can be exploited that address social and economic challenges, such as the growing demand for environmentally and animal friendly systems of production and distribution of safer, healthier and higher quality food in line with consumer requirements and control of food-related risks, relying in particular on biotechnology tools, as well as health risks associated with environmental changes.

This appropriation is also intended to fund the development and improvement of analysis methods (e.g. residue analysis in food and feedingstuffs).

Since, under current legislation, no specific funds are earmarked for fisheries research, at least the same percentage share will be maintained for fisheries research as under the previous budget. This appropriation is further intended to fund the development and improvement of the maximum sustainable yield (MSY) concept as a policy instrument for sustainable exploitation of fisheries resources and the development of a policy concept restricting discard of by catches.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

## CHAPTER 08 04 — COOPERATION — NANOSCIENCES, NANOTECHNOLOGIES, MATERIALS AND NEW PRODUCTION TECHNOLOGIES

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 04	Cooperation — Nanosciences, nanotechnologies, materials and new production technologies							
<b>08 04 01</b>	<b>Cooperation — Nanosciences, nanotechnologies, materials and new production technologies</b>	1.1	609 914 000	588 708 000	501 040 344	426 806 430	461 174 640,37	409 974 303,22
<b>08 04 02</b>	<b>Cooperation — Nanosciences, nanotechnologies, materials and new production technologies — Fuel Cells and Hydrogen Joint Undertaking</b>	1.1	8 792 000	12 691 000	9 866 000	5 766 488	10 452 998,00	5 615 083,00
	<b>Chapter 08 04 — Total</b>		<b>618 706 000</b>	<b>601 399 000</b>	<b>510 906 344</b>	<b>432 572 918</b>	<b>471 627 638,37</b>	<b>415 589 386,22</b>

### Article 08 04 01 — Cooperation — Nanosciences, nanotechnologies, materials and new production technologies

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
609 914 000	588 708 000	501 040 344	426 806 430	461 174 640,37	409 974 303,22

### Remarks

The actions carried out in this area are intended to help reach the critical mass of capacities needed to develop and exploit, in particular with a view to eco-efficiency and reducing the discharge of dangerous substances into the environment, state-of-the-art technologies which are the basis for products, services and manufacturing procedures in years to come, based mainly on knowledge and intelligence.

Sufficient appropriations must be provided for nano research in connection with environmental and health risk assessment, since only 5 to 10 % of global nano research is devoted to this today.

Sufficient budgetary appropriations must be provided for activities promoting research and the emergence of resource-efficiency processes and practices including eco-design, reusability, recyclability and research into substitution of hazardous or critical substances.

Expenditure on meetings, conferences, workshops and high-level scientific or technological seminars of European interest organised by the Commission, the funding of studies, grants, monitoring and assessment of specific programmes, funding the IMS secretariat, high-level scientific or technological assessments and evaluations, as well as actions carried out under the previous framework programmes will also be charged.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

**Article 08 04 02 — Cooperation — Nanosciences, nanotechnologies, materials and new production technologies — Fuel Cells and Hydrogen Joint Undertaking**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 792 000	12 691 000	9 866 000	5 766 488	10 452 998,00	5 615 083,00

*Remarks*

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013) and in particular the ‘Energy’, ‘Nano-sciences, Nano-technologies, Materials and new Production Technologies’, ‘Environment (including climate change)’, and ‘Transport (including Aeronautics)’ themes of the specific ‘Cooperation’ programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; and aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

**CHAPTER 08 05 — COOPERATION — ENERGY**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 05	Cooperation — Energy							
<b>08 05 01</b>	<b>Cooperation — Energy</b>							
		1.1	201 580 000	154 894 000	178 319 521	112 930 985	104 567 761,87	84 154 973,09
<b>08 05 02</b>	<b>Cooperation — Energy — Fuel Cells and Hydrogen Joint Undertaking</b>							
		1.1	15 006 000	13 345 000	10 374 000	30 756 719	55 489 960,00	32 871 634,00

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 05 03	<i>Support expenditure for the Fuel Cells and Hydrogen Joint Undertaking</i>	1.1	1 239 000	1 239 000	1 239 000	1 124 084	1 073 889,00	1 081 204,80
	<b>Chapter 08 05 — Total</b>		<b>217 825 000</b>	<b>169 478 000</b>	<b>189 932 521</b>	<b>144 811 788</b>	<b>161 131 610,87</b>	<b>118 107 811,89</b>

## **Article 08 05 01 — Cooperation — Energy**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
201 580 000	154 894 000	178 319 521	112 930 985	104 567 761,87	84 154 973,09

### *Remarks*

Efforts will focus on the following areas:

#### *Renewable electricity generation*

Technologies to increase overall conversion efficiency, driving down the cost of electricity production from indigenous renewable energy sources, and demonstration of technologies adapted to different regional conditions.

#### *Renewable fuel production*

Integrated conversion technologies to develop and drive down the unit cost of solid, liquid and gaseous fuels produced from renewable energy sources, aimed at the cost-effective production and use of carbon-neutral fuels, in particular liquid biofuels for transport.

#### *CO<sub>2</sub> capture and storage technologies for near zero emission power generation*

To drastically reduce the environmental impact of fossil fuel consumption in order to develop highly efficient near zero emission electricity production plants, based on CO<sub>2</sub> capture and on storage technologies.

#### *Clean coal technologies*

To substantially improve the efficiency of plants, reliability and costs through developing and demonstrating clean coal conversion technologies. This includes also further action in developing and implementing efficient technologies on wood waste burning as production method of bio-coal as self-sustainable energy generation.

#### *Smart energy networks*

To increase the efficiency, safety and reliability of the European electricity networks, and their capability to manage the energy flows generated by the markets. Long-term planning of the development of the pan-European electricity network within the framework of the work of the European electricity grid initiative. To remove obstacles to the large-scale deployment and effective integration of distributed and renewable energy sources.

#### *Energy efficiency and savings*

New concepts and technologies to improve energy efficiency and savings for buildings, services and industry. This includes the integration of strategies and technologies for energy efficiency, the use of new and renewable energy technologies and energy demand management.

Recognising their important contribution to future sustainable energy systems, renewables and end-use energy efficiency will be the major part of this theme over the period 2007-2013 and will represent at least two-thirds of it from 2012 onwards. Focus will be placed on activities best supporting the development of the SET-Plan initiatives within the framework of the Technology Roadmaps.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Part of this appropriation is intended to foster common approaches to key global challenges, such as energy security and resource scarcity, by pooling resources and fostering the exchange of best practices for the advancement of research and development in the

field of energy. Measures will aim at improving the efficacy of actions by the international community and will be complementary to existing mechanisms. The appropriations will be used to fund innovative ventures between European and third countries that are beyond the scope of a single country to undertake and beyond the scope of current tools available under existing legal instruments. In implementing this action the Commission will ensure a balanced distribution of subsidies. It will help actors at a global level to engage in research partnerships in order to foster innovation in the field of secure, clean and efficient energy.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

### ***Article 08 05 02 — Cooperation — Energy — Fuel Cells and Hydrogen Joint Undertaking***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 006 000	13 345 000	10 374 000	30 756 719	55 489 960,00	32 871 634,00

#### *Remarks*

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013) and in particular the 'Energy', 'Nano-sciences, Nano-technologies, Materials and new Production Technologies', 'Environment (including climate change)', and 'Transport (including Aeronautics)' themes of the specific 'Cooperation' programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

## Article 08 05 03 — Support expenditure for the Fuel Cells and Hydrogen Joint Undertaking

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 239 000	1 239 000	1 239 000	1 124 084	1 073 889,00	1 081 204,80

### Remarks

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and in particular the 'Energy', 'Nano-sciences, Nano-technologies, Materials and new Production Technologies', 'Environment (including climate change)', and 'Transport (including Aeronautics)' themes of the specific 'Cooperation' programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, particularly by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

## CHAPTER 08 06 — COOPERATION — ENVIRONMENT (INCLUDING CLIMATE CHANGE)

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 06	Cooperation — Environment (including climate change)							
<i>08 06 01</i>	<i>Cooperation — Environment (including climate change)</i>	1.1	335 135 000	266 288 000	280 840 359	250 986 065	254 457 516,23	267 143 697,11
<i>08 06 02</i>	<i>Cooperation — Environment — Fuel Cells and Hydrogen Joint Undertaking</i>	1.1	3 951 000	5 703 000	4 433 000	2 153 814	4 018 415,00	1 988 675,00
	<b>Chapter 08 06 — Total</b>		<b>339 086 000</b>	<b>271 991 000</b>	<b>285 273 359</b>	<b>253 139 879</b>	<b>258 475 931,23</b>	<b>269 132 372,11</b>

## **Article 08 06 01 — Cooperation — Environment (including climate change)**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
335 135 000	266 288 000	280 840 359	250 986 065	254 457 516,23	267 143 697,11

### *Remarks*

Environmental research within the Seventh Framework Programme will be implemented through the ‘Environment (including climate change)’ theme. The objective is to promote sustainable management of the natural and human environment and its resources by advancing our knowledge of the interactions between the biosphere, ecosystems and human activities, and developing new technologies, tools and services, in order to address in an integrated way global environmental issues. Emphasis will be put on prediction of climate, ecological, earth and ocean systems changes and on tools and technologies, for monitoring, prevention and mitigation of environmental pressures and risks including on human health, and for the sustainability of the natural and man-made environment.

Research on this theme will contribute to the implementation of international commitments and initiatives such as Global Earth Observation (GEO). In addition, it will support the research needs arising from the existing and future Union legislation and policies, associated thematic strategies and the action plans on environmental technologies and on environment and health. Research will also contribute technological developments that will improve the market positioning of European enterprises, in particular of small and medium-sized enterprises, in areas such as environmental technologies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

## **Article 08 06 02 — Cooperation — Environment — Fuel Cells and Hydrogen Joint Undertaking**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 951 000	5 703 000	4 433 000	2 153 814	4 018 415,00	1 988 675,00

### *Remarks*

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013), and in particular the ‘Energy’, ‘Nano-sciences, Nano-technologies, Materials and new Production Technologies’, ‘Environment (including climate change)’, and ‘Transport (including Aeronautics)’ themes of the specific ‘Cooperation’ programme. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby

facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

## **CHAPTER 08 07 — COOPERATION — TRANSPORT (INCLUDING AERONAUTICS)**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 07	Cooperation — Transport (including aeronautics)							
<b>08 07 01</b>	<b>Cooperation — Transport (including aeronautics)</b>	1.1	311 890 000	328 340 000	323 818 270	289 945 649	261 570 437,20	276 720 957,43
<b>08 07 02</b>	<b>Cooperation — Transport — Clean Sky Joint Undertaking</b>	1.1	226 514 477	133 151 243	137 460 000	131 555 035	153 560 786,00	114 423 095,00
<b>08 07 03</b>	<b>Cooperation — Transport — Support expenditure for Clean Sky Joint Undertaking</b>	1.1	2 888 523	2 889 000	2 540 000	2 304 418	2 718 031,68	2 585 807,36
<b>08 07 04</b>	<b>Cooperation — Transport — Fuel Cells and Hydrogen (FCH) Joint Undertaking</b>	1.1	17 526 000	25 298 000	19 666 000	7 129 179	15 060 098,00	2 807 542,00
	<b>Chapter 08 07 — Total</b>		<b>558 819 000</b>	<b>489 678 243</b>	<b>483 484 270</b>	<b>430 934 281</b>	<b>432 909 352,88</b>	<b>396 537 401,79</b>

### **Article 08 07 01 — Cooperation — Transport (including aeronautics)**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
311 890 000	328 340 000	323 818 270	289 945 649	261 570 437,20	276 720 957,43

#### *Remarks*

For the Seventh Framework Programme for the period 2007 to 2013, an integrated approach has been proposed which links all transport modes (aeronautics, road, rail, waterborne), addresses the socio-economic and technological dimensions of research and knowledge development, and encapsulates both innovation and the policy framework. It is in line with the White Paper on transport and the updated versions of the strategic research agendas of the four technology platforms in the field of transport. Based on technological advances, the general objective is to develop integrated, 'greener', 'smarter' and safer pan-European transport systems for the benefit of citizens and society, respecting the environment and natural resources and securing and further developing the competitiveness and the leading role attained by the European industries in the global market.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

**Article 08 07 02 — Cooperation — Transport — Clean Sky Joint Undertaking**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
226 514 477	133 151 243	137 460 000	131 555 035	153 560 786,00	114 423 095,00

*Remarks*

The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme, and in particular the ‘Transport (including Aeronautics)’ theme of the specific ‘Cooperation’ programme.

The objectives of the Clean Sky Joint Undertaking shall be the following:

- accelerating in the Union the development, validation and demonstration of clean air transport technologies for the earliest possible deployment,
- ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of air transport,
- creating a radically innovative air transport system based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts,
- accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 71/2008 of 20 December 2007 setting up the Clean Sky Joint Undertaking (OJ L 30, 4.2.2008, p. 1).

### **Article 08 07 03 — Cooperation — Transport — Support expenditure for Clean Sky Joint Undertaking**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 888 523	2 889 000	2 540 000	2 304 418	2 718 031,68	2 585 807,36

#### *Remarks*

The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the 'Transport (including Aeronautics)' theme of the specific 'Cooperation' programme.

The objectives of the Clean Sky Joint Undertaking shall be the following:

- accelerating in the Union the development, validation and demonstration of clean air transport technologies for earliest possible deployment,
- ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of air transport,
- creating a radically innovative air transport system based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts,
- accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 71/2008 of 20 December 2007 setting up the Clean Sky Joint Undertaking (OJ L 30, 4.2.2008, p. 1).

### **Article 08 07 04 — Cooperation — Transport — Fuel Cells and Hydrogen (FCH) Joint Undertaking**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 526 000	25 298 000	19 666 000	7 129 179	15 060 098,00	2 807 542,00

#### *Remarks*

The Fuel Cells and Hydrogen Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013) and in particular the 'Energy', 'Nano-sciences, Nano-technologies, Materials and new Production Technologies', 'Environment (including climate change)', and 'Transport (including Aeronautics)' themes of the specific 'Cooperation' programme. It shall, in particular: aim at placing Europe at

the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards the rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and countries associated to the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

## **CHAPTER 08 08 — COOPERATION — SOCIOECONOMIC SCIENCES AND THE HUMANITIES**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 08	Cooperation — Socioeconomic sciences and the humanities							
<b>08 08 01</b>	<b>Cooperation — Socioeconomic sciences and the humanities</b>	1.1	112 181 000	67 960 000	92 395 240	54 274 481	86 360 644,74	49 364 837,18
	<b>Chapter 08 08 — Total</b>		<b>112 181 000</b>	<b>67 960 000</b>	<b>92 395 240</b>	<b>54 274 481</b>	<b>86 360 644,74</b>	<b>49 364 837,18</b>

### **Article 08 08 01 — Cooperation — Socioeconomic sciences and the humanities**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
112 181 000	67 960 000	92 395 240	54 274 481	86 360 644,74	49 364 837,18

#### *Remarks*

The aim of actions carried out in this area is to mobilise, as part of a coherent effort, the wealth and diversity of research capacities in the economic, political, historical, social and human sciences that are necessary to understand and manage issues relating to the emergence of a knowledge-based society and new forms of interpersonal relations and relations between individuals and institutions.

Part of the actions should analyse, from a historical perspective, the legal, social, economic and political issues relating to the development of the European integration process (the constitutional cultures and the legal traditions of the Member States and the Union, the development of a European civil society, national economic policies and European economic governance, the national political cultures and Europe).

Part of the appropriations should be used to carry out research on the scope, scale and composition of volunteer work in the Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

## CHAPTER 08 09 — COOPERATION — RISK-SHARING FINANCE FACILITY (RSFF)

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 09	Cooperation — Risk-sharing finance facility (RSFF)							
<b>08 09 01</b>	<b>Cooperation — Risk-sharing finance facility (RSFF)</b>	1.1	p.m.	p.m.	198 004 478	181 450 215	204 760 000,00	204 760 000,00
	<b>Chapter 08 09 — Total</b>		<b>p.m.</b>	<b>p.m.</b>	<b>198 004 478</b>	<b>181 450 215</b>	<b>204 760 000,00</b>	<b>204 760 000,00</b>

### Article 08 09 01 — Cooperation — Risk-sharing finance facility (RSFF)

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	198 004 478	181 450 215	204 760 000,00	204 760 000,00

#### Remarks

The objective of the risk-sharing finance facility (RSFF) is to foster private investment in research by improving access to debt financing for participants in large European research projects. RSFF will enable the European Investment Bank to expand, directly and indirectly (via its network of intermediary banks), its portfolio of loans to participants in such projects.

RSFF will contribute to the implementation of the Europe 2020 Strategy, in particular to the achievement of the 3 % investment in research objective, by helping overcome market deficiencies, increasing the total amount of funds available for research and diversifying its sources.

In line with the outcome of the mid-term review of RSFF, the Commission is encouraged to test new risk-sharing models with the EIB Group, based on a portfolio first loss piece approach, allowing more risky research and innovation intensive projects to be supported. A dedicated instrument should also be introduced within RSFF, based on the same risk-sharing approach in order to support research-intensive and innovative SMEs and small mid-caps investing in research, development and innovation. This should facilitate access to finance to a key target group.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned

revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

## CHAPTER 08 10 — IDEAS

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 10 <b>08 10 01</b>	Ideas <b>Ideas</b>							
		1.1	1 707 158 000	1 226 786 278	1 564 948 330	848 082 810	1 332 343 546,24	733 822 780,52
	<b>Chapter 08 10 — Total</b>		<b>1 707 158 000</b>	<b>1 226 786 278</b>	<b>1 564 948 330</b>	<b>848 082 810</b>	<b>1 332 343 546,24</b>	<b>733 822 780,52</b>

#### *Article 08 10 01 — Ideas*

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 707 158 000	1 226 786 278	1 564 948 330	848 082 810	1 332 343 546,24	733 822 780,52

#### *Remarks*

The general aim of the activities carried out as part of the specific 'Ideas' programme, through the creation of the European Research Council, is to identify the best research teams in Europe and to stimulate research at the frontiers of knowledge by funding high-risk and multi-disciplinary projects evaluated according to the sole criteria of excellence as judged by peers at European level, while encouraging in particular the creation of networks among research groups in different countries in order to promote the development of a European scientific community.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme 'Ideas' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

## CHAPTER 08 12 — CAPACITIES — RESEARCH INFRASTRUCTURES

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 12 <b>08 12 01</b>	Capacities — Research infrastructures <b>Capacities — Research infrastructures</b>	1.1					160 066	205 691
			74 663 000	134 969 000	50 228 387	126 769 285	351,28	420,00
	<b>Chapter 08 12 — Total</b>		<b>74 663 000</b>	<b>134 969 000</b>	<b>50 228 387</b>	<b>126 769 285</b>	<b>160 066</b> <b>351,28</b>	<b>205 691</b> <b>420,00</b>

### Article 08 12 01 — Capacities — Research infrastructures

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
74 663 000	134 969 000	50 228 387	126 769 285	160 066 351,28	205 691 420,00

### Remarks

The general aim of the activities carried out under this heading is to help establish a fabric of research infrastructures at the highest level in Europe and to promote their optimum use on a European scale.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

## CHAPTER 08 13 — CAPACITIES — RESEARCH FOR THE BENEFIT OF SMALL AND MEDIUM-SIZED ENTERPRISES (SMEs)

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 13	Capacities — Research for the benefit of small and medium-sized enterprises (SMEs)							
<b>08 13 01</b>	<b>Capacities — Research for the benefit of small and medium-sized enterprises (SMEs)</b>	1.1	273 226 000	236 953 000	251 176 486	182 498 997	229 311 140,02	172 825 421,02
	<b>Chapter 08 13 — Total</b>		<b>273 226 000</b>	<b>236 953 000</b>	<b>251 176 486</b>	<b>182 498 997</b>	<b>229 311 140,02</b>	<b>172 825 421,02</b>

### Article 08 13 01 — Capacities — Research for the benefit of small and medium-sized enterprises (SMEs)

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
273 226 000	236 953 000	251 176 486	182 498 997	229 311 140,02	172 825 421,02

### Remarks

The objective of the specific activities in favour of European small and medium-sized enterprises, carried out in order to support European competitiveness and enterprise and innovation policy, is to help them boost their technological capacities in conventional or new fields and develop their ability to operate at European and international level. These activities will supplement research in priority thematic areas. They should focus on ideas ultimately applicable to the development of innovative products and services to benefit SMEs.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

## CHAPTER 08 14 — CAPACITIES — REGIONS OF KNOWLEDGE

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 14 <i>08 14 01</i>	Capacities — Regions of knowledge <i>Capacities — Regions of knowledge</i>	1.1	27 231 000	20 700 000	20 078 078	18 299 254	19 304 773,00	16 581 088,49
	<b>Chapter 08 14 — Total</b>		<b>27 231 000</b>	<b>20 700 000</b>	<b>20 078 078</b>	<b>18 299 254</b>	<b>19 304 773,00</b>	<b>16 581 088,49</b>

### Article 08 14 01 — Capacities — Regions of knowledge

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
27 231 000	20 700 000	20 078 078	18 299 254	19 304 773,00	16 581 088,49

### Remarks

This amount is reserved for financing projects in order to strengthen the research potential of European regions, in particular by encouraging and supporting the development, across Europe, of regional ‘research-driven clusters’ associating regional authorities, universities, research centres, enterprises and other relevant stakeholders.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme ‘Capacities’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

## CHAPTER 08 15 — CAPACITIES — RESEARCH POTENTIAL

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 15 <i>08 15 01</i>	Capacities — Research potential <i>Capacities — Research potential</i>	1.1	73 939 000	58 000 000	66 609 035	56 521 742	65 320 488,00	52 934 445,55
	<b>Chapter 08 15 — Total</b>		<b>73 939 000</b>	<b>58 000 000</b>	<b>66 609 035</b>	<b>56 521 742</b>	<b>65 320 488,00</b>	<b>52 934 445,55</b>

## Article 08 15 01 — Capacities — Research potential

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
73 939 000	58 000 000	66 609 035	56 521 742	65 320 488,00	52 934 445,55

### Remarks

In order to help researchers and institutions of the regions eligible for financing by the Structural Funds under the Convergence objective and outermost regions in the Union to contribute to the overall European research effort, while taking advantage of the knowledge and experience existing in other regions of Europe, this action aims at establishing the conditions that will allow them to exploit their potential and will help to fully realise the European Research Area in the enlarged Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

## CHAPTER 08 16 — CAPACITIES — SCIENCE IN SOCIETY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 16	Capacities — Science in society							
<b>08 16 01</b>	<b>Capacities — Science in society</b>	1.1	63 376 000	38 456 000	44 828 259	27 650 291	45 864 192,00	31 194 908,00
	<b>Chapter 08 16 — Total</b>		<b>63 376 000</b>	<b>38 456 000</b>	<b>44 828 259</b>	<b>27 650 291</b>	<b>45 864 192,00</b>	<b>31 194 908,00</b>

## Article 08 16 01 — Capacities — Science in society

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
63 376 000	38 456 000	44 828 259	27 650 291	45 864 192,00	31 194 908,00

### Remarks

With a view to building an effective and democratic European knowledge society, the aim of the actions carried out under this heading is to stimulate the harmonious integration of scientific and technological endeavour and associated research policies in the European social web.

Actions carried out under this heading will also support the coordination of national research policies and the monitoring and analysis of research related policies and industrial strategies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

## **CHAPTER 08 17 — CAPACITIES — INTERNATIONAL COOPERATION ACTIVITIES**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 17 <i>08 17 01</i>	Capacities — International cooperation activities <i>Capacities — International cooperation activities</i>	1.1	39 683 000	27 597 000	32 102 471	31 917 093	28 937 707,00	19 496 817,00
	<b>Chapter 08 17 — Total</b>		<b>39 683 000</b>	<b>27 597 000</b>	<b>32 102 471</b>	<b>31 917 093</b>	<b>28 937 707,00</b>	<b>19 496 817,00</b>

### **Article 08 17 01 — Capacities — International cooperation activities**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
39 683 000	27 597 000	32 102 471	31 917 093	28 937 707,00	19 496 817,00

#### *Remarks*

The general objective of the international cooperation activities carried out under the 'Capacities' heading of the Seventh Framework Programme is to help create a strong and coherent science and technology policy of the Union by developing strategic partnerships with third countries and by addressing the specific problems of third countries as well as global ones. These activities will involve the following groups of third countries: the candidate countries, associated and industrialised countries, as well as international cooperation partner countries (Asia, Latin America, Eastern Europe and Central Asia, African, Caribbean and Pacific countries, Mediterranean partner countries and countries in the western Balkans).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Part of this appropriation is intended to foster common approaches to key global challenges, such as an ICT strategy that not only competes with rapidly emerging ICT markets, e.g. in Asia, but also sets standards for global ICT policy-making in the interest of European values, by pooling resources and fostering the exchange of best practices for the advancement of research, development and

innovation in the field of ICT. Measures will aim at improving the efficacy of actions by the international community and be complementary to existing mechanisms and successful working relationships. Part of this appropriation is also intended to foster common approaches to key global challenges, such as energy security and resource scarcity, by pooling resources and fostering the exchange of best practices for the advancement of research and development in the field of energy. The appropriations will be used to fund innovative ventures between European and third countries that are beyond the scope of a single country to undertake and beyond the scope of current tools available under existing legal instruments. In implementing this action the Commission will ensure a balanced distribution of subsidies. It will help actors at a global level to engage in research partnerships in order to foster innovation in the field of ICT, prepare the Union and its partners for a leading role in setting future ICT standards and foster innovation in the field of secure, clean and efficient energy.

#### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

## CHAPTER 08 18 — CAPACITIES — RISK-SHARING FINANCE FACILITY (RSFF)

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 18 08 18 01	Capacities — Risk-sharing finance facility (RSFF) <i>Capacities — Risk-sharing finance facility (RSFF)</i>	1.1	50 000 000	50 000 000	p.m.	p.m.	51 190 000,00	51 190 000,00
	<b>Chapter 08 18 — Total</b>		<b>50 000 000</b>	<b>50 000 000</b>	<b>p.m.</b>	<b>p.m.</b>	<b>51 190 000,00</b>	<b>51 190 000,00</b>

### Article 08 18 01 — Capacities — Risk-sharing finance facility (RSFF)

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
50 000 000	50 000 000	p.m.	p.m.	51 190 000,00	51 190 000,00

#### Remarks

The objective of the risk-sharing finance facility (RSFF) is to foster private investment in research by improving access to debt financing for participants in European research infrastructures. RSFF will enable the European Investment Bank to expand, directly and indirectly (via its network of intermediary banks), its portfolio of loans to participants in such infrastructures.

RSFF will contribute to the implementation of the Europe 2020 Strategy, in particular to the achievement of the 3 % investment in research objective, by helping overcome market deficiencies, increasing the total amount of funds available for research and diversifying its sources.

In line with the outcome of the mid-term review of the RSFF, the Commission is encouraged to test new risk-sharing models with the EIB Group, based on a portfolio first-loss piece approach, facilitating access to debt financing for strategic Union research infrastructure

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding

appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

## CHAPTER 08 19 — CAPACITIES — SUPPORT FOR COHERENT DEVELOPMENT OF RESEARCH POLICIES

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 19	Capacities — Support for coherent development of research policies							
<b>08 19 01</b>	<b>Capacities — Support for coherent development of research policies</b>	1.1	13 411 000	9 850 000	13 101 602	9 434 504	10 037 335,00	5 762 666,99
	<b>Chapter 08 19 — Total</b>		<b>13 411 000</b>	<b>9 850 000</b>	<b>13 101 602</b>	<b>9 434 504</b>	<b>10 037 335,00</b>	<b>5 762 666,99</b>

### Article 08 19 01 — Capacities — Support for coherent development of research policies

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
13 411 000	9 850 000	13 101 602	9 434 504	10 037 335,00	5 762 666,99

*Remarks*

Increasing investment in research and development up to the 3 % objective and improving its effectiveness is a top priority under the Europe 2020 Strategy. Thus, the development of a coherent mix of policies to leverage public and private research investments is a major concern for public authorities. Actions under this heading will support the development of effective and coherent research policies at regional, national and Union level, through the provision of structured information, indicators and analyses, and through actions aimed at coordinating research policies, in particular application of the open method of coordination to research policy.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the specific programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

## CHAPTER 08 20 — EURATOM — FUSION ENERGY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 20	Euratom — Fusion energy							
<b>08 20 01</b>	<b>Euratom — Fusion energy</b>	1.1	71 845 000	84 374 000	61 374 000	59 610 025	44 330 000,00	44 680 805,68
<b>08 20 02</b>	<b>Euratom — European Joint Undertaking for ITER — Fusion for Energy (F4E)</b>	1.1	865 510 000	500 610 000	1 067 900 000	312 239 530	351 760 000,00	190 435 799,00
	<b>Chapter 08 20 — Total</b>		<b>937 355 000</b>	<b>584 984 000</b>	<b>1 129 274 000</b>	<b>371 849 555</b>	<b>396 090 000,00</b>	<b>235 116 604,68</b>

### Article 08 20 01 — Euratom — Fusion energy

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
71 845 000	84 374 000	61 374 000	59 610 025	44 330 000,00	44 680 805,68

#### Remarks

Fusion offers the prospect of an almost limitless supply of clean energy, with ITER being the crucial next step in the progress towards this ultimate goal. The realisation of the ITER project therefore lies at the heart of the present Union strategy. It must be accompanied by a strong and focused European research and development programme to prepare for the exploitation of ITER and to develop the technologies and knowledge base that will be needed during ITER operation and beyond.

#### Legal basis

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

## **Article 08 20 02 — Euratom — European Joint Undertaking for ITER — Fusion for Energy (F4E)**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
865 510 000	500 610 000	1 067 900 000	312 239 530	351 760 000,00	190 435 799,00

### *Remarks*

Fusion offers the prospect of an almost limitless supply of clean energy, with ITER being the crucial next step in the progress towards this ultimate goal. To this end, the European Organisation for ITER and the Development of Fusion Energy, in the form of a Joint Undertaking was established. This European Joint Undertaking for ITER and the Development of Fusion Energy (Fusion for Energy) has the following tasks:

- (a) to provide the contribution of Euratom to the ITER International Fusion Energy Organization;
- (b) to provide the contribution of Euratom to broader approach activities with Japan for the rapid realisation of fusion energy;
- (c) to implement a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities including the International Fusion Materials Irradiation Facility (IFMIF).

### *Legal basis*

Council Decision of 25 September 2006 concerning the conclusion, by the Commission, of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project, of the Arrangement on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation on the ITER Project and of the Agreement on the Privileges and Immunities of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project.

Commission Decision 2006/943/Euratom of 17 November 2006 on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project and of the Agreement on Privileges and Immunities of the ITER International Fusion Energy Organization for the Joint Implementation of the ITER Project (OJ L 358, 16.12.2006, p. 60).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

## CHAPTER 08 21 — EURATOM — NUCLEAR FISSION AND RADIATION PROTECTION

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 21	Euratom — Nuclear fission and radiation protection							
<i>08 21 01</i>	<i>Euratom — Nuclear fission and radiation protection</i>	1.1	55 839 000	57 000 000	54 105 000	49 898 809	52 000 000,00	35 780 564,00
	<b>Chapter 08 21 — Total</b>		<b>55 839 000</b>	<b>57 000 000</b>	<b>54 105 000</b>	<b>49 898 809</b>	<b>52 000 000,00</b>	<b>35 780 564,00</b>

### Article 08 21 01 — Euratom — Nuclear fission and radiation protection

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
55 839 000	57 000 000	54 105 000	49 898 809	52 000 000,00	35 780 564,00

### Remarks

The objective of this action is to establish a sound scientific and technical basis in order to accelerate practical developments for the safer management of long-lived radioactive waste, to promote safer, more resource-efficient and competitive exploitation of nuclear energy and to ensure a robust and socially acceptable system of protection of man and the environment against the effects of ionising radiation.

### Legal basis

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 33).

## CHAPTER 08 22 — COMPLETION OF PREVIOUS FRAMEWORK PROGRAMMES AND OTHER ACTIVITIES

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 22	Completion of previous framework programmes and other activities							
<i>08 22 01</i>	<i>Completion of programmes (prior to 1999)</i>	1.1	—	—	—	—	0,—	0,—

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>08 22 02</b>	<b>Completion of the fifth framework programme (1998 to 2002)</b>							
08 22 02 01	Completion of the fifth EC framework programme (1998 to 2002)	1.1	—	—	—	—	145 091,43	809 398,11
08 22 02 02	Completion of the fifth Euratom framework programme (1998 to 2002)	1.1	—	—	—	—	0,—	28 456,00
	<i>Article 08 22 02 — Subtotal</i>		—	—	—	—	145 091,43	837 854,11
<b>08 22 03</b>	<b>Completion of the sixth framework programme (2003 to 2006)</b>							
08 22 03 01	Completion of the sixth EC framework programme (2003 to 2006)	1.1	—	25 253 000	—	108 870 129	810 136,85	306 357 331,62
08 22 03 02	Completion of the sixth Euratom framework programme (2003 to 2006)	1.1	—	1 801 000	—	4 989 881	87 868,77	9 521 790,00
	<i>Article 08 22 03 — Subtotal</i>		—	27 054 000	—	113 860 010	898 005,62	315 879 121,62
<b>08 22 04</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>							
		1.1	p.m.	p.m.	p.m.	p.m.	207 592 334,03	201 226 711,85
	<b>Chapter 08 22 — Total</b>		<b>p.m.</b>	<b>27 054 000</b>	<b>p.m.</b>	<b>113 860 010</b>	<b>208 635 431,08</b>	<b>517 943 687,58</b>

### Article 08 22 01 — Completion of programmes (prior to 1999)

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

#### Remarks

This article is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

This article is also intended to cover other annual actions outside the framework programme.

#### Legal basis

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Council Decision 94/268/Euratom of 26 April 1994 concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998) (OJ L 115, 6.5.1994, p. 31).

Council Decision 96/253/Euratom of 4 March 1996 adapting Decision 94/268/Euratom concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998), following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 72).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

## ***Article 08 22 02 — Completion of the fifth framework programme (1998 to 2002)***

Item 08 22 02 01 — Completion of the fifth EC framework programme (1998 to 2002)

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	145 091,43	809 398,11

### *Remarks*

This item is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### *Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Item 08 22 02 02 — Completion of the fifth Euratom framework programme (1998 to 2002)

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	28 456,00

*Remarks*

This item is intended to cover earlier commitments.

*Legal basis*

Council Decision 1999/64/Euratom of 22 December 1998 concerning the Fifth Framework Programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

**Article 08 22 03 — Completion of the sixth framework programme (2003 to 2006)**

Item 08 22 03 01 — Completion of the sixth EC framework programme (2003 to 2006)

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	25 253 000	—	108 870 129	810 136,85	306 357 331,62

*Remarks*

This appropriation is intended to cover earlier commitments.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: ‘Integrating and strengthening the European Research Area’ (2002-2006) (OJ L 294, 29.10.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: ‘structuring the European Research Area’ (2002-2006) (OJ L 294, 29.10.2002, p. 44).

Decision No 1209/2003/EC of the European Parliament and of the Council of 16 June 2003 on Community participation in a research and development programme aimed at developing new clinical interventions to combat HIV/AIDS, malaria and tuberculosis through a long-term partnership between Europe and developing countries, undertaken by several Member States (OJ L 169, 8.7.2003, p. 1).

Item 08 22 03 02 — Completion of the sixth Euratom framework programme (2003 to 2006)

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 801 000	—	4 989 881	87 868,77	9 521 790,00

*Remarks*

This appropriation is intended to cover earlier commitments.

*Legal basis*

Council Decision 2002/668/Euratom of 3 June 2002 concerning the sixth framework programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities, also contributing to the creation of the European Research Area (2002 to 2006) (OJ L 232, 29.8.2002, p. 34).

Council Decision 2002/837/Euratom of 30 September 2002 adopting a specific programme (Euratom) for research and training on nuclear energy (2002-2006) (OJ L 294, 29.10.2002, p. 74).

**Article 08 22 04 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	207 592 334,03	201 226 711,85

*Remarks*

This article is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

**CHAPTER 08 23 — RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 23	Research programme of the research fund for coal and steel							
08 23 01	<i>Research programme for steel</i>	1.1	p.m.	p.m.	p.m.	p.m.	45 104 419,47	38 438 312,11
08 23 02	<i>Research programme for coal</i>	1.1	p.m.	p.m.	p.m.	p.m.	16 093 214,53	12 561 313,00
	<b>Chapter 08 23 — Total</b>		<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>61 197 634,00</b>	<b>50 999 625,11</b>

Remarks

### **Article 08 23 01 — Research programme for steel**

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	45 104 419,47	38 438 312,11

Remarks

This article, in the framework of the Research Fund for Coal and Steel, is intended to cover the financing of steel research projects which are not covered by the framework programme for research and technological development activities.

The appropriations for 2012 will be determined according to the outturn for the ECSC in liquidation on 31 December 2010 and will be provisioned from the balance sheet for the ECSC in liquidation on 31 December 2010 (assigned revenue). In accordance with Article 4 of Decision 2003/76/EC, 72,8 % of the amount allotted from the Fund will go to the steel sector.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Item 6 1 1 3 of the statement of revenue will give rise to the provision of additional appropriations.

Legal basis

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

Council Decision 2003/77/EC of 1 February 2003 laying down multiannual financial guidelines for managing the assets of the ECSC in liquidation and, on completion of the liquidation, the Assets of the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 25).

### **Article 08 23 02 — Research programme for coal**

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	16 093 214,53	12 561 313,00

Remarks

This article, in the framework of the Research Fund for Coal and Steel, is intended to cover the financing of coal research projects which are not covered by the framework programme for research and technological development activities.

The appropriations for 2012 will be determined according to the outturn for the ECSC in liquidation on 31 December 2010 and will be provisioned from the balance sheet for the ECSC in liquidation on 31 December 2010 (assigned revenue). In accordance with Article 4 of Decision 2003/76/EC, 27,2 % of the amount allotted from the Fund will go to the coal sector.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Item 6 1 1 3 of the statement of revenue will give rise to the provision of additional appropriations.

Legal basis

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

Council Decision 2003/77/EC of 1 February 2003 laying down multiannual financial guidelines for managing the assets of the ECSC in liquidation and, on completion of the liquidation, the Assets of the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 25).

## TITLE 09 — INFORMATION SOCIETY AND MEDIA

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 01	Administrative expenditure of the 'Information society and media' policy area		127 323 333	127 323 333	127 943 271	127 943 271	130 507 307,38	130 507 307,38
	40 01 40		704 830	704 830	24 695	24 695		
			128 028 163	128 028 163	127 967 966	127 967 966		
09 02	Regulatory framework for the Digital Agenda		18 137 969	27 632 969	29 056 392	28 279 731	28 512 466,43	22 646 762,44
	40 02 41		391 985	391 985	391 985	391 985		
			18 529 954	28 024 954	29 448 377	28 671 716		
09 03	information and communication technologies take-up	1	144 265 000	131 384 016	132 850 000	118 848 984	125 326 164,64	117 070 858,07
09 04	Cooperation — information and communication technologies (ICTs)	1	1 477 769 000	1 237 136 256	1 354 972 225	1 056 806 757	1 259 004 372,87	1 123 509 307,61
09 05	Capacities — Research infrastructures	1	37 403 000	54 580 897	31 349 262	54 435 064	93 135 086,00	93 547 404,00
	<b>Title 09 — Total</b>		<b>1 804 898 302</b>	<b>1 578 057 471</b>	<b>1 676 171 150</b>	<b>1 386 313 807</b>	<b>1 636 485 397,32</b>	<b>1 487 281 639,50</b>
	40 01 40, 40 02 41		1 096 815	1 096 815	416 680	416 680		
	Total including reserves		1 805 995 117	1 579 154 286	1 676 587 830	1 386 730 487		

## CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INFORMATION SOCIETY AND MEDIA' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
09 01	Administrative expenditure of the 'Information society and media' policy area				
09 01 01	Expenditure related to staff in active employment in the 'Information society and media' policy area	5	39 554 559	39 970 823	39 951 059,85
	40 01 40		685 863		
			40 240 422		
09 01 02	External staff and other management expenditure in support of the 'Information society and media' policy area				
09 01 02 01	External staff	5	2 330 831	2 264 044	2 487 696,00
	40 01 40		18 967		
			2 349 798		
09 01 02 11	Other management expenditure	5	1 684 783	1 856 338	1 905 980,00
	40 01 40			24 695	
				1 881 033	
	Article 09 01 02 — Subtotal		4 015 614	4 120 382	4 393 676,00
	40 01 40		18 967	24 695	
			4 034 581	4 145 077	
09 01 03	Expenditure related to information and communication technology equipment and services of the 'Information society and media' policy area	5	2 503 160	2 552 066	3 007 348,48
09 01 04	Support expenditure for operations of the 'Information society and media' policy area				
09 01 04 01	Definition and implementation of the Union's policy in the field of electronic communication — Expenditure on administrative management	1.1	690 000	690 000	666 354,04
09 01 04 03	Competitiveness and Innovation Framework Programme — Information and Communication Technologies policy support — Expenditure on administrative management	1.1	1 480 000	1 480 000	1 511 095,82
09 01 04 04	Safer Internet programme — Expenditure on administrative management	1.1	150 000	200 000	110 407,55
09 01 04 06	Other measures in the audiovisual and media sector — Expenditure on administrative management	3.2	p.m.	p.m.	48 065,78
	Article 09 01 04 — Subtotal		2 320 000	2 370 000	2 335 923,19

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
<b>09 01 05</b>	<b>Support expenditure for research activities of the 'Information society and media' policy area</b>				
09 01 05 01	Expenditure related to research staff	1.1	48 600 000	48 100 000	48 854 791,80
09 01 05 02	External staff for research	1.1	12 875 000	12 875 000	11 869 448,58
09 01 05 03	Other management expenditure for research	1.1	17 455 000	17 955 000	20 095 059,48
	<i>Article 09 01 05 — Subtotal</i>		78 930 000	78 930 000	80 819 299,86
	<b>Chapter 09 01 — Total</b>		<b>127 323 333</b>	<b>127 943 271</b>	<b>130 507 307,38</b>
	<b>40 01 40</b>		<b>704 830</b>	<b>24 695</b>	
	<b>Total including reserves</b>		<b>128 028 163</b>	<b>127 967 966</b>	

**Article 09 01 01 — Expenditure related to staff in active employment in the 'Information society and media' policy area**

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
09 01 01	39 554 559	39 970 823	39 951 059,85
40 01 40	685 863		
<b>Total</b>	<b>40 240 422</b>	<b>39 970 823</b>	<b>39 951 059,85</b>

**Article 09 01 02 — External staff and other management expenditure in support of the 'Information society and media' policy area**

Item 09 01 02 01 — External staff

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
09 01 02 01	2 330 831	2 264 044	2 487 696,00
40 01 40	18 967		
<b>Total</b>	<b>2 349 798</b>	<b>2 264 044</b>	<b>2 487 696,00</b>

Item 09 01 02 11 — Other management expenditure

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
09 01 02 11	1 684 783	1 856 338	1 905 980,00
40 01 40		24 695	
<b>Total</b>	<b>1 684 783</b>	<b>1 881 033</b>	<b>1 905 980,00</b>

**Article 09 01 03 — Expenditure related to information and communication technology equipment and services of the 'Information society and media' policy area**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
2 503 160	2 552 066	3 007 348,48

## **Article 09 01 04 — Support expenditure for operations of the ‘Information society and media’ policy area**

Item 09 01 04 01 — Definition and implementation of the Union’s policy in the field of electronic communication — Expenditure on administrative management

### *Figures*

Budget 2013	Appropriations 2012	Outturn 2011
690 000	690 000	666 354,04

### *Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the policy or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

### *Legal basis*

See Article 09 02 01.

Item 09 01 04 03 — Competitiveness and Innovation Framework Programme — Information and Communication Technologies policy support — Expenditure on administrative management

### *Figures*

Budget 2013	Appropriations 2012	Outturn 2011
1 480 000	1 480 000	1 511 095,82

### *Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

### *Legal basis*

See Articles 09 03 01 and 09 03 02.

Item 09 01 04 04 — Safer Internet programme — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
150 000	200 000	110 407,55

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

See Items 09 02 02 01 and 09 02 02 02.

Item 09 01 04 06 — Other measures in the audiovisual and media sector — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	p.m.	48 065,78

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 09 02 05.

***Article 09 01 05 — Support expenditure for research activities of the 'Information society and media' policy area***

Item 09 01 05 01 — Expenditure related to research staff

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
48 600 000	48 100 000	48 854 791,80

Item 09 01 05 02 — External staff for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
12 875 000	12 875 000	11 869 448,58

Item 09 01 05 03 — Other management expenditure for research

Figures

Budget 2013	Appropriations 2012	Outturn 2011
17 455 000	17 955 000	20 095 059,48

## CHAPTER 09 02 — REGULATORY FRAMEWORK FOR THE DIGITAL AGENDA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 02	Regulatory framework for the Digital Agenda							
<b>09 02 01</b>	<b>Definition and implementation of the Union's policy in the field of electronic communication</b>	1.1	2 405 000	2 100 000	2 405 000	1 814 502	2 248 227,23	1 946 650,47
<b>09 02 02</b>	<b>Promoting safer use of the Internet and new online technologies</b>							
09 02 02 01	Safer Internet programme	1.1	2 700 000	12 700 000	14 700 000	13 294 857	14 753 861,13	6 161 769,79
09 02 02 02	Completion of Safer Internet plus — Promoting safer use of the Internet and new online technologies	1.1	—	p.m.	—	75 302	0,—	2 739 239,24
	<i>Article 09 02 02 — Subtotal</i>		2 700 000	12 700 000	14 700 000	13 370 159	14 753 861,13	8 901 009,03
<b>09 02 03</b>	<b>European Network and Information Security Agency</b>							
09 02 03 01	European Network and Information Security Agency — Contribution to Titles 1 and 2	1.1	5 434 458	5 434 458	5 502 248	5 502 248	5 661 263,96	5 661 263,96
	40 02 41		391 985	391 985	391 985	391 985		
			5 826 443	5 826 443	5 894 233	5 894 233		
09 02 03 02	European Network and Information Security Agency — Contribution to Title 3	1.1	2 379 815	2 379 815	2 349 885	2 349 885	2 441 656,54	2 441 656,54
	<i>Article 09 02 03 — Subtotal</i>		7 814 273	7 814 273	7 852 133	7 852 133	8 102 920,50	8 102 920,50
	40 02 41		391 985	391 985	391 985	391 985		
			8 206 258	8 206 258	8 244 118	8 244 118		
<b>09 02 04</b>	<b>Body of European Regulators for Electronic Communications (BEREC) — Office</b>							
09 02 04 01	Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Titles 1 and 2	1.1	3 165 705	3 165 705	2 517 944	3 620 881	1 712 023,44	1 727 605,86
09 02 04 02	Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Title 3	1.1	602 991	602 991	672 056	672 056	150 000,00	150 000,00
	<i>Article 09 02 04 — Subtotal</i>		3 768 696	3 768 696	3 190 000	4 292 937	1 862 023,44	1 877 605,86
<b>09 02 05</b>	<b>Other measures in the audiovisual and media sector</b>	3.2	950 000	1 000 000	909 259	950 000	945 958,80	1 478 509,00
<b>09 02 06</b>	<b>Preparatory action — Erasmus for Journalists</b>	3.2	—	p.m.	p.m.	p.m.	599 475,33	340 067,58
<b>09 02 07</b>	<b>Pilot project — Implementation of the Media Pluralism Monitoring Tool</b>	3.2	500 000	250 000				
	<b>Chapter 09 02 — Total</b>		<b>18 137 969</b>	<b>27 632 969</b>	<b>29 056 392</b>	<b>28 279 731</b>	<b>28 512 466,43</b>	<b>22 646 762,44</b>
	40 02 41		391 985	391 985	391 985	391 985		
	<b>Total including reserves</b>		<b>18 529 954</b>	<b>28 024 954</b>	<b>29 448 377</b>	<b>28 671 716</b>		

**Article 09 02 01 — Definition and implementation of the Union’s policy in the field of electronic communication**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 405 000	2 100 000	2 405 000	1 814 502	2 248 227,23	1 946 650,47

*Remarks*

This appropriation is intended to cover expenditure on a set of measures designed to:

- carry out the Union’s policy on electronic communication networks and services with a view to launching initiatives designed to meet the challenges in this sector;
- promote and monitor the implementation of the regulatory framework for communication services (including the mechanism provided for in Article 7 of Directive 2002/21/EC of the European Parliament and of the Council of 7 March 2002 on a common regulatory framework for electronic communications networks and services (Framework Directive) (OJ L 108, 24.4.2002, p. 33);
- facilitate the transition to the information society, in relation with electronic communications networks and services, particularly as a follow-up to the Lisbon summit;
- enable third countries to pursue a policy of opening up their markets to the same extent as in the Union.

The specific objectives of these measures are:

- the formulation of a Union policy and strategy in the field of communication services and networks (including convergence between electronic communications and audiovisual environments, aspects related to the Internet, etc.);
- the development of radio spectrum policy in the Union;
- the development of activities in the mobile and satellite communications sector, particularly as regards frequencies;
- an analysis of the situation and the legislation adopted in these areas;
- the coordination of these policies and initiatives as regards the international environment (e.g. WRC, CEPT, etc.);
- the development of activities and initiatives in relation to the information society.

These measures consist, inter alia, of preparing analyses and progress reports, consulting stakeholders and the public, preparing legislative proposals and monitoring the application of legislation, and translations of notifications and consultations under Article 7 of the Framework Directive for electronic communications.

This appropriation is intended to cover, in particular, contracts for analysis and expert reports, specific studies, evaluation reports, coordination activities, grants and the part-financing of certain measures.

*Legal basis*

Tasks resulting from the Commission’s prerogatives at institutional level pursuant to Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 09 02 02 — Promoting safer use of the Internet and new online technologies**

Item 09 02 02 01 — Safer Internet programme

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 700 000	12 700 000	14 700 000	13 294 857	14 753 861,13	6 161 769,79

## Remarks

This action is geared, in a balanced manner, to the implementation of operational and technical measures designed to promote safer use of the Internet and other communication technologies, particularly for children, and to fight against illegal content and harmful conduct online. In line with this objective, the programme will focus on practical help for the end user and in particular children, parents, carers, teachers and educators.

The specific objectives of this programme are:

- tackling illegal content and harmful conduct online by supporting actions aiming at reducing the amount of illegal content circulated online and dealing adequately with harmful conduct online, with particular focus on online distribution of child sexual abuse material, grooming and bullying;
- promoting a safer online environment by bringing together stakeholders to find ways to promote a safer online environment and to protect children from content and conduct that may be harmful for them. The aim is to encourage stakeholders to cooperate, take responsibility and develop and implement systems of self-regulation and foster privacy-by-design;
- ensuring public awareness by providing contact points where the public and in particular children, parents, carers, teachers and educators can be informed about opportunities and risks related to the use of online technologies and means of staying safe online;
- establishing a knowledge base in order to set up and continue to develop a base for dealing adequately both with existing and emerging uses, risks and consequences, and mapping both quantitative and qualitative aspects in this context. The acquired knowledge will feed into the implementation of the proposed programme as well as into the design of adequate and proportional actions for ensuring online safety for all users.

The programme will seek to involve and bring together the different stakeholders whose cooperation is essential, but who do not necessarily always come together unless the appropriate structures are put in place.

Those stakeholders include content providers, Internet service providers, mobile network operators, regulators, standards bodies, industry self-regulatory bodies, national, regional and local authorities responsible for industry, education, consumer protection, families, law enforcement, children's rights and child welfare and non-governmental organisations active in consumer protection, families, children's rights and child welfare.

These measures will be implemented by means of the following shared-cost actions:

- pilot projects and best practice actions; ad-hoc projects in areas relevant to the programme, including projects demonstrating best practice or involving innovative uses of existing technology, especially for data protection purposes and the protection of fundamental rights;
- networks and national actions bringing together a variety of stakeholders to ensure action throughout Europe and to facilitate coordination activities and the transfer of knowledge;
- Europe-wide investigation carried out on a comparable basis into the way adults and children use online technologies, the resulting risks for children and the effects of harmful practices on children, and behavioural and psychological aspects with emphasis on child sexual abuse related to the use of online technologies, investigation on upcoming risk situations due to transforming behaviours or technological developments, etc.

Accompanying measures will contribute to the implementation of the programme or the preparation of future activities. Those measures will include:

- benchmarking and opinion surveys to produce reliable data on safer use of online technologies for all Member States collected through comparable methodologies;
- technical assessment of technologies such as filtering designed to promote safer use of the Internet and new online technologies;
- studies in support of the programme and its actions;
- exchange of information through conferences, seminars, workshops or other meetings and the management of clustered activities;
- dissemination, information and communication activities.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 (1)(d) of the Financial Regulation.

Part of the appropriation under this budget item will be used to support projects for analysing and spreading good practices throughout the Union and reflecting on a framework for the assessment of media literacy levels and activities. Particular attention may be given to projects which analyse media representations and media values, encourage the production and distribution of media literacy related content, stimulate the use of media in order to improve participation in social and community life and concentrate on the implementation of media literacy initiatives bridging the media industry and the education world. Beneficiaries may be organisations from the public and private sectors which have expertise and European experience in media literacy issues.

*Legal basis*

Decision No 1351/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing a multiannual Community programme on protecting children using the Internet and other communication technologies (OJ L 348, 24.12.2008, p. 118).

**Item 09 02 02 02 — Completion of Safer Internet plus — Promoting safer use of the Internet and new online technologies**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	75 302	0,—	2 739 239,24

*Remarks*

This appropriation is intended to cover earlier commitments relating to the Safer Internet plus programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Decision No 854/2005/EC of the European Parliament and of the Council of 11 May 2005 establishing a multiannual Community Programme on promoting safer use of the Internet and new online technologies (OJ L 149, 11.6.2005, p. 1).

**Article 09 02 03 — European Network and Information Security Agency**

**Item 09 02 03 01 — European Network and Information Security Agency — Contribution to Titles 1 and 2**

*Figures*

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
09 02 03 01	5 434 458	5 434 458	5 502 248	5 502 248	5 661 263,96	5 661 263,96
40 02 41	391 985	391 985	391 985	391 985		
<b>Total</b>	<b>5 826 443</b>	<b>5 826 443</b>	<b>5 894 233</b>	<b>5 894 233</b>	<b>5 661 263,96</b>	<b>5 661 263,96</b>

### Remarks

This appropriation is intended to cover the staff and administrative expenditure of the European Network and Information Security Agency (Titles 1 and 2).

The Agency was set up to enhance the capability of the Union, the Member States and, as a consequence, the business community to prevent, address and respond to network and information security problems. In order to achieve this goal, the Agency will be developing a high level of expertise and stimulating broad cooperation between actors from the public and private sectors.

The Agency's aim is to provide assistance and to deliver advice to the Commission and the Member States on issues related to network and information security falling within its competencies and to assist the Commission, where called upon, in the technical preparatory work for updating and developing Union legislation in the field of network and information security.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Discussions with the Greek government are on-going with regard to a possible agreement on a permanent liaison office in Athens.

### Conditions for releasing the reserve

The reserve will be released once the corresponding basic act is adopted pursuant to the procedure laid down in Article 294 of the Treaty on the Functioning of the European Union.

### Legal basis

Regulation (EC) No 460/2004 of the European Parliament and of the Council of 10 March 2004 establishing the European Network and Information Security Agency (OJ L 77, 13.3.2004, p. 1).

### Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 September 2010, concerning the European Network and Information Security Agency (ENISA) (COM(2010)0521).

## Item 09 02 03 02 — European Network and Information Security Agency — Contribution to Title 3

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 379 815	2 379 815	2 349 885	2 349 885	2 441 656,54	2 441 656,54

### Remarks

This appropriation is intended to cover the Agency's operational expenditure relating to the work programme only (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

Pursuant to Article 185 of the Financial Regulation and the corresponding Articles of the framework Financial Regulation for each of the bodies set up by the Communities, the role of the budgetary authority has been strengthened.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The European Union contribution for 2013 amounts to a total of EUR 8 335 553. An amount of EUR 129 295 coming from the recovery of surplus is added to the amount of EUR 8 206 258 entered in the budget.

#### *Legal basis*

Regulation (EC) No 460/2004 of the European Parliament and of the Council of 10 March 2004 establishing the European Network and Information Security Agency (OJ L 77, 13.3.2004, p. 1).

#### *Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 September 2010, concerning the European Network and Information Security Agency (ENISA) (COM(2010)0521).

### **Article 09 02 04 — Body of European Regulators for Electronic Communications (BEREC) — Office**

Item 09 02 04 01 — Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Titles 1 and 2

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 165 705	3 165 705	2 517 944	3 620 881	1 712 023,44	1 727 605,86

#### *Remarks*

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2).

BEREC acts as a specialised and independent expert advisory body assisting the Commission and the national regulatory authorities in the implementation of the Union regulatory framework for electronic communications in order to promote a consistent regulatory approach across the Union. BEREC is neither a Union body nor has legal personality.

The Office is established as a Union body with legal personality, providing BEREC with professional and administrative support in carrying out the tasks conferred on it by Regulation (EC) No 1211/2009.

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Office is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

### Legal basis

Regulation (EC) No 1211/2009 of the European Parliament and of the Council of 25 November 2009 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1).

Item 09 02 04 02 — Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Title 3

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
602 991	602 991	672 056	672 056	150 000,00	150 000,00

### Remarks

This appropriation is intended to cover the Office's operational expenditure relating to the work programme only (Title 3).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

Pursuant to Article 185 of the Financial Regulation and the corresponding Articles of the framework Financial Regulation for each of the bodies set up by the Communities, the role of the budgetary authority has been strengthened.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The European Union contribution for 2013 amounts to a total of EUR 4 192 879. An amount of EUR 424 183 coming from the recovery of surplus is added to the amount of EUR 3 768 696 entered in the budget.

### Legal basis

Regulation (EC) No 1211/2009 of the European Parliament and of the Council of 25 November 2009 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1).

## Article 09 02 05 — Other measures in the audiovisual and media sector

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
950 000	1 000 000	909 259	950 000	945 958,80	1 478 509,00

### Remarks

This appropriation is intended to cover the following measures:

- the implementation of the Audiovisual Media Services Directive,
- the monitoring of the evolution of the media sector, including pluralism, and
- the collection and dissemination of economic and legal information and analysis concerning the audiovisual sector.

### Legal basis

Directive 89/552/EEC of the European Parliament and of the Council of 3 October 1989 on the coordination of certain provisions laid down by law, regulation or administrative action in Member States concerning the provision of audiovisual media services (Audiovisual Media Services Directive) (OJ L 298, 17.10.1989, p. 23).

### Article 09 02 06 — Preparatory action — Erasmus for Journalists

#### Figures

Budget 2013		Appropriations 2012			Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments	
—	p.m.	p.m.	p.m.	599 475,33	340 067,58	

#### Remarks

This appropriation is intended to cover earlier commitments related to the preparatory action Erasmus for Journalists.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Article 09 02 07 — Pilot project — Implementation of the Media Pluralism Monitoring Tool

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

#### Remarks

This appropriation is intended for the implementation of the Media Pluralism Tool. The tool was developed through an independent study at the request of the European Commission: "Indicators for Media Pluralism in the Member States - Towards a Risk-Based Approach". It is a monitoring tool for assessing risks for media pluralism in the EU Member States and identifying threats to such pluralism based on a set of indicators, covering pertinent legal, economic and socio-cultural considerations.

The specific objective of this action is to launch a further study that update these indicators in the light of the growing importance of the internet and that applies the indicators in practice for obtaining a broad understanding of the risks to media pluralism in the EU Member States. The assessment of the risks is best carried out in a transparent manner in consultation with stakeholders.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 09 03 — INFORMATION AND COMMUNICATION TECHNOLOGIES TAKE-UP

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 03	information and communication technologies take-up							
09 03 01	<b>Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP)</b>	1.1	144 265 000	130 000 000	132 850 000	108 870 129	125 326 164,64	93 777 071,14

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 03 02	<i>Completion of eContent plus — Promotion of European digital content</i>	1.1	—	1 384 016	—	8 029 172	0,—	15 431 585,36
09 03 03	<i>Preparatory action — Internet-based system for better legislation and for public participation</i>	1.1	—	p.m.	—	p.m.	0,—	971 107,49
09 03 04	<i>Completion of previous programmes</i>							
09 03 04 01	Completion of trans-European telecommunications networks (eTEN)	1.1	—	p.m.	—	1 949 683	0,—	6 862 584,24
09 03 04 02	Completion of the MODINIS programme	1.1	—	p.m.	—	p.m.	0,—	28 509,84
	<i>Article 09 03 04 — Subtotal</i>		—	p.m.	—	1 949 683	0,—	6 891 094,08
	<b>Chapter 09 03 — Total</b>		<b>144 265 000</b>	<b>131 384 016</b>	<b>132 850 000</b>	<b>118 848 984</b>	<b>125 326 164,64</b>	<b>117 070 858,07</b>

### **Article 09 03 01 — Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP)**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
144 265 000	130 000 000	132 850 000	108 870 129	125 326 164,64	93 777 071,14

#### *Remarks*

The ICT PSP is one of the three specific programmes under the Competitiveness and Innovation Framework Programme (CIP).

Actions will be stepped up at Union level for stimulating wider uptake of information and communication technologies (ICTs). Synergistic actions at Union level aim to reduce uncertainties and the risks of duplication by sharing and replicating experiences and lessons learned and foster the internal market dimension of services triggered by ICTs promoting interoperability and combating fragmentation. They aim also to stimulate the regulatory and technological environments necessary to promote innovation and to overcome potential barriers (e.g. cultural, linguistic, technical, legal and disability- or 'dys' condition-related).

As indicated in the legal basis, the ICT PSP shall provide for the following actions:

- (a) development of the single European information space and strengthening of the internal market for ICT products and services;
- (b) stimulation of innovation through a wider adoption of and investment in ICTs;
- (c) development of an inclusive information society and more efficient and effective services in areas of public interest, and improvement of the quality of life, particularly for persons with disabilities and persons suffering from 'dys' conditions (dyslexia, dyspraxia, dysphasia, dyscalculia, etc.).

This will be done in particular by supporting the development of ICT-based services and the development and use of digital content in areas of public interest, including in the areas of ICT for health and inclusion; ICT for government and governance; ICT for energy efficiency, smart mobility and environment; digital libraries, and publicly accessible online Books in Print catalogues, better exploitation of public sector information, education and learning.

Part of ICT PSP appropriations in the CIP may be used to ensure testing, and support deployment across the Union, of the next-generation 112, innovative services for interoperable emergency services and 112 for all citizens, enabling quicker, more efficient reactions and life-saving, inter alia, through remodelling the traditional technical architecture for emergency calling and lowering its cost and complexity, with the ultimate aim of providing an optimum response to 112 calls made by all citizens, including users with disabilities. Furthermore, dissemination, information and education actions should promote the knowledge and appropriate use of '112'. In addition, an SMS SOS service should be developed and tested to enable people with disabilities to send requests for help to the emergency services.

The ICT PSP in the CIP will stimulate wider uptake of ICT for improved services. It will also ensure that European businesses and in particular small and medium-sized enterprises can benefit from the new opportunities brought by the increasing demand for these ICT-based services. As indicated in the CIP legal basis, the support to the development and use of digital content is fully integrated in the ICT PSP from 2009 on. During a transition phase for 2007 and 2008, this has been done under the eContent plus programme (through its own legal basis).

The main part of the support will go to a limited number of high-impact pilot projects and best practice actions per year. Accompanying measures, such as thematic networks bringing together a variety of stakeholders around specific objectives, will also be launched. They will be complemented by the monitoring of the European information society, by measures providing the background knowledge that is needed for policy decision-making, and by actions for promoting and raising awareness of the benefits brought by ICT to citizens, businesses (in particular small and medium-sized enterprises) or public organisations.

The ICT PSP in the CIP should contribute to testing the design of new financial instruments such as the Project Bond Initiative in the area of ICT, and in particular high-speed broadband. It should contribute to the preparation of new financial instruments for the forthcoming multiannual financial framework 2014-2020. It should in particular, test how private and public long-term investors can invest in favour of the deployment of ICT broadband infrastructure. The Commission is invited to work with the EIB Group as well as other long-term investors to develop innovative ways of attracting funding for infrastructure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties, entered in Item 6 0 3 3 of the statement of revenue, will give rise to the provision of additional appropriations to be entered in this item in accordance with Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

### **Article 09 03 02 — Completion of eContent plus — Promotion of European digital content**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 384 016	—	8 029 172	0,—	15 431 585,36

#### *Remarks*

This appropriation is intended to cover earlier commitments relating to the eContent plus programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Council Decision 96/339/EC of 20 May 1996 adopting a multiannual Community programme to stimulate the development of a European multimedia content industry and to encourage the use of multimedia content in the emerging information society (INFO 2000) (OJ L 129, 30.5.1996, p. 24).

Council Decision 96/664/EC of 21 November 1996 on the adoption of a multiannual programme to promote the linguistic diversity of the Community in the information society (OJ L 306, 28.11.1996, p. 40).

Council Decision 2001/48/EC of 22 December 2000 adopting a multiannual Community programme to stimulate the development and use of European digital content on the global networks and to promote linguistic diversity in the information society (OJ L 14, 18.1.2001, p. 32).

Decision No 456/2005/EC of the European Parliament and of the Council of 9 March 2005 establishing a multiannual Community programme to make digital content in Europe more accessible, usable and exploitable (OJ L 79, 24.3.2005, p. 1).

### **Article 09 03 03 — Preparatory action — Internet-based system for better legislation and for public participation**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	971 107,49

#### *Remarks*

This Article is intended to cover earlier commitments relating to the creation of an Internet-based system for better legislation and for public participation.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 09 03 04 — Completion of previous programmes**

Item 09 03 04 01 — Completion of trans-European telecommunications networks (eTEN)

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	1 949 683	0,—	6 862 584,24

#### *Remarks*

This appropriation is intended to cover earlier commitments related to networks in the telecommunications sectors.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### *Legal basis*

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 2717/95/EC of the European Parliament and of the Council of 9 November 1995 on a set of guidelines for the development of the EURO-ISDN (Integrated Services Digital Network) as a trans-European network (OJ L 282, 24.11.1995, p. 16).

Decision No 1336/97/EC of the European Parliament and of the Council of 17 June 1997 on a series of guidelines for trans-European telecommunications networks (OJ L 183, 11.7.1997, p. 12).

Item 09 03 04 02 — Completion of the MODINIS programme

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	28 509,84

Remarks

This item is intended to cover earlier commitments relating to the MODINIS multiannual programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 98/253/EC of 30 March 1998 adopting a multiannual Community programme to stimulate the establishment of the Information Society in Europe (Information Society) (OJ L 107, 7.4.1998, p. 10).

Decision No 2256/2003/EC of the European Parliament and of the Council of 17 November 2003 adopting a multiannual programme (2003-05) for the monitoring of the eEurope 2005 action plan, dissemination of good practices and the improvement of network and information security (MODINIS) (OJ L 336, 23.12.2003, p. 1).

## CHAPTER 09 04 — COOPERATION — INFORMATION AND COMMUNICATION TECHNOLOGIES (ICTs)

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 04	Cooperation — information and communication technologies (ICTs)							
<b>09 04 01</b>	<b>Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)</b>							
09 04 01 01	Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)	1.1	1 301 428 065	1 140 000 000	1 244 472 420	979 891 875	1 117 369 631,31	1 032 847 397,71
09 04 01 02	Cooperation — Information and communication technologies — ARTEMIS Joint Undertaking	1.1	65 000 000	29 239 766	53 721 430	27 217 532	26 000 000,00	10 826 842,98
09 04 01 03	Cooperation — Information and communication technologies — Support expenditure for ARTEMIS Joint Undertaking	1.1	911 793	911 793	1 758 156	1 595 089	1 048 611,08	1 054 100,56
09 04 01 04	Cooperation — Information and communication technologies — ENIAC Joint Undertaking	1.1	110 000 000	55 555 555	53 721 430	36 290 043	63 646 170,00	12 500 000,00
09 04 01 05	Cooperation — Information and communication technologies — Support expenditure for ENIAC Joint Undertaking	1.1	429 142	429 142	1 298 789	1 178 328	906 558,00	912 195,57
	<i>Article 09 04 01 — Subtotal</i>		1 477 769 000	1 226 136 256	1 354 972 225	1 046 172 867	1 208 970 970,39	1 058 140 536,82
<b>09 04 02</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>	1.1	p.m.	p.m.	p.m.	p.m.	50 025 798,86	6 840 617,08

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 04 03	<i>Completion of previous European Community framework programmes (prior to 2007)</i>	1.1	—	11 000 000	—	10 633 890	7 603,62	58 528 153,71
	<b>Chapter 09 04 — Total</b>		<b>1 477 769 000</b>	<b>1 237 136 256</b>	<b>1 354 972 225</b>	<b>1 056 806 757</b>	<b>1 259 004 372,87</b>	<b>1 123 509 307,61</b>

### **Article 09 04 01 — Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)**

Item 09 04 01 01 — Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 301 428 065	1 140 000 000	1 244 472 420	979 891 875	1 117 369 631,31	1 032 847 397,71

#### *Remarks*

The objective of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and the 'Information and Communication Technologies' theme of the specific 'Cooperation' programme is to improve the competitiveness of European industry and to enable Europe to master and shape the future developments in ICTs in accordance with a long-term European ICT strategy so that the demands of its society and economy are met and European standards help shape the global ICT developments rather than being overtaken by other growing global markets.

Activities will strengthen Europe's scientific and technology base and ensure its global leadership in ICTs, help drive and stimulate innovation through ICT use and ensure that ICT progress is rapidly transformed into benefits for Europe's citizens, businesses, industry and governments.

The ICT theme prioritises strategic research around key technology pillars, ensures end-to-end integration of technologies and provides the knowledge and the means to develop a wide range of innovative ICT applications.

The activities leverage industrial and technological advances in the ICT sector and improve the competitive edge of important ICT-intensive sectors — both through innovative high-value ICT-based products and services and from new or improved organisational processes in businesses and administrations alike. The ICT theme also supports other policies of the Union by mobilising ICTs to meet public and societal demands.

Activities cover collaboration and exchange of best practices with a view to setting common standards for the Union that are compatible with, or set, a global standard, networking actions and national programme coordination initiatives. This appropriation is also intended to cover the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programmes and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of these appropriations is intended to foster common approaches to key global challenges, such as an ICT strategy that is not only able to compete with rapidly emerging ICT markets (e.g. in Asia), but that can also set standards for global ICT policy-making in the interest of European values, by pooling resources and fostering the exchange of best practices for the advancement of research, development and innovation in the field of ICT. Measures will aim at improving the efficacy of actions by the international community and will be complementary to existing mechanisms and successful working relationships. The appropriations will be used to fund innovative ventures between European and third countries. The scope of such ventures will go beyond what could be undertaken by a single country and will benefit both the Union and its partners in preparing their leading role for setting future ICT

standards. In implementing this action, the Commission will ensure a balanced distribution of subsidies. It will help actors at a global level to engage in research partnerships in order to foster innovation in the field of ICT.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

### Item 09 04 01 02 — Cooperation — Information and communication technologies — ARTEMIS Joint Undertaking

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
65 000 000	29 239 766	53 721 430	27 217 532	26 000 000,00	10 826 842,98

#### *Remarks*

The ARTEMIS Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and the 'Information and Communication Technologies' theme of the specific 'Cooperation' programme. It shall, in particular:

- define and implement a Research Agenda for the development of key technologies for Embedded Computing Systems across different application areas in order to strengthen European competitiveness and sustainability and allow the emergence of new markets and societal applications,
- support the implementation of research and development (R&D) activities in particular by awarding funding to participants in selected projects following competitive calls for proposals,
- promote a public-private partnership aiming at mobilising and pooling Union, national and private efforts, increasing overall R&D investments in the field of Embedded Computing Systems, and fostering collaboration between the public and private sectors,
- ensure the efficiency and durability of the Joint Technology Initiative on Embedded Computing Systems,
- achieve synergy and coordination of European R&D efforts in the field of Embedded Computing Systems including the progressive integration into the ARTEMIS Joint Undertaking of the related activities in this field currently implemented through intergovernmental R&D schemes (Eureka).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 74/2008 of 20 December 2007 on the establishment of the 'ARTEMIS Joint Undertaking' to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30, 4.2.2008, p. 52).

Item 09 04 01 03 — Cooperation — Information and communication technologies — Support expenditure for ARTEMIS Joint Undertaking

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
911 793	911 793	1 758 156	1 595 089	1 048 611,08	1 054 100,56

*Remarks*

The ARTEMIS Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and the 'Information and Communication Technologies' theme of the specific 'Cooperation' programme. It shall, in particular:

- define and implement a Research Agenda for the development of key technologies for Embedded Computing Systems across different application areas in order to strengthen European competitiveness and sustainability and allow the emergence of new markets and societal applications,
- support the implementation of R&D activities in particular by awarding funding to participants in selected projects following competitive calls for proposals,
- promote a public-private partnership aiming at mobilising and pooling Union, national and private efforts, increasing overall R&D investments in the field of Embedded Computing Systems, and fostering collaboration between the public and private sectors,
- ensure the efficiency and durability of the Joint Technology Initiative on Embedded Computing Systems,
- achieve synergy and coordination of European R&D efforts in the field of Embedded Computing Systems including the progressive integration into the ARTEMIS Joint Undertaking of the related activities in this field currently implemented through intergovernmental R&D schemes (Eureka).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 74/2008 of 20 December 2007 on the establishment of the 'ARTEMIS Joint Undertaking' to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30, 4.2.2008, p. 52).

Item 09 04 01 04 — Cooperation — Information and communication technologies — ENIAC Joint Undertaking

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
110 000 000	55 555 555	53 721 430	36 290 043	63 646 170,00	12 500 000,00

### Remarks

The ENIAC Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme for research, technological development and demonstration activities (2007-2013) and the 'Information and Communication Technologies' theme of the specific 'Cooperation' programme.

It shall, in particular:

- define and implement a Research Agenda for the development of key competences for nanoelectronics across different application areas in order to strengthen European competitiveness and sustainability and allow the emergence of new markets and societal applications;
- support the activities required for the implementation of the Research Agenda, in particular by awarding funding to participants in selected projects following competitive calls for proposals;
- promote a public-private partnership aiming at mobilising and pooling Union, national and private efforts, increasing overall R&D investments in the field of nanoelectronics, and fostering collaboration between the public and private sectors;
- achieve synergy and coordination of European R&D efforts in the field of nanoelectronics including, when added value can be created, the progressive integration into the ENIAC Joint Undertaking of the related activities in this field currently implemented through intergovernmental R&D schemes (Eureka);
- promote the involvement of small and medium-sized enterprises in its activities in line with the objectives of the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 72/2008 of 20 December 2007 setting up the ENIAC Joint Undertaking (OJ L 30, 4.2.2008, p. 21).

Item 09 04 01 05 — Cooperation — Information and communication technologies — Support expenditure for ENIAC Joint Undertaking

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
429 142	429 142	1 298 789	1 178 328	906 558,00	912 195,57

### Remarks

The ENIAC Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and the 'Information and Communication Technologies' theme of the specific 'Cooperation' programme.

It shall, in particular:

- define and implement a Research Agenda for the development of key competences for nanoelectronics across different application areas in order to strengthen European competitiveness and sustainability and allow the emergence of new markets and societal applications;

- support the activities required for the implementation of the Research Agenda, in particular by awarding funding to participants in selected projects following competitive calls for proposals;
- promote a public-private partnership aiming at mobilising and pooling Union, national and private efforts, increasing overall R&D investments in the field of nanoelectronics, and fostering collaboration between the public and private sectors;
- achieve synergy and coordination of European R&D efforts in the field of nanoelectronics including, when added value can be created, the progressive integration into the ENIAC Joint Undertaking of the related activities in this field currently implemented through intergovernmental R&D schemes (Eureka);
- promote the involvement of small and medium-sized enterprises in its activities in line with the objectives of the Seventh Framework Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

#### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme "Cooperation" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 72/2008 of 20 December 2007 setting up the ENIAC Joint Undertaking (OJ L 30, 4.2.2008, p. 21).

### ***Article 09 04 02 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	50 025 798,86	6 840 617,08

#### *Remarks*

This Article is intended to cover expenditure corresponding to revenue giving rise to the accrual of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

### ***Article 09 04 03 — Completion of previous European Community framework programmes (prior to 2007)***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	11 000 000	—	10 633 890	7 603,62	58 528 153,71

#### *Remarks*

This appropriation is intended to cover earlier commitments related to the completion of previous European Community framework programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### *Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: "structuring the European Research Area" (2002-2006) (OJ L 294, 29.10.2002, p. 44).

## **CHAPTER 09 05 — CAPACITIES — RESEARCH INFRASTRUCTURES**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 05	Capacities — Research infrastructures							
<b>09 05 01</b>	<b>Capacities — Research infrastructures</b>	1.1	37 403 000	54 580 897	31 349 262	54 435 064	93 135 086,00	93 547 404,00
	<b>Chapter 09 05 — Total</b>		<b>37 403 000</b>	<b>54 580 897</b>	<b>31 349 262</b>	<b>54 435 064</b>	<b>93 135 086,00</b>	<b>93 547 404,00</b>

## Article 09 05 01 — Capacities — Research infrastructures

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 403 000	54 580 897	31 349 262	54 435 064	93 135 086,00	93 547 404,00

### Remarks

Investing in knowledge is the best way for Europe to foster sustainable growth in a global economy and the research programme is the cornerstone of Europe's knowledge policy. The specific programme 'Capacities' under the Seventh Framework Programme for research, technological development and demonstration activities aims to enhance research and innovation capacity throughout Europe and beyond through the emergence and reinforcement of multidisciplinary European poles of excellence. In particular, research infrastructures play a key role in supporting the creation of knowledge, its dissemination, application and exploitation, thus fostering innovation.

This activity addresses more specifically the deployment of ICT-based infrastructures, or e-infrastructures. These infrastructures provide services to research communities by bringing the power of distributed ICT-based resources (computing, connectivity, storage, data and instrumentation) to virtual communities. The reinforcement of a European approach in this domain establishes synergies between national infrastructures or initiatives, ensures that critical masses are reached, rationalises new investments, and strives for world leadership. It can make a significant contribution to boosting European research potential and its exploitation, and consolidating e-infrastructures as a cornerstone of the European Research Area, a 'forerunner' of cross-discipline innovation and a driver in changing the way science is conducted.

Also entered in this article are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, grants, monitoring and evaluation of the specific programmes and of the framework programmes, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for EU action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes. In this context, actions of coordination of, and support to, strategies of international collaboration with developing and leading regions are promoted for global research communities and towards the new e-Science paradigm.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: "Capacities" implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

## TITLE 10 — DIRECT RESEARCH

### Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
10 01	Administrative expenditure of the 'Direct research' policy area	1	350 080 000	350 080 000	340 064 100	340 064 100	373 934 677,90	373 934 677,90

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
10 02	Directly financed research operational appropriations — Seventh Framework Programme (2007 to 2013) — EU	1	32 898 000	33 000 000	31 531 064	29 032 034	35 024 712,20	30 824 968,56
10 03	Directly financed research operational appropriations — Seventh Framework Programme (2007 to 2011 and 2012 to 2013) — Euratom	1	10 250 000	10 400 000	9 894 900	9 072 511	10 542 161,47	10 194 218,64
10 04	Completion of previous framework programmes and other activities	1	p.m.	p.m.	p.m.	56 250	27 886 101,45	24 236 731,30
10 05	Historical liabilities resulting from nuclear activities carried out by the Joint Research Centre pursuant to the Euratom Treaty	1	30 900 000	31 500 000	29 403 800	25 856 656	26 362 274,04	23 545 121,14
<b>Title 10 — Total</b>			<b>424 128 000</b>	<b>424 980 000</b>	<b>410 893 864</b>	<b>404 081 551</b>	<b>473 749 927,06</b>	<b>462 735 717,54</b>

### Remarks

These remarks apply to all the budget headings in the 'Direct research' policy area (with the exception of Chapter 10 05).

The appropriations cover not only expenditure on operations and staff covered by the Staff Regulations, but also other expenditure on staff, contracting, infrastructure, information and publications and any other administrative expenditure arising from research and technological development operations, including exploratory research.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations.

Miscellaneous revenue may give rise to the provision of additional appropriations to be used in Chapters 10 02, 10 03 or 10 04 or Article 10 01 05, depending on their purpose.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The possibility of third countries or organisations from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Item 6 0 1 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

The additional appropriations will be provided under Articles 10 02 02 and 10 03 02.

The appropriations in this title cover the cost of the staff working in the financial and administrative departments of the Joint Research Centre and of the support which they need (approximately 15 % of the cost).

## CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
10 01	Administrative expenditure of the 'Direct research' policy area				
<b>10 01 05</b>	<b>Support expenditure for operations in the 'Direct research' policy area</b>				
10 01 05 01	Expenditure related to research staff	1.1	205 100 000	199 141 100	188 527 756,88
10 01 05 02	External staff for research	1.1	43 000 000	42 977 100	63 694 857,99
10 01 05 03	Other management expenditure for research	1.1	101 980 000	97 945 900	121 712 063,03
<i>Article 10 01 05 — Subtotal</i>			350 080 000	340 064 100	373 934 677,90
<b>Chapter 10 01 — Total</b>			<b>350 080 000</b>	<b>340 064 100</b>	<b>373 934 677,90</b>

## **Article 10 01 05 — Support expenditure for operations in the ‘Direct research’ policy area**

### *Remarks*

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 2 2 1, 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations. Such revenue will be used to cover, inter alia, expenditure incurred on staff and resources in respect of work for third parties carried out by the Joint Research Centre.

The appropriations could be supplemented by Joint Research Centre’s participation on a competitive basis in indirect action and in scientific and technical activities in support of Union policies.

### Item 10 01 05 01 — Expenditure related to research staff

### *Figures*

Budget 2013	Appropriations 2012	Outturn 2011
205 100 000	199 141 100	188 527 756,88

### *Remarks*

This appropriation covers expenditure relating to staff covered by the Staff Regulations occupying posts on the authorised establishment plan of the Joint Research Centre for the execution of tasks entrusted to it, and in particular:

- direct action, consisting of scientific and technical support activities, research activities and exploratory research activities undertaken in the establishments of the Joint Research Centre,
- indirect action, consisting of programmes implemented as part of the Joint Research Centre’s activities conducted on a competitive basis.

The breakdown of appropriations for staff expenditure is as follows:

Programme	Appropriations
Framework programme (nuclear)	59 234 525
Framework programme (non-nuclear)	145 865 475
Non-framework programme	p.m.
Total	205 100 000

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

### *Legal basis*

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/975/EC of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 40).

#### Item 10 01 05 02 — External staff for research

##### Figures

Budget 2013	Appropriations 2012	Outturn 2011
43 000 000	42 977 100	63 694 857,99

##### Remarks

This appropriation is intended to cover all expenditure relating to staff occupying posts not on the establishment plan of the Joint Research Centre, i.e. agency staff, seconded national experts, visiting scientists, grant holders and contract staff, for the purposes of implementing the activities of the Centre.

The breakdown of appropriations for external research staff is as follows:

Programme	Appropriations
Framework programme (nuclear)	10 592 775
Framework programme (non-nuclear)	32 407 225
Non-framework programme	p.m.
Total	43 000 000

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

##### Legal basis

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/975/EC of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 40).

#### Item 10 01 05 03 — Other management expenditure for research

##### Figures

Budget 2013	Appropriations 2012	Outturn 2011
101 980 000	97 945 900	121 712 063.03

##### Remarks

This appropriation is intended to cover all other staff expenditure not covered by Items 10 01 05 01 and 10 01 05 02. It is intended for expenditure not directly proportional to the staff present.

It also covers expenditure on organising competitions and interviewing candidates, vocational training, missions, receptions and representation, and expenditure on social and medical infrastructure.

This appropriation is also intended to cover expenditure in respect of all resources used for the implementation of the Joint Research Centre activities.

This includes:

- expenditure on scientific and technical support for the institutes of the Joint Research Centre (workshops, computer centres, nuclear support activities, radiation protection, irradiation equipment (reactors, cyclotron, particle accelerators), hot cells, research departments, stores, etc.), including that directly associated with the operation of the scientific divisions,
- all expenditure on administrative and technical infrastructure, including that for the Directorate-General of the Joint Research Centre, incurred in providing support for its institutes,
- specific expenditure relating to the units concerned at the Geel, Ispra, Karlsruhe, Seville and Petten sites, including the Directorate-General of the Joint Research Centre divided between Brussels and Ispra (purchases of all types and contracts).

The breakdown of appropriations for other management expenditure (research) is as follows:

Programme	Appropriations
Framework programme (nuclear)	37 948 100
Framework programme (non-nuclear)	64 031 900
Non-framework programme	p.m.
Total	101 980 000

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

## Legal basis

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/975/EC of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 40).

## CHAPTER 10 02 — DIRECTLY FINANCED RESEARCH OPERATIONAL APPROPRIATIONS — SEVENTH FRAMEWORK PROGRAMME (2007 TO 2013) — EU

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
10 02	Directly financed research operational appropriations — Seventh Framework Programme (2007 to 2013) — EU							
<i>10 02 01</i>	<i>Non-nuclear activities of the Joint Research Centre (JRC)</i>	1.1	32 898 000	33 000 000	31 531 064	29 032 034	33 901 658,88	29 720 402,49
<i>10 02 02</i>	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>	1.1	p.m.	p.m.	p.m.	p.m.	1 123 053,32	1 104 566,07
	<b>Chapter 10 02 — Total</b>		<b>32 898 000</b>	<b>33 000 000</b>	<b>31 531 064</b>	<b>29 032 034</b>	<b>35 024 712,20</b>	<b>30 824 968,56</b>

### Article 10 02 01 — Non-nuclear activities of the Joint Research Centre (JRC)

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
32 898 000	33 000 000	31 531 064	29 032 034	33 901 658,88	29 720 402,49

### Remarks

This appropriation is intended to cover the scientific and technical support and research activities carried out by the Joint Research Centre in accordance with the non-nuclear specific programme for the following themes:

- prosperity in a knowledge-intensive society,
- solidarity and the responsible management of resources,
- security and freedom,
- Europe as world partner.

It covers specific expenditure relating to the research and support activities in question (purchases of all types and contracts). This includes expenditure on scientific infrastructure directly incurred for the projects concerned.

This appropriation is also intended to cover expenditure of any type concerning research activities relating to activities under this article entrusted to the Joint Research Centre within the framework of its participation on a competitive basis in indirect actions.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### Legal basis

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/975/EC of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

## **Article 10 02 02 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	1 123 053,32	1 104 566,07

### Remarks

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in projects in the field of non-nuclear research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

## CHAPTER 10 03 — DIRECTLY FINANCED RESEARCH OPERATIONAL APPROPRIATIONS — SEVENTH FRAMEWORK PROGRAMME (2007 TO 2011 AND 2012 TO 2013) — EURATOM

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
10 03	Directly financed research operational appropriations — Seventh Framework Programme (2007 to 2011 and 2012 to 2013) — Euratom							
<b>10 03 01</b>	<b>Nuclear activities of the Joint Research Centre (JRC)</b>	1.1	10 250 000	10 400 000	9 894 900	9 072 511	9 756 028,70	9 023 306,82
<b>10 03 02</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>	1.1	p.m.	p.m.	p.m.	p.m.	786 132,77	1 170 911,82
<b>Chapter 10 03 — Total</b>			<b>10 250 000</b>	<b>10 400 000</b>	<b>9 894 900</b>	<b>9 072 511</b>	<b>10 542 161,47</b>	<b>10 194 218,64</b>

### Article 10 03 01 — Nuclear activities of the Joint Research Centre (JRC)

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 250 000	10 400 000	9 894 900	9 072 511	9 756 028,70	9 023 306,82

Remarks

This appropriation is intended to cover the scientific and technical support and research activities carried out by the Joint Research Centre in accordance with the nuclear specific programme for the following themes:

- nuclear waste management, environmental impact, basic knowledge and research on decommissioning,
- nuclear safety,
- nuclear security.

It covers the activities necessary for implementing safeguards pursuant to Chapter 7 of Title II of the Euratom Treaty and the obligations arising from the Non-Proliferation Treaty and implementation of the Commission's programme to support the International Atomic Energy Agency (IAEA).

It covers specific expenditure relating to the research and support activities in question (purchases of all types and contracts). This includes expenditure on scientific infrastructure directly incurred for the projects concerned.

It is also intended to cover expenditure of any type concerning research activities relating to activities under this article entrusted to the Joint Research Centre within the framework of its participation on a competitive basis in indirect actions.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the specific programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012-2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012-2013) ) (OJ L 47, 18.2.2012, p. 40).

**Article 10 03 02 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	786 132,77	1 170 911,82

Remarks

This article is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or states (non-European Economic Area) which take part in nuclear activities in the field of nuclear research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

**CHAPTER 10 04 — COMPLETION OF PREVIOUS FRAMEWORK PROGRAMMES AND OTHER ACTIVITIES**

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
10 04	Completion of previous framework programmes and other activities							
<b>10 04 01</b>	<b>Completion of previous joint programmes</b>							
10 04 01 01	Completion of previous joint programmes — EC	1.1	—	p.m.	—	6 351	200 870,12	68 724,72
10 04 01 02	Completion of previous joint programmes — Euratom	1.1	—	p.m.	—	49 899	138 388,44	236 503,66
	<i>Article 10 04 01 — Subtotal</i>		—	p.m.	—	56 250	339 258,56	305 228,38
<b>10 04 02</b>	<b>Provision of services and work on behalf of outside bodies</b>							
10 04 02		1.1	p.m.	p.m.	p.m.	p.m.	3 091 531,25	3 399 413,92
<b>10 04 03</b>	<b>RTD support for the Union's policies on a competitive basis</b>							
10 04 03		1.1	p.m.	p.m.	p.m.	p.m.	17 130 185,78	14 303 613,80
<b>10 04 04</b>	<b>Operation of the high-flux reactor (HFR)</b>							
10 04 04 01	Operation of the high-flux reactor (HFR) — Completion of previous supplementary HFR programmes	1.1	p.m.	p.m.	p.m.	p.m.	505 419,86	504 692,85
10 04 04 02	Operation of the high-flux reactor (HFR) — Supplementary HFR programmes	1.1	p.m.	p.m.	p.m.	p.m.	6 819 706,00	5 723 782,35
	<i>Article 10 04 04 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	7 325 125,86	6 228 475,20
	<b>Chapter 10 04 — Total</b>		<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>56 250</b>	<b>27 886 101,45</b>	<b>24 236 731,30</b>

## Article 10 04 01 — Completion of previous joint programmes

### Item 10 04 01 01 — Completion of previous joint programmes — EC

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	6 351	200 870,12	68 724,72

#### Remarks

This appropriation is intended to cover commitments entered into prior to the Seventh Framework Programme concerning the non-nuclear activities of the JRC.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Item 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item.. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### Legal basis

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

### Item 10 04 01 02 — Completion of previous joint programmes — Euratom

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	49 899	138 388,44	236 503,66

#### Remarks

This appropriation is intended to cover commitments entered into prior to the Seventh Framework Programmes concerning the nuclear activities of the JRC.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

#### *Legal basis*

Council Decision 94/268/Euratom of 26 April 1994 concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998) (OJ L 115, 6.5.1994, p. 31).

Council Decision 96/253/Euratom of 4 March 1996 adapting Decision 94/268/Euratom concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998), following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 72).

Council Decision 1999/64/Euratom of 22 December 1998 concerning the Fifth Framework Programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Council Decision 2002/668/Euratom of 3 June 2002 concerning the sixth framework programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities, also contributing to the creation of the European Research Area (2002 to 2006) (OJ L 232, 29.8.2002, p. 34).

### **Article 10 04 02 — Provision of services and work on behalf of outside bodies**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	3 091 531,25	3 399 413,92

#### *Remarks*

This article is intended to receive the appropriations required for the expenditure specific to the various tasks performed on behalf of outside bodies, which is evaluated jointly with the outside body in question in each individual case.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 4 of the statement of revenue may give rise to the provision of additional appropriations.

Additional appropriations will be provided for this article, in accordance with Article 18 and Article 161(2) of the Financial Regulation, to cover specific expenditure for each contract with an outside body to the amount of the revenue to be entered in Item 6 2 2 3 of the statement of revenue.

The services planned are, in particular, the following:

- supplies, the provision of services and work carried out in general against payment,
- the operation, for the benefit of Member States, of facilities or the performance of research that is additional to the specific research programmes,
- the performance of research activities or the provision of services within the industrial clubs for which the partners from industry must pay an enrolment fee and annual subscriptions,
- in-cyclotron irradiation,
- chemical decontamination,
- radiation protection,
- metallography,
- cooperation contracts relating to radioactive waste,
- training,
- outside customers of the Ispra data-processing centre,
- certified reference materials,
- irradiation for outside bodies in the high-flux reactor (HFR) at the Petten establishment of the Joint Research Centre.

### *Legal basis*

Council Decision 89/340/EEC of 3 May 1989 concerning work for third parties performed by the Joint Research Centre relevant to the European Economic Community (OJ L 142, 25.5.1989, p. 10).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Articles 18 and 161 thereof.

### **Article 10 04 03 — RTD support for the Union's policies on a competitive basis**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	17 130 185,78	14 303 613,80

#### *Remarks*

This article is intended to receive the appropriations required for the expenditure specific to the various scientific support tasks performed by the Joint Research Centre on a competitive basis in support of the Union's policies, outside the Research and Technological Development Framework Programme. Additional appropriations will be provided for this article, in accordance with Article 18 and Article 161(2) of the Financial Regulation, to cover specific expenditure for each contract with Union services to the amount of the revenue to be entered in Item 6 2 2 6 of the statement of revenue.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Item 6 2 2 4 of the statement of revenue may give rise to the provision of additional appropriations.

### *Legal basis*

Council Decision 89/340/EEC of 3 May 1989 concerning work for third parties performed by the Joint Research Centre relevant to the European Economic Community (OJ L 142, 25.5.1989, p. 10).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Articles 18 and 161 thereof.

### **Article 10 04 04 — Operation of the high-flux reactor (HFR)**

Item 10 04 04 01 — Operation of the high-flux reactor (HFR) — Completion of previous supplementary HFR programmes

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	505 419,86	504 692,85

#### *Remarks*

This appropriation is intended to cover part of expenses of any kind incurred during the implementation of HFR programmes and not covered by the payment appropriations available for previous financial years.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Item 6 2 2 1 of the statement of revenue may give rise to the provision of additional appropriations.

The main objectives of the programme are as follows:

- to ensure over 250 days' operation of the HFR per annum in order to guarantee the availability of neutrons for experimental purposes,

- to ensure the rational use of this reactor according to the needs of research institutes requesting the assistance of the HFR in areas such as:
  - improving the safety of existing nuclear reactors,
  - health, and in particular the development of medical isotopes for medical research and the testing of therapeutic techniques,
  - fusion,
  - basic research and training,
  - waste management, and in particular the possibility of developing nuclear fuels for the elimination of weapons-grade plutonium.

In accordance with Article 18 of the Financial Regulation, this article will, in the course of the financial year, be provided with additional appropriations within the limits of the revenue from Member States concerned (currently the Netherlands, Belgium and France) to be entered in Item 6 2 2 1 of the statement of revenue.

#### *Legal basis*

Council Decision 84/1/Euratom, EEC of 22 December 1983 adopting a research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community and for the European Economic Community (1984 to 1987) (OJ L 3, 5.1.1984, p. 21).

Council Decision 88/523/Euratom of 14 October 1988 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 286, 20.10.1988, p. 37).

Council Decision 92/275/Euratom of 29 April 1992 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (1992-1995) (OJ L 141, 23.5.1992, p. 27).

Council Decision 96/419/Euratom of 27 June 1996 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (1996-1999) (OJ L 172, 11.7.1996, p. 23).

Council Decision 2000/100/Euratom of 24 January 2000 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 29, 4.2.2000, p. 24).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 18 thereof.

Council Decision 2004/185/Euratom of 19 February 2004 concerning the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 57, 25.2.2004, p. 25).

Council Decision 2007/773/Euratom of 26 November 2007 on a one year extension of the supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 312, 30.11.2007, p. 29).

Council Decision 2009/410/Euratom of 25 May 2009 on the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 132, 29.5.2009, p. 13).

#### Item 10 04 04 02 — Operation of the high-flux reactor (HFR) — Supplementary HFR programmes

##### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	6 819 706,00	5 723 782,35

##### *Remarks*

The main objectives of the programme are primarily:

- to ensure the safe and reliable operation of the high flux reactor (HFR), in order to guarantee the availability of the neutron flux for experimental purposes,
- to allow an efficient use of HFR by research institutes in a broad range of disciplines: improvement of the safety of existing nuclear reactors, health including the development of medical isotopes to answer the questions of medical research, nuclear fusion, fundamental research and training, and waste management including the possibility to study the safety behaviour of nuclear fuels for the new generation of reactor systems.

In accordance with Article 18 of the Financial Regulation, this item will, in the course of the financial year, be provided with additional appropriations within the limits of the revenue from, notably, the three Member States concerned (currently the Netherlands, Belgium and France) to be entered in Item 6 2 2 1 of the statement of revenue.

*Legal basis*

Council Decision 2009/410/Euratom of 25 May 2009 on the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 132, 29.5.2009, p. 13).

*Reference acts*

Proposal for a Council Decision, 2012/xxx/Euratom to be submitted by the Commission, on the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (2012-2015) (COM(2012) 221).

## CHAPTER 10 05 — HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
10 05	Historical liabilities resulting from nuclear activities carried out by the Joint Research Centre pursuant to the Euratom Treaty							
<b>10 05 01</b>	<b><i>Decommissioning of nuclear installations and waste management</i></b>	1.1	30 900 000	31 500 000	29 403 800	25 856 656	26 362 274,04	23 545 121,14
	<b>Chapter 10 05 — Total</b>		<b>30 900 000</b>	<b>31 500 000</b>	<b>29 403 800</b>	<b>25 856 656</b>	<b>26 362 274,04</b>	<b>23 545 121,14</b>

### *Article 10 05 01 — Decommissioning of nuclear installations and waste management*

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 900 000	31 500 000	29 403 800	25 856 656	26 362 274,04	23 545 121,14

*Remarks*

This appropriation is intended to finance an action programme to reduce and dispose of nuclear liabilities resulting from activities conducted by the Joint Research Centre since its establishment.

It is intended to cover the decommissioning of plants that have been shut down and waste from such plants.

This appropriation is also intended to finance operations undertaken by the Commission on the basis of the powers conferred on it by Article 8 of the Euratom Treaty in conformity with the provisions of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament and to the Council of 17 March 1999 on the historical liabilities resulting from nuclear activities carried out by the Joint Research Centre under the Euratom Treaty — Decommissioning of obsolete nuclear installations and waste management (COM(1999) 00114).

Communication from the Commission to the European Parliament and to the Council of 19 May 2004 concerning the decommissioning of nuclear installations and waste management — Nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (SEC(2004) 0621).

Communication from the Commission to the European Parliament and to the Council of 12 January 2009 concerning the decommissioning of nuclear installations and management of radioactive waste — Management of nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (COM(2008) 0903).

## TITLE 11 — MARITIME AFFAIRS AND FISHERIES

Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area		41 694 014	41 694 014	41 078 650	41 078 650	40 833 934,79	40 833 934,79
	40 01 40		538 795	538 795	19 779	19 779		
			42 232 809	42 232 809	41 098 429	41 098 429		
11 02	Fisheries markets	2	26 896 768	27 250 000	29 996 768	30 370 025	29 957 772,69	34 358 651,73
11 03	International fisheries and law of the sea						152 979	162 880
	40 02 41	2	38 510 000	37 710 000	34 719 145	35 677 881	639,41	147,47
			115 220 000	115 220 000	73 547 480	73 547 480		
			153 730 000	152 930 000	108 266 625	109 225 361		
11 04	Governance of the common fisheries policy	2	5 390 000	4 877 000	6 400 000	5 641 866	5 965 400,61	5 222 818,80
11 06	European Fisheries Fund (EFF)	2	686 307 712	522 600 000	671 398 483	487 002 069	656 248	445 085
							974,59	068,39
11 07	Conservation, management and exploitation of living aquatic resources	2	51 200 000	40 250 000	53 260 000	42 376 540	52 338 860,74	36 636 931,45
11 08	Control and enforcement of the common fisheries policy	2	57 863 900	34 683 900	58 760 900	36 106 750	60 517 887,28	41 803 218,25
11 09	Maritime policy	2	1 200 000	16 185 000	16 560 000	7 170 532	0,—	5 490 581,98
	<b>Title 11 — Total</b>		<b>909 062 394</b>	<b>725 249 914</b>	<b>912 173 946</b>	<b>685 424 313</b>	<b>998 842 470,11</b>	<b>772 311 352,86</b>
	40 01 40, 40 02 41		115 758 795	115 758 795	73 567 259	73 567 259		
	Total including reserves		1 024 821 189	841 008 709	985 741 205	758 991 572		

## CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area				
<b>11 01 01</b>	<b>Expenditure related to staff in active employment in the 'Maritime affairs and fisheries' policy area</b>	5	29 867 729	29 678 337	29 824 883,21
	40 01 40		517 896		
			30 385 625		
<b>11 01 02</b>	<b>External staff and other management expenditure in support of the 'Maritime affairs and fisheries' policy area</b>				
11 01 02 01	External staff	5	2 493 601	2 550 747	2 499 308,00
	40 01 40		20 899		
			2 514 500		
11 01 02 11	Other management expenditure	5	2 792 542	2 779 656	3 037 158,65
	40 01 40			19 779	
				2 799 435	
	<i>Article 11 01 02 — Subtotal</i>		5 286 143	5 330 403	5 536 466,65
	40 01 40		20 899	19 779	
			5 307 042	5 350 182	
<b>11 01 03</b>	<b>Expenditure related to information and communication technology equipment and services of the 'Maritime affairs and fisheries' policy area</b>				
		5	1 890 142	1 894 910	2 243 099,12
<b>11 01 04</b>	<b>Support expenditure for operations in the 'Maritime affairs and fisheries' policy area</b>				

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
11 01 04 01	Structural measures in the fisheries sector — Financial Instrument for Fisheries Guidance (FIFG) and European Fisheries Fund (EFF) — Non-operational technical assistance	2	850 000	850 000	850 000,00
11 01 04 02	Closer dialogue with the fishing industry and those affected by the common fisheries policy — Expenditure on administrative management	2	180 000	200 000	172 656,12
11 01 04 03	Support for the management of fish resources (collection of basic data and improvement of scientific advice) — Expenditure on administrative management	2	445 000	425 000	421 829,69
11 01 04 04	International fisheries agreements — Expenditure on administrative management	2	1 800 000	1 700 000	1 425 000,00
11 01 04 05	Contributions to international organisations — Expenditure on administrative management	2	450 000	400 000	360 000,00
11 01 04 06	Inspection and surveillance of fishing activities in EU waters and elsewhere — Expenditure on administrative management	2	p.m.	—	0,—
11 01 04 07	Programme to support the further development of an integrated maritime policy (IMP) — Expenditure on administrative management	2	200 000	100 000	
11 01 04 08	European Agriculture Guarantee Fund (EAGF) — Non-operational technical assistance	2	725 000	500 000	
	<i>Article 11 01 04 — Subtotal</i>		4 650 000	4 175 000	3 229 485,81
	<b>Chapter 11 01 — Total</b>		<b>41 694 014</b>	<b>41 078 650</b>	<b>40 833 934,79</b>
	<b>40 01 40</b>		<b>538 795</b>	<b>19 779</b>	
	<b>Total including reserves</b>		<b>42 232 809</b>	<b>41 098 429</b>	

**Article 11 01 01 — Expenditure related to staff in active employment in the ‘Maritime affairs and fisheries’ policy area**

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
11 01 01	29 867 729	29 678 337	29 824 883,21
40 01 40	517 896		
<b>Total</b>	<b>30 385 625</b>	<b>29 678 337</b>	<b>29 824 883,21</b>

**Article 11 01 02 — External staff and other management expenditure in support of the ‘Maritime affairs and fisheries’ policy area**

Item 11 01 02 01 — External staff

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
11 01 02 01	2 493 601	2 550 747	2 499 308,00
40 01 40	20 899		
<b>Total</b>	<b>2 514 500</b>	<b>2 550 747</b>	<b>2 499 308,00</b>

Item 11 01 02 11 — Other management expenditure

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
11 01 02 11	2 792 542	2 779 656	3 037 158,65
40 01 40		19 779	

	Budget 2013	Appropriations 2012	Outturn 2011
Total	2 792 542	2 799 435	3 037 158,65

**Article 11 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Maritime affairs and fisheries’ policy area**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
1 890 142	1 894 910	2 243 099,12

**Article 11 01 04 — Support expenditure for operations in the ‘Maritime affairs and fisheries’ policy area**

Item 11 01 04 01 — Structural measures in the fisheries sector — Financial Instrument for Fisheries Guidance (FIFG) and European Fisheries Fund (EFF) — Non-operational technical assistance

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
850 000	850 000	850 000,00

*Remarks*

This appropriation is intended to cover expenditure incurred on external staff at headquarters (contract agents, seconded national experts or agency staff) and support expenditure (representation expenses, training, meetings, missions relating to the external personnel financed under this line) required for the implementation of the EFF as provided for in Article 46 of Council Regulation (EC) No 1198/2006 relating to technical assistance.

*Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Item 11 01 04 02 — Closer dialogue with the fishing industry and those affected by the common fisheries policy — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
180 000	200 000	172 656,12

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, the participation of stakeholders in ad hoc meetings, seminars and conferences pertaining to major topics in relation to the common fisheries policy and the integrated maritime policy, on information technology, information and publications directly linked to realising the objective of the programme or on activities covered by this item, and any other technical or administrative assistance expenditure not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 11 04 01.

Item 11 01 04 03 — Support for the management of fish resources (collection of basic data and improvement of scientific advice) — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
445 000	425 000	421 829,69

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information technology, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item and any other technical or administrative assistance expenditure not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Articles 11 07 01 and 11 07 02.

Item 11 01 04 04 — International fisheries agreements — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
1 800 000	1 700 000	1 425 000,00

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on missions by third country delegations attending meetings to negotiate fisheries agreements and joint committees,
- expenditure on information technology (IT) covering both equipment and services,
- expenditure on external staff (contract agents, local agents or seconded national experts) in the Union's delegations in third countries, as well as for additional logistical and infrastructure costs, such as the cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations of this item,
- expenditure on studies, meetings of experts, information and publications directly linked to the preparation and the implementation of international fisheries agreements.

*Legal basis*

See Article 11 03 01.

Item 11 01 04 05 — Contributions to international organisations — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
450 000	400 000	360 000,00

### Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or on activities covered by this item and any other technical or administrative assistance expenditure not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

### Legal basis

See Articles 11 03 02, 11 03 03 and 11 03 04.

Item 11 01 04 06 — Inspection and surveillance of fishing activities in EU waters and elsewhere — Expenditure on administrative management

### Figures

Budget 2013	Appropriations 2012	Outturn 2011
p.m.	—	0,—

### Remarks

This item is intended to cover expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) in the context of control and surveillance of fisheries activities.

### Legal basis

See Article 11 08 02.

Item 11 01 04 07 — Programme to support the further development of an integrated maritime policy (IMP) — Expenditure on administrative management

### Figures

Budget 2013	Appropriations 2012	Outturn 2011
200 000	100 000	

### Remarks

This appropriation is intended to cover expenditure on studies, evaluation measures, expert meetings, information technology including informatics tools, systems and networks necessary for the effective and efficient implementation of the Programme and the achievement of its objectives and any other technical or administrative assistance expenditure as required by the Commission for the implementation of the Programme.

### Legal basis

See Article 11 09 05.

Item 11 01 04 08 — European Agriculture Guarantee Fund (EAGF) — Non-operational technical assistance

### Figures

Budget 2013	Appropriations 2012	Outturn 2011
725 000	500 000	

### Remarks

This appropriation is intended to cover the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for the implementation of the common agricultural policy, in particular as regards fisheries products, in accordance with Article 5(a) to (d) of Regulation (EC) No 1290/2005.

*Legal basis*

See Article 11 02 01.

## CHAPTER 11 02 — FISHERIES MARKETS

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 02	Fisheries markets							
<b>11 02 01</b>	<b>Intervention in fishery products</b>							
11 02 01 01	Intervention in fishery products — New measures	2	11 500 000	11 500 000	15 000 000	14 412 834	14 961 004,69	17 160 348,22
11 02 01 03	Preparatory action — Monitoring centre for fisheries market prices	2	—	550 000	—	1 734 000	0,—	323 000,00
11 02 01 04	Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products	2	400 000	200 000				
	<i>Article 11 02 01 — Subtotal</i>		11 900 000	12 250 000	15 000 000	16 146 834	14 961 004,69	17 483 348,22
<b>11 02 03</b>	<b>Fisheries programme for the outermost regions</b>							
11 02 03 01	Fisheries programme for the outermost regions — New measures	2	14 996 768	15 000 000	14 996 768	14 223 191	14 996 768,00	16 875 303,51
	<i>Article 11 02 03 — Subtotal</i>		14 996 768	15 000 000	14 996 768	14 223 191	14 996 768,00	16 875 303,51
	<b>Chapter 11 02 — Total</b>		<b>26 896 768</b>	<b>27 250 000</b>	<b>29 996 768</b>	<b>30 370 025</b>	<b>29 957 772,69</b>	<b>34 358 651,73</b>

*Remarks*

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this chapter, in accordance with Articles 18 and 154 of the Financial Regulation.

### *Article 11 02 01 — Intervention in fishery products*

Item 11 02 01 01 — Intervention in fishery products — New measures

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
11 500 000	11 500 000	15 000 000	14 412 834	14 961 004,69	17 160 348,22

*Remarks*

This appropriation is intended to cover expenditure relating to the common organisation of the market in fishery products and, in particular, on the intervention mechanisms, on the allowance for producer organisations and on the systems for communication and the exchange of information between the Member States and the Commission.

It is also intended to cover expenditure on evaluations in accordance with Article 41 of Regulation (EC) No 104/2000.

*Legal basis*

Council Regulation (EC) No 104/2000 of 17 December 1999 on the common organisation of the markets in fishery and aquaculture products (OJ L 17, 21.1.2000, p. 22).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Item 11 02 01 03 — Preparatory action — Monitoring centre for fisheries market prices

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	550 000	—	1 734 000	0,—	323 000,00

*Remarks*

This appropriation is intended to cover the introduction of a system to monitor and observe the price formation and evaluation process for fishery and aquaculture products.

The monitoring centre will partner and complement the monitoring system for food product prices which is being developed by the Union, ensuring that the large market for Union fishery products is not left outside the general price monitoring system.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 11 02 01 04 — Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
400 000	200 000				

*Remarks*

Commercial designations fall within the remit of each Member State's national authorities; a single instrument should be put in place to give European consumers guarantees that the various designations are transparent and coherent, and to make it easier to verify the information concerned.

The purpose of a pilot project would be to set up:

- a database containing all information in connection with commercial designations (codes from FAO nomenclatures, combined nomenclatures, customs nomenclatures, health nomenclatures or INN); the scientific names of species according to the FishBase system; names of species in the official languages of the Member States and, possibly, accepted regional or local designations);
- an expert system to analyse consistency between the various designations and nomenclatures;
- a dedicated Internet site.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 11 02 03 — Fisheries programme for the outermost regions**

Item 11 02 03 01 — Fisheries programme for the outermost regions — New measures

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 996 768	15 000 000	14 996 768	14 223 191	14 996 768,00	16 875 303,51

## Remarks

This appropriation is intended to cover expenditure relating to the scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the Azores, Madeira, the Canary Islands and the French departments of Guiana and Réunion as a result of remoteness of those regions.

## Legal basis

Council Regulation (EC) No 2328/2003 of 22 December 2003 introducing a scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the Azores, Madeira, the Canary Islands and the French departments of Guiana and Réunion, as a result of those regions' remoteness (OJ L 345, 31.12.2003, p. 34).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 791/2007 of 21 May 2007 introducing a scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the outermost regions the Azores, Madeira, the Canary Islands, French Guiana and Réunion (OJ L 176, 6.7.2007, p. 1).

## CHAPTER 11 03 — INTERNATIONAL FISHERIES AND LAW OF THE SEA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03	International fisheries and law of the sea							
<b>11 03 01</b>	<b>International fisheries agreements</b>	2	29 010 000	29 010 000	25 450 394	26 123 809	147 571 248,95	155 655 108,95
	40 02 41		<u>115 220 000</u> <u>144 230 000</u>	<u>115 220 000</u> <u>144 230 000</u>	<u>73 547 480</u> <u>98 997 874</u>	<u>73 547 480</u> <u>99 671 289</u>		
<b>11 03 02</b>	<b>Contributions to international organisations</b>	2	4 800 000	4 500 000	3 601 985	4 172 136	3 250 561,08	3 174 091,55
<b>11 03 03</b>	<b>Preparatory work for new international fisheries organisations and other non-compulsory contributions to international organisations</b>	2	4 500 000	4 000 000	5 500 000	5 215 170	1 919 811,20	3 812 928,79
<b>11 03 04</b>	<b>European Union financial contribution to the bodies set up by the United Nations Convention on the Law of the Sea, 1982</b>	2	200 000	200 000	166 766	166 766	238 018,18	238 018,18
	<b>Chapter 11 03 — Total</b>		<b>38 510 000</b>	<b>37 710 000</b>	<b>34 719 145</b>	<b>35 677 881</b>	<b>152 979 639,41</b>	<b>162 880 147,47</b>
	40 02 41		<u>115 220 000</u>	<u>115 220 000</u>	<u>73 547 480</u>	<u>73 547 480</u>		
	<b>Total including reserves</b>		<b>153 730 000</b>	<b>152 930 000</b>	<b>108 266 625</b>	<b>109 225 361</b>		

### Article 11 03 01 — International fisheries agreements

#### Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03 01	29 010 000	29 010 000	25 450 394	26 123 809	147 571 248,95	155 655 108,95
40 02 41	115 220 000	115 220 000	73 547 480	73 547 480		
Total	144 230 000	144 230 000	98 997 874	99 671 289	147 571 248,95	155 655 108,95

## Remarks

This appropriation is intended to cover the expenditure arising from the fisheries agreements which the Union/Community has negotiated or intends to renew or renegotiate with third countries.

In addition, the Union may negotiate new fisheries partnership agreements which would need to be financed under this budget line.

*Legal basis*

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Regulations and Decisions concerning the conclusion of agreements and/or protocols adopted with regard to fisheries between the Union/Community and the governments of the following countries:

Country	Regulation	Date	Official Journal	Duration
Argentina (p.m.)	Regulation (EC) No 3447/93 Currently no Protocol in force	28 September 1993	L 318, 20.12.1993	24.5.1994 to 23.5.1999
Cape Verde	Regulation (EC) No 2027/2006 Decision 2011/405/EU Decision 2011/679/EU	19 December 2006 9 June 2011 10 October 2011	L 414, 30.12.2006 L 181, 9.7.2011 L 269, 14.10.2011	1.9.2006 to 31.8.2011 1.9.2011 to 31.8.2014
Comoros	Regulation (EC) No 1660/2005 Regulation (EC) No 1563/2006 Decision 2011/294/EU	6 October 2005 5 October 2006 13 May 2011	L 267, 12.10.2005 L 290, 20.10.2006 L 134, 21.5.2011	1.1.2005 to 31.12.2010 1.1.2011 to 31.12.2013
Côte d'Ivoire	Regulation (EC) No 953/2005 Decision 2008/151/EC Regulation (EC) No 242/2008	21 June 2005 12 February 2008 17 March 2008	L 164, 24.6.2005 L 48, 22.2.2008 L 75, 18.3.2008	1.7.2004 to 30.6.2007 1.7.2007 to 30.6.2013 1.7.2007 to 30.6.2013
Gabon	Decision 2006/788/EC Regulation (EC) No 450/2007 Negotiations for the renewal of the protocol ongoing	7 November 2006 16 April 2007	L 319, 18.11.2006 L 109, 26.4.2007	3.12.2005 to 2.12.2011
Greenland	Regulation (EC) 753/2007 New Protocol initialled on 3 February 2012 – Legislative procedure ongoing	28 June 2007	L 172, 30.6.2007	1.1.2007 to 31.12.2012
Guinea-Bissau	Regulation (EC) No 1491/2006 Regulation (EC) No 241/2008 Decision 2011/885/EU New Protocol initialled on 10 February 2012 – Legislative procedure ongoing	10 October 2006 17 March 2008 14 November 2011	L 279, 11.10.2006 L 75, 18.3.2008 L 344, 28.12.2011	16.6.2007 to 15.6.2011 16.6.2011 to 15.6.2012
Equatorial Guinea (p.m.)	Regulation (EEC) No 1966/84  (suspended since June 2001)	28 June 1984	L 188, 16.7.1984	
Republic of Guinea	Regulation (EC) No 830/2004 Decision 2009/473/EC repealed by Decision 2009/1016/EU Currently no protocol in force	26 April 2004 28 May 2009 22 December 2009	L 127, 29.4.2004 L 156, 19.6.2009 L 348, 29.12.2009	1.1.2004 to 31.12.2008 1.1.2009 to 31.12.2012
Kiribati	Regulation (EC) No 893/2007 New Protocol initialled on 3 June 2012 – Legislative procedure ongoing	23 July 2007	L 205, 7.8.2007	16.9.2006 to 15.9.2012
Madagascar	Decision 2007/797/EC Regulation (EC) No 31/2008 New Protocol initialled on 10 May 2012 – Legislative procedure ongoing	15 November 2007 15 November 2007	L 331, 17.12.2007 L 15, 18.1.2008	1.1.2007 to 31.12.2012
Mauritius	Regulation (EC) No 2003/2004 New Protocol initialled on 23 February 2012 – Legislative procedure ongoing	21 October 2004	L 348, 24.11.2004	3.12.2003 to 2.12.2007
Mauritania	Regulation (EC) No 1801/2006 Regulation (EC) No 704/2008 New Protocol initialled on 26 July 2012 – Legislative procedure ongoing	30 November 2006 15 July 2008	L 343, 8.12.2006 L 203, 31.7.2008	1.8.2006 to 31.7.2008 1.8.2008 to 31.7.2012
Federated States of Micronesia	Regulation (EC) No 805/2006 Decision 2011/116/EU Awaiting for entry into force of new Protocol	25 April 2006 13 December 2010	L 151, 6.6.2006 L 52, 25.2.2011	26.2.2007 to 25.2.2010

Morocco	Regulation (EC) No 764/2006	22 May 2006	L 141, 29.5.2006	28.2.2007 to 27.2.2011 <sup>1</sup>
	Decision 2011/491/EU	12 July 2011	L 202, 5.8.2011	28.2.2011 to 28.2.2012
	Repealed by Decision 2012/15/EU	20 December 2011	L 6, 10.1.2012	
	Currently no protocol in force			
Mozambique	Regulation (EC) No 1446/2007	22 November 2007	L 331, 17.12.2007	1.1.2007 to 31.12.2011
	Decision (EC) 2012/91/EU	23 January 2012	L 46, 17.02.2012	1.2.2012 to 31.01.2015
São Tomé and Príncipe	Regulation (EC) No 894/2007	23 July 2007	L 205, 7.8.2007 and L 330, 15.12.2007	1.6.2006 to 31.5.2010
	Decision 2011/296/EU	24 February 2011	L 136, 24.2.2011	13.5.2011 to 12.5.2014
	Decision 2011/420/EU	12 July 2011	L 188, 19.7.2011	
Senegal (p.m.)	Regulation (EC) No 2323/2002	16 December 2002	L 349, 24.12.2002	1.7.2002 to 30.6.2006
	Currently no protocol in force			
Seychelles	Regulation (EC) No 1562/2006	5 October 2006	L 290, 20.10.2006	
	Decision 2010/814/EU	20 December 2010	L 345, 30.12.2010	18.1.2011 to 17.1.2014
	Decision 2011/474/EU	12 July 2011	L 196, 28.7.2011	
Solomon Islands	Regulation (EC) No 563/2006	13 March 2006	L 105, 13.4.2006	9.10.2006 to 8.10.2009
	Decision 2010/397/EU	3 June 2010	L 190, 22.7.2010	9.10.2009 to 8.10.2012
	Decision 2010/763/EU	6 December 2010	L 324, 9.12.2010	
Tanzania (p.m.)	Proposed agreement withdrawn			

## Article 11 03 02 — Contributions to international organisations

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 800 000	4 500 000	3 601 985	4 172 136	3 250 561,08	3 174 091,55

### Remarks

This appropriation is intended to finance the Union's active participation in international fisheries organisations responsible for the long-term conservation and sustainable exploitation of marine fisheries resources:

- CCAMLR (Council Decision 81/691/EEC of 4 September 1981 on the conclusion of the Convention on the conservation of Antarctic marine living resources (OJ L 252, 5.9.1981, p. 26)),
- NASCO (North Atlantic Salmon Conservation Organisation) (Council Decision 82/886/EEC of 13 December 1982 concerning the conclusion of the Convention for the Conservation of Salmon in the North Atlantic Ocean (OJ L 378, 31.12.1982, p. 24)),
- ICCAT (Council Decision 86/238/EEC of 9 June 1986 on the accession of the Community to the International Convention for the Conservation of Atlantic Tunas, as amended by the Protocol annexed to the Final Act of the Conference of Plenipotentiaries of the States Parties to the Convention signed in Paris on 10 July 1984 (OJ L 162, 18.6.1986, p. 33)),
- NEAFC (North-East Atlantic Fisheries Commission) (Council Decision 81/608/EEC of 13 July 1981 concerning the conclusion of the Convention on Future Multilateral Cooperation in the North-East Atlantic Fisheries (OJ L 227, 12.8.1981, p. 21)),
- FAO (Council Decision of 25 November 1991 on the accession of the European Community to the Food and Agriculture Organisation of United Nations) and FAO bodies, the Fishery Committee for the Eastern Central Atlantic (CECAF) and the Western Central Atlantic Fishery Commission (WECAFC),
- NAFO (Northwest Atlantic Fisheries Organisation) (Council Regulation (EEC) No 3179/78 of 28 December 1978 concerning the conclusion by the European Economic Community of the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (OJ L 378, 30.12.1978, p. 1)),
- IOTC (Council Decision 95/399/EC of 18 September 1995 on the accession of the Community to the Agreement for the establishment of the Indian Ocean Tuna Commission (OJ L 236, 5.10.1995, p. 24)),

<sup>1</sup> The protocol related to the agreement with Morocco was initially foreseen from 1 March 2006 to 28 February 2010. Due to a delay in the ratification process it entered into force on 27 February 2007 and was valid for four years from that date.

- GFCM (Council Decision 98/416/EC of 16 June 1998 on the accession of the European Community to the General Fisheries Commission for the Mediterranean (OJ L 190, 4.7.1998, p. 34)),
- SEAFO (South East Atlantic Fisheries Organisation) (Council Decision 2002/738/EC of 22 July 2002 on the conclusion by the European Community of the Convention on the Conservation and Management of Fishery Resources in the South-East Atlantic Ocean (OJ L 234, 31.8.2002, p. 39)),
- SWAFO (Multilateral Agreement for the Conservation of the Marine Fauna and Flora in the High Seas Waters of the South-West Atlantic, negotiating mandate No 13428/97),
- SIOFA (Council Decision 2008/780/EC of 29 September 2008 on the conclusion, on behalf of the European Community, of the Southern Indian Ocean Fisheries Agreement (OJ L 268, 9.10.2008, p. 27)),
- WCPFC (Western and Central Pacific Fisheries Commission, ex-MHLC) (Council Decision 2005/75/EC of 26 April 2004 on the accession of the Community to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (OJ L 32, 4.2.2005, p. 1)),
- (AIDCP) (Council Decision 2005/938/EC of 8 December 2005 on the approval on behalf of the European Community of the Agreement on the International Dolphin Conservation Programme (OJ L 348, 30.12.2005, p. 26)),
- (IATTC) (Council Decision 2006/539/EC of 22 May 2006 on the conclusion, on behalf of the European Community of the Convention for the Strengthening of the Inter-American Tropical Tuna Commission established by the 1949 Convention between the United States of America and the Republic of Costa Rica (OJ L 224, 16.8.2006, p. 22)),
- arrangement for the conservation and management of swordfish stocks in the south-east Pacific, negotiating mandate in progress,
- South Pacific Regional Fisheries Management Organisation, negotiating mandate in progress,
- the Bering Sea Convention.

This appropriation is intended to cover, inter alia:

- expenditure arising from the Union's compulsory contributions to the budgets of international fisheries organisations,
- Union membership and voluntary funding of FAO, fisheries section.

#### *Legal basis*

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

### ***Article 11 03 03 — Preparatory work for new international fisheries organisations and other non-compulsory contributions to international organisations***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 500 000	4 000 000	5 500 000	5 215 170	1 919 811,20	3 812 928,79

#### *Remarks*

This appropriation is intended to fund:

- preparatory work on new international fisheries organisations (South Indian Ocean Fisheries Agreement, South Pacific Regional Fisheries Management Organisation, etc.),
- international fisheries organisations in which the Union has observer status (Article 217 of the Treaty on the Functioning of the European Union):
  - the International Whaling Commission (IWC),
  - the Organisation for Economic Cooperation and Development (OECD),
- support for the follow-up and implementation of some regional projects, in particular by contributing to specific joint international control and inspection activities. This appropriation should also cover surveillance programmes to be negotiated in West Africa and the Western Pacific.

This appropriation is also intended to cover, inter alia:

- registration fees for meetings of international fisheries organisations in which the Union has observer status,
- financial contributions to preparations for new international fisheries organisations which are of interest to the Union,
- financial contributions to scientific work carried out by international fisheries organisations which is of special interest to the Union,
- financial contributions to activities (working, informal or extraordinary meetings of the contracting parties) which uphold the interests of the Union in international fisheries organisations and strengthen cooperation with its partners in these organisations with whom it has relations in the fisheries sector. In this connection, the costs of participation by representatives of third countries in negotiations and meetings within international forums and organisations, when their presence becomes necessary for Union interests, may also be charged to this article,
- grants to regional bodies in which coastal states are involved in the sub-region concerned.

This relates amongst others to the following organisations:

- CCAMLR (Council Decision 81/691/EEC of 4 September 1981 on the conclusion of the Convention on the conservation of Antarctic marine living resources (OJ L 252, 5.9.1981, p. 26)),
- NASCO (North Atlantic Salmon Conservation Organisation) (Council Decision 82/886/EEC of 13 December 1982 concerning the conclusion of the Convention for the Conservation of Salmon in the North Atlantic Ocean (OJ L 378, 31.12.1982, p. 24)),
- ICCAT (Council Decision 86/238/EEC of 9 June 1986 on the accession of the Community to the International Convention for the Conservation of Atlantic Tunas, as amended by the Protocol annexed to the Final Act of the Conference of Plenipotentiaries of the States Parties to the Convention signed in Paris on 10 July 1984 (OJ L 162, 18.6.1986, p. 33)),
- NEAFC (North-East Atlantic Fisheries Commission) (Council Decision 81/608/EEC of 13 July 1981 concerning the conclusion of the Convention on Future Multilateral Cooperation in the North-East Atlantic Fisheries (OJ L 227, 12.8.1981, p. 21)),
- FAO (Council Decision of 25 November 1991 on the accession of the European Community to the Food and Agriculture Organisation of the United Nations),
- NAFO (Northwest Atlantic Fisheries Organisation) (Council Regulation (EEC) No 3179/78 of 28 December 1978 concerning the conclusion by the European Economic Community of the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (OJ L 378, 30.12.1978, p. 1)),
- IOTC (Council Decision 95/399/EC of 18 September 1995 on the accession of the Community to the Agreement for the establishment of the Indian Ocean Tuna Commission (OJ L 236, 5.10.1995, p. 24)),
- GFCM (Council Decision 98/416/EC of 16 June 1998 on the accession of the European Community to the General Fisheries Commission for the Mediterranean (OJ L 190, 4.7.1998, p. 34)),
- Fishery Committee for the Eastern Central Atlantic (CECAF),
- Western Central Atlantic Fishery Commission (WECAFC),
- SEAFO (South East Atlantic Fisheries Organisation) (Council Decision 2002/738/EC of 22 July 2002 on the conclusion by the European Community of the Convention on the Conservation and Management of Fishery Resources in the South-East Atlantic Ocean (OJ L 234, 31.8.2002, p. 39)),
- SWAFO (Multilateral Agreement for the Conservation of the Marine Fauna and Flora in the High Seas Waters of the South-West Atlantic negotiating mandate No 13428/97),
- SIOFA (Council Decision 2008/780/EC of 29 September 2008 on the conclusion, on behalf of the European Community, of the Southern Indian Ocean Fisheries Agreement (OJ L 268, 9.10.2008, p. 27)),
- WCPFC (Western and Central Pacific Fisheries Commission, ex-MHLC) (Council Decision 2005/75/EC of 26 April 2004 on the accession of the Community to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (OJ L 32, 4.2.2005, p. 1)),
- AIDCP (Council Decision 2005/938/EC of 8 December 2005 on the approval on behalf of the European Community of the Agreement on the International Dolphin Conservation Programme (OJ L 348, 30.12.2005, p. 26)),
- South Pacific Regional Fisheries Organisation: pending ratification, the negotiating mandate remains effective,
- IATTC (Council Decision 2006/539/EC of 22 May 2006 on the conclusion, on behalf of the European Community of the Convention for the Strengthening of the Inter-American Tropical Tuna Commission established by the 1949 Convention between the United States of America and the Republic of Costa Rica (OJ L 224 16.8.2006, p. 22)),

- arrangement for the conservation and management of swordfish stocks in the south-east Pacific, negotiating mandate in progress,
- the Bering Sea Convention,
- COREP (Regional Fisheries Committee for the Gulf of Guinea),
- CSRP (West Africa Subregional Fisheries Commission),
- IOC (Indian Ocean Commission),
- other international organisations which could be identified in the context of the implementation of regional surveillance, fisheries and maritime governance programmes, in particular in West Africa and the Western Pacific.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6)(d) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

**Article 11 03 04 — European Union financial contribution to the bodies set up by the United Nations Convention on the Law of the Sea, 1982**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000	200 000	166 766	166 766	238 018,18	238 018,18

*Remarks*

This appropriation is intended to cover the European Union's financial contributions to the bodies set up by the United Nations Convention on the Law of the Sea, in particular the International Seabed Authority and the International Tribunal for the Law of the Sea.

*Legal basis*

Council Decision 98/392/EC of 23 March 1998 concerning the conclusion by the European Community of the United Nations Convention of 10 December 1982 on the Law of the Sea and the Agreement of 28 July 1994 relating to the implementation of Part XI thereof (OJ L 179, 23.6.1998, p. 1).

United Nations Convention on the Law of the Sea (OJ L 179, 23.6.1998, p. 3).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

**CHAPTER 11 04 — GOVERNANCE OF THE COMMON FISHERIES POLICY**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 04 <b>11 04 01</b>	Governance of the common fisheries policy <i>Closer dialogue with the fishing industry and those affected by the common fisheries policy</i>	2	5 390 000	4 877 000	6 400 000	5 641 866	5 965 400,61	5 222 818,80
	<b>Chapter 11 04 — Total</b>		<b>5 390 000</b>	<b>4 877 000</b>	<b>6 400 000</b>	<b>5 641 866</b>	<b>5 965 400,61</b>	<b>5 222 818,80</b>

**Article 11 04 01 — Closer dialogue with the fishing industry and those affected by the common fisheries policy**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 390 000	4 877 000	6 400 000	5 641 866	5 965 400,61	5 222 818,80

*Remarks*

This appropriation is intended to finance the following under the action plan for closer dialogue with the fishing industry and those affected by the common fisheries policy and the integrated maritime policy:

- grants to Regional Advisory Councils (RACs) to cover operational costs as well as interpretation and translation costs of RAC meetings,
- the implementation of measures to provide documentation about and explain the common fisheries policy aimed at the fishing industry and those affected by the common fisheries policy, as well as the integrated maritime policy.

The Commission will continue supporting the functioning of the RACs with a financial contribution and by participating in meetings, preparing relevant documents and ensuring that all RAC opinions are included when drafting new legislation. If the Commission does not include the RAC opinion or only parts of the opinion, the Commission should state why and where it deviates from the RAC opinion. The involvement of people working in fisheries and other interest groups in the common fisheries policy process will be strengthened, so that greater account of specific regional characteristics is taken.

Part of the appropriation is earmarked also for information and communication activities linked to the common fisheries policy and the integrated maritime policy as well as for communication activities addressed to the interested parties. Efforts will continue to be made to inform the stakeholders and the specialised media in the new Member States as well as in the countries joining the European Union in the forthcoming enlargement about the common fisheries policy and the integrated maritime policy.

Any revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Decision 2004/585/EC of 19 July 2004 establishing Regional Advisory Councils under the Common Fisheries Policy (OJ L 256, 3.8.2004, p. 17).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6)(d) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**CHAPTER 11 06 — EUROPEAN FISHERIES FUND (EFF)**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 06	European Fisheries Fund (EFF)							
<b>11 06 01</b>	<b>Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Objective 1 (2000 to 2006)</b>	2	p.m.	15 000 000	p.m.	21 334 787	0,—	323 976,81
<b>11 06 02</b>	<b>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</b>	2	p.m.	p.m.	p.m.	p.m.	0,—	172 858,60
<b>11 06 03</b>	<b>Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)</b>	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 06 04	Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Outside Objective 1 areas (2000 to 2006)	2	p.m.	5 000 000	p.m.	7 111 596	0,—	170 953,65
11 06 05	Completion of earlier programmes — Former Objective 5a (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
11 06 06	Completion of earlier programmes — Initiatives prior to 2000	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
11 06 08	Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
11 06 09	Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
11 06 11	European Fisheries Fund (EFF) — Operational technical assistance	2	3 500 000	2 600 000	3 868 963	3 413 566	3 044 489,59	3 507 870,95
11 06 12	European Fisheries Fund (EFF) — Convergence objective	2	519 652 868	375 000 000	507 543 231	341 356 590	496 297 184,00	320 405 478,47
11 06 13	European Fisheries Fund (EFF) — Non-convergence objective	2	163 154 844	125 000 000	159 986 289	113 785 530	156 907 301,00	120 503 929,91
	<b>Chapter 11 06 — Total</b>		<b>686 307 712</b>	<b>522 600 000</b>	<b>671 398 483</b>	<b>487 002 069</b>	<b>656 248 974,59</b>	<b>445 085 068,39</b>

#### Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in Item 6 5 0 0 of the statement of revenue. That revenue may give rise to the provision of additional appropriations, in accordance with Article 18 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

Regulation (EC) No 1260/1999 determines the conditions for repayment of an advance without having the effect of reducing the contribution from the Structural Funds towards the assistance concerned. Any revenue from the repayment of advances entered in Item 6 1 5 7 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation.

Measures to combat fraud are funded under Article 24 02 01.

#### Legal basis

Treaty on the Functioning of the European Union, in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (EFF) (OJ L 223, 15.8.2006, p. 1).

#### Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

### **Article 11 06 01 — Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Objective 1 (2000 to 2006)**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	15 000 000	p.m.	21 334 787	0,—	323 976,81

### Remarks

This appropriation is intended to cover the financing, by the FIFG, of Objective 1 commitments remaining to be settled from the 2000-2006 programming period.

### Legal basis

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

## **Article 11 06 02 — Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	172 858,60

### Remarks

The special programme for peace and reconciliation is intended to cover commitments remaining to be settled from the 2000-2006 programming period.

### Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 2(4) thereof.

### Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

## **Article 11 06 03 — Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

### Remarks

This appropriation is intended to cover the financing, by the FIFG of commitments remaining to be settled from previous programming periods in respect of former Objectives 1 and 6.

### Legal basis

Council Regulation (EEC) No 4028/86 of 18 December 1986 on Community measures to improve and adapt structures in the fisheries and aquaculture sector (OJ L 376, 31.12.1986, p. 7).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EC) No 2468/98 of 3 November 1998 laying down the criteria and arrangements regarding Community structural assistance in the fisheries and aquaculture sector and the processing and marketing of its products (OJ L 312, 20.11.1998, p. 19).

**Article 11 06 04 — Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Outside Objective 1 areas (2000 to 2006)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	5 000 000	p.m.	7 111 596	0,—	170 953,65

*Remarks*

This appropriation is intended to cover the financing, by the FIFG, of commitments remaining to be settled from the 2000-2006 programming period, in respect of areas falling outside the scope of Objective 1.

*Legal basis*

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

**Article 11 06 05 — Completion of earlier programmes — Former Objective 5a (prior to 2000)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing, by the FIFG, of commitments remaining to be settled from earlier programming periods in respect of former Objective 5a 'Fisheries', including measures funded pursuant to Article 4 of Regulation (EEC) No 2080/93.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EC) No 2468/98 of 3 November 1998 laying down the criteria and arrangements regarding Community structural assistance in the fisheries and aquaculture sector and the processing and marketing of its products (OJ L 312, 20.11.1998, p. 19).

## Article 11 06 06 — Completion of earlier programmes — Initiatives prior to 2000

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

### Remarks

This appropriation is intended to cover the financing, by the FIG, of the commitments remaining to be settled from Community initiatives preceding the 2000-2006 programming period.

### Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

### Reference acts

Commission notice to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (Pesca) (OJ C 180, 1.7.1994, p. 1).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (Regis II) (OJ C 180, 1.7.1994, p. 44).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (Peace I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (Peace I) (COM(97) 642 final).

## Article 11 06 08 — Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

### Remarks

This appropriation is intended to cover the settlement of commitments entered into, in earlier programming periods, by the FIG in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the Regulations concerned. It also funds the former multiannual measures, in particular those approved and implemented under the other abovementioned regulations, which are not covered by the priority objectives of the Structural Funds. This appropriation will also be used if necessary to cover financing due under the FIG for measures for which the corresponding commitment appropriations are not available or provided for in programming for 2000 to 2006.

### Legal basis

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 3760/92 of 20 December 1992 establishing a Community system for fisheries and aquaculture (OJ L 389, 31.12.1992, p. 1).

Council Regulation (EEC) No 2847/93 of 12 October 1993 establishing a control system applicable to the common fisheries policy (OJ L 261, 20.10.1993, p. 1).

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

### **Article 11 06 09 — Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

#### Remarks

This appropriation is intended to cover the specific measure aimed at promoting the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco.

Following the sinking of the *Prestige*, EUR 30 000 000 was allocated to specific measures to compensate the fishermen and the shellfish culture and aquaculture industries affected by the oil spills.

#### Legal basis

Council Regulation (EC) No 2561/2001 of 17 December 2001 aiming to promote the conversion of fishing vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco (OJ L 344, 28.12.2001, p. 17).

Council Regulation (EC) No 2372/2002 of 20 December 2002 instituting specific measures to compensate the Spanish fisheries, shellfish industry and aquaculture, affected by the oil spills from the *Prestige* (OJ L 358, 31.12.2002, p. 81).

### **Article 11 06 11 — European Fisheries Fund (EFF) — Operational technical assistance**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 500 000	2 600 000	3 868 963	3 413 566	3 044 489,59	3 507 870,95

#### Remarks

This appropriation is intended to cover EFF technical assistance measures as provided for in Article 46 of Regulation (EC) No 1198/2006. The technical assistance measures include studies, evaluations, measures aimed at the partners, measures to disseminate information, installation, operation and interconnection of computerised systems for the management, monitoring, audit, inspection

and evaluation, improvements in evaluation methods and the exchange of information on practices in this field and the establishment of trans-national and Union networks of actors in the sustainable development of coastal fishing zones.

Technical assistance covers preparatory, monitoring, audit, evaluation, supervision and management measures required to implement the EFF.

This appropriation may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services,
- support for networking and exchange of best practices.

*Legal basis*

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

**Article 11 06 12 — European Fisheries Fund (EFF) — Convergence objective**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
519 652 868	375 000 000	507 543 231	341 356 590	496 297 184,00	320 405 478,47

*Remarks*

This appropriation is intended to cover the operational programmes of the European Fisheries Fund (EFF) convergence objective for the 2007-2013 programming period.

Particular importance will be attached to the economic diversification of regions affected by a reduction in fishing activity, to the adjustment of fleet capacity and to fleet renewal, without involving an increase in fishing efforts, as well as to the sustainable development of the fishing zones.

Actions financed under this article should take into account the need to maintain a stable and enduring balance between the capacity of the fishing fleets and the resources available, and the need to promote a safety culture within fishing.

This appropriation is also intended to cover the financing of actions to improve fishing gear selectivity.

*Legal basis*

Council Regulation (EC) 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

**Article 11 06 13 — European Fisheries Fund (EFF) — Non-convergence objective**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
163 154 844	125 000 000	159 986 289	113 785 530	156 907 301,00	120 503 929,91

*Remarks*

This appropriation is intended to cover EFF measures without convergence objectives as regards commitments relating to the 2007-2013 programming period.

Particular importance will be attached to the economic diversification of regions affected by a reduction in fishing activity and to fleet renewal, without involving an increase in fishing efforts, as well as to the sustainable development of the fishing zones.

Actions financed under this article should take into account the need to promote a safety culture within fishing.

This appropriation is also intended to cover the financing of actions to improve fishing gear selectivity.

*Legal basis*

Council Regulation (EC) 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

## CHAPTER 11 07 — CONSERVATION, MANAGEMENT AND EXPLOITATION OF LIVING AQUATIC RESOURCES

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 07	Conservation, management and exploitation of living aquatic resources							
<i>11 07 01</i>	<i>Support for the management of fishery resources (collection of basic data)</i>	2	46 000 000	35 500 000	47 500 000	38 307 795	48 178 319,74	34 285 452,85
<i>11 07 02</i>	<i>Support for the management of fishery resources (improvement of scientific advice)</i>	2	5 200 000	4 000 000	4 260 000	3 318 745	4 160 541,00	2 351 478,60
<i>11 07 03</i>	<i>Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders</i>	2	—	750 000	1 500 000	750 000		
<b>Chapter 11 07 — Total</b>			<b>51 200 000</b>	<b>40 250 000</b>	<b>53 260 000</b>	<b>42 376 540</b>	<b>52 338 860,74</b>	<b>36 636 931,45</b>

### *Article 11 07 01 — Support for the management of fishery resources (collection of basic data)*

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
46 000 000	35 500 000	47 500 000	38 307 795	48 178 319,74	34 285 452,85

*Remarks*

This appropriation covers:

- the Union financial contribution to the expenditure incurred by Member States for the collection, management and use of data in the framework of multiannual national programmes,
- the financing of studies and pilot projects carried out by the Commission, where appropriate in cooperation with the Member States, necessary for the conservation, management and exploitation of living aquatic resources in the framework of the common fisheries policy.

*Legal basis*

Council Regulation (EC) No 1543/2000 of 29 June 2000 establishing a Community framework for the collection and management of the data needed to conduct the common fisheries policy (OJ L 176, 15.7.2000, p. 1).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Council Regulation (EC) No 199/2008 of 25 February 2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 60, 5.3.2008, p. 1).

*Reference acts*

Commission Regulation (EC) No 665/2008 of 14 July 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 199/2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 186, 15.7.2008, p. 3).

Commission Regulation (EC) No 1078/2008 of 3 November 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States for the collection and management of the basic fisheries data (OJ L 295, 4.11.2008, p. 24).

**Article 11 07 02 — Support for the management of fishery resources (improvement of scientific advice)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 200 000	4 000 000	4 260 000	3 318 745	4 160 541,00	2 351 478,60

*Remarks*

This appropriation covers:

- expenditure for partnership contracts with national research institutions for the provision of scientific advice,
- expenditure for administrative arrangements with the Joint Research Centre, or any other Union consultative body, to provide the secretariat for the Scientific, Technical and Economic Committee for Fisheries (STECF), to conduct the pre-analysis of the data and to prepare the data to evaluate the situation of the fisheries resources,
- indemnities paid to the members of the STECF, and/or the experts invited by the STECF for their participation and work performed during the working groups and plenary sessions,
- indemnities paid to independent experts providing the Commission with scientific advice, or providing training to administrators or stakeholders in the interpretation of the scientific advice,
- contributions to international bodies in charge of stocks assessments and for providing scientific advice.

*Legal basis*

Council Regulation (EC) No 1543/2000 of 29 June 2000 establishing a Community framework for the collection and management of the data needed to conduct the common fisheries policy (OJ L 176, 15.7.2000, p. 1).

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

*Reference acts*

Commission Decision 2005/629/EC of 26 August 2005 establishing a Scientific, Technical and Economic Committee for Fisheries (OJ L 225, 31.8.2005, p. 18).

**Article 11 07 03 — Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	750 000	1 500 000	750 000	

*Remarks*

This appropriation is intended to cover the financing of a pilot project to develop collaborative research between scientists and stakeholders and tools for a common governance and sustainable fisheries management. The common fisheries policy reform presented in July 2011 identifies a number of priorities aimed at achieving ecological sustainability as well as orientating governance of fisheries towards a regionalised implementation of principles, defined at Union level. Regulations (EC) No 2371/2002 (OJ L 358, 31.12.2002, p. 59) and (EC) No 1967/2006 (OJ L 409, 30.12.2006, p. 11) set out the need to adopt management plans at national and Union levels. Those management plans should be focused mostly on basins with limited international waters and bordered by both

Member States and third countries; basins where the exchange of information and experience among countries has already been undertaken, within multilateral operating entities, and basins where morphological, environmental and economic conditions allow a comparison of similar experiences, according to common parameters. As a good example, the north-central Adriatic area, corresponding to the geographical sub-area (GSA) 17 of FAO classification, demonstrates all three abovementioned basin characteristics.

The objective of this pilot project is to foster collaborative policy-oriented research in the fisheries sector involving scientists and stakeholders, particularly fishermen, at the geographical level of sea-basins and fisheries and aiming at improving the scientific knowledge underpinning decisions on fisheries management strategies and options.

The following tasks could be suitable for support under such a pilot framework:

- collecting, storing and analysing of qualitative and quantitative data and information which would appear to be necessary to carry out assessments on fish stock and fisheries and which would be complementary to those that are compulsory under the Data Collection Framework; to that end, creating an important tool to support decisions of the Union and national governments through the construction of a Geographic Informative System (GIS); with this tool it will be possible to develop models, which should represent best practice, hereby facilitating the activities of regional planning and governance of the basins; the project seeks to ensure the implementation and updating of the GIS, at various stages of acquisition, loading, processing and analysis of data, with definition of procedures for extraction and consultation of the basic components, using all data available from every level, nationally and regionally; the basic requirement of the GIS to unify existing databases held by different governments in order to improve the interconnection and the flow of information now and in the future; the dissemination and access to different levels and contents of the geodatabase will be determined and adjusted on indications of the European Commission: any authorised entity may have a series of information that allow evaluating the temporal variation of different parameters,
- building methodologies, models, approaches, etc. allowing improvements in assessment of fish stocks, assessment of fisheries and evaluation and assessment of management options, and/or further developments to make an ecosystem approach to fisheries management operational and operable,
- running evaluation and assessment processes, particularly when backing the design and the follow-up of multiannual management plans,
- building up of forums where scientists and fishermen can exchange knowledge in order to reduce the gap between their diverging approaches.

The pilot project should fulfil some specific conditions, such as:

- being built at sea-basin or fishery levels,
- favouring an integrated approach among scientific fields linked to ecology, biology, technology and humanities,
- favouring an inclusive, collaborative and iterative way of working,
- being oriented towards results that are likely to be directly usable in the scientific expertise process and allowing policymakers to make relevant and reliable choices in the decision-making process,
- not being duplicated with research projects or studies already funded by or eligible for funding by the Seventh Framework programme (FP7) or DG MARE's scientific studies programme.

#### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **CHAPTER 11 08 — CONTROL AND ENFORCEMENT OF THE COMMON FISHERIES POLICY**

### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 08	Control and enforcement of the common fisheries policy							
<b>11 08 01</b>	<b><i>Financial contribution to the Member States for expenses in the field of control</i></b>	2	46 330 000	23 350 000	47 430 000	23 894 961	45 529 850,00	28 021 980,03
<b>11 08 02</b>	<b><i>Inspection and surveillance of fishing activities in EU waters and elsewhere</i></b>	2	2 600 000	2 400 000	2 300 000	2 180 889	2 138 037,28	1 931 238,22

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>11 08 05</b>	<b>European Fisheries Control Agency (EFCA)</b>							
11 08 05 01	European Fisheries Control Agency (EFCA) — Contribution to Titles 1 and 2	2	7 311 359	7 311 359	7 337 359	7 337 359	7 280 000,00	7 280 000,00
11 08 05 02	European Fisheries Control Agency (EFCA) — Contribution to Title 3	2	1 622 541	1 622 541	1 693 541	2 693 541	5 570 000,00	4 570 000,00
	<i>Article 11 08 05 — Subtotal</i>		8 933 900	8 933 900	9 030 900	10 030 900	12 850 000,00	11 850 000,00
	<b>Chapter 11 08 — Total</b>		<b>57 863 900</b>	<b>34 683 900</b>	<b>58 760 900</b>	<b>36 106 750</b>	<b>60 517 887,28</b>	<b>41 803 218,25</b>

### ***Article 11 08 01 — Financial contribution to the Member States for expenses in the field of control***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
46 330 000	23 350 000	47 430 000	23 894 961	45 529 850,00	28 021 980,03

#### *Remarks*

This appropriation is intended to cover expenditure incurred by Member States in implementing the monitoring and control systems applicable to the common fisheries policy for:

- investments relating to control activities carried out by administrative bodies or by the private sector including implementation of new control technologies such as electronic recording systems (ERS), vessel monitoring systems (VMS), automatic identification systems (AIS) connected with vessels detection systems (VDS) and the purchase and modernisation of control means,
- training and exchange programmes for civil servants responsible for monitoring control and surveillance tasks in the fisheries area,
- implementation of pilot inspection and observer schemes,
- cost/benefit analysis, assessment of expenditure and audits incurred by competent authorities in carrying out monitoring, control and surveillance,
- initiatives, including seminars and media tools, aimed at enhancing awareness both among fishermen and other players such as inspectors, public prosecutors and judges, and among the general public of the need to fight irresponsible and illegal fishing and on the implementation of the rules of the common fisheries policy,
- implementation of systems and procedures to enable traceability and instruments to control fleet capacity through the monitoring of engine power,
- pilot projects such as CCTV (closed circuit television).

#### *Legal basis*

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999 (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

#### *Reference acts*

Commission Regulation (EC) No 391/2007 of 11 April 2007 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the Common Fisheries Policy (OJ L 97, 12.4.2007, p. 30).

Commission implementing Regulation (EU) No 404/2011 of 8 April 2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ L 112, 30.4.2011, p. 1).

### **Article 11 08 02 — Inspection and surveillance of fishing activities in EU waters and elsewhere**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 600 000	2 400 000	2 300 000	2 180 889	2 138 037,28	1 931 238,22

#### *Remarks*

This appropriation is intended to cover expenditure incurred by the Commission in fulfilling its mandate to apply, to verify and to audit the implementation of the common fisheries policy rules.

It covers administrative expenditure, which includes the cost of verification, inspection and audit missions to control and evaluate the application of the rules of the CFP, meetings of experts, Commission officials' equipment, information technology (including the setting-up and management of computerised databases) studies and training.

#### *Legal basis*

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing (OJ L 286, 29.10.2008, p. 1).

#### *Reference acts*

Commission implementing Regulation (EU) No 404/2011 of 8 April 2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ L 112, 30.4.2011,p. 1).

### **Article 11 08 05 — European Fisheries Control Agency (EFCA)**

Item 11 08 05 01 — European Fisheries Control Agency (EFCA) — Contribution to Titles 1 and 2

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 311 359	7 311 359	7 337 359	7 337 359	7 280 000,00	7 280 000,00

#### *Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in the Part entitled 'Establishment plan staff' of Section III — Commission.

*Legal basis*

Council Regulation (EC) No 768/2005 of 26 April 2005 establishing a Community Fisheries Control Agency (OJ L 128, 21.5.2005, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

*Reference acts*

Commission Decision 2009/988/EU of 18 December 2009 designating the Community Fisheries Control Agency as the body to carry out certain tasks under Council Regulation (EC) No 1005/2008 (OJ L 338, 19.12.2009, p. 104).

Item 11 08 05 02 — European Fisheries Control Agency (EFCA) — Contribution to Title 3

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 622 541	1 622 541	1 693 541	2 693 541	5 570 000,00	4 570 000,00

*Remarks*

This appropriation is intended to cover the Agency's operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1) (f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The European Union contribution for 2013 amounts to a total of EUR 9 216 900. An amount of EUR 283 000, coming from the recovery of surplus, is added to the amount of EUR 8 933 900 entered in the budget.

*Legal basis*

Council Regulation (EC) No 768/2005 of 26 April 2005 establishing a Community Fisheries Control Agency (OJ L 128, 21.5.2005, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

*Reference acts*

Commission Decision 2009/988/EU of 18 December 2009 designating the Community Fisheries Control Agency as the body to carry out certain tasks under Council Regulation (EC) No 1005/2008 (OJ L 338, 19.12.2009, p. 104).

## CHAPTER 11 09 — MARITIME POLICY

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 09	Maritime policy							

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>11 09 01</b>	<b>Preparatory action — Maritime policy</b>	2	—	345 000	—	2 600 000	0,—	4 417 827,59
<b>11 09 02</b>	<b>Pilot project — Networking and best practices in maritime policy</b>	2	—	—	—	2 200 000	0,—	1 072 754,39
<b>11 09 05</b>	<b>Programme to support the further development of an integrated maritime policy (IMP)</b>	2	—	15 240 000	16 560 000	2 370 532	0,—	0,—
<b>11 09 06</b>	<b>Preparatory action — Guardians of the Sea</b>	2	1 200 000	600 000				
	<b>Chapter 11 09 — Total</b>		<b>1 200 000</b>	<b>16 185 000</b>	<b>16 560 000</b>	<b>7 170 532</b>	<b>0,—</b>	<b>5 490 581,98</b>

### **Article 11 09 01 — Preparatory action — Maritime policy**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	345 000	—	2 600 000	0,—	4 417 827,59

#### *Remarks*

This appropriation is intended to cover study work needed to underpin the developing maritime policy with sound facts and analyses, as far as there are gaps and as far as this is necessary as a basis for impact assessment and the preparation of future policy decisions. This is notably the case regarding socio-economic and legal matters in the maritime domain.

Three cross-cutting areas have been identified so far for potential future action: ‘Maritime spatial planning’, ‘Integration and convergence of marine data collection systems’ and ‘Maritime surveillance’. This appropriation is also intended to cover expenditure for carrying out feasibility studies with a view to elaborating future proposals in these areas.

This appropriation is also intended to promote integration of various systems for the monitoring and surveillance of the seas, collate scientific data on the sea, and disseminate networks and best practices in the field of maritime policy and the coastal economy.

#### *Legal basis*

Preparatory actions within the meaning of Article 49(6)(b) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 11 09 02 — Pilot project — Networking and best practices in maritime policy**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	2 200 000	0,—	1 072 754,39

#### *Remarks*

This appropriation is intended to cover the promotion of pilot projects seeking to integrate various systems for the monitoring and surveillance of the seas, collate scientific data on the sea, and disseminate networks and best practices in the field of maritime policy and the coastal economy.

#### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 11 09 05 — Programme to support the further development of an integrated maritime policy (IMP)

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	15 240 000	16 560 000	2 370 532	0,—	0,—

### Remarks

This appropriation is intended to cover expenditure arising from the programme to support the further development of the integrated maritime policy.

This appropriation is also intended to cover, inter alia:

- the European Marine Observation and Data Network,
- implementation of the road map for the Common Information Sharing Environment,
- pilot studies on cross-border maritime spatial planning,
- information technology applications such as the maritime forum and the European Atlas of the Seas,
- events and conferences,
- studies, to be carried out at a European and sea-basin scale, in order to identify barriers to growth, assess new opportunities and determine human impact on the marine environment.

### Legal basis

Regulation (EU) No 1255/2011 of the European Parliament and of the Council of 30 November 2011 establishing a Programme to support the further development of an Integrated Maritime Policy (OJ L 321, 5.12.2011, p. 1).

## Article 11 09 06 — Preparatory action — Guardians of the Sea

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	600 000				

### Remarks

This appropriation is intended to be used to:

- assess the feasibility for making the best possible use of active fishing vessels which need to be decommissioned from the Community fleet and of fishermen's' experience and practical know-how to benefit both of the fishermen themselves and society at large;
- test under close to real-life conditions the technical and economical viability of redeploying — from fishing to maritime activities — individuals who have been active in the sector as crew members and who can no longer make their living from fishing and possess maritime experience and knowledge at risk of being lost in case alternative sources of income are sought in land-based activities;
- test under close to real-life conditions the feasibility of converting fishing vessels into vessels operating as a platform for a number of environmental and maritime activities outside fishing, in particular collecting marine litter;
- identify under close to real-life conditions the necessary operating costs of a vessel operating under such conditions and the potential sources of funding; however, any such funding should be limited to supporting the start-up of activities that are self-sustaining in the long term;
- identify the appropriate training needed for fishermen in order to perform new duties and achieve useful results;
- support reduction of fishing capacity in line with CFP reform objectives by providing positive incentives for vessel-owners and fishermen who leave the sector and encouraging them to find/develop alternative activities at sea and/or in coastal areas;
- encourage activities complementing fishing for those fishermen staying in the sector;

- identify the necessary administrative and legal framework for collaborating with the relevant authorities and/or relevant administrative bodies and for coordinating 'Guardians of the Sea' activities with them;
- test under close to real-life conditions the implementation of the 'Guardians of the Sea' concept in the next programming period.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## TITLE 12 — INTERNAL MARKET

*Figures*

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
12 01	Administrative expenditure of the 'Internal market' policy area		63 759 472	63 759 472	60 414 994	60 414 994	62 091 408,30	62 091 408,30
	40 01 40		895 200	895 200	97 284	97 284		
			64 654 672	64 654 672	60 512 278	60 512 278		
12 02	Internal market policy	1	11 800 000	11 655 000	11 250 000	9 980 984	12 414 857,23	10 924 869,40
12 04	Free movement of capital, company law and corporate governance	1	28 666 000	28 116 000	29 181 000	27 124 506	23 474 000,00	23 122 516,00
	<b>Title 12 — Total</b>		<b>104 225 472</b>	<b>103 530 472</b>	<b>100 845 994</b>	<b>97 520 484</b>	<b>97 980 265,53</b>	<b>96 138 793,70</b>
	40 01 40		895 200	895 200	97 284	97 284		
	Total including reserves		105 120 672	104 425 672	100 943 278	97 617 768		

## CHAPTER 12 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET' POLICY AREA

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
12 01	Administrative expenditure of the 'Internal market' policy area				
12 01 01	<i>Expenditure related to staff in active employment in the 'Internal market' policy area</i>	5	49 947 722	46 765 864	47 893 595,70
	40 01 40		866 075		
			50 813 797		
12 01 02	<i>External staff and other management expenditure in support of the 'Internal market' policy area</i>				
12 01 02 01	External staff	5	6 424 960	6 568 336	6 423 121,45
	40 01 40		29 125		
			6 454 085		
12 01 02 11	Other management expenditure	5	3 525 911	3 394 875	3 477 999,74
	40 01 40			97 284	
				3 492 159	
	<i>Article 12 01 02 — Subtotal</i>		9 950 871	9 963 211	9 901 121,19
	40 01 40		29 125	97 284	
			9 979 996	10 060 495	
12 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Internal market' policy area</i>	5	3 160 879	2 985 919	3 600 245,59
12 01 04	<i>Support expenditure for operations in the 'Internal market' policy area</i>				
12 01 04 01	Implementation and development of the internal market — Expenditure on administrative management	1.1	700 000	700 000	696 445,82
	<i>Article 12 01 04 — Subtotal</i>		700 000	700 000	696 445,82
	<b>Chapter 12 01 — Total</b>		<b>63 759 472</b>	<b>60 414 994</b>	<b>62 091 408,30</b>
	40 01 40		895 200	97 284	
	Total including reserves		64 654 672	60 512 278	

**Article 12 01 01 — Expenditure related to staff in active employment in the ‘Internal market’ policy area**

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
12 01 01	49 947 722	46 765 864	47 893 595,70
40 01 40	866 075		
Total	50 813 797	46 765 864	47 893 595,70

**Article 12 01 02 — External staff and other management expenditure in support of the ‘Internal market’ policy area**

Item 12 01 02 01 — External staff

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
12 01 02 01	6 424 960	6 568 336	6 423 121,45
40 01 40	29 125		
Total	6 454 085	6 568 336	6 423 121,45

Item 12 01 02 11 — Other management expenditure

Figures

	Budget 2013	Appropriations 2012	Outturn 2011
12 01 02 11	3 525 911	3 394 875	3 477 999,74
40 01 40		97 284	
Total	3 525 911	3 492 159	3 477 999,74

**Article 12 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Internal market’ policy area**

Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 160 879	2 985 919	3 600 245,59

**Article 12 01 04 — Support expenditure for operations in the ‘Internal market’ policy area**

Item 12 01 04 01 — Implementation and development of the internal market — Expenditure on administrative management

Figures

Budget 2013	Appropriations 2012	Outturn 2011
700 000	700 000	696 445,82

## Remarks

This appropriation is intended to cover expenditure on studies, surveys, meetings of experts, information, activities and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

## Legal basis

See Article 12 02 01.

## CHAPTER 12 02 — INTERNAL MARKET POLICY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
12 02	Internal market policy							
12 02 01	<i>Implementation and development of the internal market</i>	1.1	7 100 000	8 000 000	8 800 000	7 167 283	10 086 203,02	9 330 349,14
12 02 02	<i>Internal Market Governance Tools</i>	1.1	1 500 000	950 000	p.m.	1 088 701	1 293 240,64	1 102 619,35
12 02 03	<i>Pilot project — Single Market Forum</i>	1.1	—	855 000	1 200 000	600 000	1 035 413,57	491 900,91
12 02 04	<i>Pilot project — Capacity building of end-users and other non-industry stakeholders for Union policymaking in the area of financial services</i>	1.1	1 500 000	1 000 000	1 250 000	1 125 000		
12 02 05	<i>Preparatory action — Single Market Forum</i>	1.1	1 200 000	600 000				
12 02 06	<i>Pilot project — The promotion of employee ownership and participation</i>	1.1	500 000	250 000				
<b>Chapter 12 02 — Total</b>			<b>11 800 000</b>	<b>11 655 000</b>	<b>11 250 000</b>	<b>9 980 984</b>	<b>12 414 857,23</b>	<b>10 924 869,40</b>

### Article 12 02 01 — Implementation and development of the internal market

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 100 000	8 000 000	8 800 000	7 167 283	10 086 203,02	9 330 349,14

## Remarks

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion of the internal market and its operation and development, and measures contributing, in particular, to:

- greater proximity to citizens and businesses, including the development and strengthening of dialogue with citizens and businesses: via measures intended to make the operation of the internal market more effective, and to ensure that citizens and businesses are able to gain access to the most extensive rights and opportunities resulting from the opening up and deepening of the internal market without borders and able to exercise those rights and opportunities in full; and via monitoring and evaluation measures relating to the practical exercise by citizens and businesses of their rights and opportunities with a view to identifying and facilitating the removal of any obstacles which may be preventing them from exercising those rights in full,
- implementing and monitoring the provisions governing public contracts with a view to ensuring their optimum operation and the genuine openness of tenders, including the awareness-raising and training of the various parties to these contracts; the introduction and use of new technologies in the various fields of operation of these contracts; the continuous adaptation of the

legislative and regulatory framework in the light of developments arising from these contracts, particularly the globalisation of markets and existing or future international agreements,

- improvement, through the European business test panel (EBTP), of the legal environment for citizens and businesses, for which promotion activities, awareness-raising and training actions could be envisaged; fostering cooperation, development and coordination of legislation in the field of company law and assistance with the creation of European limited companies and European economic interest groupings,
- strengthening administrative cooperation through, inter alia, the Internal Market Information System (IMI), the deepening of knowledge of internal market legislation in the Member States and its sound application by them, and support for administrative cooperation between the authorities responsible for implementing legislation relating to the internal market with a view to achieving the Lisbon strategic goals as set out in the annual policy strategy,
- the setting-up of a system that can effectively and efficiently deal with problems faced by citizens or businesses, arising from the misapplication of internal market legislation by a public administration in another Member State; production of feedback information through the Solvit system by use of an online database system which is accessible to all coordination centres and which will also be made accessible to citizens and businesses; support for the initiative through training measures, promotion campaigns and targeted actions with a particular focus on the new Member States,
- interactive policymaking (IPM), in so far as it concerns the completion, development and operation of the internal market, makes up part of the Commission's governance and of the regulatory policy initiatives to better respond to the demands of citizens, consumers and business. The appropriations entered under this item will also cover training, awareness-raising and network actions to the benefit of such participants with a view to making Union policymaking on the internal market more comprehensive and effective, and as part of the process of assessing the actual impact of internal market policies (or the lack of them) on the ground,
- a comprehensive review of regulations with a view to making necessary changes and producing an overall analysis of the effectiveness of the measures taken with a view to the sound operation of the internal market and the evaluation of the overall impact of the internal market on businesses and the economy, including the purchase of data and access by Commission departments to external databases, as well as targeted actions aimed at improving understanding of the functioning of the internal market and rewarding active participation in fostering the functioning of the internal market,
- guaranteeing the completion and management of the internal market, especially in the fields of pensions, free movement of services, recognition of professional qualifications, and intellectual and industrial property: the development of proposals for putting in place a Union patent,
- broadening the strategy regarding the development of statistics on service sectors and statistical development projects in cooperation with Eurostat and the Organisation for Economic Cooperation and Development (OECD),
- monitoring the effects of removing obstacles to the internal market for services,
- the development of a unified area for security and defence, with action working towards the coordination of public procurement procedures for these products at Union level; appropriations may cover devising studies and awareness-raising measures regarding the application of the legislation adopted,
- strengthening and developing financial and capital markets and financial services provided to business and private individuals; adapting the market framework especially as regards the monitoring and regulation of the activities of operators and of transactions, so as to take account of changes at Union and world levels, of the reality of the euro and of new financial instruments, by putting forward new initiatives intended to consolidate, and provide a detailed analysis of, the results of the first Financial Services Action Plan,
- improvement of payment systems and retail financial services in the internal market; reduction in the cost of, and time needed for, such transactions, taking into account the internal market dimension; development of the technical aspects so as to establish one or more payment systems on the basis of the follow-up in respect of Commission communications; carrying out studies in this area,
- developing and strengthening the external aspects of the directives applicable in respect of financial institutions, the mutual recognition of financial instruments vis-à-vis third countries, international negotiations and assistance for third countries in establishing a market economy,
- implementing the many measures put forward in the Action Plan on Company Law and Corporate Governance which could lead to studies on a number of targeted subjects, with a view to drawing up the necessary legislative proposals,
- analysis of the effect of measures in place as part of the follow-up to the progressive liberalisation of postal services, coordination of Union policies on postal services with regard to international systems and in particular with regard to participants in Universal Postal Union (UPU) activities; cooperation with central and eastern European countries; practical implications of the application of the General Agreement on Trade (GATS) provisions to the postal sector and overlap with UPU regulations,

- implementing of the law of the Union and international provisions in the field of money laundering, including participation in intergovernmental or ad hoc measures in this field; contributions relating to Commission participation as a member of the Financial Action Task Force (FATF) on money laundering created under the OECD,
- active participation in meetings held by international associations such as the International Association of Insurance Supervisors (IAIS/AICA) and the International Organisation of Securities Commission (IOSCO); this also comprises expenses related to the Commission's participation as a member of the group,
- development of evaluations and impact studies on the various aspects of the policies covered by this chapter for the purpose of devising new measures or revising existing measures relating to them,
- creation and maintenance of systems directly linked to putting into place and monitoring policies launched within the framework of the internal market for services,
- support of activities which seek to contribute to the achievement of the Union's policy objectives by enhancing supervisory convergence and cooperation, and in the field of financial reporting, both inside and outside the Union.

In order to achieve these objectives, this appropriation covers the costs of consultation, studies, surveys, evaluations, participation, production and the development of publicity, awareness-raising and training materials (printed matter, audiovisual material, assessments, computer tools, the collection and dissemination of information, information and advice measures for citizens and businesses).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation is intended to cover expenditure incurred by the Commission for ensuring the effective functioning of the European Counterfeiting and Piracy Observatory.

These appropriations are also intended for setting up a central coordination body assisting the Member States with market surveillance cooperation, building on existing structures and experience. This coordination body would support the cooperation, pooling of know-how and sharing of best practices between Member States to ensure the same high level of market surveillance throughout the European Union, in accordance with Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products (OJ L 218, 13.8.2008, p. 30). To this end, regular joint trainings for representatives of national market surveillance authorities from all Member States will be organised, focusing on relevant practical aspects of market surveillance such as follow-up of complaints, monitoring accidents, verifying that corrective action has been taken, follow-up of scientific and technical knowledge concerning safety issues and coordination with customs authorities. Furthermore, exchanges of national officials and joint visit programmes will foster the exchange of experiences between Member States. In addition, comparative data on the resources dedicated to market surveillance in the different Member States will be collected and discussed at the appropriate level with national authorities. The aim is to create awareness for the adequate resources needed to guarantee efficient, comprehensive and consistent market surveillance throughout the internal market, and contribute to the upcoming revision of the Union product safety rules, particularly those concerning market surveillance, and the preparation of the follow-up to the Customs 2013 programme.

#### *Conditions for releasing the reserve*

The appropriations shall be released when the Commission delivers a detailed list of studies it will undertake under this budget line in 2013 and provides the method for calculating the amounts allocated for each study. The Commission shall also present a set of formal criteria for the selection of these studies.

#### *Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### *Reference acts*

Commission Communication of 18 June 2002 entitled 'A Methodological Note for the Horizontal Evaluation of Services of General Economic Interest' (COM(2002) 0331 final).

## Article 12 02 02 — Internal Market Governance Tools

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	950 000	p.m.	1 088 701	1 293 240,64	1 102 619,35

### Remarks

This appropriation is intended to cover expenditure arising in connection with measures for the management and development of Solvit and for the implementation of the single market assistance services action plan.

The Solvit system has proved to be one of the most effective out-of-court dispute settlement mechanisms.

It can effectively and efficiently deal with problems faced by citizens or businesses, arising as a result of the misapplication of internal market legislation by a public administration in another Member State. Furthermore, the Solvit system is able to produce feedback information by using an online database system which is accessible to all coordination centres and to which citizens and business can directly submit their problems.

However, Solvit like many other public assistance services relating to Union issues is still not widely known among potential users of the system. At the same time citizens and businesses are often very confused about the right address for their requests for information, assistance or problem solving. To remedy this, the Commission has announced, as part of the single market review, that it will endeavour to create a single web-based access point guiding citizens and business to the right service. In October 2010 the Commission launched a new website, Your Europe, which provides citizens and businesses with information, and sends them directly to relevant services such as Solvit in the event that they need help. Your Europe, together with the Europe Direct call centre, represents the single contact point for the Solvit system. The Commission shall inform the competent committee of the European Parliament in a yearly report about the frequency of use of the contact point and possible measures to further improve it.

Member States should be urged to take appropriate measures to notify their citizens about the provision of such a single contact point.

Moreover, in the Commission's action plan of 8 May 2008 on an integrated approach for providing Single Market Assistance Services to citizens and businesses, a range of actions was proposed to increase cooperation between the existing assistance services so that they can offer a better, faster and more streamlined overall service to citizens and businesses.

Promotion of all these services also needs to take place in a more coordinated way to avoid citizens and businesses being confused by too many brand names.

Additionally, the Commission shall inform the competent committee of the European Parliament about the concrete measures which have been undertaken in this field.

### Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 12 02 03 — Pilot project — Single Market Forum

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	855 000	1 200 000	600 000	1 035 413,57	491 900,91

### Remarks

The Single Market Forum (SMF) is an annual event organised by the Commission with the European Parliament, which takes place in the Member State holding the Union presidency, and brings together representatives of citizens, businesses and consumer organisations as well as representatives of the Member States and the Union institutions in order to improve coordination and better governance of the single market. The debates and workshops held during the SMF should deal with transposition, application and enforcement of single market legislation, and should allow for exchange of best practices between stakeholders and for informing citizens of their rights in the single market.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 12 02 04 — Pilot project — Capacity building of end-users and other non-industry stakeholders for Union policymaking in the area of financial services**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
1 500 000	1 000 000	1 250 000	1 125 000	

#### Remarks

The financial and economic crisis has significantly shaken the confidence of consumers, retail investors and SMEs in the regulations that are meant to protect them from failings in the financial system. Therefore, it is more essential than ever that the concerns of end users and other non-industry stakeholders are taken into account in designing initiatives that aim to restore citizens' confidence in the soundness of the financial sector and in the ability of financial integration to deliver concrete benefits to them.

This pilot project aims to enhance the capacity of civil society organisations to participate in Union policymaking in the area of financial services, with a view to providing policymakers with a counterweight to the lobbies of the financial services sector and inform the wider public of the issues at stake in the regulation of financial markets for consumers, end-users, retail investors and other non-industry stakeholders.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 12 02 05 — Preparatory action — Single Market Forum**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	600 000				

#### Remarks

The Single Market Forum should be an annual event, held preferably in the Member State which holds the EU Council Presidency, which could be preceded by a number of regional content-oriented preparatory events, organised jointly by the Commission, the European Parliament and the Member State which holds the EU Council Presidency. The event should be an important platform for the exchange of best practice between stakeholders, informing citizens about their rights in the single market and examining the state of the single market. It should bring together representatives of citizen, business and consumer organisations as well as representatives of the Member States and the EU institutions in order to establish a clear commitment to the transposition, application and enforcement of single market legislation. This should be a platform for discussing the Commission's legislative proposals in the area of the single market and for presenting the expectations of citizens, business and other stakeholders with regard to future legislative proposals. The aim of this event should also be to tackle incorrect transposition, misapplication and lack of enforcement of single market legislation by improving coordination and governance of the single market. A steering committee should be created, comprised of Members of the European Parliament and representatives of the Commission and the Council Presidency-in-Office at the time of the event, which should work out the organisational details for Single Market Forum.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 12 02 06 — Pilot project — The promotion of employee ownership and participation

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

### Remarks

This Pilot Project implements the main conclusions of the public hearing held in the European Parliament on 22nd of March 2012, which underlines the need to collect accurate data about the different national legislations on employee ownership and participation. This data collection will aim at setting up a European Center for Employee Ownership in each Member State, to deliver information, training and advice to employees, enterprises and the public in order to facilitate the promotion and implementation of appropriate legislation for better development of employee ownership and participation in the Union, which benefits to the society are underlined in the European Economic and Social Committee Own-Initiative Opinion Soc 371 on employee financial participation in Europe.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 12 04 — FREE MOVEMENT OF CAPITAL, COMPANY LAW AND CORPORATE GOVERNANCE

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
12 04	Free movement of capital, company law and corporate governance							
<b>12 04 01</b>	<b>Specific activities in the field of financial services, financial reporting and auditing</b>	1.1	7 650 000	7 600 000	7 500 000	5 443 506	7 350 000,00	6 998 516,00
<b>12 04 02</b>	<b>European Banking Authority</b>							
12 04 02 01	European Banking Authority — Contribution to Titles 1 and 2	1.1	7 474 000	7 274 000	7 099 000	7 099 000	3 956 600,00	3 956 600,00
12 04 02 02	European Banking Authority — Contribution to Title 3	1.1	1 071 000	1 071 000	1 200 000	1 200 000	1 116 400,00	1 116 400,00
	<i>Article 12 04 02 — Subtotal</i>		8 545 000	8 345 000	8 299 000	8 299 000	5 073 000,00	5 073 000,00
<b>12 04 03</b>	<b>European Insurance and Occupational Pensions Authority</b>							
12 04 03 01	European Insurance and Occupational Pensions Authority — Contribution to Titles 1 and 2	1.1	4 809 000	4 509 000	5 070 000	5 070 000	3 098 600,00	3 098 600,00
12 04 03 02	European Insurance and Occupational Pensions Authority — Contribution to Title 3	1.1	1 029 000	1 029 000	1 192 000	1 192 000	1 168 400,00	1 168 400,00
	<i>Article 12 04 03 — Subtotal</i>		5 838 000	5 538 000	6 262 000	6 262 000	4 267 000,00	4 267 000,00
<b>12 04 04</b>	<b>European Securities and Markets Authority</b>							
12 04 04 01	European Securities and Markets Authority — Contribution to Titles 1 and 2	1.1	5 433 000	5 433 000	5 838 477	5 838 477	5 264 000,00	5 264 000,00
12 04 04 02	European Securities and Markets Authority — Contribution to Title 3	1.1	1 200 000	1 200 000	1 281 523	1 281 523	1 520 000,00	1 520 000,00
	<i>Article 12 04 04 — Subtotal</i>		6 633 000	6 633 000	7 120 000	7 120 000	6 784 000,00	6 784 000,00
	<b>Chapter 12 04 — Total</b>		<b>28 666 000</b>	<b>28 116 000</b>	<b>29 181 000</b>	<b>27 124 506</b>	<b>23 474 000,00</b>	<b>23 122 516,00</b>

## **Article 12 04 01 — Specific activities in the field of financial services, financial reporting and auditing**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 650 000	7 600 000	7 500 000	5 443 506	7 350 000,00	6 998 516,00

### *Remarks*

The general objective of this programme is to improve the conditions for the functioning of the internal market by supporting the operations, activities or actions of certain bodies in the fields of financial services, financial reporting and auditing.

Union funding is vital in order to ensure the efficient and effective supervision of the internal market in financial services, particularly in view of the recent financial crisis.

The following activities are covered by the programme:

(a) activities supporting the implementation of Union policies aimed at supervisory convergence, in particular by means of training of personnel of national supervisory authorities and management of information technology projects in the field of financial services;

(b) activities developing or providing input to the development of standards, applying, assessing or monitoring standards or overseeing standard setting processes in support of the implementation of Union policies in the field of financial reporting and auditing.

### *Legal basis*

Decision No 716/2009/EC of the European Parliament and of the Council of 16 September 2009 establishing a Community programme to support specific activities in the field of financial services, financial reporting and auditing (OJ L 253, 25.9.2009, p. 8).

## **Article 12 04 02 — European Banking Authority**

### **Item 12 04 02 01 — European Banking Authority — Contribution to Titles 1 and 2**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 474 000	7 274 000	7 099 000	7 099 000	3 956 600,00	3 956 600,00

### *Remarks*

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 72) constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Banking Authority (EBA) is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the European Supervisory Authority (European Banking Authority), established by Regulation (EU) No 1093/2010, the European Supervisory Authority is to form part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

*Legal basis*

Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority) (OJ L 331, 15.12.2010, p. 12).

Item 12 04 02 02 — European Banking Authority — Contribution to Title 3

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 071 000	1 071 000	1 200 000	1 200 000	1 116 400,00	1 116 400,00

*Remarks*

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the European Supervisory Authority (European Banking Authority), established by Regulation (EU) No 1093/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's operational expenditure relating to the work programme (Title 3).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 9 872 000. An amount of EUR 1 327 000, corresponding to the recovery of surplus which stems from the Union contribution in 2011, is added to the amount of EUR 8 545 000 entered in the budget.

*Legal basis*

Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority) (OJ L 331, 15.12.2010, p. 12).

**Article 12 04 03 — European Insurance and Occupational Pensions Authority**

Item 12 04 03 01 — European Insurance and Occupational Pensions Authority — Contribution to Titles 1 and 2

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 809 000	4 509 000	5 070 000	5 070 000	3 098 600,00	3 098 600,00

### Remarks

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the European Supervisory Authority (European Insurance and Occupational Pensions Authority) established by Regulation (EU) No 1094/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Insurance and Occupational Pensions Authority (EIOPA) is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

### Legal basis

Regulation (EU) No 1094/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority) (OJ L 331, 15.12.2010, p. 48).

## Item 12 04 03 02 — European Insurance and Occupational Pensions Authority — Contribution to Title 3

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 029 000	1 029 000	1 192 000	1 192 000	1 168 400,00	1 168 400,00

### Remarks

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof as well as to the European Supervisory Authority (European Insurance and Occupational Pensions Authority) established by Regulation (EU) No 1094/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's operational expenditure relating to the work programme (Title 3).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 6 660 000. An amount of EUR 1 122 000, corresponding to the recovery of surplus which stems from the Union contribution in 2011, is added to the amount of EUR 5 538 000 entered in the budget.

### Legal basis

Regulation (EU) No 1094/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority) (OJ L 331, 15.12.2010, p. 48).

## Article 12 04 04 — European Securities and Markets Authority

### Item 12 04 04 01 — European Securities and Markets Authority — Contribution to Titles 1 and 2

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 433 000	5 433 000	5 838 477	5 838 477	5 264 000,00	5 264 000,00

#### Remarks

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the European Supervisory Authority (European Securities and Markets Authority), established by Regulation (EU) No 1095/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Securities and Markets Authority (ESMA) is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

### Legal basis

Regulation (EU) No 1095/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Securities and Markets Authority) ( OJ L 331, 15.12.2010, p. 84).

### Item 12 04 04 02 — European Securities and Markets Authority — Contribution to Title 3

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	1 200 000	1 281 523	1 281 523	1 520 000,00	1 520 000,00

#### Remarks

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to the European Supervisory Authority (European Securities and Markets Authority), established by Regulation (EU) No 1095/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS

is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the Authority's operational expenditure relating to the work programme (Title 3).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 8 357 400. An amount of EUR 1 724 400, corresponding to the recovery of surplus which stems from the Union contribution in 2011, is added to the amount of EUR 6 633 000 entered in the budget.

#### Legal basis

Regulation (EU) No 1095/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Securities and Markets Authority) ( OJ L 331, 15.12.2010, p. 84).

## TITLE 13 — REGIONAL POLICY

#### Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 01	Administrative expenditure of the 'Regional policy' policy area 40 01 40		88 792 579 1 036 838 89 829 417	88 792 579 1 036 838 89 829 417	89 624 810 16 463 89 641 273	89 624 810 16 463 89 641 273	86 609 307,40	86 609 307,40
13 03	European Regional Development Fund and other regional operations	1	30 400 278 699	28 192 434 163	29 611 464 423	28 955 431 887	28 699 290 053,43	25 840 063 410,00
13 04	Cohesion Fund	1	12 350 000 000	9 672 000 000	11 788 814 578	10 107 388 636	11 020 238 878,86	6 450 271 953,00
13 05	Pre-accession operations related to the structural policies		549 770 452	606 200 000	555 341 668	455 543 710	486 621 159,00	351 405 253,00
13 06	Solidarity Fund		p.m.	p.m.	688 254 041	688 254 041	201 935 540,00	268 827 080,00
<b>Title 13 — Total</b>			<b>43 388 841</b> <b>730</b>	<b>38 559 426</b> <b>742</b>	<b>42 733 499</b> <b>520</b>	<b>40 296 243</b> <b>084</b>	<b>40 494 694</b> <b>938,69</b>	<b>32 997 177</b> <b>003,40</b>
<b>40 01 40</b> <b>Total including reserves</b>			<b>1 036 838</b> <b>43 389 878 568</b>	<b>1 036 838</b> <b>38 560 463 580</b>	<b>16 463</b> <b>42 733 515 983</b>	<b>16 463</b> <b>40 296 259 547</b>		

## CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL POLICY' POLICY AREA

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
13 01	Administrative expenditure of the 'Regional policy' policy area				
13 01 01	Expenditure related to staff in active employment in the 'Regional policy' policy area 40 01 40	5	59 230 935 1 027 044 60 257 979	59 156 818	59 468 688,13
13 01 02	External staff and other management expenditure in support of the 'Regional policy' policy area				

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
13 01 02 01	External staff	5	2 095 476	2 137 197	2 532 676,52
	40 01 40		9 794		
			2 105 270		
13 01 02 11	Other management expenditure	5	3 101 813	3 094 285	3 398 608,09
	40 01 40			16 463	
				3 110 748	
	<i>Article 13 01 02 — Subtotal</i>		5 197 289	5 231 482	5 931 284,61
	40 01 40		9 794	16 463	
			5 207 083	5 247 945	
<b>13 01 03</b>	<b><i>Expenditure related to information and communication technology equipment and services of the 'Regional policy' policy area</i></b>	5	3 748 355	3 777 060	4 472 648,98
<b>13 01 04</b>	<b><i>Support expenditure for operations in the 'Regional policy' policy area</i></b>				
13 01 04 01	European Regional Development Fund (ERDF) — Expenditure on administrative management	1.2	11 300 000	11 600 000	9 373 091,67
13 01 04 02	Instrument for Pre-Accession Assistance (IPA) — Regional development component — Expenditure on administrative management	4	5 116 000	5 659 450	3 333 485,00
13 01 04 03	Cohesion Fund (CF) — Expenditure on administrative management	1.2	4 200 000	4 200 000	4 030 109,01
	<i>Article 13 01 04 — Subtotal</i>		20 616 000	21 459 450	16 736 685,68
	<b>Chapter 13 01 — Total</b>		<b>88 792 579</b>	<b>89 624 810</b>	<b>86 609 307,40</b>
	40 01 40		1 036 838	16 463	
	<b>Total including reserves</b>		<b>89 829 417</b>	<b>89 641 273</b>	

***Article 13 01 01 — Expenditure related to staff in active employment in the 'Regional policy' policy area***

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
13 01 01	59 230 935	59 156 818	59 468 688,13
40 01 40	1 027 044		
<b>Total</b>	<b>60 257 979</b>	<b>59 156 818</b>	<b>59 468 688,13</b>

***Article 13 01 02 — External staff and other management expenditure in support of the 'Regional policy' policy area***

Item 13 01 02 01 — External staff

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
13 01 02 01	2 095 476	2 137 197	2 532 676,52
40 01 40	9 794		
<b>Total</b>	<b>2 105 270</b>	<b>2 137 197</b>	<b>2 532 676,52</b>

Item 13 01 02 11 — Other management expenditure

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
13 01 02 11	3 101 813	3 094 285	3 398 608,09
40 01 40		16 463	
<b>Total</b>	<b>3 101 813</b>	<b>3 110 748</b>	<b>3 398 608,09</b>

**Article 13 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Regional policy’ policy area**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
3 748 355	3 777 060	4 472 648,98

**Article 13 01 04 — Support expenditure for operations in the ‘Regional policy’ policy area**

**Item 13 01 04 01 — European Regional Development Fund (ERDF) — Expenditure on administrative management**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
11 300 000	11 600 000	9 373 091,67

*Remarks*

This appropriation is intended to cover the ERDF-funded technical assistance provided for in Article 45 of Regulation (EC) No 1083/2006. Technical assistance may finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing that Regulation.

It may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) up to EUR 3 060 000, as well as missions relating to this staff.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

**Item 13 01 04 02 — Instrument for Pre-Accession Assistance (IPA) — Regional development component — Expenditure on administrative management**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
5 116 000	5 659 450	3 333 485,00

*Remarks*

This appropriation is intended to cover the technical assistance measures for the Instrument for Pre-Accession Assistance provided for in Article 16 of Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82):

- expenditure linked to the preparation, appraisal, approval, follow-up, control, audit and evaluation of multiannual programmes and/or individual operations and projects under IPA regional development component. These actions may include technical assistance contracts, studies, short-term expertise, meetings, exchange of experience, networking, information and publicity and awareness creation events, training activities and publications directly linked to the achievement of the objective of the

programme and any other support measures carried out at the level of the Commission central services or the delegations in the beneficiary countries,

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the benefit of beneficiary countries and the Commission,
- expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as for the additional logistical and infrastructure cost, such as cost of training, meetings, missions, information technology and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations of this item,
- installation, operation and interconnection of computerised systems for management, inspection and evaluation,
- improvements in evaluation methods and the exchange of information on practices in this field.

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners.

This appropriation covers administrative expenditure under Chapter 13 05.

#### Item 13 01 04 03 — Cohesion Fund (CF) — Expenditure on administrative management

##### Figures

Budget 2013	Appropriations 2012	Outturn 2011
4 200 000	4 200 000	4 030 109,01

##### Remarks

This appropriation is intended to cover the technical assistance measures in the Cohesion Fund provided for in Article 45 of Regulation (EC) No 1083/2006. Technical assistance may finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing that Regulation.

It may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) up to EUR 1 340 000, as well as missions relating to such staff.

##### Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing a Cohesion Fund (OJ L 210, 31.7.2006, p. 79).

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS

##### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03	European Regional Development Fund and other regional operations							
<b>13 03 01</b>	<b>Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)</b>	1.2	p.m.	618 000 000	p.m.	1 990 000 000	0,—	1 205 703 487,67

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 02	<i>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</i>	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
13 03 03	<i>Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)</i>	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
13 03 04	<i>Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)</i>	1.2	p.m.	62 000 000	p.m.	225 596 619	0,—	221 104 965,69
13 03 05	<i>Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)</i>	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
13 03 06	<i>Completion of Urban (2000 to 2006)</i>	1.2	p.m.	3 000 000	p.m.	10 000 000	0,—	13 580 784,49
13 03 07	<i>Completion of earlier programmes — Community initiatives (prior to 2000)</i>	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
13 03 08	<i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)</i>	1.2	p.m.	p.m.	p.m.	p.m.	0,—	1 388 614,20
13 03 09	<i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)</i>	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
13 03 12	<i>Union contribution to the International Fund for Ireland</i>	1.1	p.m.	p.m.	p.m.	13 608 766	0,—	15 000 000,00
13 03 13	<i>Completion of Interreg III Community initiative (2000 to 2006)</i>	1.2	p.m.	42 000 000	p.m.	140 000 000	0,—	16 382 485,44
13 03 14	<i>Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)</i>	1.2	p.m.	p.m.	—	—		
13 03 16	<i>European Regional Development Fund (ERDF) — Convergence</i>	1.2	25 081 705 801	22 933 000 000	24 398 779 141	22 003 000 000	23 589 013 386,00	19 252 298 369,57
13 03 17	<i>European Regional Development Fund (ERDF) — PEACE</i>	1.2	34 060 138	45 000 000	33 392 292	40 000 000	32 737 542,00	35 766 100,95
13 03 18	<i>European Regional Development Fund (ERDF) — Regional competitiveness and employment</i>	1.2	4 022 082 950	3 490 000 000	3 946 682 563	3 400 965 947	3 875 763 242,00	4 134 845 386,00
13 03 19	<i>European Regional Development Fund (ERDF) — European territorial cooperation</i>	1.2	1 202 729 810	952 000 000	1 168 910 427	1 085 160 555	1 147 093 337,00	897 806 562,26
13 03 20	<i>European Regional Development Fund (ERDF) — Operational technical assistance</i>	1.2	50 000 000	40 000 000	50 000 000	35 000 000	45 616 031,04	35 640 715,30
13 03 21	<i>Pilot project — Pan-European coordination of Roma integration methods</i>	1.2	p.m.	p.m.	p.m.	p.m.	0,—	2 234 182,01
13 03 22	<i>Pilot project — Erasmus for elected local and regional representatives</i>	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
13 03 23	<i>Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale</i>	1.2	p.m.	92 000	p.m.	p.m.	0,—	681 939,68
13 03 24	<i>Preparatory action — Promoting a more favourable environment for micro-credit in Europe</i>	1.2	p.m.	p.m.	p.m.	p.m.	0,—	4 920 000,00
13 03 26	<i>Pilot project — Suburbs sustainable regeneration</i>	1.2	p.m.	142 163	500 000	500 000	473 875,00	0,—
13 03 27	<i>Preparatory action — RURBAN — Partnership for sustainable urban-rural development</i>	1.2	p.m.	p.m.	p.m.	1 000 000	960 896,50	256 798,98
13 03 28	<i>Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale</i>	1.2	2 000 000	2 000 000	2 000 000	2 000 000	2 000 000,00	83 675,34
13 03 29	<i>Preparatory action — The definition of governance model for the European Union Danube Region — better and effective coordination</i>	1.2	1 000 000	900 000	1 500 000	1 500 000	1 499 380,89	479 596,42
13 03 30	<i>Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region</i>	1.2	p.m.	600 000	2 000 000	2 000 000	2 000 000,00	680 000,00

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 31	<i>Technical assistance and dissemination of information on the European Union Strategy for the Baltic Sea Region and an improved knowledge of macro-regions strategy</i>	1.2	2 500 000	500 000	2 500 000	2 500 000	2 132 363,00	1 209 746,00
13 03 32	<i>Preparatory action on an Atlantic Forum for the European Union Atlantic Strategy</i>	1.2	1 200 000	600 000	1 200 000	600 000		
13 03 33	<i>Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status</i>	1.2	p.m.	600 000	2 000 000	1 000 000		
13 03 34	<i>Preparatory action — Erasmus for elected local and regional representatives</i>	1.2	1 000 000	1 000 000	2 000 000	1 000 000		
13 03 35	<i>Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region</i>	1.2	2 000 000	1 000 000				
13 03 40	<i>Risk sharing instrument financed from the ERDF Convergence envelope</i>	1.2	p.m.	p.m.	p.m.	p.m.		
13 03 41	<i>Risk sharing instrument financed from the ERDF Regional competitiveness and employment envelope</i>	1.2	p.m.	p.m.	p.m.	p.m.		
	<b>Chapter 13 03 — Total</b>		<b>30 400 278 699</b>	<b>28 192 434 163</b>	<b>29 611 464 423</b>	<b>28 955 431 887</b>	<b>28 699 290 053,43</b>	<b>25 840 063 410,00</b>

#### Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections for which any revenue is entered in Item 6 5 0 0 of the statement of revenue. This revenue may be used to provide additional appropriations in accordance with Article 18 of the Financial Regulation in specific cases where they are required to cover the risk of corrections adopted earlier being cancelled or reduced. Regulation (EC) No 1083/2006 provides for financial corrections for the period 2007 to 2013.

Regulation (EC) No 1260/1999 lays down the conditions for the repayment of payments on account which does not have the effect of reducing the contribution from the Structural Funds to the operation concerned. Any revenue arising from the repayment of payments on account entered in Item 6 1 5 7 of the statement of revenue will be used to provide additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation. Regulation (EC) No 1083/2006 lays down the conditions for reimbursement of pre-financing for the period 2007 to 2013.

Measures to combat fraud are funded from Article 24 02 01.

#### Legal basis

Treaty establishing the European Community, and in particular Articles 158, 159 and 161 thereof.

Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

#### Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 15 and 16 December 2005.

**Article 13 03 01 — Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	618 000 000	p.m.	1 990 000 000	0,—	1 205 703 487,67

*Remarks*

This appropriation is intended to cover ERDF Objective 1 commitments remaining to be settled from the programming period 2000 to 2006.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**Article 13 03 02 — Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing of commitments remaining to be settled from the period 2000 to 2006 for the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland. The special programme for peace and reconciliation was continued, in accordance with the decisions of the Berlin European Council, mentioned below, to provide EUR 500 000 000 (1999 prices) for the new period of validity of the programme (2000 to 2004). Following the request in the conclusions of the Brussels European Council of 17 and 18 June 2004, an additional EUR 105 000 000, to be allocated in 2005 and 2006, was added to align operations under the programme with the other programmes under the Structural Funds that came to an end in 2006. Additionality is to be fully observed. The Commission is to submit an annual report to the European Parliament on this measure.

*Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

Commission Decision C(2001) 638 on the approval of Community structural assistance for the EU operational programme for Peace and Reconciliation (PEACE II Programme) concerned by Objective 1 in Northern Ireland (United Kingdom) and in the Border Region (Ireland).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Conclusions of the Brussels European Council of 17 and 18 June 2004, and in particular paragraph 49 thereof.

**Article 13 03 03 — Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing, by the ERDF, of commitments remaining to be settled from the programming period prior to 2000 for former Objectives 1 and 6.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**Article 13 03 04 — Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	62 000 000	p.m.	225 596 619	0,—	221 104 965,69

*Remarks*

This appropriation is intended to cover ERDF Objective 2 commitments remaining to be settled from the programming period 2000 to 2006.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**Article 13 03 05 — Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

### Remarks

This appropriation is intended to cover the financing by the three Funds (ERDF, ESF and EAGGF Guidance Section) of commitments remaining to be settled from the programming periods prior to 2000 in respect of former Objectives 2 and 5(b).

### Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

## Article 13 03 06 — Completion of Urban (2000 to 2006)

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 000 000	p.m.	10 000 000	0,—	13 580 784,49

### Remarks

This appropriation is intended to cover the Community initiative Urban II commitments remaining to be settled from the programming period 2000 to 2006. This Community initiative was focused on the economic and social regeneration of cities and urban neighbourhoods in crisis with a view to promoting sustainable urban development.

### Legal basis

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

### Reference acts

Communication from the Commission to the Member States of 28 April 2000 laying down guidelines for a Community initiative concerning economic and social regeneration of cities and of neighbourhoods in crisis in order to promote sustainable urban development (URBAN II) (OJ C 141, 19.5.2000, p. 8).

## Article 13 03 07 — Completion of earlier programmes — Community initiatives (prior to 2000)

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

### Remarks

This appropriation is intended to cover the funding of commitments remaining to be settled from ERDF commitments relating to Community initiatives prior to 2000.

### *Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

### *Reference acts*

Commission notice to the Member States of 13 May 1992 laying down guidelines for operational programmes which Member States are invited to establish within the framework of a Community initiative for regions heavily dependent on the textiles and clothing sector (RETEX) (OJ C 142, 4.6.1992, p. 5).

Commission notice to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (PESCA) (OJ C 180, 1.7.1994, p. 1).

Commission notice to the Member States of 15 June 1994 laying down guidelines for the operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 180, 1.7.1994, p. 6).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to propose in the framework of a Community initiative concerning the adaptation of small and medium-sized enterprises to the single market (SMEs initiative) (OJ C 180, 1.7.1994, p. 10).

Commission notice to the Member States of 15 June 1994 laying down guidelines for the RETEX initiative (OJ C 180, 1.7.1994, p. 17).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to establish in the framework of a Community initiative concerning defence conversion (Konver) (OJ C 180, 1.7.1994, p. 18).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of steel areas (Resider II) (OJ C 180, 1.7.1994, p. 22).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of coal-mining areas (Rechar II) (OJ C 180, 1.7.1994, p. 26).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative 'Adaptation of the workforce to industrial change' (Adapt), aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 180, 1.7.1994, p. 30).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative 'Employment and development of human resources' aimed at promoting employment growth mainly through the development of human resources (Employment) (OJ C 180, 1.7.1994, p. 36).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

Commission notice to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (Peace I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (Urban) (OJ C 200, 10.7.1996, p. 4).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative on employment and development of human resources aimed at promoting employment growth mainly through the development of human resources (OJ C 200, 10.7.1996, p. 13).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative on adaptation of the workforce to industrial change (Adapt) aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 200, 10.7.1996, p. 7).

Commission notice to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (Peace I) (COM(1997) 0642).

### ***Article 13 03 08 — Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 388 614,20

#### *Remarks*

This appropriation is intended to cover the ERDF commitments for the innovative measures and technical assistance remaining to be settled from the programming period 2000 to 2006 as provided for in Articles 22 and 23 of Regulation (EC) No 1260/1999. The innovative measures include studies, pilot projects and exchanges of experience. They were intended in particular to bring about a qualitative improvement in Structural Fund measures. Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for implementation the ERDF. The appropriation may, in particular, be used to meet:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures taken by partners in preparation for the next programming period.

#### *Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**Article 13 03 09 — Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the settlement of commitments entered into, in earlier programming periods prior to 2000, by the ERDF in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the Regulations concerned. It also funds the former multiannual measures, in particular those approved and implemented under the other Regulations mentioned below, which are not covered by the priority objectives of the Funds. This appropriation will also be used if necessary to cover financing where the corresponding commitment appropriations are not available or provided for in programming for 2000 to 2006.

*Legal basis*

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**Article 13 03 12 — Union contribution to the International Fund for Ireland**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	13 608 766	0,—	15 000 000,00

*Remarks*

This appropriation is intended to cover the Union contribution to the financing of the International Fund for Ireland set up by the Anglo-Irish Agreement of 15 November 1985 with a view to promoting economic and social progress and encouraging contacts, dialogue and reconciliation between the peoples of Ireland.

The activities under the International Fund for Ireland may complement and support activities promoted by the initiative programme to aid the peace process in both parts of Ireland.

*Legal basis*

Council Regulation (EC) No 177/2005 of 24 January 2005 concerning Community financial contributions to the International Fund for Ireland (2005-2006) (OJ L 30, 3.2.2005, p. 1).

Regulation (EU) No 1232/2010 of the European Parliament and of the Council of 15 December 2010 concerning European Union financial contributions to the International Fund for Ireland (2007 to 2010) (OJ L 346, 30.12.2010, p. 1).

### **Article 13 03 13 — Completion of Interreg III Community initiative (2000 to 2006)**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	42 000 000	p.m.	140 000 000	0,—	16 382 485,44

#### *Remarks*

This appropriation is intended to cover commitments remaining to be settled under the Interreg III Community initiative relating to cross-border, transnational and interregional cooperation from the programming period 2000 to 2006.

Special attention will be paid to cross-border activities, in particular with a view to better coordination with the Phare, Tacis, ISPA and MEDA programmes.

This appropriation is also intended to cover the costs of coordinating activities in the field of cross-border labour mobility and skills. Suitable attention will be paid to cooperation with the outermost regions.

These appropriations can be combined with Phare cross-border cooperation appropriations for common projects at the external borders of the Union.

This appropriation is intended to cover, inter alia, preparatory operations for local and regional cooperation between the old and new Member States and the applicant countries in the fields of democracy and social and regional development.

#### *Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

#### *Reference acts*

Communication from the Commission to the Member States of 2 September 2004 laying down guidelines for a Community initiative concerning trans-European cooperation intended to encourage harmonious and balanced development of the European territory — INTERREG III (OJ C 226, 10.9.2004, p. 2).

### **Article 13 03 14 — Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	—	—	

#### *Remarks*

This article is intended to cover the commitments remaining to be settled for projects from the programming period 2000 to 2006 in the regions bordering the candidate countries in accordance with the rules of the Interreg III Community initiative relating to cross-border, transnational and interregional cooperation. The measures take into account the communication from the Commission on the impact of enlargement on regions bordering candidate countries 'Community action for border regions' (COM(2001) 437 final).

## **Article 13 03 16 — European Regional Development Fund (ERDF) — Convergence**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 081 705 801	22 933 000 000	24 398 779 141	22 003 000 000	23 589 013 386,00	19 252 298 369,57

### *Remarks*

This appropriation is intended to cover the programmes under the ERDF objective of the convergence in the programming period 2007 to 2013. This objective is aimed at speeding up the convergence of the least-developed Member States and regions by improving conditions for growth and employment.

Part of this appropriation is intended to be used to address intra-regional disparities so as to ensure that the overall state of development of a given region does not hide poverty loops and disadvantaged territorial units.

### *Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

## **Article 13 03 17 — European Regional Development Fund (ERDF) — PEACE**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
34 060 138	45 000 000	33 392 292	40 000 000	32 737 542,00	35 766 100,95

### *Remarks*

This appropriation is intended to cover the PEACE programme under the European territorial cooperation objective of the ERDF.

The PEACE programme shall be implemented as a cross-border cooperation programme within the meaning of Article 3(2)(c) of Regulation (EC) No 1083/2006.

The PEACE programme shall promote social and economic stability in the regions concerned, including notably actions to promote cohesion between communities. The eligible area shall be the whole of Northern Ireland and the border counties of Ireland. This programme will be implemented in full compliance with the principle of additionality of structural fund operations.

### *Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

### *Reference acts*

Conclusions of the Brussels European Council of 15 and 16 December 2005.

**Article 13 03 18 — European Regional Development Fund (ERDF) — Regional competitiveness and employment**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 022 082 950	3 490 000 000	3 946 682 563	3 400 965 947	3 875 763 242,00	4 134 845 386,00

*Remarks*

This appropriation is intended to cover the programmes under the ERDF objective of the regional competitiveness in the programming period 2007 to 2013. This objective shall, outside the least developed regions, be aimed at strengthening regions' competitiveness and attractiveness as well as employment by taking into consideration the goals set in the Europe 2020 Strategy.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

**Article 13 03 19 — European Regional Development Fund (ERDF) — European territorial cooperation**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 202 729 810	952 000 000	1 168 910 427	1 085 160 555	1 147 093 337,00	897 806 562,26

*Remarks*

This appropriation is intended to cover the programmes under the ERDF objective of European territorial cooperation in the programming period 2007 to 2013. This objective shall be aimed at strengthening territorial cooperation and macro-regional cooperation and exchange of experience at the appropriate level.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

**Article 13 03 20 — European Regional Development Fund (ERDF) — Operational technical assistance**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
50 000 000	40 000 000	50 000 000	35 000 000	45 616 031,04	35 640 715,30

*Remarks*

This appropriation is intended to finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing Regulation (EC) No 1083/2006, as provided for in Article 45 of that Regulation. In particular, it may be used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,

- development of 'how-to guides' and toolkits regarding applications for grants and implementation of projects,
- expenditure on information technology and telecommunications,
- contract for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures approved by the Commission in the context of the preparation of the next programming period.

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners.

In order to provide help and support for local actors in the Union involved in running Structural Funds and Cohesion Fund programmes — especially in the new Member States — the Commission should propose a training and mobility scheme which would allow them to strengthen their skills in running programmes and exchange best practices and ideas on the problems they encounter on a regular basis. This would improve overall governance and institutional capacity building for the management of programmes and territorial policies.

The lack of efficient on-the-spot training of local authority and local staff responsible for management of Union funds is a major cause of procedural error, poor control and low absorption rates. Establishing a network of trainers to work locally will increase cost effectiveness and the efficiency of the policy.

#### *Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

### ***Article 13 03 21 — Pilot project — Pan-European coordination of Roma integration methods***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	2 234 182,01

#### *Remarks*

The aim of the pilot project is to produce a complex analysis based on current understanding of the Roma community's situation in the Union, emphasising the entire complex and synergetic spectrum of education and training, beginning with pre-school children, through the further education of young mothers up to training for older people.

The pilot project should also reflect the proper preparation and implementation of the institutional process in terms of consultations, networking, data collection, evaluation, field visits, research, etc. A number of Member States have taken measures to promote Roma integration. Examples both of good and of bad practice are numerous, alongside a growing corpus of sociological, legal and policy-oriented research.

A Roma Unit could be set up to explore, from a Union policy implementation point of view, sectoral issues and propose appropriate actions and measures to be included in the proposals for a Roma Inclusion Action Plan.

It could also take on the task of examining cross-sector cooperation and use of the existing different financial instruments, proposing pilot projects and detecting transferable and sustainable good practice which can then contribute to producing concrete policy proposals.

In this context, reference can be made to the need for long-term proposals on the housing and urban development aspects (linked to issues of segregation, ghettoisation, etc., when badly prepared) in connection with innovative use of regional funds and EIB/EBRD support, or the huge issue of data collection (and protection), statistics, etc., but also the obviously related issues of combating crime and trafficking, as well as basic registration problems.

Particular attention has to be given to the issue of media coverage and communication in general.

As an essential prerequisite for success, a process of structured dialogue with Roma civil society has to be developed at local, national and Union level.

The European Parliament has been a pioneer in promoting Roma rights; its first resolution on the issue goes back to 1984. During the sixth parliamentary term, the European Parliament has adopted a number of resolutions on the specific situation of Roma in Europe. In particular, in its resolution of 31 January 2008 on a European strategy on the Roma (OJ C 68 E, 21.3.2009, p. 31), the European Parliament urged 'the Commission to develop a European Framework Strategy on Roma Inclusion aimed at providing policy coherence at Union level as regards the social inclusion of Roma and urges the Commission at the same time to comprehensively shape a Community Action Plan on Roma Inclusion with the task of providing financial support for realising the objective of the European Framework Strategy on Roma Inclusion'. Also, in its resolution of 10 July 2008 on the census of the Roma on the basis of ethnicity in Italy (OJ C 294 E, 3.12.2009, p. 54), the European Parliament reiterated those requests and again called on the Commission and the Member States, within the framework of a European Union Roma Strategy and in the context of the Decade of Roma Inclusion 2005-2015, to enact legislation and policies to support Roma communities while promoting their integration in all fields, to launch anti-racism and anti-discrimination programmes in schools, employment and the media and to enhance the exchange of expertise and best practices.

The European Parliament reiterates in this context the importance of developing strategies at Union and national level, making full use of the opportunities provided by Union funds, to abolish Roma segregation in education, to ensure equal access to quality education for Roma children (participation in mainstream education, introduction of special scholarship and trainee programmes, additional and concrete measures to promote pre-school education for Roma children and postgraduate studies at national and international level for Roma students as well as educating an effective group of 'Roma diplomats' able to bridge the gap between Roma communities and public institutions), to ensure and improve Roma access to labour markets, to provide equal access to health care and social security benefits, to combat discriminatory practices in the provision of housing, and to increase the participation of the Roma in social, economic, cultural and political life.

The European Parliament reaffirms the need to set up a network of academic and civil experts with a clear mandate to propose specific pilot projects and elaborate projects triggering immediate changes.

The aim of the pilot project is also to ensure the integrated education of Roma families, focusing simultaneously on the early integration of children in pre-school education, the training of parents and the conducting of community activities for the whole family, also with a view to improving adults' passive skills.

In its conclusions of 14 December 2007, the European Council, 'conscious of the very specific situation faced by the Roma across the Union', invited 'Member States and the Union to use all means to improve their inclusion'. In its conclusions of 19 and 20 June 2008, it stated that '...it looks forward to the results (...) of the forthcoming conference on this issue to be held in September [2008]. It invites the Council to take this into account in its examination of the revised Social Agenda. The European Council will return to this issue before the end of the year'.

### ***Article 13 03 22 — Pilot project — Erasmus for elected local and regional representatives***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

#### *Remarks*

The objective of this pilot project is to provide help and support for local and regional councils in the Union.

The first principle of the pilot project is to encourage and support the mobility of both elected local and regional representatives within the Union. The second principle of the pilot project is that the mobility element is included in an agreed programme of on-the-spot training and sharing of experience with focus on 'economic and social cohesion'.

Goals are:

- to encourage multilateral cooperation between territorial and local and regional institutions on a political level,
- to support the mobility of elected local and regional representatives,
- to stimulate common research and exchange of ideas on the problems they encounter on a regular basis by means of on-the-spot training and the sharing of experience,
- to promote the exchange of best practices.

The pilot project is thus a tool to enhance the learning and experience of elected local and regional representatives; and to increase the capacity of local and regional councils in regard to the application of concepts, democratic principles and strategies.

**Article 13 03 23 — Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	92 000	p.m.	p.m.	0,—	681 939,68

*Remarks*

This project aims to develop a comprehensive strategy that would promote knowledge of, and experience from, Union regional policy for third countries. This strategy should include the organisation of international events, information activities, network building between regional and local stakeholders, development of joint projects and new regional partnerships, as well as the exchange of good practices between regions. By promoting the Union cohesion policy model as a success story through a number of regional dialogues, the Union will use these actions to exemplify and advance its values, fundamental principles, organisational structures and policies on a global scale. Cooperation with international organisations active in this field (Forum of Global Associations of Regions (FOGAR) and United Cities and Local Government (UCLG)) will prove to be particularly beneficial to that end.

**Article 13 03 24 — Preparatory action — Promoting a more favourable environment for micro-credit in Europe**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	4 920 000,00

*Remarks*

The Council has on several occasions (in 2000 and in March 2003) acknowledged the advantages of micro-finance for small firms. The European Council has, for the first time, asked Member States to pay particular attention to using micro-credit to foster the establishment and growth of small firms. Micro-credit was one of the focuses of the European multiannual programme (MAP/2002 to 2006) financial instruments which the Council adopted in December 2001, defining ‘micro-loan’ as a loan of less than EUR 25 000.

The Competitiveness and Innovation Programme (CIP), which has been in operation since 2006, also deals with micro-credit, including under the second facility (SME Guarantee Facility). This facility, which is administered by the European Investment Fund (EIF), seeks to encourage financial institutions to play a more important role in offering small loans, which normally carry with them proportionally high loan management costs for borrowers unable to provide adequate security. In addition to guarantees and counter-guarantees, financial intermediaries are entitled to subsidies to reduce the high administrative costs associated with micro-loans.

The aim of this preparatory action is to foster the development of micro-credit in Europe, in line with the Europe 2020 Strategy growth and employment targets and the recommendations set out in the European Parliament resolution of 11 July 2007 on financial services policy (2005 to 2010) — White Paper (OJ C 175 E, 10.7.2008, p. 392), with particular reference to the need for a micro-credit action plan.

The purpose of the preparatory action is to:

- ensure that the micro-credit business in Europe becomes self-financing in the medium term by building up the equity of micro-finance institutions, particularly non-banking institutions. The project could be administered by the EIF, the Union financial institution which specialises in small and medium-sized enterprise and micro-enterprise funding and which also administers the Union financial instruments,
- foster greater synergy between existing financial instruments covering inter-related areas (CIP, Joint European Resources for Micro to Medium Enterprises (Jeremie), ERDF, EDF),
- foster social inclusion through entrepreneurship and the economic growth it brings. Associations working with less-favoured groups may be recipients of the funding made available.

The purpose of the appropriation is to cover the implementation of the preparatory action adopted for 2008; it will be used, in particular, to develop a seed fund for non-banking institutions.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Article 13 03 26 — Pilot project — Suburbs sustainable regeneration

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	142 163	500 000	500 000	473 875,00	0,—

#### Remarks

The pilot project aims to support the demolition and reconstruction of obsolete and deteriorated buildings within the suburbs of European cities, testing the introduction of innovative and advanced criteria for constructive safety, engineering quality, sustainability and energy efficiency. The main objective is to promote social integration in the suburbs through an intervention in housing policy.

The project should finance:

- the selection of at least five testing suburbs of European cities, highly populated, in which there is a strong need for building regeneration interventions,
- the definition of advanced safety requirements with a view to each specific context (i.e. anti-seismic measures) and of quality requirements for the residential structures, engineering and construction, including the design of private and common spaces, the selection of materials, technical solutions, etc.,
- the definition of energy saving targets and energy mix with a higher usage of renewable sources for the new residential buildings,
- the selection of the most effective mix of financial tools to promote the regeneration of deteriorated urban areas and the innovation in the construction industry (revolving funds, regional aid, tax rates bonification, public-private partnership, etc.),
- the definition of a set of measures to offer temporary housing alternatives to the residents of the testing sites areas and the involvement of them and of the local communities in the redesigning process,
- the definition of an evaluation model to monitor and evaluate the project outcome,
- the start-up of regeneration programmes in the testing areas.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Article 13 03 27 — Preparatory action — RURBAN — Partnership for sustainable urban-rural development

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 000 000	960 896,50	256 798,98

#### Remarks

The aim of this preparatory action is to develop a partnership model(s) for towns or cities and rural areas surrounding them, to achieve better cooperation between different actors in developing and implementing common urban-rural initiatives based on the integrated approach. The model(s) could be used in the next programming period for urban-rural development projects co-funded by the Union.

More specifically, the preparatory action should aim to:

- identify common challenges and potentials of urban and rural areas,
- review existing urban-rural partnerships in the Member States, identify areas of cooperation and local/regional actors involved (public, private, non-governmental organisations, etc.),

- identify innovative good practice examples of urban-rural cooperation in existing partnerships,
- create a model/models for an urban-rural partnership and elaborate a practical guide for this partnership to be possibly used in the Commission's Strategic Guidelines on cohesion for the next programming period after 2013.

The preparatory action should be implemented in the years 2011-2012.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 13 03 28 — Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 000 000	2 000 000	2 000 000	2 000 000	2 000 000,00	83 675,34

#### *Remarks*

This preparatory action aims to develop a comprehensive strategy that would promote knowledge of, and disseminate experience gained from, Union regional policy for third countries, on the basis of the successful implementation of the earlier pilot project, as well as to address the specific needs of the Union's ultra-peripheral territories which do not have the status of outermost regions **during switchover to the status of outermost regions**. The international dimension of cohesion policy matured considerably since 2009 as a result, largely, of the additional opportunities afforded by the resources provided under the pilot project and the 2012 preparatory action. **The current preparatory action needs to be continued in 2013 with an appropriate allocation, as the policy dialogues with main partners (Brazil, China and Russia), as well as support for implementation of the Eastern Partnership have to be constantly developed in order to advance the principles, organisational structures and mechanisms of EU cohesion policy on a global scale. It will** focus more particularly on developing regional governance, running training programmes in place-based policy design and strategic planning, as well as on procedural questions regarding control mechanisms and good financial management.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/ 2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 13 03 29 — Preparatory action — The definition of governance model for the European Union Danube Region — better and effective coordination**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	900 000	1 500 000	1 500 000	1 499 380,89	479 596,42

#### *Remarks*

*Starting date of the project:* 1 January 2011.

*End date of the project:* 31 December 2013.

The European Council of 19 June 2009 has formally asked the Commission to present a European Union Strategy for the Danube Region before the end of 2010. The strategy, which will be launched under the Hungarian Presidency of the European Union, at the beginning of 2011, will be accompanied by an action plan, which will be permanently developed and updated taking into account the proposal from the Member States. Article 3 of the Treaty on European Union improves the Union's ability to promote economic, social and territorial cohesion, and solidarity among Member States. This preparatory action is needed in order to develop the necessary capacity building and to speed up the coordination process to ensure the right governance model for the implementation of the European Union Strategy for the Danube Region.

The Danube Region has an established history of networking and co-operation in many policy areas. The strategy and its action plan will offer the opportunity to move from words to action and to deliver real benefits for the region as a whole. There is a need for a common strategic vision to guide future territorial development for the Danube Region. It is clear that no one acting alone can apply the range of measures necessary to confront the challenges and exploit the opportunities of the region. A strategy for the Danube Region, consisting of detailed and consistent actions, with an appropriate financial support are essential to develop the Danube Region and to fully exploit the opportunities open to the region.

The Danube links ten European countries — Germany, Austria, the Slovak Republic, Hungary, Croatia, Serbia, Romania, Bulgaria, the Republic of Moldova and Ukraine — six of which are Member States, and in a broader territorial context the region also comprises the Czech Republic, Slovenia, Bosnia and Herzegovina, and Montenegro.

The Danube region is an important crossover point between the Union's Cohesion Policy programmes, programmes for countries covered by the European Neighbourhood Policy and potential candidates for accession, and therefore represents an area where enhanced synergies between different Union policies can be developed: cohesion, transport, tourism, agriculture, fisheries, economic and social development, energy, environment, enlargement and neighbourhood policy and the European Union Strategy for the Danube Region is to be developed in the following cooperation areas: social development and protection, sustainable economic development, transport and energy infrastructure, environmental protection, culture and education.

The European Union Strategy for the Danube Region should be implemented by making use of all available funding resources, from the Union, Member States and other riparian countries, International Financial Institutions (IFI), as well as private capital. The focus should be on improved, more efficient and effective ways to coordinate the different funding instruments and the proposed specific actions. It is expected that the European Union Strategy for the Danube Region through a better cooperation between Member States and Danube riparian countries, will boost the social and economic development of the region. This will create new business opportunities, will accelerate the development of sustainable, more efficient and intermodal transport system as well as for a sustainable transport and tourism and will improve the quality of life of all citizens living in the Danube river basin with full respect of the environment.

The preparatory action thus shall promote or create a governance model to be implemented in the specific areas concerning the Danube Region.

The funding is intended to finance activities related to the definition of the governance model required by the development and implementation of the European Union Strategy for the Danube Region. The governance model will improve the cooperation between all participant countries and regions to common flagship projects in the field of:

- environmentally friendly use of the Danube by inland navigation, intermodality with other transport modes along the Danube through the improvement of all infrastructures (with priority for the better use of existing infrastructure) and by creating a multi-modal transport system all along the river, environmentally-friendly use of water power along the Danube, preservation and improvement of Danube water quality in accordance with the Directive 2000/60/EC of the European Parliament and of the Council of 23 October 2000 establishing a framework for Community action in the field of water policy (OJ L 327, 22.12.2000, p. 1), stringent vessel safety requirements,
- sustainable tourism: ecotourism, the development of the cycle routes along almost the entire Danube, development of the conventional mass cultural tourism, urban and rural tourism, business and cruise tourism, sports tourism,
- joint projects (to promote and implement) in order to increase cooperation in the field of energy, especially on energy efficiency and renewable energy resources, in the light of the region's potential as a source of bioenergy, and to encourage the use of biomass, solar, wind and hydro energy,
- promoting research infrastructure tailored to the specific strengths of the regions and of specific clusters of excellence for product innovation and marketing,
- a common research and development programme in, and for, the Danube Region and which is aimed at supporting scientific development and innovation by ensuring cooperation between Danube states in fields of environmental research, fisheries, agriculture, infrastructure (including the energy field), transport, training and mobility for researchers and socioeconomic aspects,
- university exchange programmes within the region and university networks in order to foster centres of excellence capable of competing at international level and to strengthen the Danube identity through training and improving governance, life long learning,
- ensuring the security of the region.

*The European Union Strategy for the Danube Region — Not just a strategy*

There must be — concrete, visible actions — to overcome the challenges facing the region. In its action plan, the Commission should insist that Member States and other stakeholders take responsibility as lead partners for specific priority areas and flagship projects, for example, by developing a specific and integrated governance in line with a common and integrated approach to the European Union Strategy for the Danube Region adopted by the Commission on 8 December 2010.

The Commission will fulfil the need for an independent, multi-sector body/approach that can guarantee the necessary co-ordination, monitoring and follow-up of the action plan, as well as a regular updating of the plan and strategy as necessary. The Commission is not proposing additional funding or other resources at this time. However, some of the specific actions and projects will require financial support. A major source is the Structural Funds available in the region – most programmes already allow actions envisaged in the strategy. Programming authorities can review the allocation criteria and facilitate the selection of projects aligned with the strategy. In addition, Member States will examine funding projects and actions aligned with the strategy priorities from their own resources. The European Investment Bank and other international and regional financial institutions could also contribute.

Enhanced efforts through the action plan should be carried out in close coordination with any such ongoing developments (in particular new regulations), including at Union level, to ensure coherence and efficiency.

The on-going economic crisis implies a less-favourable climate for investment, affecting both public sectors and private business generally. This makes it all the more essential that the European Union Strategy for the Danube Region allows the partners in the region to take a longer perspective, recognising that when this crisis has passed the regions that have best prepared will be those best equipped to take advantage of the new opportunities and innovations.

This preparatory action, established on the initiative of the European Parliament, is to have a duration of three years, from January 2011 to December 2013, and the amount to be allocated is EUR 1 500 000 per year.

The above projects will require time-intensive preparations with the Member States concerned and with relevant authorities of the third countries. First disbursements took place in 2011.

Based upon the existing legal framework, this preparatory action, presents solutions for the sustainable cooperation between Member States and other riparian countries. It substantiates the development of the European Union Strategy for the Danube Region and its integration into the overall policy of the Union.

This appropriation should also be used to organise or develop:

- training programmes, seminars for young people with the aim of emphasising the common regional identity of the nations living in the Danube macro-region; the programmes should stress civic education and opportunities for cultural exchanges which will contribute to a progressive, sustainable European future-oriented dimension of coexistence by addressing the questions of understanding each other's regional presence, togetherness and the need for cooperation; and by providing scope for dialogues and reconciliation.
- the improvement and development of new solutions for handling expertise by means of accessible common platforms and project information as well as building networks. The preparatory action will promote social and economic stability in the regions concerned, including actions to develop cohesion between communities by means of opening space for familiarising with, and giving due acknowledgement to, each other's cultures and histories and will also highlight the added value of transnational cooperation. This should create a sustainable basis for a common platform giving access to regional expertise and increasing regional cooperation and should in addition allow lessons to be drawn from the experience of a macro-region strategy.
- the eligible area shall be the Danube macro-region and the surrounding countries in line with the European Neighbourhood Policy. The organisation of programmes should involve nongovernmental organisations, civil society organisations, in order to ensure that reconciliation efforts go also beyond the governmental sphere. The programmes eligible for funds shall involve participants from at least three Member States from the region.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### ***Article 13 03 30 — Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	600 000	2 000 000	2 000 000	2 000 000,00	680 000,00

## Remarks

This appropriation should be used to organise or develop:

- training programmes, seminars for young people with the aim of emphasising the common regional identity of the nations living in the Danube macro-region; the programmes should stress civic education and opportunities for cultural exchanges which will contribute to a progressive, sustainable European future-oriented dimension of coexistence by addressing the questions of understanding each other's regional presence, togetherness and the need for cooperation; and by providing scope for dialogues and reconciliation,
- the improvement and development of new solutions for handling expertise by means of accessible common platforms and project information as well as building networks.

The pilot project will promote social and economic stability in the regions concerned, including actions to develop cohesion between communities by means of opening space for familiarising with, and giving due acknowledgement to, each other's cultures and histories and will also highlight the added value of transnational cooperation. This should create a sustainable basis for a common platform giving access to regional expertise and increasing regional cooperation and should in addition allow lessons to be drawn from the experience of a macro-region strategy. The eligible area shall be the Danube macro-region and the surrounding countries in line with the European Neighbourhood Policy. The programmes eligible for funds shall involve participants from at least three Member States from the region.

## Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 13 03 31 — Technical assistance and dissemination of information on the European Union Strategy for the Baltic Sea Region and an improved knowledge of macro-regions strategy**

## Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 500 000	500 000	2 500 000	2 500 000	2 132 363,00	1 209 746,00

## Remarks

This appropriation is intended to ensure:

- the proper circulation of information through newsletters (including on-line), reports and conferences, and specifically an annual forum,
- the organisation of on-site events to familiarise all interested European regions with the Baltic Sea approach and the principles of macro-regions,
- successful governance of the strategy through the decentralised system that has been established and, in particular, the operation of the system of priority area coordinators and flagship project leaders,
- technical and administrative support for planning and coordination of activities related to the European Union Strategy for the Baltic Sea Region,
- availability of seed money for planning and preparing projects supporting the strategy,
- support for civil society participation,
- the continued helping of priority area coordinators in their coordination activity,
- participation in an implementation facility with the EIB, if requested by Baltic Member States,
- the development of a more ambitious communication strategy on the European Union Strategy for the Baltic Sea Region.

The continuation of the support to the technical assistance action in 2013 should be used to:

- Keep on helping Priority Area Coordinators in their coordination activity;
- Participate in an implementation facility with EIB, if requested by Baltic Member-States;
- Develop a more ambitious communication strategy on the European Union Strategy for the Baltic Sea Region (EUSBSR).

### Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

### Article 13 03 32 — Preparatory action on an Atlantic Forum for the European Union Atlantic Strategy

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
1 200 000	600 000	1 200 000	600 000	

#### Remarks

Union institutions support the drafting of a European strategy for the Atlantic area. A cross-cutting action plan with concrete priorities needs to be defined in order to implement this strategy from 2014 onwards. Atlantic stakeholders should be involved in the drafting of this action plan.

The action plan should be closely linked to regional policy and the Integrated Maritime Policy, and should also facilitate synergies with other Union policy areas such as trans-European transport networks, the common fisheries policy, climate and environment actions, the research and development framework programme, and energy policy, etc.

The preparatory action will finance a stakeholder dialogue platform, called the Atlantic Forum, to define the priority projects and governance of the Atlantic Strategy.

Funding for the preparatory action will serve to:

- put in place the Atlantic Forum, encouraging key stakeholders to work together within workshops and ensuring adequate publicity and broad participation,
- engage forum members in a process leading to the adoption of the cross-cutting action plan for the Atlantic Strategy in accordance with the needs of the regions concerned and a clear focus on sustainable growth in Atlantic coastal regions and maritime sectors,
- support the technical work necessary to identify, and test the feasibility of, concrete priority actions to be included in the action plan.

A subcontractor will assist stakeholders in drafting the action plan. The subcontractor will be in charge of implementing the preparatory action and will work under the supervision of the Commission.

The preparatory action has financed a platform for stakeholder dialogue (the Atlantic Forum), with a view to defining the priority projects and governance of the Atlantic Strategy.

After adoption of the action plan at the end of 2012, the Forum will seek to prepare stakeholders to implement that plan. It is therefore necessary to extend the preparatory action until 2013.

The preparatory action funding will serve to:

- put in place the Atlantic Forum by encouraging key stakeholders to work together within workshops, and by ensuring adequate publicity and broad participation;
- engage Forum members in a process leading towards the adoption of the transversal action plan, to be provided for by the Atlantic Strategy, in accordance with the needs of the regions and a clear focus on sustainable growth in the coastal regions and the maritime sectors in the Atlantic;
- support the technical work necessary to identify and test the feasibility of concrete priority actions to be included in the action plan;
- prepare stakeholders to implement the action plan.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 13 03 33 — Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	600 000	2 000 000	1 000 000	

#### Remarks

The plan to change Mayotte's status from overseas country or territory to outermost region is the first of its kind for the Union. The process of making this status change has been simplified by the entry into force of the Treaty on the Functioning of the European Union, Article 355(6) of which provides for a unanimous vote on the matter in the European Council. The French Government is currently drawing up an application for Mayotte to be granted outermost region status from 2014. This places Mayotte in a similar situation to that of pre-accession countries, which are required to carry out essential structural reforms in connection with which the Union provides various types of support intended to facilitate the transposition of the *acquis* and the implementation of Union policies. As a result of the change of status, the local authorities in Mayotte will be required to handle new types of Union funding involving different amounts and different procedures from those applying under the European Development Fund (EDF), their current source of funding. This preparatory action will focus primarily on technical assistance measures for the training of Mayotte administrative staff who will be responsible for drawing up, managing, following up and monitoring future operational programmes. As a territory of a Member State, Mayotte is not entitled to pre-accession instrument funding; at the same time, given that it is not yet a region as referred to in the general provisions on the Structural Funds, it is not entitled to technical assistance either.

The aim of the preparatory action is to provide the department of Mayotte with support with its changeover to the status of outermost region.

A number of measures are needed:

- the training of local staff who will be responsible for drawing up, managing, following up and monitoring future operational programmes,
- carrying out of studies prior to drawing up future operational programmes, plus *ex-ante* evaluation so as to produce an analysis of the area and provide support for local actors in order to lay down a regional strategy and programme priorities,
- organising of general information meetings for elected representatives, local actors and officials on the consequences of the changeover to outermost-region status, and institutional notification arrangements concerning the launch of programmes,
- setting up of a Europe unit within Mayotte's economic and regional affairs secretariat for information gathering and circulation to public partners, for setting up and implementing operational programmes and for informing and supporting project promoters.

This preparatory action may apply to any other territory in a similar position.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 13 03 34 — Preparatory action — Erasmus for elected local and regional representatives**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
1 000 000	1 000 000	2 000 000	1 000 000	

### Remarks

The objective of this preparatory action is to provide help and support for local and regional councils in the Union.

The first principle of the preparatory action is to encourage and support the mobility of both elected local and regional representatives within the Union. The second principle of the preparatory action is that the mobility element is included in an agreed programme of on-the-spot training and sharing of experience with focus on 'economic and social cohesion'.

Goals are:

- to encourage multilateral cooperation between territorial and local and regional institutions on a political level,
- to support the mobility of elected local and regional representatives,
- to stimulate common research and exchange of ideas on the problems they encounter on a regular basis by means of on-the-spot training and the sharing of experience,
- to promote the exchange of best practices.

The preparatory action is thus a tool to enhance the learning and experience of elected local and regional representatives, and to increase the capacity of local and regional councils in regard to the application of concepts, democratic principles and strategies.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 13 03 35 — Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 000 000	1 000 000				

### Remarks

This appropriation should be used to organise or develop:

— training programmes, seminars for young people with the aim of emphasising the common regional identity of the nations living in the Danube macro-region; the programmes should stress civic education and opportunities for cultural exchanges which will contribute to a progressive, sustainable European future-oriented dimension of coexistence by addressing the questions of understanding each other's regional presence, togetherness and the need for cooperation; and by providing scope for dialogues and reconciliation,

— the improvement and development of new solutions for handling expertise by means of accessible common platforms and project information as well as building networks.

The preparatory action will promote social and economic stability in the regions concerned, including actions to develop cohesion between communities by means of opening space for familiarising with, and giving due acknowledgement to, each other's cultures and histories and will also highlight the added value of transnational cooperation. This should create a sustainable basis for a common platform giving access to regional expertise and increasing regional cooperation and should in addition allow lessons to be drawn from the experience of a macro-region strategy. The eligible area shall be the Danube macro-region and the surrounding countries in line with the European Neighbourhood Policy. The organisation of programmes should involve nongovernmental organisations, civil society organisations, in order to ensure that reconciliation efforts go also beyond the governmental sphere. The programmes eligible for funds shall involve participants from at least three Member States from the region.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 13 03 40 — Risk sharing instrument financed from the ERDF Convergence envelope**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	p.m.	p.m.	

#### *Remarks*

This budget line is intended to finance the Risk Sharing Instruments from the ERDF Convergence envelope for Member States experiencing or threatened with serious difficulties with respect to their financial stability in accordance with Commission proposal COM(2011) 655 final for a Regulation of the European Parliament and of the Council amending Council Regulation (EC) No 1083/2006.

Reflows and amounts left-over after the completion of an operation covered by the risk sharing instrument may be reused within the risk sharing instrument if the Member State still meets the conditions specified in Article 77(2) of Council Regulation 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left-over shall be considered as assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left-over entered in Item 6 1 4 4 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

#### *Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

### **Article 13 03 41 — Risk sharing instrument financed from the ERDF Regional competitiveness and employment envelope**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	p.m.	p.m.	

#### *Remarks*

This budget line is intended to finance the Risk Sharing Instruments from the ERDF Regional competitiveness and employment envelope for Member States experiencing or threatened with serious difficulties with respect to their financial stability.

Reflows and amounts left-over after the completion of an operation covered by the risk sharing instrument may be reused within the risk sharing instrument if the Member State still meets the conditions specified in Article 77(2) of Council Regulation 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left-over shall be considered as assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left-over entered in Item 6 1 4 4 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

#### *Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

#### *Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the European Commission on 12 October 2011, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (COM(2011) 655 final).

## CHAPTER 13 04 — COHESION FUND

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 04	Cohesion Fund							
<b>13 04 01</b>	<b>Cohesion Fund — Completion of previous projects (prior to 2007)</b>	1.2	p.m.	848 000 000	p.m.	1 200 388 636	0,—	944 940 110,54
<b>13 04 02</b>	<b>Cohesion Fund</b>	1.2	12 350 000 000	8 824 000 000	11 788 814 578	8 907 000 000	11 020 238 878,86	5 505 331 842,46
<b>13 04 03</b>	<b>Risk sharing instrument financed from the CF envelope</b>	1.2	p.m.	p.m.	p.m.	p.m.		
	<b>Chapter 13 04 — Total</b>		<b>12 350 000 000</b>	<b>9 672 000 000</b>	<b>11 788 814 578</b>	<b>10 107 388 636</b>	<b>11 020 238 878,86</b>	<b>6 450 271 953,00</b>

### Remarks

Annex II to Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1) determines the conditions under which advances which do not have the effect of reducing the contribution of the Fund to the operation concerned are to be repaid. Any revenue resulting from such repayments of advances entered in Item 6 1 5 7 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation. Regulation (EC) No 1083/2006 lays down the conditions for reimbursement of pre-financing for the period 2007 to 2013.

### Article 13 04 01 — Cohesion Fund — Completion of previous projects (prior to 2007)

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	848 000 000	p.m.	1 200 388 636	0,—	944 940 110,54

### Remarks

This appropriation is intended to cover the commitments of the Cohesion Fund remaining to be settled before 2000 and completion of the programming period 2000 to 2006.

Anti-fraud operations will be financed under Article 24 02 01.

This appropriation is also intended to fund measures taken by partners for the next programming period.

#### Legal basis

Council Regulation (EEC) No 792/93 of 30 March 1993 establishing a cohesion financial instrument (OJ L 79, 1.4.1993, p. 74).

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

#### Reference acts

Treaty establishing the European Community, and in particular Articles 158 and 161 thereof.

### Article 13 04 02 — Cohesion Fund

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 350 000 000	8 824 000 000	11 788 814 578	8 907 000 000	11 020 238 878,86	5 505 331 842,46

### Remarks

This appropriation is intended to cover the commitments for the Cohesion Fund in the programming period 2007 to 2013.

Anti-fraud operations will be financed under Article 24 02 01.

This appropriation is also intended to finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing Regulation (EC) No 1083/2006, as provided for in Article 45 of that Regulation. In particular, it may be used to cover:

- support expenditure (representation expenses, training, meetings),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contract for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures approved by the Commission in the context of the preparation of the next programming period.

#### *Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing the Cohesion Fund (EC) (OJ L 210, 31.7.2006, p. 79).

#### *Reference acts*

Treaty establishing the European Community, and in particular Articles 158 and 161 thereof.

Treaty on the Functioning of the European Union, and in particular Articles 174 and 177 thereof.

### ***Article 13 04 03 — Risk sharing instrument financed from the CF envelope***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	p.m.	p.m.	

#### *Remarks*

This budget line is intended to finance the Risk Sharing Instruments from the Cohesion Fund envelope for Member States experiencing or threatened with serious difficulties with respect to their financial stability.

Reflows and amounts left-over after the completion of an operation covered by the risk sharing instrument may be reused within the risk sharing instrument if the Member State still meets the conditions specified in Article 77(2) of Council Regulation 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left-over shall be considered as assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left-over entered in Item 6 1 4 4 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

#### *Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

#### *Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the European Commission on 12 October 2011, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (COM(2011) 655 final).

## CHAPTER 13 05 — PRE-ACCESSION OPERATIONS RELATED TO THE STRUCTURAL POLICIES

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 05	Pre-accession operations related to the structural policies							
<b>13 05 01</b>	<b>Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)</b>							
13 05 01 01	Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)	4	p.m.	300 000 000	p.m.	235 009 566	0,—	165 868 813,64
13 05 01 02	Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries	4	p.m.	p.m.	p.m.	p.m.	0,—	28 310 364,65
	<i>Article 13 05 01 — Subtotal</i>		p.m.	300 000 000	p.m.	235 009 566	0,—	194 179 178,29
<b>13 05 02</b>	<b>Instrument for Pre-Accession Assistance (IPA) — Regional development component</b>	4	462 000 000	216 200 000	462 453 000	141 897 374	390 900 000,00	80 469 498,97
<b>13 05 03</b>	<b>Instrument for Pre-Accession Assistance (IPA) — Cross-border cooperation (CBC) component</b>							
13 05 03 01	Cross-border cooperation (CBC) — Contribution from Subheading 1-b	1.2	51 491 401	50 000 000	50 481 765	50 000 000	49 491 927,00	45 386 497,95
13 05 03 02	Cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4	4	36 279 051	40 000 000	42 406 903	28 636 770	46 229 232,00	31 370 077,79
	<i>Article 13 05 03 — Subtotal</i>		87 770 452	90 000 000	92 888 668	78 636 770	95 721 159,00	76 756 575,74
	<b>Chapter 13 05 — Total</b>		<b>549 770 452</b>	<b>606 200 000</b>	<b>555 341 668</b>	<b>455 543 710</b>	<b>486 621 159,00</b>	<b>351 405 253,00</b>

### Article 13 05 01 — Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)

#### Remarks

Aid provided by the Instrument for Structural Policies for Pre-accession (ISPA) was intended to help the candidate countries of Central and Eastern Europe in their accession to the Union. ISPA was used to help the beneficiary countries to comply with the *acquis* of the Union in the environment and transport fields.

Item 13 05 01 01 — Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000 000	p.m.	235 009 566	0,—	165 868 813,64

#### Remarks

This appropriation is intended to cover assistance under ISPA and the technical assistance provided outside the Commission which is required for implementation in the candidate countries of Central and Eastern Europe.

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

### Legal basis

Council Regulation (EC) No 1266/1999 of 21 June 1999 on coordinating aid to the applicant countries in the framework of the pre-accession strategy (OJ L 161, 26.6.1999, p. 68).

Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73).

Council Regulation (EC) No 2257/2004 of 20 December 2004 amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 2666/2000, to take into account Croatia's candidate status (OJ L 389, 30.12.2004, p. 1).

Item 13 05 01 02 — Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	28 310 364,65

### Remarks

This appropriation is intended to cover assistance under ISPA and the technical assistance provided outside the Commission which is required for implementation in the candidate countries which became Member States on 1 May 2004.

### Legal basis

Council Regulation (EC) No 1266/1999 of 21 June 1999 on coordinating aid to the applicant countries in the framework of the pre-accession strategy (OJ L 161, 26.6.1999, p. 68).

Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73).

## Article 13 05 02 — Instrument for Pre-Accession Assistance (IPA) — Regional development component

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
462 000 000	216 200 000	462 453 000	141 897 374	390 900 000,00	80 469 498,97

### Remarks

This appropriation is intended to cover Union assistance to the candidate countries covered by IPA in progressive alignment with Union standards and policies, including where appropriate the *acquis* of the Union, with a view to membership.

The regional development component shall support countries in policy development and preparation for the implementation and management of Union cohesion policy, in particular in their preparation for the Structural Funds.

### Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

**Article 13 05 03 — Instrument for Pre-Accession Assistance (IPA) — Cross-border cooperation (CBC) component**

Item 13 05 03 01 — Cross-border cooperation (CBC) — Contribution from Subheading 1-b

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
51 491 401	50 000 000	50 481 765	50 000 000	49 491 927,00	45 386 497,95

*Remarks*

This appropriation is intended to provide the European Regional Development Fund contribution for cross-border cooperation and the technical assistance provided outside the Commission which is required for the implementation in the Member States.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Item 13 05 03 02 — Cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
36 279 051	40 000 000	42 406 903	28 636 770	46 229 232,00	31 370 077,79

*Remarks*

This appropriation is intended to provide the contribution from the Instrument for Pre-Accession Assistance (IPA) for cross-border cooperation projects and technical assistance provided outside the Commission which is required for implementation in the candidate and potential candidate countries.

This appropriation is also intended to provide the IPA contribution for the participation of candidate and potential candidate countries in the relevant transnational and interregional cooperation programmes.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

**CHAPTER 13 06 — SOLIDARITY FUND**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 06	Solidarity Fund							
<b>13 06 01</b>	<b>European Union Solidarity Fund — Member States</b>	3.2	p.m.	p.m.	688 254 041	688 254 041	196 934 486,00	263 826 026,00

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>13 06 02</b>	<b>European Union Solidarity Fund — Countries negotiating for accession</b>	4	p.m.	p.m.	p.m.	p.m.	5 001 054,00	5 001 054,00
	<b>Chapter 13 06 — Total</b>		p.m.	p.m.	<b>688 254 041</b>	<b>688 254 041</b>	<b>201 935 540,00</b>	<b>268 827 080,00</b>

### **Article 13 06 01 — European Union Solidarity Fund — Member States**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	688 254 041	688 254 041	196 934 486,00	263 826 026,00

#### *Remarks*

This article is intended to record appropriations resulting from the mobilisation of the European Union Solidarity Fund in the event of major disasters in the Member States. Assistance should be provided mainly in connection with natural disasters, but may also be provided, where warranted by the urgency of the situation, to the Member States concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the 'polluter pays' principle, for example, or received in excess of the final valuation of damage should be recovered.

Allocation of the appropriations will be decided on in an amending budget with the sole purpose of mobilising the European Union Solidarity Fund.

#### *Legal basis*

Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund (OJ L 311, 14.11.2002, p. 3).

#### *Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 April 2005, establishing the European Union Solidarity Fund (COM(2005) 108 final).

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

### **Article 13 06 02 — European Union Solidarity Fund — Countries negotiating for accession**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	5 001 054,00	5 001 054,00

#### *Remarks*

This article is intended to record appropriations resulting from the mobilisation of the European Union Solidarity Fund in the event of major disasters in countries involved in accession negotiations with the Union. Assistance should be provided mainly in connection with natural disasters, but may also be provided, where warranted by the urgency of the situation, to the countries concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the 'polluter pays' principle, for example, or received in excess of the final valuation of damage should be recovered.

Allocation of the appropriations will be decided on in an amending budget with the sole purpose of mobilising the European Union Solidarity Fund.

*Legal basis*

Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund (OJ L 311, 14.11.2002, p. 3).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 April 2005, establishing the European Union Solidarity Fund (COM(2005) 108 final).

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

## TITLE 14 — TAXATION AND CUSTOMS UNION

*Figures*

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
14 01	Administrative expenditure of the 'Taxation and customs union' policy area		56 870 394	56 870 394	56 759 910	56 759 910	58 176 506,02	58 176 506,02
	40 01 40		781 149	781 149	151 912	151 912		
			57 651 543	57 651 543	56 911 822	56 911 822		
14 02	Policy strategy and coordination for the Directorate-General for Taxation and Customs Union	1	3 500 000	2 600 000	3 400 000	2 404 215	2 999 999,40	2 780 447,00
14 03	International aspects of taxation and customs	4	1 250 000	1 600 000	1 300 000	1 909 118	2 170 528,45	1 465 043,47
14 04	Customs policy	1	53 000 000	39 000 000	53 000 000	31 753 788	49 234 927,02	39 957 824,35
14 05	Taxation policy	1	30 000 000	21 000 000	28 200 000	17 237 770	27 800 000,00	20 384 015,94
	<b>Title 14 — Total</b>		<b>144 620 394</b>	<b>121 070 394</b>	<b>142 659 910</b>	<b>110 064 801</b>	<b>140 381 960,89</b>	<b>122 763 836,78</b>
	40 01 40		781 149	781 149	151 912	151 912		
	<b>Total including reserves</b>		<b>145 401 543</b>	<b>121 851 543</b>	<b>142 811 822</b>	<b>110 216 713</b>		

## CHAPTER 14 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TAXATION AND CUSTOMS UNION' POLICY AREA

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
14 01	Administrative expenditure of the 'Taxation and customs union' policy area				
<b>14 01 01</b>	<b>Expenditure related to staff in active employment in the 'Taxation and customs union' policy area</b>				
	40 01 40	5	44 297 071	44 067 833	44 099 633,32
			768 096		
			45 065 167		
<b>14 01 02</b>	<b>External staff and other management expenditure in support of the 'Taxation and customs union' policy area</b>				
14 01 02 01	External staff	5	5 680 562	5 852 798	6 267 181,14
	40 01 40		13 053		
			5 693 615		
14 01 02 11	Other management expenditure	5	2 837 477	2 773 625	3 240 970,60
	40 01 40			151 912	
				2 925 537	
	<i>Article 14 01 02 — Subtotal</i>		8 518 039	8 626 423	9 508 151,74
	40 01 40		13 053	151 912	
			8 531 092	8 778 335	
<b>14 01 03</b>	<b>Expenditure related to information and communication technology equipment and services of the 'Taxation and customs union' policy area</b>				
		5	2 803 284	2 813 654	3 316 720,96
<b>14 01 04</b>	<b>Support expenditure for operations in the 'Taxation and customs union' policy area</b>				

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
14 01 04 01	Implementation and development of the internal market — Expenditure on administrative management	1.1	120 000	120 000	120 000,00
14 01 04 02	Customs 2013 and Fiscalis 2013 — Expenditure on administrative management	1.1	1 132 000	1 132 000	1 132 000,00
	<i>Article 14 01 04 — Subtotal</i>		1 252 000	1 252 000	1 252 000,00
	<b>Chapter 14 01 — Total</b>		<b>56 870 394</b>	<b>56 759 910</b>	<b>58 176 506,02</b>
	40 01 40		781 149	151 912	
	Total including reserves		57 651 543	56 911 822	

***Article 14 01 01 — Expenditure related to staff in active employment in the ‘Taxation and customs union’ policy area***

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
14 01 01	44 297 071	44 067 833	44 099 633,32
40 01 40	768 096		
Total	45 065 167	44 067 833	44 099 633,32

***Article 14 01 02 — External staff and other management expenditure in support of the ‘Taxation and customs union’ policy area***

Item 14 01 02 01 — External staff

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
14 01 02 01	5 680 562	5 852 798	6 267 181,14
40 01 40	13 053		
Total	5 693 615	5 852 798	6 267 181,14

Item 14 01 02 11 — Other management expenditure

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
14 01 02 11	2 837 477	2 773 625	3 240 970,60
40 01 40		151 912	
Total	2 837 477	2 925 537	3 240 970,60

***Article 14 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Taxation and customs union’ policy area***

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
2 803 284	2 813 654	3 316 720,96

**Article 14 01 04 — Support expenditure for operations in the ‘Taxation and customs union’ policy area**

Item 14 01 04 01 — Implementation and development of the internal market — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
120 000	120 000	120 000,00

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices’ contracts expire during subsequent years.

*Legal basis*

See Article 14 02 01.

Item 14 01 04 02 — Customs 2013 and Fiscalis 2013 — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
1 132 000	1 132 000	1 132 000,00

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as exists between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

See Articles 14 04 02 and 14 05 03.

**CHAPTER 14 02 — POLICY STRATEGY AND COORDINATION FOR THE DIRECTORATE-GENERAL FOR TAXATION AND CUSTOMS UNION**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
14 02	Policy strategy and coordination for the Directorate-General for Taxation and Customs Union							
<b>14 02 01</b>	<b>Implementation and development of the internal market</b>	1.1	3 500 000	2 600 000	3 400 000	2 404 215	2 999 999,40	2 780 447,00
	<b>Chapter 14 02 — Total</b>		<b>3 500 000</b>	<b>2 600 000</b>	<b>3 400 000</b>	<b>2 404 215</b>	<b>2 999 999,40</b>	<b>2 780 447,00</b>

## Article 14 02 01 — Implementation and development of the internal market

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 500 000	2 600 000	3 400 000	2 404 215	2 999 999,40	2 780 447,00

### Remarks

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion, operation and development of the internal market.

It supports Union customs policy and taxation policy and includes actions which cannot be financed by the Customs 2013 and Fiscalis 2013 programmes.

In the field of taxation and customs, this appropriation is intended primarily to cover:

- the costs of consultations, studies, analyses and impact assessments,
- activities in customs classification, and the acquisition of data,
- investment in software,
- the production and development of publicity, awareness-raising and training materials.

### Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 14 03 — INTERNATIONAL ASPECTS OF TAXATION AND CUSTOMS

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
14 03	International aspects of taxation and customs							
<b>14 03 03</b>	<b>Membership of international organisations in the field of customs and tax</b>	4	1 250 000	1 200 000	1 300 000	1 240 927	1 170 528,45	1 170 528,45
<b>14 03 04</b>	<b>Good governance in the area of tax</b>	4	p.m.	400 000	p.m.	668 191	1 000 000,00	294 515,02
	<b>Chapter 14 03 — Total</b>		<b>1 250 000</b>	<b>1 600 000</b>	<b>1 300 000</b>	<b>1 909 118</b>	<b>2 170 528,45</b>	<b>1 465 043,47</b>

## Article 14 03 03 — Membership of international organisations in the field of customs and tax

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 250 000	1 200 000	1 300 000	1 240 927	1 170 528,45	1 170 528,45

### Remarks

This appropriation is intended to cover the contributions of the Union to:

- the World Customs Organisation (WCO),
- the International Tax Dialogue (ITD).

### Legal basis

Council Decision 2007/668/EC of 25 June 2007 on the exercise of rights and obligations akin to membership ad interim by the European Community in the World Customs Organisation (OJ L 274, 18.10.2007, p. 11).

### Reference acts

Commission Decision of 4 June 2008 on the Community's participation in the work of the International Tax Dialogue.

## Article 14 03 04 — Good governance in the area of tax

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	p.m.	668 191	1 000 000,00	294 515,02

### Remarks

This appropriation is intended to cover expenditure on studies, consultations, workshops, trainings, conferences, expenditure on technical and administrative assistance, meetings of experts, information and publications directly linked to the promotion of good governance in tax matters.

### Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 14 04 — CUSTOMS POLICY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
14 04	Customs policy							
14 04 01	Completion of previous Customs programmes	1.1	—	p.m.	—	p.m.	0,—	0,—
14 04 02	Customs 2013	1.1	53 000 000	39 000 000	53 000 000	31 753 788	49 234 927,02	39 957 824,35
	<b>Chapter 14 04 — Total</b>		<b>53 000 000</b>	<b>39 000 000</b>	<b>53 000 000</b>	<b>31 753 788</b>	<b>49 234 927,02</b>	<b>39 957 824,35</b>

## Article 14 04 01 — Completion of previous Customs programmes

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

### Remarks

This appropriation is intended to cover expenditure on the implementation of the Community customs action programme, and in particular the financing of joint actions, information technology actions as well as other actions.

It covers in particular:

- the travel and subsistence expenses of people from participating countries attending seminars and workshops, exchanges of officials, training, follow-up and comparative analysis operations,
- costs involved in organising seminars, workshops and other similar meetings,

- the cost of purchasing and developing any material required for training,
- the maintenance, development and running costs of current information exchange and communication systems, the operating costs of the network and the running costs of Community components installed on Commission premises (or on the premises of a designated subcontractor). The systems and networks concerned are: the common communications network/common systems interface (CCN/CSI) to the extent necessary to support the functioning of the systems listed here, the data dissemination system (DDS), the new computerised transit system (NCTS/NSTI), the information system on the integrated tariff of the Community (TARIC), the information system for transfer of origin stamps and the transmission of transit stamps (TCO/TCT), the European customs inventory of chemical substances (ECICS), the European binding tariff information system (EBTI/RTCE), the tariff quota surveillance management system (TQS), the inward-processing relief system (IPR), the unit values system, the suspensions information system and actions connected with customs computerisation (eCustoms and customs modernisation),
- as regards the communication and information exchange systems, set up by the management procedure laid down in Article 4 of Decision 1999/468/EC: the costs of design, installation, operation and development, principally the hardware, software and network connections which must be common to all Member States to ensure the interconnection and interoperability of the systems,
- the cost of user assistance, maintenance, operation and development of the anti-fraud information system (AFIS),
- costs connected with other actions which may be necessary to meet the objectives of the programme.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and western Balkan potential candidate countries, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

Decision No 253/2003/EC of the European Parliament and of the Council of 11 February 2003 adopting an action programme for customs in the Community (Customs 2007) (OJ L 36, 12.2.2003, p. 1).

### **Article 14 04 02 — Customs 2013**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
53 000 000	39 000 000	53 000 000	31 753 788	49 234 927,02	39 957 824,35

#### *Remarks*

This appropriation is intended to cover expenditure on the implementation of the Customs 2013 programme, and in particular the financing of joint actions, information technology (IT) actions and other actions.

It covers in particular:

- the cost of the acquisition, development, installation, maintenance and day-to-day operation of the Union components of the communication and information exchange systems, which comprise: the common communications network/common systems interface (CCN/CSI), including communication costs relating to the anti-fraud information system (AFIS); the computerised transit system (CTS); the tariff systems, in particular the data dissemination system (DDS), the combined nomenclature (CN), the information system on the integrated tariff of the Community (TARIC), the European binding tariff information system (EBTI), the tariff quota and surveillance system (TQS), the suspensions information system (Suspensions), the specimen management system (SMS), the information system for processing procedures (ISPP), the European customs inventory of chemical substances (ECICS) and the registered exporters system (REX); the system for increasing security set out in Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code (OJ L 302, 19.10.1992, p. 1), including the Union's risk management system, the export control system (ECS), the import control system (ICS) and the system for authorised economic operators (AEO); and any new customs-related communication and information exchange systems, including electronic customs systems, established under the legislation of the Union and provided for in the work programme,

- expenses relating to preparatory, monitoring, control, audit and evaluation activities which are required directly for the management of the programme and the achievement of its objectives, and in particular studies, meetings, information and publication actions, and expenses linked to IT networks focusing on information exchange,
- the travel and living expenses incurred by officials from the participating countries relating to benchmarking activities, working visits, seminars, workshops, project and steering groups, training, and monitoring actions,
- the organisational costs of seminars, workshops, and other similar meetings,
- the travel and living expenses incurred due to the participation of ad hoc experts and participants,
- the cost of the acquisition, development, installation, maintenance of training systems and modules in so far as they are common to all participating countries,
- the costs of any other activity required in order to achieve the objectives of the programme.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and western Balkan potential candidate countries, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Decision No 624/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing an action programme for customs in the Community (Customs 2013) (OJ L 154, 14.6.2007, p. 25).

Decision No 70/2008/EC of the European Parliament and of the Council of 15 January 2008 on a paperless environment for customs and trade (OJ L 23, 26.1.2008, p. 21).

Regulation (EC) No 450/2008 of the European Parliament and of the Council of 23 April 2008 laying down the Community Customs Code (Modernised Customs Code) (OJ L 145, 4.6.2008, p. 1).

## **CHAPTER 14 05 — TAXATION POLICY**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
14 05	Taxation policy							
<b>14 05 02</b>	<b><i>Computerisation of the excise system (EMCS)</i></b>	1.1	—	p.m.	—	p.m.	0,—	64 007,94
<b>14 05 03</b>	<b><i>Fiscalis 2013</i></b>	1.1	30 000 000	21 000 000	28 200 000	17 237 770	27 800 000,00	20 320 008,00
	<b>Chapter 14 05 — Total</b>		<b>30 000 000</b>	<b>21 000 000</b>	<b>28 200 000</b>	<b>17 237 770</b>	<b>27 800 000,00</b>	<b>20 384 015,94</b>

## Article 14 05 02 — Computerisation of the excise system (EMCS)

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	64 007,94

### Remarks

This appropriation is intended to cover the expenses incurred in putting in place a multiannual programme for computerising the excise system, and will cover in particular:

- the development, support and testing of the system, management and quality control for the products developed and installed, coordination, the hardware included in the definition of the Union components of the system and its functional and technical specifications,
- establishing information and training activities,
- the security plan for the system.

### Legal basis

Decision No 1152/2003/EC of the European Parliament and of the Council of 16 June 2003 on computerising the movement and surveillance of excisable products (OJ L 162, 1.7.2003, p. 5).

## Article 14 05 03 — Fiscalis 2013

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 000 000	21 000 000	28 200 000	17 237 770	27 800 000,00	20 320 008,00

### Remarks

This appropriation is intended to cover expenditure on the implementation of the Fiscalis 2013 programme, and in particular the financing of joint actions, information technology (IT) actions and other actions.

It covers in particular:

- the cost of the acquisition, development, installation, maintenance and day-to-day operation of the Union components of the communication and information exchange systems, which comprise: the common communications network/common systems interface (CCN/CSI); the VAT information exchange system (VIES); excise systems; the excise movement control system (EMCS); and any new tax-related communication and information exchange systems set up under the legislation of the Union and provided for in the work programme,
- expenses relating to preparatory, monitoring, control, audit and evaluation activities which are required directly for the management of the programme and the achievement of its objectives, in particular studies, meetings, information and publication actions, and expenses linked to IT networks focusing on information exchange,
- the travel and living expenses incurred by officials from the participating countries relating to multilateral controls, working visits, seminars and project groups,
- the organisational costs relating to seminars and other similar meetings,
- the travel and living expenses incurred for the participation of ad hoc experts and participants,
- the cost of the acquisition, development, installation, and maintenance of training systems and modules in so far as they are common to all participating countries,
- the costs of any other activity required in order to achieve the objectives of the programme.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and western Balkan potential candidate countries, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 1798/2003 of 7 October 2003 on administrative cooperation in the field of value added tax and repealing Regulation (EEC) No 218/92 (OJ L 264, 15.10.2003, p. 1).

Decision No 1482/2007/EC of the European Parliament and of the Council of 11 December 2007 establishing a Community programme to improve the operation of taxation systems in the internal market (Fiscalis 2013) (OJ L 330, 15.12.2007, p. 1).

## TITLE 15 — EDUCATION AND CULTURE

*Figures*

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 01	Administrative expenditure of the 'Education and culture' policy area		123 492 923	123 492 923	125 157 657	125 157 657	134 017 389,68	134 017 389,68
	40 01 40		<u>1 027 627</u> 124 520 550	<u>1 027 627</u> 124 520 550	<u>29 933</u> 125 187 590	<u>29 933</u> 125 187 590		
15 02	Lifelong learning, including multilingualism		1 393 567 510	1 308 451 135	1 345 007 430	1 289 141 456	1 397 140 302,50	1 375 911 670,62
15 04	Developing cultural and audiovisual cooperation in Europe		175 715 000	158 168 750	173 780 000	157 485 000	178 444 371,13	166 857 126,08
15 05	Encouraging and promoting cooperation in the field of youth and sports	3	147 450 000	134 709 000	145 108 000	130 000 000	163 102 282,59	156 079 484,87
15 07	People — Programme for the mobility of researchers	1	959 252 000	800 499 900	906 662 068	691 555 947	846 603 637,00	584 227 243,53
	<b>Title 15 — Total</b>		<b>2 799 477 433</b>	<b>2 525 321 708</b>	<b>2 695 715 155</b>	<b>2 393 340 060</b>	<b>2 719 307 982,90</b>	<b>2 417 092 914,78</b>
	<u>40 01 40</u>		<u>1 027 627</u>	<u>1 027 627</u>	<u>29 933</u>	<u>29 933</u>		
	<b>Total including reserves</b>		<b>2 800 505 060</b>	<b>2 526 349 335</b>	<b>2 695 745 088</b>	<b>2 393 369 993</b>		

## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
15 01	Administrative expenditure of the 'Education and culture' policy area				
<i>15 01 01</i>	<i>Expenditure related to staff in active employment in the 'Education and culture' policy area</i>	5	52 066 716	52 261 852	52 768 543,03
	40 01 40		<u>902 819</u> 52 969 535		
<i>15 01 02</i>	<i>External staff and other management expenditure in support of the 'Education and culture' policy area</i>				
15 01 02 01	External staff	5	3 858 908	3 973 490	4 776 887,45
	40 01 40		<u>26 113</u> 3 885 021		
15 01 02 11	Other management expenditure	5	3 333 017	3 361 487	5 481 756,45
	40 01 40			<u>29 933</u> 3 391 420	
	<i>Article 15 01 02 — Subtotal</i>		7 191 925	7 334 977	10 258 643,90
	40 01 40		<u>26 113</u> 7 218 038	<u>29 933</u> 7 364 910	
<i>15 01 03</i>	<i>Expenditure related to information and communication technology equipment and services of the 'Education and culture' policy area</i>	5	3 294 977	3 336 828	3 967 061,60

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
<b>15 01 04</b>	<b>Support expenditure for operations in the 'Education and culture' policy area</b>				
15 01 04 14	Erasmus Mundus — Expenditure on administrative management	1.1	914 000	914 000	1 014 776,39
15 01 04 17	Cooperation with third countries on education and vocational training — Expenditure on administrative management	4	80 000	80 000	79 912,96
15 01 04 22	Lifelong learning — Expenditure on administrative management	1.1	8 500 000	8 750 000	9 252 127,54
15 01 04 30	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 1a	1.1	21 395 000	21 444 000	23 315 475,00
15 01 04 31	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 3b	3.2	15 572 000	15 572 000	16 640 262,00
15 01 04 32	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 4	4	263 000	600 000	600 000,00
15 01 04 44	Culture Programme (2007 to 2013) — Expenditure on administrative management	3.2	550 000	550 000	640 322,20
15 01 04 55	Youth in Action — Expenditure on administrative management	3.2	780 000	780 000	1 283 173,66
15 01 04 60	MEDIA 2007 — Support programme for the European audiovisual sector — Expenditure on administrative management	3.2	725 000	725 000	881 378,09
15 01 04 68	MEDIA Mundus — Expenditure on administrative management	3.2	75 000	75 000	169 257,05
	<i>Article 15 01 04 — Subtotal</i>		48 854 000	49 490 000	53 876 684,89
<b>15 01 05</b>	<b>Support expenditure for research activities of the 'Education and culture' policy area</b>				
15 01 05 01	Expenditure related to research staff	1.1	1 952 000	1 952 000	1 800 000,00
15 01 05 02	External staff for research	1.1	700 000	700 000	586 660,00
15 01 05 03	Other management expenditure for research	1.1	348 000	348 000	657 389,06
	<i>Article 15 01 05 — Subtotal</i>		3 000 000	3 000 000	3 044 049,06
<b>15 01 60</b>	<b>Purchasing of information</b>				
15 01 60 01	Library stocks, subscriptions and purchase and preservation of books	5	2 534 000	2 734 000	2 749 278,01
	<i>Article 15 01 60 — Subtotal</i>		2 534 000	2 734 000	2 749 278,01
<b>15 01 61</b>	<b>Cost of organising graduate traineeships with the institution</b>	5	6 551 305	7 000 000	7 353 129,19
	40 01 40		98 695		
	40 01 40		6 650 000		
	<b>Chapter 15 01 — Total</b>		<b>123 492 923</b>	<b>125 157 657</b>	<b>134 017 389,68</b>
	40 01 40		1 027 627	29 933	
	<b>Total including reserves</b>		<b>124 520 550</b>	<b>125 187 590</b>	

**Article 15 01 01 — Expenditure related to staff in active employment in the 'Education and culture' policy area**

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
15 01 01	52 066 716	52 261 852	52 768 543,03
40 01 40	902 819		
<b>Total</b>	<b>52 969 535</b>	<b>52 261 852</b>	<b>52 768 543,03</b>

**Article 15 01 02 — External staff and other management expenditure in support of the ‘Education and culture’ policy area**

Item 15 01 02 01 — External staff

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
15 01 02 01	3 858 908	3 973 490	4 776 887,45
40 01 40	26 113		
Total	3 885 021	3 973 490	4 776 887,45

Item 15 01 02 11 — Other management expenditure

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
15 01 02 11	3 333 017	3 361 487	5 481 756,45
40 01 40		29 933	
Total	3 333 017	3 391 420	5 481 756,45

**Article 15 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Education and culture’ policy area**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
3 294 977	3 336 828	3 967 061,60

**Article 15 01 04 — Support expenditure for operations in the ‘Education and culture’ policy area**

Item 15 01 04 14 — Erasmus Mundus — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
914 000	914 000	1 014 776,39

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional

appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

See Article 15 02 02.

Item 15 01 04 17 — Cooperation with third countries on education and vocational training — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
80 000	80 000	79 912,96

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 15 02 03.

Item 15 01 04 22 — Lifelong learning — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
8 500 000	8 750 000	9 252 127,54

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

### Legal basis

See Article 15 02 22.

## Item 15 01 04 30 — Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 1a

### Figures

Budget 2013	Appropriations 2012	Outturn 2011
21 395 000	21 444 000	23 315 475,00

### Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the programmes under Heading 1a of the 2007 to 2013 financial framework and the completion of actions supported before 2007.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union/Community programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

### Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1720/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing an action programme in the field of lifelong learning (OJ L 327, 24.11.2006, p. 45).

Decision No 1298/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing the Erasmus Mundus 2009-2013 action programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (OJ L 340, 19.12.2008, p. 83).

### Reference acts

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

## Item 15 01 04 31 — Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 3b

### Figures

Budget 2013	Appropriations 2012	Outturn 2011
15 572 000	15 572 000	16 640 262,00

### Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the programmes under Heading 3b of the 2007 to 2013 financial framework and the completion of actions supported before 2007.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

### Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1718/2006/EC of the European Parliament and of the Council of 15 November 2006 concerning the implementation of a programme of support for the European audiovisual sector (MEDIA 2007) (OJ L 327, 24.11.2006, p. 12).

Decision No 1719/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing the 'Youth in Action' programme for the period 2007 to 2013 (OJ L 327, 24.11.2006, p. 30).

Decision No 1855/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing the Culture Programme (2007 to 2013) (OJ L 372, 27.12.2006, p. 1).

### Reference acts

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

### Item 15 01 04 32 — Education, Audiovisual and Culture Executive Agency — Contribution from programmes under Heading 4

#### Figures

Budget 2013	Appropriations 2012	Outturn 2011
263 000	600 000	600 000,00

### Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the programmes under Heading 4 of the 2007 to 2013 financial framework and the completion of actions supported before 2007.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

### *Legal basis*

Council Decision 2001/196/EC of 26 February 2001 concerning the conclusion of an Agreement between the European Community and the United States of America renewing a programme of cooperation in higher education and vocational education and training (OJ L 71, 13.3.2001, p. 7).

Council Decision 2001/197/EC of 26 February 2001 concerning the conclusion of an Agreement between the European Community and the Government of Canada renewing a cooperation programme in higher education and training (OJ L 71, 13.3.2001, p. 15).

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Decision 2006/910/EC of 4 December 2006 concerning the conclusion of the Agreement between the European Community and the United States of America renewing the cooperation programme in higher education and vocational education and training (OJ L 346, 9.12.2006, p. 33).

Council Decision 2006/964/EC of 18 December 2006 on the conclusion of the Agreement between the European Community and the Government of Canada establishing a framework for cooperation in higher education, training and youth (OJ L 397, 30.12.2006, p. 14).

### *Reference acts*

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

## Item 15 01 04 44 — Culture Programme (2007 to 2013) — Expenditure on administrative management

### *Figures*

Budget 2013	Appropriations 2012	Outturn 2011
550 000	550 000	640 322,20

### *Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

### *Legal basis*

See Article 15 04 44.

## Item 15 01 04 55 — Youth in Action — Expenditure on administrative management

### *Figures*

Budget 2013	Appropriations 2012	Outturn 2011
780 000	780 000	1 283 173,66

### Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

### Legal basis

See Article 15 05 55.

## Item 15 01 04 60 — MEDIA 2007 — Support programme for the European audiovisual sector — Expenditure on administrative management

### Figures

Budget 2013	Appropriations 2012	Outturn 2011
725 000	725 000	881 378,09

### Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

### Legal basis

See Item 15 04 66 01.

Item 15 01 04 68 — MEDIA Mundus — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
75 000	75 000	169 257,05

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, applying the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

See new Article 15 04 68.

**Article 15 01 05 — Support expenditure for research activities of the 'Education and culture' policy area**

Item 15 01 05 01 — Expenditure related to research staff

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
1 952 000	1 952 000	1 800 000,00

Item 15 01 05 02 — External staff for research

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
700 000	700 000	586 660,00

Item 15 01 05 03 — Other management expenditure for research

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
348 000	348 000	657 389,06

## **Article 15 01 60 — Purchasing of information**

Item 15 01 60 01 — Library stocks, subscriptions and purchase and preservation of books

### *Figures*

Budget 2013	Appropriations 2012	Outturn 2011
2 534 000	2 734 000	2 749 278,01

### *Remarks*

This appropriation is intended to cover:

- the purchase of books and other publications, the updating of existing volumes,
- the cost of binding and other expenditure necessary for the preservation of books and publications,
- expenditure on subscriptions to newspapers, specialist periodicals, and
- other specialised publications on paper and/or electronic form.

It does not cover expenditure incurred by:

- Joint Research Centre sites, for which expenditure is entered in Article 01 05 of each title concerned,
- Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03,
- similar expenditure incurred outside the Union entered in Item 01 03 02 of each title concerned.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 80 000 for 2013.

## **Article 15 01 61 — Cost of organising graduate traineeships with the institution**

### *Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
15 01 61	6 551 305	7 000 000	7 353 129,19
40 01 40	98 695		
Total	6 650 000	7 000 000	7 353 129,19

### *Remarks*

This appropriation is intended to cover the costs of in-service traineeships for graduates. This traineeship is designed to provide them with an overview of the objectives set and the challenges faced by the Union, an insight into how its institutions work and an opportunity to enhance their knowledge through work experience at the Commission.

This appropriation covers the payment of grants and other related costs (supplement for persons with disabilities, accident and sickness insurance, contribution to travelling costs linked to the traineeship, particularly return trip to the place of assignment, costs of events organised in the framework of the traineeship programme, e.g. visits, hosting and reception costs). It also covers the costs of evaluation in order to optimise the programme and communication and information campaigns.

The selection of trainees is based on objective and transparent criteria.

The amount of related assigned revenue pursuant to Article 18(1) of the Financial Regulation is estimated at EUR 974 000 for 2013.

### *Conditions for releasing the reserve*

The appropriations entered in the reserve pending a decision on the 2011 1.7% salary adjustment, for 2013, will be released immediately if the Court of Justice rules in favour of the Commission.

## CHAPTER 15 02 — LIFELONG LEARNING, INCLUDING MULTILINGUALISM

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 02	Lifelong learning, including multilingualism							
<b>15 02 02</b>	<b>Erasmus Mundus</b>							
		1.1	110 791 000	97 150 000	105 654 000	86 188 852	100 638 103,19	90 890 385,45
<b>15 02 03</b>	<b>Cooperation with third countries on education and vocational training</b>							
		4	1 024 000	3 600 000	9 000 000	7 636 472	1 395 254,77	4 390 505,75
<b>15 02 09</b>	<b>Completion of previous programmes in the field of education and training</b>							
		1.1	—	p.m.	—	p.m.	0,—	2 338 104,95
<b>15 02 11</b>	<b>European Institute of Innovation and Technology</b>							
15 02 11 01	European Institute of Innovation and Technology — Governing structure	1.1	4 765 110	4 765 110	4 493 000	3 169 028	3 742 285,13	3 298 018,35
15 02 11 02	European Institute of Innovation and Technology — Knowledge and Innovation Communities (KIC)	1.1	118 300 000	103 569 690	74 831 000	65 512 600	60 542 918,00	45 705 908,25
	<i>Article 15 02 11 — Subtotal</i>		123 065 110	108 334 800	79 324 000	68 681 628	64 285 203,13	49 003 926,60
<b>15 02 22</b>	<b>Lifelong learning programme</b>							
		1.1	1 117 276 000	1 058 000 000	1 110 476 000	1 087 251 074	1 189 120 538,59	1 189 681 060,40
<b>15 02 23</b>	<b>Preparatory action — Erasmus-style programme for apprentices</b>							
		1.1	—	275 000	—	p.m.	0,—	298 836,90
<b>15 02 25</b>	<b>European Centre for the Development of Vocational Training</b>							
15 02 25 01	European Centre for the Development of Vocational Training — Contribution to Titles 1 and 2	1.1	12 430 000	12 430 000	12 668 834	12 668 834	13 741 473,16	13 741 473,16
15 02 25 02	European Centre for the Development of Vocational Training — Contribution to Title 3	1.1	4 954 900	4 954 900	4 340 066	4 340 066	3 528 526,84	3 245 526,84
	<i>Article 15 02 25 — Subtotal</i>		17 384 900	17 384 900	17 008 900	17 008 900	17 270 000,00	16 987 000,00
<b>15 02 27</b>	<b>European Training Foundation</b>							
15 02 27 01	European Training Foundation — Contribution to Titles 1 and 2	4	15 081 500	15 081 500	14 468 414	14 468 414	14 328 000,00	14 328 000,00
15 02 27 02	European Training Foundation — Contribution to Title 3	4	4 945 000	5 445 000	5 576 116	5 576 116	7 202 173,05	5 522 000,00
	<i>Article 15 02 27 — Subtotal</i>		20 026 500	20 526 500	20 044 530	20 044 530	21 530 173,05	19 850 000,00
<b>15 02 30</b>	<b>Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges</b>							
		1.1	—	—	—	—	1 355,77	1 355,77
<b>15 02 31</b>	<b>Pilot project to cover costs of studies for specialising in European Neighbourhood Policy (ENP) and for related academic activities, including setting-up the ENP Chair in the College of Europe Natolin Campus</b>							
		1.1	p.m.	579 935	p.m.	580 000	2 899 674,00	2 319 739,00
<b>15 02 32</b>	<b>Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges</b>							
		1.1	—	p.m.	p.m.	p.m.	0,—	150 755,80
<b>15 02 33</b>	<b>Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natolin Campus)</b>							
		1.1	4 000 000	2 600 000	3 500 000	1 750 000		
	<b>Chapter 15 02 — Total</b>		<b>1 393 567 510</b>	<b>1 308 451 135</b>	<b>1 345 007 430</b>	<b>1 289 141 456</b>	<b>1 397 140 302,50</b>	<b>1 375 911 670,62</b>

## Article 15 02 02 — Erasmus Mundus

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
110 791 000	97 150 000	105 654 000	86 188 852	100 638 103,19	90 890 385,45

### Remarks

This appropriation is intended to cover the Erasmus Mundus II programme (2009-2013), as well as the completion of actions started during the previous phase of the Erasmus Mundus programme 2004-2008. The objectives of the Erasmus Mundus II programme are:

- to foster structured cooperation between higher education institutions and academic staff in Europe and third countries with a view to creating poles of excellence and providing highly trained human resources,
- to contribute to the mutual enrichment of societies by developing a pool of well-qualified, open-minded and internationally experienced women and men through promoting mobility in order for the most talented students and academics from third countries to obtain qualifications and/or experience in the Union and for the most talented European students and academics to do the same in third countries,
- to contribute to the development of human resources and the international cooperation capacity of higher education institutions in third countries through increased mobility streams between the Union and third countries,
- to improve accessibility and enhance the profile and visibility of European higher education in the world as well as its attractiveness for third-country nationals,
- to foster cooperation between higher education institutions and to improve exchanges of academic staff and students in Europe and European Neighbourhood Policy countries — equally from the South and East.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure, in accordance with Article 18(1)(d) of the Financial Regulation.

### Legal basis

Decision No 2317/2003/EC of the European Parliament and of the Council of 5 December 2003 establishing a programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (Erasmus Mundus) (2004 to 2008) (OJ L 345, 31.12.2003, p. 1).

Decision No 1298/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing the Erasmus Mundus 2009-2013 action programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (OJ L 340, 19.12.2008, p. 83).

## Article 15 02 03 — Cooperation with third countries on education and vocational training

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 024 000	3 600 000	9 000 000	7 636 472	1 395 254,77	4 390 505,75

### Remarks

On the basis of the cooperation agreements between the Union/Community and the United States of America and Canada, this appropriation is in particular intended to cover:

- comparative studies on respective education policies, qualifications and skills,
- establishment of exchange programmes for students, learners, teachers and administrators,
- promotion of interinstitutional cooperation,
- aid for the establishment of links between the industrial sectors concerned and universities,
- promotion of cooperation with the private sector in developing and broadening the programmes,
- development of policy dialogue; complementary measures and prompt dissemination of results.

Assistance is to be increased for European students for studying in the United States and Canada, in particular at universities.

### Legal basis

Council Decision 2001/196/EC of 26 February 2001 concerning the conclusion of an Agreement between the European Community and the United States of America renewing a programme of cooperation in higher education and vocational education and training (OJ L 71, 13.3.2001, p. 7).

Council Decision 2001/197/EC of 26 February 2001 concerning the conclusion of an Agreement between the European Community and the Government of Canada renewing a cooperation programme in higher education and training (OJ L 71, 13.3.2001, p. 15).

Council Decision 2006/910/EC of 4 December 2006 concerning the conclusion of the Agreement between the European Community and the United States of America renewing the cooperation programme in higher education and vocational education and training (OJ L 346, 9.12. 2006, p. 33).

Council Decision 2006/964/EC of 18 December 2006 on the conclusion of the Agreement between the European Community and the Government of Canada establishing a framework for cooperation in higher education, training and youth (OJ L 397, 30.12.2006, p. 14).

## Article 15 02 09 — Completion of previous programmes in the field of education and training

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	2 338 104,95

### Remarks

This appropriation is intended to cover the completion of actions supported before 2007 under the following budget headings:

- Socrates,
- Leonardo da Vinci.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

### Legal basis

Council Decision 1999/382/EC of 26 April 1999 establishing the second phase of the Community vocational training action programme 'Leonardo da Vinci' (OJ L 146, 11.6.1999, p. 33).

Decision No 253/2000/EC of the European Parliament and of the Council of 24 January 2000 establishing the second phase of the Community action programme in the field of education ‘Socrates’ (OJ L 28, 3.2.2000, p. 1).

Decision No 2241/2004/EC of the European Parliament and of the Council of 15 December 2004 on a single Community framework for the transparency of qualifications and competences (Europass) (OJ L 390, 31.12.2004, p. 6).

### **Article 15 02 11 — European Institute of Innovation and Technology**

#### **Item 15 02 11 01 — European Institute of Innovation and Technology — Governing structure**

##### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 765 110	4 765 110	4 493 000	3 169 028	3 742 285,13	3 298 018,35

##### *Remarks*

This appropriation is intended to cover staff and administrative expenditure (Titles 1 and 2) of the European Institute of Innovation and Technology (EIT).

The EIT must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure. The Commission, if requested by the EIT, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The establishment plan of the EIT is set out in the Part entitled ‘Establishment plan staff’ of Section III — Commission (Volume 3).

##### *Legal basis*

Regulation (EC) No 294/2008 of the European Parliament and of the Council of 11 March 2008 establishing the European Institute of Innovation and Technology (OJ L 97, 9.4.2008, p. 1).

#### **Item 15 02 11 02 — European Institute of Innovation and Technology — Knowledge and Innovation Communities (KIC)**

##### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
118 300 000	103 569 690	74 831 000	65 512 600	60 542 918,00	45 705 908,25

##### *Remarks*

This appropriation is intended to cover the EIT’s operational expenditure relating to the work programme (Title 3) including the Knowledge and Innovation Communities (KIC) designated by the European Institute of Innovation and Technology (EIT).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### Legal basis

Regulation (EC) No 294/2008 of the European Parliament and of the Council of 11 March 2008 establishing the European Institute of Innovation and Technology (OJ L 97, 9.4.2008, p. 1).

### Article 15 02 22 — Lifelong learning programme

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 117 276 000	1 058 000 000	1 110 476 000	1 087 251 074	1 189 120 538,59	1 189 681 060,40

#### Remarks

In accordance with the decision for an integrated programme in the field of lifelong learning, this appropriation is intended to cover the following specific programmes and horizontal actions:

- Comenius: for general education activities concerning schools up to and including upper-secondary level,
- Erasmus: for education and advanced training activities at higher education level, increase in the number of scholarships and funding for them under the Erasmus programmes,
- Leonardo da Vinci: for all aspects of vocational education and training,
- Grundtvig: for adult education,
- Jean Monnet: projects stimulating teaching, research and debate on the European integration process at higher education institutions and operating grants to certain key institutions and associations,
- a cross-cutting programme: incorporating four key activities to cover policy issues, to make specific provision for language learning and activity related to information and communication technologies where these fall outside the specific programmes, and to provide a better dissemination service.

Special education needs for persons suffering from disabilities or ‘dys’ conditions can be addressed in all the abovementioned sectoral programmes.

- **Brain trust:** One part of the increase is intended to support Brain trust, an on-line knowledge-sharing tool for university students where each student can have a profile page displaying his or her ‘academic CV’, including study courses, publications, references used and keywords to indicate interests of the student. Brain trust platform will give students an overview of their studies and an opportunity to communicate and cooperate based on their academic interests across countries, universities, disciplines and levels of education, regardless of their current institutional affiliation and geographical location. The platform contributes to the strengthening of a pan-European identity and awareness among the young generation of European citizens. It will create an online European dimension to national systems of higher education, supporting the aims of developing the Community as a knowledge society, as set out in the Lifelong Learning Programme 2007-2013, and contributing to the creation of a European Area of Higher Education as part of the Bologna Process.
- **Early Science and Technology Education in Europe:** One part of the increase is intended to support a project or action for the enhancement of Early Science and Technology Education in Europe. Vision: In the 1st formative period (age 3-6) all children make first experiences with basic science concepts in order to feed their intrinsic curiosity. The action is aimed at supporting Member States in the development of national strategies in the field of early science education.
- **European University Institute (EUI):** One part of the increase is intended to cover, inter alia, supplementary expenditures relating to the activities of the EUI in accordance with Article 36.2b of the decision No. 1720/2006 CE establishing the Lifelong Learning Programme. A complementary contribution should be allocated to the EUI's Global Governance Programme. The Programme started in 2010 and is now consolidating and expanding its activities. The increase will further improve and widen the PhD training with respect to global governance and world affairs at the EUI; enlarge the European Academy of Global Governance devoted to top level training, discussion and debates; enhance the community of junior post-doctoral fellows specialised in these areas; attract to the EUI a significant number of senior scholars drawn from Member States' universities and research centres and from international institutions; further increase the number of interrelated strands of basic and applied research on issues of global governance; promote and support a variety of events, conferences and High-Level Policy Seminars on issues of global governance; and further consolidate the European Network of Global Governance as well as the dissemination activities of the programme.

Part of the appropriations should be used for the promotion of the university education of students with a Roma ethnic background in order to provide them comprehensive support to address their unique challenges and to prevent their drop-out in tertiary education; the support should include scholarships, mentoring, tutoring and additional training in professional development and language competences.

A part of these appropriations should also fund Qualified Master Degree within eligible European universities, which shall be an important tool in the frame of the automatic recognition by all Member States and which must implement a common Master core curriculum. A European label of Qualified Master Degree will be delivered on the basis of the compliance with quality criteria of excellence. The initiative will foster academic recognition of Master degrees across the EU and will represent an important instrument in the goal of the realization of an effective European Higher Education Area, as it's been stressed by the recent Bologna Process Ministerial Conference of Bucharest in April 2012 and by the EP initiative report of March 2012. It will be implemented by universities of different Member States and will have, as a side outcome, the comparability of curricula, programs and learning outcomes of the corresponding bachelor degrees.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure in accordance with Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Decision No 1720/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing an action programme in the field of lifelong learning (OJ L 327, 24.11.2006, p. 45).

### **Article 15 02 23 — Preparatory action — Erasmus-style programme for apprentices**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	275 000	—	p.m.	0,—	298 836,90

#### *Remarks*

This appropriation is intended to cover the costs for the completion of the preparatory action 'Erasmus-style programme for apprentices'.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 15 02 25 — European Centre for the Development of Vocational Training**

Item 15 02 25 01 — European Centre for the Development of Vocational Training — Contribution to Titles 1 and 2

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 430 000	12 430 000	12 668 834	12 668 834	13 741 473,16	13 741 473,16

### *Remarks*

This appropriation is intended to cover the Centre's staff and administrative expenditure (Titles 1 and 2).

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure. The Commission, if requested by the Centre, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The Centre's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

### *Legal basis*

Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training (OJ L 39, 13.2.1975, p. 1).

Item 15 02 25 02 — European Centre for the Development of Vocational Training — Contribution to Title 3

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 954 900	4 954 900	4 340 066	4 340 066	3 528 526,84	3 245 526,84

### *Remarks*

This appropriation is intended to cover the Centre's operational expenditure relating to the work programme (Title 3).

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure. The Commission, if requested by the Centre, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The Union contribution for 2013 amounts to a total of EUR 17 433 900. An amount of EUR 49 000 coming from the recovery of surplus is added to the amount of EUR 17 384 900 entered in the budget.

### *Legal basis*

Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training (OJ L 39, 13.2.1975, p. 1).

## **Article 15 02 27 — European Training Foundation**

Item 15 02 27 01 — European Training Foundation — Contribution to Titles 1 and 2

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 081 500	15 081 500	14 468 414	14 468 414	14 328 000,00	14 328 000,00

### Remarks

This appropriation is intended to cover the Foundation's staff and administrative expenditure (Titles 1 and 2).

The Foundation must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The establishment plan for the Foundation is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

This appropriation is intended to cover the support of partner countries in the Mediterranean region in reforming their labour markets and vocational training systems, promoting social dialogue and supporting entrepreneurship.

### Legal basis

Regulation (EC) No 1339/2008 of the European Parliament and of the Council of 16 December 2008 establishing a European Training Foundation (OJ L 354, 31.12.2008, p. 82).

## Item 15 02 27 02 — European Training Foundation — Contribution to Title 3

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 945 000	5 445 000	5 576 116	5 576 116	7 202 173,05	5 522 000,00

### Remarks

This appropriation is intended to cover the Foundation's operational expenditure regarding its work programme (Title 3).

The Foundation must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Union contribution for 2013 amounts to a total of EUR 20 144 500. An amount of EUR 118 000 coming from the recovery of surplus is added to the amount of EUR 20 026 500 entered in the budget.

### Legal basis

Regulation (EC) No 1339/2008 of the European Parliament and of the Council of 16 December 2008 establishing a European Training Foundation (OJ L 354, 31.12.2008, p. 82).

## **Article 15 02 30 — Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	1 355,77	1 355,77

### Remarks

This appropriation is intended to cover the costs for the completion of the pilot project – European Neighbourhood Policy – Enhance education through scholarships and exchanges.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 15 02 31 — Pilot project to cover costs of studies for specialising in European Neighbourhood Policy (ENP) and for related academic activities, including setting-up the ENP Chair in the College of Europe Natolin Campus**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	579 935	p.m.	580 000	2 899 674,00	2 319 739,00

*Remarks*

This appropriation is intended to cover the costs for the completion of the pilot project to cover costs of studies for specialising in ENP and for related academic activities, including setting-up the ENP Chair in the college of Europe Natolin Campus.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 15 02 32 — Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	0,—	150 755,80

*Remarks*

This appropriation is intended to cover the costs for completion of the pilot project for scholarships for students from European Neighbourhood Policy countries who are following courses leading to a Master's degree in European Studies.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 15 02 33 — Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natolin Campus)**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
4 000 000	2 600 000	3 500 000	1 750 000	

*Remarks*

This appropriation is intended to cover the costs of studies for persons coming from the ENP countries and those specialising in the European Neighbourhood Policy (ENP) and for related academic activities including the sustainable functioning of the ENP Chair in the College of Europe, Natolin campus and other educational modules

The recently revised European Neighbourhood Policy, in the light of the Arab Spring, as projected by the Commission, the Council and the European Parliament in their respective decisions and resolutions, and in view of the Union for the Mediterranean and the Eastern Partnership, both of which relate directly to the Southern and Eastern Neighbourhood respectively, requires preparing, on a regular bases future European and neighbouring countries interlocutors, i.e. personnel for EU-ENP-related jobs. They should be fully and professionally acquainted on regular basis with the substance and spirit of EU policies, EU law and EU institutions and the acquis

communautaire, and thus the ENP. This necessitates a focused and sophisticated offer, which can be delivered only by a highly recognized academic institution already specializing in this field that is the College of Europe. The two campuses of the College of Europe – one in Bruges, Belgium, close to the Brussels institutions and expertise, the other in Natolin/Warsaw, Poland, specializing in neighbourhood and border policies (with the Frontex agency being based in Warsaw) in the framework of the ENP Chair, already established thanks to the EU funding – are best-placed to offer tailor-made programs and other educational modules in this regard. .

Given the accumulated and positive experience of this PA the European Commission should guarantee that under new Multiannual Financial Framework this budgetary line with its own specific aims will continue in a sustainable way.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 15 04 — DEVELOPING CULTURAL AND AUDIOVISUAL COOPERATION IN EUROPE

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 04	Developing cultural and audiovisual cooperation in Europe							
<b>15 04 09</b>	<b>Completion of previous programmes/actions</b>							
15 04 09 01	Completion of previous programmes/actions in the field of culture and language	3.2	—	p.m.	—	p.m.	0,—	453 959,84
15 04 09 02	Completion of previous MEDIA programmes	3.2	—	p.m.	—	p.m.	0,—	104 214,99
	<i>Article 15 04 09 — Subtotal</i>		—	p.m.	—	p.m.	0,—	558 174,83
<b>15 04 10</b>	<b>Pilot project — Economy of cultural diversity</b>	3.2	250 000	350 000	750 000	375 000		
<b>15 04 44</b>	<b>Culture Programme (2007 to 2013)</b>	3.2	59 356 000	51 000 000	58 503 000	50 500 000	59 310 924,30	50 576 970,28
<b>15 04 45</b>	<b>Pilot project — Artist mobility</b>	3.2	—	p.m.	—	350 000	0,—	181 966,96
<b>15 04 46</b>	<b>Preparatory action — Culture in external relations</b>	3.2	200 000	200 000	500 000	250 000		
<b>15 04 48</b>	<b>Pilot project — A European Platform for Festivals</b>	3.2	1 000 000	500 000				
<b>15 04 50</b>	<b>European Heritage Label</b>	3.2	300 000	318 750	350 000	250 000		
<b>15 04 66</b>	<b>MEDIA 2007 — Support programme for the European audiovisual sector</b>							
15 04 66 01	MEDIA 2007 — Support programme for the European audiovisual sector	3.2	108 109 000	100 200 000	106 752 000	100 000 000	114 214 581,83	110 608 856,60
15 04 66 02	Preparatory action — Implementation of the MEDIA 2007 programmes in third countries	4	—	p.m.	—	p.m.	0,—	1 544 561,41
15 04 66 03	Preparatory action — Circulation of audiovisual works in a digital environment	3.2	2 000 000	1 000 000	2 000 000	1 000 000		
	<i>Article 15 04 66 — Subtotal</i>		110 109 000	101 200 000	108 752 000	101 000 000	114 214 581,83	112 153 418,01
<b>15 04 68</b>	<b>MEDIA Mundus</b>	3.2	4 500 000	4 600 000	4 925 000	4 760 000	4 918 865,00	3 386 596,00
<b>15 04 70</b>	<b>Pilot project — House of European History</b>	3.2	p.m.	p.m.				
	<b>Chapter 15 04 — Total</b>		<b>175 715 000</b>	<b>158 168 750</b>	<b>173 780 000</b>	<b>157 485 000</b>	<b>178 444 371,13</b>	<b>166 857 126,08</b>

## Article 15 04 09 — Completion of previous programmes/actions

### Item 15 04 09 01 — Completion of previous programmes/actions in the field of culture and language

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	453 959,84

#### Remarks

This appropriation is intended to cover the completion of measures supported before 2007 under the following budget headings:

- subsidies for European interest organisations,
- framework programme in support of culture.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

#### Legal basis

Decision No 508/2000/EC of the European Parliament and of the Council of 14 February 2000 establishing the Culture 2000 programme (OJ L 63, 10.3.2000, p. 1).

Decision No 792/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote bodies active at European level in the field of culture (OJ L 138, 30.4.2004, p. 40).

### Item 15 04 09 02 — Completion of previous MEDIA programmes

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	104 214,99

#### Remarks

This appropriation is intended to cover earlier commitments related to MEDIA programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 (1)(d) of the Financial Regulation.

### *Legal basis*

Council Decision 95/563/EC of 10 July 1995 on the implementation of a programme encouraging the development and distribution of European audiovisual works (Media II — Development and distribution) (1996-2000) (OJ L 321, 30.12.1995, p. 25).

Council Decision 95/564/EC of 22 December 1995 on the implementation of a training programme for professionals in the European audiovisual programme industry (Media II — Training) (OJ L 321, 30.12.1995, p. 33).

Council Decision 2000/821/EC of 20 December 2000 on the implementation of a programme to encourage the development, distribution and promotion of European audiovisual works (MEDIA Plus — Development, Distribution and Promotion) (2001-2005) (OJ L 336, 30.12.2000, p. 82).

Decision No 163/2001/EC of the European Parliament and of the Council of 19 January 2001 on the implementation of a training programme for professionals in the European audiovisual programme industry (MEDIA-Training) (2001-2005) (OJ L 26, 27.1.2001, p. 1).

### **Article 15 04 10 — Pilot project — Economy of cultural diversity**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
250 000	350 000	750 000	375 000	

#### *Remarks*

This appropriation is intended to cover the costs for the completion of the pilot project — Economy of cultural diversity.

#### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 15 04 44 — Culture Programme (2007 to 2013)**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
59 356 000	51 000 000	58 503 000	50 500 000	59 310 924,30	50 576 970,28

#### *Remarks*

In accordance with the 'Culture programme 2007-2013', this appropriation is intended to cover the following measures:

- support for cultural cooperation projects in all artistic and cultural fields except audiovisual,
- support for operating costs of organisations active in the field of culture at European level,
- support for work to analyse, collect and disseminate information in the field of cultural cooperation,
- support for literary translation projects, from one European language to another.
- support for any endangered European languages in the form of, for example, projects and networks providing educational materials, teacher training, use of endangered languages as education media, language regeneration and exchange of best practice, etc.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Decision No 1855/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing the Culture Programme (2007 to 2013) (OJ L 372, 27.12.2006, p. 1).

**Article 15 04 45 — Pilot project — Artist mobility**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	350 000	0,—	181 966,96

*Remarks*

This appropriation is intended to cover the costs for the completion of the pilot project for artist mobility.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 15 04 46 — Preparatory action — Culture in external relations**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
200 000	200 000	500 000	250 000	

*Remarks*

This appropriation is intended to cover the costs for the completion of the preparatory action — Culture in external relations.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 15 04 48 — Pilot project — A European Platform for Festivals**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 15 04 50 — European Heritage Label**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
300 000	318 750	350 000	250 000	

### *Remarks*

The European Heritage Label will be awarded to sites which have strong symbolic value for the Union and which highlight European history, the building of Union and European values and human rights that underpin the process of European integration. The aim is to bring citizens, especially young people, closer to the Union. However, the Label is also expected to act as an incentive to increase cultural tourism, bringing possible economic benefits.

This appropriation is intended to cover the costs of:

- the attribution of the Label through a selection procedure by a European panel of independent experts,
- the promotion of the Label.

### *Legal basis*

Decision No 1194/2011/EU of the European Parliament and of the Council of 16 November 2011 establishing a European Union action for the European Heritage Label (OJ L 303, 22.11.2011, p. 1).

## **Article 15 04 66 — MEDIA 2007 — Support programme for the European audiovisual sector**

Item 15 04 66 01 — MEDIA 2007 — Support programme for the European audiovisual sector

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
108 109 000	100 200 000	106 752 000	100 000 000	114 214 581,83	110 608 856,60

### *Remarks*

This appropriation is intended to cover the following measures:

- support for the pre-production phase:
  - favour the acquisition of skills and qualifications for audiovisual professionals in the fields of scriptwriting, management and new technologies. For example: support the mobility of trainers; scholarships for professionals from the new Member States,
  - support the development of audiovisual works, in respect of the creative (scripts) as well as economical aspects (production strategies, distribution and promotion). For example: support the development of individual projects or catalogues of projects; support intended to facilitate access to financing by small and medium-sized enterprises, including the MEDIA Production Guarantee Fund,
- support for the post-production phase:
  - support the transnational distribution of European works, to improve the circulation of non-national European works. For example: support cinema and video distribution of non-national European films; automatic and selective support for distributors of non-national European films, support for promotion kits, support to digitisation,
  - improve the promotion of European works. For example: ensuring access by professionals to European and international markets; ensuring access by the audience to works reflecting European cultural diversity,
- support innovation and enable the programme to adapt to technological changes. Action: support pilot projects, notably in respect of digital technologies, including support to the digitisation of cinema theatres,
- support a network of information offices (MEDIA desks) throughout Europe,
- support for the European Audiovisual Observatory.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 (1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1) of the Financial Regulation.

In accordance with the MEDIA 2007 legal basis, part of the appropriation under this budget line will be used to encourage and support initiatives for image education organised by festivals for young people, in particular in close cooperation with schools and other institutions. Beneficiaries may be organisations from the public and private sectors which have expertise and European experience in media literacy issues.

#### *Legal basis*

Decision No 1718/2006/EC of the European Parliament and of the Council of 15 November 2006 concerning the implementation of a programme of support for the European audiovisual sector (MEDIA 2007) (OJ L 327, 24.11.2006, p. 12).

See also Item 15 01 04 31.

### Item 15 04 66 02 — Preparatory action — Implementation of the MEDIA 2007 programmes in third countries

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	1 544 561,41

#### *Remarks*

This appropriation is intended to cover the costs of completing the MEDIA International preparatory action.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Item 15 04 66 03 — Preparatory action — Circulation of audiovisual works in a digital environment

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
2 000 000	1 000 000	2 000 000	1 000 000	

#### *Remarks*

This appropriation is intended to cover the costs for the completion of the preparatory action — Circulation of audiovisual works in a digital environment.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 15 04 68 — MEDIA Mundus

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 500 000	4 600 000	4 925 000	4 760 000	4 918 865,00	3 386 596,00

### Remarks

In accordance with the decision creating the 'MEDIA Mundus' programme, this appropriation is intended to cover actions in order to:

- strengthen the skills of European and third-country professionals and improve their level of information and knowledge,
- facilitate the search for partners for co-productions and encourage international sales and promotion of audiovisual work,
- encourage cinema operators in European and third countries to reciprocally increase the programming and exhibition conditions of exclusive first releases of audiovisual works,
- increase the supply of audiovisual content and improve broadcasting and distribution conditions for third countries' audiovisual works on European distribution channels and European works on third countries' distribution channels,
- facilitate the organisation of events and film literacy initiatives.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 (1)(d) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

### Legal basis

Decision No 1041/2009/EC of the European Parliament and of the Council of 21 October 2009 establishing an audiovisual cooperation programme with professionals from third countries (MEDIA Mundus) (OJ L 288, 4.11.2009, p. 10).

## Article 15 04 70 — Pilot project — House of European History

### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.			

### Remarks

#### New article

This appropriation is intended to contribute towards operational expenditure of the House of European History, which will increase knowledge, awaken curiosity, and create opportunities to reflect on European history by means of a modern exhibition and documentation centre.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 15 05 — ENCOURAGING AND PROMOTING COOPERATION IN THE FIELD OF YOUTH AND SPORTS

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 05	Encouraging and promoting cooperation in the field of youth and sports							
<b>15 05 06</b>	<b>Special annual events</b>	3.2	2 000 000	2 000 000	1 500 000	2 700 000	4 000 000,00	5 381 398,93
<b>15 05 09</b>	<b>Completion of previous programmes/actions in the field of youth</b>	3.2	—	p.m.	—	p.m.	0,—	2 703 595,37
<b>15 05 10</b>	<b>Preparatory action — Amicus — Association of Member States Implementing a Community Universal Service</b>	3.2	—	p.m.	—	p.m.	0,—	290 266,89
<b>15 05 11</b>	<b>Preparatory action in the field of sport</b>	3.2	p.m.	209 000	p.m.	2 300 000	2 989 358,62	2 855 192,07
<b>15 05 20</b>	<b>Preparatory action — European partnerships on sport</b>	3.2	4 000 000	2 500 000	4 000 000	2 000 000		
<b>15 05 55</b>	<b>Youth in Action</b>	3.2					156 112	144 849
		3.2	141 450 000	130 000 000	139 608 000	123 000 000	923,97	031,61
	<b>Chapter 15 05 — Total</b>		<b>147 450 000</b>	<b>134 709 000</b>	<b>145 108 000</b>	<b>130 000 000</b>	<b>163 102</b>	<b>156 079</b>
							<b>282,59</b>	<b>484,87</b>

### Article 15 05 06 — Special annual events

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 000 000	2 000 000	1 500 000	2 700 000	4 000 000,00	5 381 398,93

#### Remarks

This appropriation is intended to cover completion costs of measures supported as special annual events.

Special Annual Event: The European MOVE Week

Commitments: 1 000 000

The European MOVE Week, organized by ISCA (International Sport and Culture Association) is a European wide event promoting sport and physical activity and their positive impact on European societies. As part of the vision to get 100 million more Europeans to be active in sport and physical activity by 2020, the MOVE Week 2013 will display the true nature of grassroots sport and engage European citizens from all walks of life in activities right where they are – in the local communities. MOVE Week 2013 will thus feature a minimum of 200 events from all 27 EU member states and a minimum of 100 cities in a large-scale celebration of sport and physical activity, introducing new sport and physical activity initiatives and highlighting the myriad of successful existing actions. The European MOVE WEEK 2013 will be the first week-long Europe-wide campaign using physical activity events in diverse settings to promote and celebrate active lifestyles .

Special Annual Event: Preparation of European Special Olympics Summer Games in Antwerp, Belgium 2014

Commitments: 500 000

This appropriation is allocated to co-finance the essential preparation for the multi-annual event of the European Special Olympics Summer Games in Antwerp, Belgium (09-20 September 2014). This funding will allow participating athletes from all 27 member states to train, to prepare and to attend the games in Belgium. The event will see 2000 athletes and their delegation from 58 countries competing over 10 days. More than 4000 volunteers will help to make this multi-sport event unique. Along with the sport activities, other events (scientific, educational and family programmes) will be set up. Antwerp will host the event and about 30 Belgian cities will host the athletes.

European University Championships

Commitments: 500 000

The first European University Championships will be held in Italy in 2014, in Rome and in different cities of Lazio Region and in other neighboring regions and has yet got the complete approval of Italian Government and Italian Olympic Committee. For the first time a really new and remarkable Sport Event addressed to student athletes from all EU Member States will be organized. This Event will contribute to the implementation of the Europe 2020 strategy and to the aims set out in the European Community 2007 White Paper on Sport promoting sports values. To encourage sports practice in harmony with the university spirit it means to promote friendship, fraternity, cultural exchanges among students who one day will have key positions in the society, and fight any form of violence and carry out a permanent struggle against doping. In addition sport practice promote in university students the attention to a good health style of life and a development not just intellectual but also moral and physical. Inside the Event will be organized also seminars to emphasize the role of sport as an important tool to improve the wellbeing psycho-physical of the people. Furthermore the European University Championships gather together some of the most important targets of European policy : education/university networks, sport and youths.

The organization of the Championships will follow the same schema of the Universiadi and in the world classification is in second position just after the Olympic Games.

The program of Universiadi currently includes 10 compulsory sports (13 compulsory disciplines) and up to 3 optional sports.

Competitions of disabled people will also be included.

The expected number of university student athletes to attend the competitions is about 5.000/7.000.

The realization of the Event scheduled in summer 2014, will be preceded during 2013 by an intensive period of promotion through conferences, street events and media campaign.

This Special Event received the agreement and the support of FISU (International University Sports Federation) and EUSA (European University Sport Association).

#### *Legal basis*

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 15 05 09 — Completion of previous programmes/actions in the field of youth**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	2 703 595,37

#### *Remarks*

This appropriation is intended to cover the completion of measures supported before 2007 under the budget heading Youth.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Decision No 1031/2000/EC of the European Parliament and of the Council of 13 April 2000 establishing the 'Youth' Community action programme (OJ L 117, 18.5.2000, p. 1).

Decision No 790/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote bodies active at European level in the field of youth (OJ L 138, 30.4.2004, p. 24).

**Article 15 05 10 — Preparatory action — Amicus — Association of Member States Implementing a Community Universal Service**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	290 266,89

*Remarks*

This appropriation is intended to cover completion costs of the preparatory action Amicus — Association of Member States Implementing a Community Universal Service.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 15 05 11 — Preparatory action in the field of sport**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	209 000	p.m.	2 300 000	2 989 358,62	2 855 192,07

*Remarks*

This appropriation is intended to cover completion costs of the preparatory action in the field of sport.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 15 05 20 — Preparatory action — European partnerships on sport**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
4 000 000	2 500 000	4 000 000	2 000 000	

*Remarks*

This appropriation is intended to cover completion costs of the preparatory action — European partnerships on sport.

This preparatory action will prepare for a programme in the field of sport for post-2013. It will test the feasibility and usefulness of existing ideas and concepts, with a view to creating a European dimension in sport.

The objective of this preparatory action is to test European partnerships, networks and the exchange of good practices. It will, in particular, focus on the following:

- fairness in sport competitions by supporting measures that prevent phenomena such as match-fixing;
- physical and moral integrity of sportsmen and sportswomen, and training conditions for minors;
- the role of sport as an health-enhancing physical activity, in particular for elderly people;
- awareness-raising for protection of the health of young athletes through monitoring and medical check ups;
- mobility in sport, namely of volunteers, coaches and staff of non-profit sport organisations.

- awareness-raising activities as regards the social and educational function of sport;
- cross-border joint sport competitions in neighbouring regions and Member States;
- raising awareness of, and gathering information on, ‘indigenous sports’ that form part of the shared cultural heritage in Europe;
- social inclusion and projects that support the local community; a sport organisation can prove its added value also outside sport-related issues.

In all of these areas, local needs with regard to population and age structure, inclusion, integration, accessibility, social isolation, fitness and health must be taken into consideration.

Part of the appropriations should support the European Capital of Sport initiative, set up by ACES Europe (Association of European Capitals and Cities of Sport), namely with a view of improving the governance of the organisation, especially the selection and award procedures, enlarging the geographical coverage and raising the profile of this initiative.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 15 05 55 — Youth in Action**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
141 450 000	130 000 000	139 608 000	123 000 000	156 112 923,97	144 849 031,61

#### *Remarks*

In accordance with the ‘Youth in Action programme 2007-2013’, this appropriation is intended to cover the following measures:

- Youth for Europe: the aim of this action is to support exchanges between young people in order to increase their mobility and youth initiatives, projects and activities concerning participation in democratic life, in order to develop young people’s citizenship and mutual understanding,
- European Voluntary Service: the aim of this action is to boost young people’s participation in various forms of voluntary activities, both within and outside the Union,
- Youth of the World: the aim of this action is to support projects with the partner countries mentioned in Article 5 of Decision No 1719/2006/EC, in particular exchanges of young people and youth workers, and to support initiatives that reinforce young people’s mutual understanding, sense of solidarity and the development of cooperation in the field of youth and civil society in these countries,
- youth workers and support systems: the aim of this action is to support bodies active at European level in the field of youth, in particular the operation of youth non-governmental organisations, their networking, the exchange, training and networking of youth workers, encouraging innovation and quality in the action undertaken, providing young people with information and developing the structures and activities needed for the programme to meet these goals,
- support for policy cooperation: the aim of this action is to organise dialogue between the various actors in the field of youth, in particular the young people themselves, youth workers and policymakers, to contribute to the development of policy cooperation in the youth field and to take the necessary steps and establish the networks necessary to better understand youth.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Decision No 1719/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing the ‘Youth in Action’ programme for the period 2007 to 2013 (OJ L 327, 24.11.2006, p. 30).

## CHAPTER 15 07 — PEOPLE — PROGRAMME FOR THE MOBILITY OF RESEARCHERS

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
15 07	People — Programme for the mobility of researchers							
<b>15 07 77</b>	<b>People</b>	1.1	959 252 000	800 000 000	905 662 068	690 805 947	785 982 833,36	572 312 156,62
15 07 78	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>	1.1	p.m.	p.m.	p.m.	p.m.	59 620 908,93	11 415 139,56
15 07 79	<i>Pilot project — Knowledge partnerships</i>	1.1	p.m.	499 900	1 000 000	750 000	999 894,71	499 947,35
	<b>Chapter 15 07 — Total</b>		<b>959 252 000</b>	<b>800 499 900</b>	<b>906 662 068</b>	<b>691 555 947</b>	<b>846 603 637,00</b>	<b>584 227 243,53</b>

### Article 15 07 77 — People

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
959 252 000	800 000 000	905 662 068	690 805 947	785 982 833,36	572 312 156,62

*Remarks*

Europe needs to become more attractive to researchers, in order to increase its capacity and performance in research and technological development, while consolidating and further developing the European Research Area. Against the background of growing competition at world level, the development of an open and competitive European labour market for researchers with diverse, attractive career prospects is needed.

The added value of the support provided by the specific ‘People’ programme (implemented through the Marie Curie Actions, the Researchers’ Night and the EURAXESS action) is the promotion of the international, interdisciplinary and intersectoral mobility of researchers as a key driver for European Innovation. Marie Curie Actions also foster stronger cooperation between education, research and businesses from different countries in the training and career development of researchers to broaden their skills and prepare them for the jobs of tomorrow. The Marie Curie Actions reinforce a closer partnership between education and businesses in order to increase knowledge exchange and enhance PhD training adapted to the needs of industry. By promoting employment conditions in line with the European researchers’ charter and code, they contribute to making a research career in Europe more attractive.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

*Legal basis*

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 272).

***Article 15 07 78 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development***

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	59 620 908,93	11 415 139,56

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

***Article 15 07 79 — Pilot project — Knowledge partnerships***

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	499 900	1 000 000	750 000	999 894,71	499 947,35

*Remarks*

This appropriation is intended to cover completion costs of the pilot project on knowledge partnerships.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

# TITLE 16 — COMMUNICATION

## Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
16 01	Administrative expenditure of the 'Communication' policy area		129 723 159	129 723 159	126 332 869	126 332 869	127 973 462,20	127 973 462,20
	40 01 40		1 132 767	1 132 767	5 987	5 987		
			130 855 926	130 855 926	126 338 856	126 338 856		
16 02	Communication and the media		40 853 000	39 628 000	40 665 000	35 000 000	42 990 822,14	34 809 798,93
	40 02 41				4 500 000	4 500 000		
					45 165 000	39 500 000		
16 03	'Going Local' communication		35 826 000	33 600 000	31 760 000	29 200 000	36 064 456,47	36 510 440,53
	40 02 41				3 300 000	3 400 000		
					35 060 000	32 600 000		
16 04	Analysis and communication tools		26 510 000	22 875 000	23 230 000	22 260 000	22 981 025,48	26 077 148,40
16 05	Fostering European citizenship	3	33 080 000	33 225 000	32 190 000	32 000 000	43 705 744,10	36 225 347,31
	<b>Title 16 — Total</b>		<b>265 992 159</b>	<b>259 051 159</b>	<b>254 177 869</b>	<b>244 792 869</b>	<b>273 715 510,39</b>	<b>261 596 197,37</b>
	40 01 40, 40 02 41		1 132 767	1 132 767	7 805 987	7 905 987		
	Total including reserves		267 124 926	260 183 926	261 983 856	252 698 856		

## CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
16 01	Administrative expenditure of the 'Communication' policy area				
<b>16 01 01</b>	<b>Expenditure related to staff in active employment in the 'Communication' policy area</b>				
16 01 01 01	Expenditure related to staff in active employment in the 'Communication' policy area: Headquarters	5	64 377 063	61 854 849	62 416 056,57
	40 01 40		1 116 275		
			65 493 338		
	Article 16 01 01 — Subtotal		64 377 063	61 854 849	62 416 056,57
	40 01 40		1 116 275		
			65 493 338		
<b>16 01 02</b>	<b>External staff and other management expenditure in support of the 'Communication' policy area</b>				
16 01 02 01	External staff of the Directorate-General for Communication: Headquarters	5	6 174 557	7 276 540	7 794 145,89
	40 01 40		15 692		
			6 190 249		
16 01 02 03	External staff of the Directorate-General for Communication: Commission Representations	5	16 264 200	15 749 000	15 394 049,67
	40 01 40		800		
			16 265 000		
16 01 02 11	Other management expenditure of the Directorate-General for Communication: Headquarters	5	3 365 317	3 299 156	3 704 729,03
	40 01 40			5 987	
				3 305 143	
	Article 16 01 02 — Subtotal		25 804 074	26 324 696	26 892 924,59
	40 01 40		16 492	5 987	
			25 820 566	26 330 683	
<b>16 01 03</b>	<b>Expenditure related to information and communication technology equipment and services, buildings and other working expenditure of the 'Communication' policy area</b>				
16 01 03 01	Expenditure related to information and communication technology equipment and services of the Directorate-General for Communication: Headquarters	5	4 074 022	3 949 324	4 684 034,38
16 01 03 03	Buildings and related expenditure of the Directorate-General for Communication: Commission Representations	5	26 531 000	25 404 000	25 101 631,37

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
16 01 03 04	Other working expenditure	5	1 317 000	1 350 000	1 526 272,72
	<i>Article 16 01 03 — Subtotal</i>		31 922 022	30 703 324	31 311 938,47
<b>16 01 04</b>	<b><i>Support expenditure for operations in the ‘Communication’ policy area</i></b>				
16 01 04 01	Communication actions — Expenditure on administrative management	3.2	3 200 000	3 200 000	3 100 003,68
16 01 04 02	Visits to the Commission — Expenditure on administrative management	3.2	800 000	650 000	636 216,00
16 01 04 03	Europe for Citizens — Expenditure on administrative management	3.2	250 000	230 000	235 322,89
16 01 04 30	Education, Audiovisual and Culture Executive Agency	3.2	3 370 000	3 370 000	3 381 000,00
	<i>Article 16 01 04 — Subtotal</i>		7 620 000	7 450 000	7 352 542,57
	<b>Chapter 16 01 — Total</b>		<b>129 723 159</b>	<b>126 332 869</b>	<b>127 973 462,20</b>
	<b>40 01 40</b>		<b>1 132 767</b>	<b>5 987</b>	
	<b>Total including reserves</b>		<b>130 855 926</b>	<b>126 338 856</b>	

***Article 16 01 01 — Expenditure related to staff in active employment in the ‘Communication’ policy area***

Item 16 01 01 01 — Expenditure related to staff in active employment in the ‘Communication’ policy area: Headquarters

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
16 01 01 01	64 377 063	61 854 849	62 416 056,57
40 01 40	1 116 275		
Total	65 493 338	61 854 849	62 416 056,57

***Article 16 01 02 — External staff and other management expenditure in support of the ‘Communication’ policy area***

Item 16 01 02 01 — External staff of the Directorate-General for Communication: Headquarters

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
16 01 02 01	6 174 557	7 276 540	7 794 145,89
40 01 40	15 692		
Total	6 190 249	7 276 540	7 794 145,89

Item 16 01 02 03 — External staff of the Directorate-General for Communication: Commission Representations

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
16 01 02 03	16 264 200	15 749 000	15 394 049,67
40 01 40	800		
Total	16 265 000	15 749 000	15 394 049,67

*Remarks*

This appropriation is intended to cover remuneration, flat-rate overtime, and the institution’s social security contributions in respect of local staff, contract staff and agency staff employed in the Commission Representations in the Union.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 4 000.

*Conditions for releasing the reserve*

The appropriations entered in the reserve pending a decision on the 2011 1.7% salary adjustment, for 2013, will be released immediately if the Court of Justice rules in favour of the Commission.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**Item 16 01 02 11 — Other management expenditure of the Directorate-General for Communication: Headquarters**

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
16 01 02 11	3 365 317	3 299 156	3 704 729,03
40 01 40		5 987	
Total	3 365 317	3 305 143	3 704 729,03

*Remarks*

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 3 000.

**Article 16 01 03 — Expenditure related to information and communication technology equipment and services, buildings and other working expenditure of the ‘Communication’ policy area**

**Item 16 01 03 01 — Expenditure related to information and communication technology equipment and services of the Directorate-General for Communication: Headquarters**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
4 074 022	3 949 324	4 684 034,38

**Item 16 01 03 03 — Buildings and related expenditure of the Directorate-General for Communication: Commission Representations**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
26 531 000	25 404 000	25 101 631,37

*Remarks*

This appropriation is intended to cover:

- the payment of rents and ground rent relating to buildings or parts of occupied buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance workshops,

- the fitting-out of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc.,
- the cost of the necessary equipment,
- expenses relating to the security of persons and buildings, both as regards the health and safety of individuals and the physical and material security of persons and property. These expenses include, for example, the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs as well as contracts for guarding buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment,
- expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major fitting-out operations for premises,
- the cost of the purchase, hire, maintenance and repair of technical equipment and fittings, furniture and vehicles,
- the purchase of books, documents and other non-periodical publications, the updating of existing volumes, binding costs and the purchase of electronic identification equipment,
- expenditure on subscriptions to newspapers, specialist periodicals, official journals, parliamentary papers, foreign trade statistics, news agency reports and various other specialised publications,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing this information,
- copyright fees,
- the cost of stationery and office supplies,
- insurance,
- the cost of work materials,
- expenses relating to internal meetings,
- the cost of maintenance work and of departmental removals,
- medical expenses arising as a result of the provisions of the Staff Regulations,
- the cost of the installation, upkeep and operation of catering areas,
- other operating expenditure,
- postal and delivery charges,
- telecommunications subscriptions and charges,
- the cost of purchasing and installing telecommunications equipment,
- information technology (IT) expenditure for offices within the Union, in particular expenditure on information and management systems, office automation infrastructure, personal computers, servers and related infrastructure, peripherals (printers, scanners, etc.), office equipment (photocopiers, fax machines, typewriters, dictaphones, etc.) and general expenditure on networks, support, assistance to users, IT training and removals,
- any expenditure to cover the cost of purchase or rental with purchase option of buildings.

This appropriation covers expenditure incurred within the territory of the Union, excluding Joint Research Centre sites, for which expenditure is entered in Article 01 05 of the titles concerned. Similar expenditure incurred outside the Union is entered in Item 01 03 02 of the titles concerned.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 80 000.

#### Item 16 01 03 04 — Other working expenditure

##### *Figures*

Budget 2013	Appropriations 2012	Outturn 2011
1 317 000	1 350 000	1 526 272,72

### Remarks

This appropriation is intended to cover the following expenditure incurred within the Union:

- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing this information.

It covers expenditure incurred within the territory of the Union with the exception of offices in the Union.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

### Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 16 01 04 — Support expenditure for operations in the ‘Communication’ policy area**

Item 16 01 04 01 — Communication actions — Expenditure on administrative management

### Figures

Budget 2013	Appropriations 2012	Outturn 2011
3 200 000	3 200 000	3 100 003,68

### Remarks

This appropriation is intended to cover expenditure on studies, evaluations, meetings of experts, information and publications directly linked to achieving the objectives of the activities coming under the articles mentioned below, together with technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation also covers the repayment of travel and related expenses of persons invited to follow the work of the Commission.

### Legal basis

See Articles 16 02 02, 16 02 03, 16 03 01, 16 03 02, 16 03 04 and 16 04 01 and Item 16 04 02 01.

Item 16 01 04 02 — Visits to the Commission — Expenditure on administrative management

### Figures

Budget 2013	Appropriations 2012	Outturn 2011
800 000	650 000	636 216,00

### Remarks

This appropriation is intended to cover administrative expenditure related to the visits, such as hostess services to assist in the organisation of visits, promotional material for distribution to visiting groups, information material/publications communicating Union actions and policies, ad hoc studies and pilot actions related to the visits, and other general administrative expenditure of a technical or administrative nature.

### Legal basis

See Article 16 05 02.

Item 16 01 04 03 — Europe for Citizens — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
250 000	230 000	235 322,89

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, as well as any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

See Item 16 05 01 01.

Item 16 01 04 30 — Education, Audiovisual and Culture Executive Agency

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
3 370 000	3 370 000	3 381 000,00

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency, incurred because of the Agency's participation in managing the 'Europe for Citizens' programme under Heading 3b of the 2007 to 2013 financial framework.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Decision 2004/100/EC of 26 January 2004 establishing a Community action programme to promote active European citizenship (civic participation) (OJ L 30, 4.2.2004, p. 6).

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 the programme Europe for Citizens to promote active European citizenship (OJ L 378, 27.12.2006, p. 32).

*Reference acts*

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

## CHAPTER 16 02 — COMMUNICATION AND THE MEDIA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
16 02	Communication and the media							
16 02 02	<i>Multimedia actions</i>	3.2	28 400 000	29 000 000	26 960 000	23 500 000	31 646 053,75	23 809 821,65
	40 02 41				4 500 000	4 500 000		
					31 460 000	28 000 000		
16 02 03	<i>Information for the media</i>	3.2	5 150 000	4 200 000	4 950 000	4 000 000	4 589 982,26	4 499 977,28
16 02 04	<i>Operation of radio and television studios and audiovisual equipment</i>	5	5 553 000	5 553 000	6 755 000	6 500 000	6 754 786,13	6 500 000,00
16 02 06	<i>Preparatory action — European research grants for cross-border investigative journalism</i>	5	750 000	375 000	1 000 000	500 000		
16 02 07	<i>Pilot project — Share Europe Online</i>	5	1 000 000	500 000	1 000 000	500 000		
	<b>Chapter 16 02 — Total</b>		<b>40 853 000</b>	<b>39 628 000</b>	<b>40 665 000</b>	<b>35 000 000</b>	<b>42 990 822,14</b>	<b>34 809 798,93</b>
	40 02 41				4 500 000	4 500 000		
	<b>Total including reserves</b>				<b>45 165 000</b>	<b>39 500 000</b>		

### Article 16 02 02 — Multimedia actions

#### Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
16 02 02	28 400 000	29 000 000	26 960 000	23 500 000	31 646 053,75	23 809 821,65
40 02 41			4 500 000	4 500 000		
<b>Total</b>	<b>28 400 000</b>	<b>29 000 000</b>	<b>31 460 000</b>	<b>28 000 000</b>	<b>31 646 053,75</b>	<b>23 809 821,65</b>

#### Remarks

This appropriation is intended to fund general information operations concerning the Union, for the purpose of increasing the visibility of the work of the Union institutions, the decisions taken and the stages in the building of Europe. It concerns essentially the funding or co-funding of the production and/or dissemination of multimedia (radio, TV, internet, etc.), information products, including pan-European networks made of local and national media, as well as the tools necessary to develop such a policy.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 50 000.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

The Commission, when implementing this budget line, should take duly into account the outcome of the meetings of the Interinstitutional Group on Information (IGI).

#### Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Communication to the Commission of 24 April 2008: Communicating Europe through audiovisual media (SEC(2008) 506 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

## Article 16 02 03 — Information for the media

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 150 000	4 200 000	4 950 000	4 000 000	4 589 982,26	4 499 977,28

### Remarks

This appropriation is intended to cover the Union's communication-related expenditure, focusing mainly on the media. The tools developed for better understanding and reporting of topical issues covers mainly:

- multimedia (photo, video, etc.) information material to feed the media and other platforms, including their publication/broadcasting,
- seminars and support for journalists organised by the Commission Representations or central services.

The Commission, when implementing this budget line, should take duly into account the outcome of the meetings of the Interinstitutional Group on Information (IGI).

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

### Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Communication to the Commission of 24 April 2008: Communicating Europe through audiovisual media (SEC(2008) 506 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

## Article 16 02 04 — Operation of radio and television studios and audiovisual equipment

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 553 000	5 553 000	6 755 000	6 500 000	6 754 786,13	6 500 000,00

### Remarks

This appropriation is intended to cover all the costs of operating the Commission's studios and other audiovisual information facilities: staff and the purchase, hire, maintenance and repair of the necessary equipment and material.

It also covers satellite rental to provide information on the Union's activities to television channels. These appropriations must be managed in compliance with the principles of interinstitutional cooperation in order to ensure the dissemination of all information concerning the Union.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

### Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Communication to the Commission of 24 April 2008: Communicating Europe through audiovisual media (SEC(2008) 506 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

### **Article 16 02 06 — Preparatory action — European research grants for cross-border investigative journalism**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
750 000	375 000	1 000 000	500 000	

#### Remarks

This preparatory action for European research grants for journalists is intended to facilitate and develop serious cross-border journalistic research at Union level. Calls for tenders will be organised with a view to selecting common investigation projects involving journalists from at least two Member States, with a cross-border or European dimension resulting from a national, regional or local perspective. The results of the journalistic investigation selected will be published in at least all the Member States involved.

For this purpose, a feasibility study will be set-up in order to find new ways to launch this project. The study must look at ways in which independent, critical journalism can be funded by the EU, while ensuring the independence of information.

#### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 16 02 07 — Pilot project — Share Europe Online**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
1 000 000	500 000	1 000 000	500 000	

#### Remarks

Social media and the internet have drastically changed access to information and communication between people. The Union institutions should improve the way they use these groundbreaking tools. The Union institutions are not, as yet, very present on social media networks such as Twitter and Facebook and there is no joint communication policy between the various institutions on the use of these tools.

This Pilot project aims at refocusing the Union's communication strategy towards social networks, internet and mobile services. Its objective is to improve access to information on Union policies, to involve European citizens in sharing their Union experience and to involve European citizens in the Union's decision-making process.

With this Pilot project, the intention is to invest in a joint new media strategy on access to information and communication by the three main Union institutions.

Implementation of the project should take place on the basis of jointly agreed working methods between the involved EU institutions.

#### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 16 03 — ‘GOING LOCAL’ COMMUNICATION

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
16 03	‘Going Local’ communication							
<b>16 03 01</b>	<b>Information outlets</b>	3.2	14 800 000	13 700 000	13 750 000	12 000 000	13 727 516,91	12 839 878,60
<b>16 03 02</b>	<b>Communication of the Commission Representations</b>							
16 03 02 01	Communication of the Commission Representations	3.2	7 226 000	6 600 000	5 870 000	5 560 000	8 741 215,29	8 535 114,41
	40 02 41				1 000 000 6 870 000	1 000 000 6 560 000		
16 03 02 02	European Public Spaces	5	1 300 000	1 300 000	1 440 000	1 440 000	1 395 652,43	1 250 726,56
	<i>Article 16 03 02 — Subtotal</i> 40 02 41		8 526 000	7 900 000	7 310 000	7 000 000	10 136 867,72	9 785 840,97
					1 000 000 8 310 000	1 000 000 8 000 000		
<b>16 03 04</b>	<b>Communicating Europe in Partnership</b>	3.2	12 500 000	12 000 000	10 700 000	10 200 000	12 200 071,84	13 667 580,96
	40 02 41				2 300 000 13 000 000	2 400 000 12 600 000		
<b>16 03 05</b>	<b>EuroGlobe</b>							
16 03 05 01	Preparatory action — EuroGlobe	3.2	—	—	—	p.m.	0,—	119 988,00
16 03 05 02	Completion of pilot project EuroGlobe	3.2	—	—	—	—	0,—	97 152,00
	<i>Article 16 03 05 — Subtotal</i>		—	—	—	p.m.	0,—	217 140,00
	<b>Chapter 16 03 — Total</b> 40 02 41		<b>35 826 000</b>	<b>33 600 000</b>	<b>31 760 000</b>	<b>29 200 000</b>	<b>36 064 456,47</b>	<b>36 510 440,53</b>
	<b>Total including reserves</b>				3 300 000 35 060 000	3 400 000 32 600 000		

### Article 16 03 01 — Information outlets

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 800 000	13 700 000	13 750 000	12 000 000	13 727 516,91	12 839 878,60

#### Remarks

These appropriations are intended to cover:

- the financing of information and documentation outlets/network throughout Europe (*Europe Direct relays*, European documentation centre, Team Europe, etc.); these outlets supplement the work of the Commission Representations and the European Parliament Information Offices in the Member States,
- support, training, coordination and assistance for information networks,
- the financing of production, storage and distribution of information material and communication products by/for these outlets.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 50 000.

#### Legal basis

Tasks resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### Reference acts

Communication to the Commission of 20 July 2005: Action Plan to improve communicating Europe by the Commission (SEC(2005) 985 final).

White Paper of 1 February 2006 on a European Communication policy (COM(2006) 35 final).

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

### **Article 16 03 02 — Communication of the Commission Representations**

#### Item 16 03 02 01 — Communication of the Commission Representations

##### *Figures*

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
16 03 02 01	7 226 000	6 600 000	5 870 000	5 560 000	8 741 215,29	8 535 114,41
40 02 41			1 000 000	1 000 000		
Total	7 226 000	6 600 000	6 870 000	6 560 000	8 741 215,29	8 535 114,41

##### *Remarks*

This appropriation is intended to cover the Union's expenditure on decentralised communication. The aim of local communication activities is in particular to provide target groups with the tools to gain a better understanding of burning topical issues.

These activities are implemented mainly via the Commission Representations in the Member States through:

- seminars and conferences,
- organisation of or participation in European events, exhibitions and public-relations activities, organisation of individual visits, etc.,
- direct communication measures targeted at the general public (e.g. citizens' advice services),
- direct communication measures targeted at opinion multipliers, in particular stepped-up measures involving the regional daily press, which is a major information outlet for a large number of European citizens,
- the management of information centres for the general public in the Commission Representations.

This appropriation also covers the repayment of travel and related expenses of persons invited to follow the work of the Commission.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 5 000.

##### *Legal basis*

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

##### *Reference acts*

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

#### Item 16 03 02 02 — European Public Spaces

##### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 300 000	1 300 000	1 440 000	1 440 000	1 395 652,43	1 250 726,56

### Remarks

This appropriation is intended to cover specifically the opening and management of ‘European Public Spaces’ (EPS) in the Europe Houses that are formally hosting the EPS. The Commission is to manage the logistical arrangements for the EPS for the benefit of both institutions (the European Parliament and the Commission), including operational costs and the organisation of contracted services. The EPS must be run jointly by the two institutions on the basis of an assessment report on the management and the functioning of the EPS, as well as of a working programme for the year to come. Both these documents, which are drafted jointly by the two institutions and constitute the fundamental elements for allocation of funds for the following year, are to be presented to the budgetary authorities in time to be taken into account in the budgetary procedure.

### Legal basis

Tasks resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled ‘Communicating Europe in Partnership’ (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on ‘Communicating Europe in Partnership’ (OJ C 13, 20.1.2009, p. 3).

## Article 16 03 04 — Communicating Europe in Partnership

### Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
16 03 04	12 500 000	12 000 000	10 700 000	10 200 000	12 200 071,84	13 667 580,96
40 02 41			2 300 000	2 400 000		
Total	12 500 000	12 000 000	13 000 000	12 600 000	12 200 071,84	13 667 580,96

### Remarks

This appropriation is intended to fund communication activities mainly around communication priorities at Commission level and at Union level.

This appropriation is intended primarily to cover the provision of information to citizens, as far as possible in their mother tongue, about the Union’s present and future options and involving them in a debate on this subject.

It covers in particular activities such as:

- communication operations linked to specific annual or multiannual communication priorities,
- ad hoc communication operations on a national or international scale that fit in with the communication priorities,
- communication operations organised in partnership with the European Parliament and/or the Member States in order to create synergies between the means of each partner and to coordinate their information and communication activities on the European Union. One of the privileged tools to address this approach is the management partnership.

This appropriation also covers the repayment of travel and related expenses of persons invited to follow the work of the Commission.

Implemented in close cooperation between the Union institutions and the authorities in the Member States and/or civil society, these activities take account of specific national and regional features.

The Interinstitutional Group on Information (IGI), co-chaired by the European Parliament, the Council and the Commission, lays down common guidelines for interinstitutional cooperation in matters of the Union’s information and communication policy. It coordinates the central and decentralised public information activities on European topics. Each year the IGI gives its opinion on the priorities for the following years based on a report drawn up by the Commission.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 50 000.

### *Legal basis*

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### *Reference acts*

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

## **Article 16 03 05 — EuroGlobe**

### Item 16 03 05 01 — Preparatory action — EuroGlobe

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	119 988,00

#### *Remarks*

This item is intended to cover the preparatory action started in 2007 for a mobile Globe theatre aiming to foster a European public space for debate, culture and learning.

### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Item 16 03 05 02 — Completion of pilot project EuroGlobe

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	97 152,00

#### *Remarks*

This item is intended to cover the closure of the pilot project started in 2007 for a mobile Globe theatre aiming to foster a European public space for debate, culture and learning.

### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 16 04 — ANALYSIS AND COMMUNICATION TOOLS

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
16 04	Analysis and communication tools							
<b>16 04 01</b>	<b>Public opinion analysis</b>	3.2	6 600 000	5 900 000	6 150 000	6 000 000	6 034 664,59	7 267 467,30
<b>16 04 02</b>	<b>Online and written information and communication tools</b>							
16 04 02 01	Online and written information and communication tools	3.2	16 860 000	14 500 000	14 380 000	13 760 000	14 070 323,66	14 937 970,53
16 04 02 02	Online summary of legislation (SCAD+)	5	—	p.m.		p.m.	600 000,00	259 353,41
	<i>Article 16 04 02 — Subtotal</i>		16 860 000	14 500 000	14 380 000	13 760 000	14 670 323,66	15 197 323,94
<b>16 04 04</b>	<b>Written publications for general use</b>	5	2 300 000	2 100 000	2 700 000	2 500 000	2 276 037,23	3 612 357,16
<b>16 04 05</b>	<b>Pilot project — European Union Real Time Sign Language Application and Service</b>	3.2	750 000	375 000				
	<b>Chapter 16 04 — Total</b>		<b>26 510 000</b>	<b>22 875 000</b>	<b>23 230 000</b>	<b>22 260 000</b>	<b>22 981 025,48</b>	<b>26 077 148,40</b>

### Article 16 04 01 — Public opinion analysis

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 600 000	5 900 000	6 150 000	6 000 000	6 034 664,59	7 267 467,30

#### Remarks

This appropriation is intended to cover the analysis of trends in public opinion, mainly by means of opinion polls (e.g. general-public ‘Eurobarometer’ surveys, ‘flash’ surveys, by telephone, of specific populations on particular subjects, at regional, national, or European level, or qualitative surveys), together with quality control of these surveys.

It also covers qualitative media monitoring analysis.

The Commission, when implementing this budget line, should take duly into account the outcome of the meetings of the Interinstitutional Group on Information (IGI).

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

#### Legal basis

Tasks resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled ‘Communicating Europe in Partnership’ (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on ‘Communicating Europe in Partnership’ (OJ C 13, 20.1.2009, p. 3).

## Article 16 04 02 — Online and written information and communication tools

### Item 16 04 02 01 — Online and written information and communication tools

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
16 860 000	14 500 000	14 380 000	13 760 000	14 070 323,66	14 937 970,53

#### Remarks

This appropriation is intended to cover online multimedia and written information and communication tools concerning the European Union, for the purpose of providing all citizens with general information on the work of the Union institutions, the decisions taken and the stages in the building of Europe. Online tools make it possible to gather citizens' questions or reactions on European issues. This is a public service task. The information covers all the Union institutions. These tools must be made accessible to people with disabilities, in accordance with Web Accessibility Initiative (WAI) guidelines.

The main types of tool concerned are:

- the Europa site, which must constitute the main point of access to the existing information and websites concerning the administrative information which European citizens might need in their daily lives and which therefore must be better structured and made more user-friendly,
- the *Europe Direct* contact centre (00800-67891011),
- the internet sites, multimedia and written products of the Commission Representations in the Member States,
- online press releases, speeches, memos, etc. (RAPID).

This appropriation is intended to fund the restructuring of the Europa site in a more coherent way. It is also intended to cover information campaigns for facilitating access to these sources of information, especially for the Europe Direct telephone number.

This appropriation also covers the expenditure relating to the production for various target groups of written publications concerning the Union's activities that are often distributed through a decentralised network, mainly:

- the Representations' publications (paper newsletters and periodicals): each Representation produces one or more publications that are distributed among multipliers and cover various fields (social, economic and political),
- the dissemination (also through a decentralised network) of specific basic information on the European Union (in all the official Union languages) for the general public, coordinated from headquarters, and promotion of the publications,
- the production and distribution of the 2013/2014 Europa Diary for school pupils.

Publishing costs cover, among other things, preparation and drafting (including authors' fees), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the concordance of texts), printing, posting on the internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of the publications.

The Commission, when implementing this budget line, should take duly into account the outcomes of the meetings of the Interinstitutional Group on Information (IGI).

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

#### Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Communication to the Commission of 21 December 2007: Communicating about Europe via the Internet — Engaging the citizens (SEC(2007) 1742).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on ‘Communicating Europe in Partnership’ (OJ C 13, 20.1.2009, p. 3).

Item 16 04 02 02 — Online summary of legislation (SCAD+)

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.		p.m.	600 000,00	259 353,41

*Remarks*

This appropriation is intended to cover payments from previous years related to the production of online summaries of Union legislation (SCAD+).

*Legal basis*

Tasks resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

*Reference acts*

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled ‘Communicating Europe in Partnership’ (COM(2007) 568 final).

Communication to the Commission of 21 December 2007: Communicating about Europe via the Internet — Engaging the citizens (SEC(2007) 1742).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on ‘Communicating Europe in Partnership’ (OJ C 13, 20.1.2009, p. 3).

**Article 16 04 04 — Written publications for general use**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 300 000	2 100 000	2 700 000	2 500 000	2 276 037,23	3 612 357,16

*Remarks*

This appropriation is intended to cover expenditure on issuing, in whatever medium, publications on matters of major topical importance relating to Commission activities and the work of the Union, selected under the priority publications programme, as well as the publications provided for in the Treaties and other institutional or reference publications. The publications may be targeted at the teaching profession, opinion leaders and the general public.

Publishing costs cover, among other things, preparation and drafting (including authors’ contracts), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the concordance of texts), printing, posting on the internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of the publications, including in formats accessible to citizens with disabilities. These publications must also include alternative material.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 20 000.

*Legal basis*

Tasks resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Treaty on the Functioning of the European Union, and in particular Article 249(2) thereof.

## Reference acts

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

## Article 16 04 05 — Pilot project — European Union Real Time Sign Language Application and Service

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
750 000	375 000				

### Remarks

#### 1. Objective

The goal of this project is to develop a service concept and later a technology platform and service that can be used by any deaf or hard-of-hearing citizen within the European Union to assist them with real-time live sign language interpretation by a certified sign language interpreter or a real-time captioning service (financed by the respective member state or European institution) in order to enable independent communication with the institutions of the European Union, such as the European Parliament or the European Commission. This entails that the overall goal is to develop an internet- and hand-held-device-based service and IT application that connects deaf and hard-of-hearing people to accredited sign language interpreters and captioners during their interaction with the EU institutions, such as the European Parliament and the European Commission.

Justification of the project refers to the fact that there is currently no direct communication access for deaf citizens, including deaf or hard of hearing MEPs and administrators, with the institutions of the European Union, and a sign language interpreter is almost always needed to enable a deaf or hard-of-hearing citizen to access the institutions. Usually an interpreter would accompany her/him during the visit to the institution, requiring huge organizational efforts and creating very high costs for everyone involved.

This proposed Pilot Project shall ensure the direct communication access to all EU institutions for the almost one million deaf citizens and 60 to 80 million hard-of-hearing people using different sign languages in all member states (28).

This Pilot project is suggested in regards to the EP Resolution on Sign Language for the Deaf (18.07.1988), the Resolution on Sign Languages (07/12/1998) as well as the Resolution 2010/2272 (INI) on mobility and inclusion of people with disabilities and the European Disability Strategy 2010-2020 (06.06.2011).

#### 2. Implementation

The project should first extract insights into the current communication situation of deaf and hard-of-hearing citizens with public institutions, as well as research state-of-the-art relevant communication technologies.

The project should then apply a collaborative and participative approach to create concepts such as an interactive interpretation and captioning service by involving deaf and hard-of-hearing citizens from all over Europe with the involvement and active partnership of the European Union of the Deaf (EUD), in this project, resulting in a service concept created by the actual end users.

Finally, the project should implement the developed concept into a live and freely launched application, resulting in a real-time translation system that can be accessed free of charge for the users from the web, smart phones, or other hand-held devices.

The service platform and application can first be used for the institutions of the European Union, such as the European Parliament and the European Commission, and can later be offered to participating member states on a voluntary basis for use within their own public institutions.

#### 3. Forecast for 2013

The overall timeline for this Pilot Project is estimated with 14 months and a total budget of 1.1 million Euros, which includes full implementation of the IT application and building up of the service platform free of charge.

The completion of the project, including implementation of the real-time system, is scheduled by January 2014. This would benefit the over 1 million deaf and hard-of-hearing citizens in Europe, as they can use the system prior to the upcoming European Parliament Election in June 2014, enabling them to communicate directly with the institutions of the European Union and fully participate in the democratic process.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 16 05 — FOSTERING EUROPEAN CITIZENSHIP

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
16 05	Fostering European citizenship							
<b>16 05 01</b>	<b>Europe for Citizens</b>							
16 05 01 01	Europe for Citizens	3.2	26 330 000	28 500 000	28 220 000	28 000 000	33 365 576,89	27 568 546,43
16 05 01 02	Preparatory action for the preservation of commemorative sites in Europe	3.2	—	—	p.m.	p.m.	0,—	0,—
16 05 01 03	Pilot project — New narrative on Europe	3.2	500 000	250 000				
	<i>Article 16 05 01 — Subtotal</i>		26 830 000	28 750 000	28 220 000	28 000 000	33 365 576,89	27 568 546,43
<b>16 05 02</b>	<b>Visits to the Commission</b>	3.2	4 000 000	3 200 000	2 970 000	2 500 000	2 640 000,00	2 528 863,36
<b>16 05 03</b>	<b>European Year of Volunteering 2011</b>							
16 05 03 01	Preparatory action — European Year of Volunteering 2011	3.2	—	—	—	p.m.	0,—	778 127,85
16 05 03 02	European Year of Volunteering 2011	3.2	—	p.m.	—	900 000	7 700 167,21	5 349 809,67
	<i>Article 16 05 03 — Subtotal</i>		—	p.m.	—	900 000	7 700 167,21	6 127 937,52
<b>16 05 06</b>	<b>European Civil Society House</b>	3.2	—	—	p.m.	p.m.	0,—	0,—
<b>16 05 07</b>	<b>European Year of Citizens 2013</b>							
16 05 07 01	Preparatory action — European Year of Citizens 2013	3.2	p.m.	150 000	1 000 000	600 000		
16 05 07 02	European year of Citizens 2013	3.2	2 000 000	1 000 000				
	<i>Article 16 05 07 — Subtotal</i>		2 000 000	1 150 000	1 000 000	600 000		
<b>16 05 09</b>	<b>Preparatory action — European Civil Society House</b>	3.2	250 000	125 000				
	<b>Chapter 16 05 — Total</b>		<b>33 080 000</b>	<b>33 225 000</b>	<b>32 190 000</b>	<b>32 000 000</b>	<b>43 705 744,10</b>	<b>36 225 347,31</b>

### Article 16 05 01 — Europe for Citizens

#### Item 16 05 01 01 — Europe for Citizens

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
26 330 000	28 500 000	28 220 000	28 000 000	33 365 576,89	27 568 546,43

*Remarks*

In accordance with the programme 'Europe for citizens 2007-2013' programme this appropriation is intended to cover the following measures:

- 'Active citizens for Europe', consisting of:
  - town-twinning,
  - citizens' projects and support measures;
- 'Active civil society in Europe', consisting of:
  - structural support for European public policy research organisations (think-tanks),

- structural support for civil society organisations at European level, etc.,
- support for projects initiated by civil society organisations;
- ‘Together for Europe’, consisting of:
  - high-visibility events such as commemorations, awards and Europe-wide conferences, etc.,
  - studies, surveys and opinion polls,
  - information and dissemination tools;
- ‘Active European Remembrance’, consisting of the commemoration of the victims of mass exterminations and mass deportations associated with Nazism and Stalinism, as well as the preservation of the related memorials and archives.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 the programme Europe for Citizens to promote active European citizenship (OJ L 378, 27.12.2006, p. 32).

Item 16 05 01 02 — Preparatory action for the preservation of commemorative sites in Europe

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover costs related to the long-term conservation works of sites such as the Auschwitz-Birkenau concentration camp which is currently facing serious deterioration due to climatic conditions and time.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 16 05 01 03 — Pilot project — New narrative on Europe

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

The pilot project will initiate a process in which central figures and opinion makers from the creative sector will be brought together to formulate a new narrative on Europe. The task will be to qualify and reinterpret the existing narrative of peace through trade across borders — to identify a new EU vision that is not solely about economy and growth, but also about cultural unity (community) and values. The task is to identify the European values and cultural aspects that unite citizens. This is needed if citizens are to believe in the EU project and if we are to strengthen public support.

The group will examine what the current perception of Europe is and come up with concrete suggestions on how to create a new identity for Europe containing a new narrative. This narrative should correspond to the history and core values of the EU and describe the cultural aspects that unite citizens in Europe.

The process should be administered professionally so as to make sure that the work of the group is carried out in a controlled manner, with the aim of coming up with a manifesto.

#### Aims of the pilot project

- — to produce a new narrative on Europe founded on the narrative of peace through trade;
- — to create a narrative which will place Europe in a global context according to the new world order.;
- —to revive the European spirit and bring the EU closer to its citizens;
- —to show the value of the EU to its citizens;
- —to identify the cultural values that unite citizens across borders;
- —to finally formulate this narrative in a manifesto.

#### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 16 05 02 — Visits to the Commission**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 000 000	3 200 000	2 970 000	2 500 000	2 640 000,00	2 528 863,36

#### Remarks

This appropriation is intended to cover the cost of organising visits to the Commission.

#### Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 16 05 03 — European Year of Volunteering 2011**

#### Item 16 05 03 01 — Preparatory action — European Year of Volunteering 2011

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	778 127,85

#### Remarks

This item is intended to cover the following measures at Union and national level:

- information and promotion campaigns to disseminate key messages of the foreseen European Year of Volunteering,
- dissemination of results of studies and research in the field,
- exchange of experience and good practices,
- conferences, events and initiatives to promote debate and raise awareness of the importance and value of volunteering and to celebrate the efforts of volunteers,
- support to adequate structures at national level to coordinate and to organise the implementation of the European Year of Volunteering in the Member States,
- mobilisation and coordination of the work of the key stakeholders at Union level.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Item 16 05 03 02 — European Year of Volunteering 2011

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	900 000	7 700 167,21	5 349 809,67

#### Remarks

This appropriation is intended to cover the following initiatives organised at Union, national, regional or local level linked to the objectives of the European Year:

- information and promotion campaigns,
- exchange of experience and good practices,
- undertaking of studies and research as well as dissemination of their results,
- conferences and events to promote debate and raise awareness of the importance and value of voluntary activities stimulating the engagement of citizens and to celebrate the efforts of volunteers and their organisations,
- support to adequate structures at national level to coordinate and to organise the implementation of the European Year of Volunteering in the Member States,
- concrete initiatives in the Member States aimed at promoting the objectives of the European Year and selected further to a call for projects managed at Union level (maximum Union co-financing is set at 80 % of the total eligible cost),
- mobilisation and coordination of the work of the key stakeholders at Union level.

### Legal basis

Council Decision 2010/37/EC of 27 November 2009 on the European Year of Voluntary Activities Promoting Active Citizenship (2011) (OJ L 17, 22.1.2010, p. 43).

### Article 16 05 06 — European Civil Society House

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

#### Remarks

This appropriation is intended to fund a scoping study and the first steps in setting up a facility for a European Civil Society House.

No appropriations are foreseen in 2011 and 2012.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 16 05 07 — European Year of Citizens 2013

### Item 16 05 07 01 — Preparatory action — European Year of Citizens 2013

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	150 000	1 000 000	600 000	

#### Remarks

This appropriation is intended to cover the following measures at European level:

- an information and communication campaign to disseminate the key messages of the upcoming European Year of Citizens 2013,
- the development of the European Year of Citizens 2013 website.

#### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Item 16 05 07 02 — European year of Citizens 2013

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
2 000 000	1 000 000			

#### Remarks

#### New item

The general purpose of the European Year shall be to enhance awareness of the rights attached to Union citizenship, in order to help citizens make full use of their right to move and reside freely within the territory of the Member States.

In this context, the European Year shall focus, among others, on the opportunities for civic participation and access to rights by Union citizens residing in another Member State than their own, by students, workers, consumers, and providers of goods and services across the Union.

On this basis, the specific objectives of the European Year shall be:

- to raise Union citizens' awareness of their right to move and reside freely within the European Union and more generally the rights guaranteed to Union citizens in cross-border situations, including their right to participate in the democratic life of the Union,
- to raise Union citizens' awareness of how they can tangibly benefit from Union rights and policies while living in another Member State, and to stimulate their active participation in civic forums on Union policies and issues,
- to stimulate a debate about the impact and potential of the right to free movement, as an inalienable aspect of Union citizenship, in particular in terms of strengthening societal cohesion and mutual understanding between Union citizens and the bond between citizens and the Union.

#### Legal basis

Proposal for a Decision of the European Parliament and of the Council on the European Year of Citizens (2013), submitted by the Commission on 11 August 2011 (COM(2011) 489 final).

## Article 16 05 09 — Preparatory action — European Civil Society House

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
250 000	125 000				

### Remarks

The objective of the preparatory action is to enable the establishment of the European Civil Society House (ECSH) physically as a resource centre and advice bureau on European rights and civic participation for both citizens and civil society organizations (CSOs) and as a user-friendly space for brainstorming, ideas exchange and networking of like-minded individuals concerned about Europe's future. In order to achieve this goal there is a need to:

- – Broaden the ECSH partnership base with key organizations on EU and national level that are interested to share the physical space of the ECSH or become national contact points providing services in different languages.
- – Implement wide spread consultations and outreach activities with citizens, local authorities and other stakeholders to expand ECSH supporters, create an enabling environment for its operation and define its services for the benefit of the communities and of European citizens.
- – Plan the use of the physical premises of the ECSH in terms of a European citizens' advice bureau, temporary work space for civil society organizations visiting Brussels, more permanent offices and sharing of facilities and know-how and ensuring an EU-wide place for citizens to meet and debate among themselves and with the European institutions.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## TITLE 17 — HEALTH AND CONSUMER PROTECTION

### Figures

Title Chapter	Heading	Budget 2013		Appropriations 2012		Outturn 2011	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
17 01	Administrative expenditure of the 'Health and consumer protection' policy area	115 811 124	115 811 124	116 502 902	116 502 902	118 124 683,58	118 124 683,58
	40 01 40	1 401 001 117 212 125	1 401 001 117 212 125	280 045 116 782 947	280 045 116 782 947		
17 02	Consumer policy	20 700 000	19 350 000	21 090 000	20 185 400	21 316 696,04	21 219 074,75
17 03	Public health	225 583 000	214 969 000	214 272 780	210 542 692	222 553 945,40	212 436 104,67
17 04	Food and feed safety, animal health, animal welfare and Plant health	272 276 000	249 576 000	268 830 000	260 828 105	312 605 133,50	256 226 273,31
	<b>Title 17 — Total</b>	<b>634 370 124</b>	<b>599 706 124</b>	<b>620 695 682</b>	<b>608 059 099</b>	<b>674 600 458,52</b>	<b>608 006 136,31</b>
	40 01 40 Total including reserves	1 401 001 635 771 125	1 401 001 601 107 125	280 045 620 975 727	280 045 608 339 144		

## CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND CONSUMER PROTECTION' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
17 01	Administrative expenditure of the 'Health and consumer protection' policy area				

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
<b>17 01 01</b>	<b>Expenditure related to staff in active employment in the 'Health and consumer protection' policy area</b>	5	77 696 456	77 743 252	78 063 669,77
	40 01 40		1 347 229		
			79 043 685		
<b>17 01 02</b>	<b>External staff and other management expenditure in support of the 'Health and consumer protection' policy area</b>				
17 01 02 01	External staff	5	7 542 595	7 776 354	8 077 664,00
	40 01 40		53 772		
			7 596 367		
17 01 02 11	Other management expenditure	5	9 785 151	9 661 525	10 239 962,42
	40 01 40			280 045	
				9 941 570	
	<i>Article 17 01 02 — Subtotal</i>		17 327 746	17 437 879	18 317 626,42
	40 01 40		53 772	280 045	
			17 381 518	17 717 924	
<b>17 01 03</b>	<b>Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Health and consumer protection' policy area</b>				
17 01 03 01	Expenditure related to information and communication technology equipment and services of the 'Health and consumer protection' policy area: Headquarters	5	4 916 922	4 963 771	5 866 483,05
17 01 03 03	Buildings and related expenditure of the 'Health and consumer protection' policy area: Grange	5	4 700 000	5 338 000	5 350 050,08
	<i>Article 17 01 03 — Subtotal</i>		9 616 922	10 301 771	11 216 533,13
<b>17 01 04</b>	<b>Support expenditure for operations of the 'Health and consumer protection' policy area</b>				
17 01 04 01	Plant-health measures — Expenditure on administrative management	2	600 000	600 000	441 068,44
17 01 04 02	Programme of the European Union action in the field of health — Expenditure on administrative management	3.2	1 500 000	1 400 000	1 411 399,25
17 01 04 03	Programme of the Union action in the field of consumer policy — Expenditure on administrative management	3.2	1 100 000	950 000	971 125,34
17 01 04 05	Feed and food safety and related activities — Expenditure on administrative management	2	600 000	700 000	420 149,23
17 01 04 07	Animal disease eradication and emergency fund — Expenditure on administrative management	2	300 000	300 000	245 072,00
17 01 04 30	Executive Agency for Health and Consumers — Contribution from programmes under Heading 3b	3.2	5 900 000	5 900 000	5 938 040,00
17 01 04 31	Executive Agency for Health and Consumers — Contribution from programmes under Heading 2	2	1 170 000	1 170 000	1 100 000,00
	<i>Article 17 01 04 — Subtotal</i>		11 170 000	11 020 000	10 526 854,26
	<b>Chapter 17 01 — Total</b>		<b>115 811 124</b>	<b>116 502 902</b>	<b>118 124 683,58</b>
	40 01 40		1 401 001	280 045	
	Total including reserves		117 212 125	116 782 947	

**Article 17 01 01 — Expenditure related to staff in active employment in the 'Health and consumer protection' policy area**

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
17 01 01	77 696 456	77 743 252	78 063 669,77
40 01 40	1 347 229		
<b>Total</b>	<b>79 043 685</b>	<b>77 743 252</b>	<b>78 063 669,77</b>

**Article 17 01 02 — External staff and other management expenditure in support of the ‘Health and consumer protection’ policy area**

Item 17 01 02 01 — External staff

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
17 01 02 01	7 542 595	7 776 354	8 077 664,00
40 01 40	53 772		
Total	7 596 367	7 776 354	8 077 664,00

Item 17 01 02 11 — Other management expenditure

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
17 01 02 11	9 785 151	9 661 525	10 239 962,42
40 01 40		280 045	
Total	9 785 151	9 941 570	10 239 962,42

**Article 17 01 03 — Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘Health and consumer protection’ policy area**

Item 17 01 03 01 — Expenditure related to information and communication technology equipment and services of the ‘Health and consumer protection’ policy area: Headquarters

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
4 916 922	4 963 771	5 866 483,05

Item 17 01 03 03 — Buildings and related expenditure of the ‘Health and consumer protection’ policy area: Grange

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
4 700 000	5 338 000	5 350 050,08

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the payment of rent, ground rent and municipal charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings,
- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-

cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings etc., the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment,
- expenses relating to the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance and upgrade of security installations and the purchase of items of equipment,
- expenses relating to the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major repair, fitting-out or remodelling operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),
  - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpreting facilities, etc.),
  - kitchen fittings and restaurant equipment,
  - various tools for building-maintenance shops,
  - facilities required for officials with disabilities,
  - studies, documentation and training relating to such equipment,
- the purchase, hire, maintenance and repair of furniture, and in particular:
  - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
  - the replacement of worn-out and broken furniture,
  - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
  - fittings specific to canteens and restaurants,
  - the hire of furniture,
  - furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the purchase, hire, maintenance and repair of vehicles, and in particular:
  - new purchases of vehicles, including all associated costs,
  - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
  - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet,
  - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.), including annual national car test,
  - various types of insurance (in particular third-party liability and insurance against theft) and national taxes where payable and insurance costs,
- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,

- purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
- purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals, reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- other operating expenditure, such as:
  - expenditure on equipping buildings with telecommunications, notably the purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
  - the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
  - the purchase, hire or leasing of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
  - the purchase, hire or leasing of typewriters, word processors or any other electronic office equipment,
  - installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
  - expenditure on the operation of restaurants, cafeterias and canteens, in particular the costs of maintaining equipment and purchasing various supplies, expenditure on current conversions and the renewal of equipment, and substantial expenditure on conversion and renewal which should be clearly distinguished from current conversions, repairs and renewal of equipment and supplies,
  - the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
  - the training and support required for accessing this information,
  - the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
  - expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
  - licences, subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
  - the cost of interbuilding telephone and computer links and international transmission lines between sites of Union offices,
  - technical and logistic support, training and associated costs and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software.

#### *Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 17 01 04 — Support expenditure for operations of the ‘Health and consumer protection’ policy area**

**Item 17 01 04 01 — Plant-health measures — Expenditure on administrative management**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
600 000	600 000	441 068,44

*Remarks*

This appropriation is intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, evaluating, auditing and control of programmes or projects.

It also covers the cost of studies, meetings of experts, information measures and publications directly linked to the achievement of the objective of the programme or measures covered by this item.

Furthermore, the measures provided for by Regulation (EC) No 1107/2009 of the European Parliament and of the Council of 21 October 2009 concerning the placing of plant protection products on the market (OJ L 309, 24.11.2009, p. 1) may be operational in 2010.

*Legal basis*

See Article 17 04 04.

**Item 17 01 04 02 — Programme of the European Union action in the field of health — Expenditure on administrative management**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
1 500 000	1 400 000	1 411 399,25

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information measures and publications directly linked to achievement of the objective of the programme or measures covered by this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

See Article 17 03 06.

Item 17 01 04 03 — Programme of the Union action in the field of consumer policy — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
1 100 000	950 000	971 125,34

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information measures and publications directly linked to the achievement of the objective of the programme or measures covered by this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

See Article 17 02 02.

Item 17 01 04 05 — Feed and food safety and related activities — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
600 000	700 000	420 149,23

*Remarks*

This appropriation is intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, auditing and control of programmes or projects.

It also covers the cost of studies, meetings of experts, information measures and publications directly linked to achievement of the objectives of the programme or measures covered by this item.

It is intended, in particular, to cover expenditure resulting from the application of Regulation (EC) No 882/2004.

*Legal basis*

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1).

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on food enzymes (OJ L 354, 31.12.2008, p. 7).

Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (OJ L 354, 31.12.2008, p. 16).

Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods (OJ L 354, 31.12.2008, p. 34).

See Article 17 04 07.

Item 17 01 04 07 — Animal disease eradication and emergency fund — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
300 000	300 000	245 072,00

*Remarks*

This appropriation is intended to cover expenditure on administrative assistance relating to the audit of claims to be presented by the Member States according to Article 3(2), Articles 4 and 14 and Article 27(8) of Decision 2009/470/EC.

*Legal basis*

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (OJ L 155, 18.6.2009, p. 30).

See Articles 17 04 01 and 17 04 03 01.

Item 17 01 04 30 — Executive Agency for Health and Consumers — Contribution from programmes under Heading 3b

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
5 900 000	5 900 000	5 938 040,00

*Remarks*

This appropriation is intended to cover the contribution for expenditure on the Agency's staff and administration.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1926/2006/EC of the European Parliament and of the Council of 18 December 2006 establishing a programme of Community action in the field of consumer policy (2007-2013) (OJ L 404, 30.12.2006, p. 39).

Decision No 1350/2007/EC of the European Parliament and of the Council of 23 October 2007 establishing a second programme of Community action in the field of health (2008-2013) (OJ L 301, 20.11.2007, p. 3).

See Articles 17 02 02, 17 03 01 and 17 03 06.

*Reference acts*

Commission Decision 2004/858/EC of 15 December 2004 setting up an executive agency, the 'Executive Agency for the Public Health Programme', for the management of Community action in the field of public health — pursuant to Council Regulation (EC) No 58/2003 (OJ L 369, 16.12.2004, p. 73).

Item 17 01 04 31 — Executive Agency for Health and Consumers — Contribution from programmes under Heading 2

Figures

Budget 2013	Appropriations 2012	Outturn 2011
1 170 000	1 170 000	1 100 000,00

Remarks

This appropriation is intended to cover the contribution from the Union training strategy in the areas of food law, feed law, animal health and animal welfare rules as well as plant rules for expenditure on the Agency's staff and administration.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community (OJ L 169, 10.7.2000, p. 1).

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1), and in particular Article 51 thereof.

See Articles 17 04 04 and 17 04 07.

Reference acts

Commission Decision 2004/858/EC of 15 December 2004 setting up an executive agency, the 'Executive Agency for the Public Health Programme', for the management of Community action in the field of public health — pursuant to Council Regulation (EC) No 58/2003 (OJ L 369, 16.12.2004, p. 73).

## CHAPTER 17 02 — CONSUMER POLICY

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
17 02	Consumer policy							
17 02 01	<i>Completion of Union activities in favour of consumers</i>	3.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
17 02 02	<i>Union action in the field of consumer policy</i>	3.2	20 700 000	19 000 000	21 090 000	19 000 000	20 816 696,04	20 073 018,12
17 02 03	<i>Preparatory action — Monitoring measures in the field of consumer policy</i>	3.2	p.m.	150 000	p.m.	860 400	500 000,00	763 963,00
17 02 04	<i>Pilot project — Transparency and stability in the financial markets</i>	1.1	p.m.	200 000	p.m.	325 000	0,—	382 093,63
<b>Chapter 17 02 — Total</b>			<b>20 700 000</b>	<b>19 350 000</b>	<b>21 090 000</b>	<b>20 185 400</b>	<b>21 316 696,04</b>	<b>21 219 074,75</b>

### Article 17 02 01 — Completion of Union activities in favour of consumers

Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

### Remarks

This payment appropriation is intended to cover commitments relating to previous years under Decision No 20/2004/EC. That Decision was repealed by Decision No 1926/2006/EC (see Article 17 02 02).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

### Legal basis

Decision No 20/2004/EC of the European Parliament and of the Council of 8 December 2003 establishing a general framework for financing Community actions in support of consumer policy for the years 2004 to 2007 (OJ L 5, 9.1.2004, p. 1).

## Article 17 02 02 — Union action in the field of consumer policy

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 700 000	19 000 000	21 090 000	19 000 000	20 816 696,04	20 073 018,12

### Remarks

Decision No 1926/2006/EC establishes a general framework for financing Union action in support of consumer policy (2007-2013) as defined in the multiannual strategy. The decision and the strategy entail two strategic objectives in the medium term:

- ensuring a high level of consumer protection, notably through improved evidence, better consultation and better representation of consumers' interests, and
- ensuring the effective application of consumer protection rules notably through enforcement cooperation, information, education and redress.

The consumer programme consolidates and expands the action areas of the 2002-2006 consumer programmes. It considerably expands Union activities on developing the knowledge and evidence base, enforcement cooperation, market surveillance and product safety, consumer education and capacity building for consumer organisations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

### Legal basis

Decision No 1926/2006/EC of the European Parliament and of the Council of 18 December 2006 establishing a programme of Community action in the field of consumer policy (2007-2013) (OJ L 404, 30.12.2006, p. 39).

## Article 17 02 03 — Preparatory action — Monitoring measures in the field of consumer policy

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	150 000	p.m.	860 400	500 000,00	763 963,00

### Remarks

The overall objective of this pilot project is to better understand the functioning of the internal market from a consumer and demand-side perspective. This will be pursued through the collection of data and analysis of consumer behaviour.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 17 02 04 — Pilot project — Transparency and stability in the financial markets

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	200 000	p.m.	325 000	0,—	382 093,63

### Remarks

The purpose of the pilot project is to promote consumer empowerment, efficiency and stability of European financial markets, notably through consumer empowerment in financial services via training actions and the provision of advice to consumers.

The project includes:

- the mapping in each Member State of the non-profit entities that provide general advice on financial services to consumers, including the assessment of best practices for the provision of general financial advice,
- the identification of training needs relating to the financial advice of those entities,
- training courses in financial services for consumer associations and other interested non-profit parties who provide information and advice to end users of financial services.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 17 03 — PUBLIC HEALTH

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
17 03	Public health							
<b>17 03 01</b>	<b>Measures in the field of public health protection</b>							
17 03 01 01	Completion of public health programme (2003-2008)	3.2	p.m.	3 000 000	p.m.	10 500 000	0,—	9 261 273,80
	<i>Article 17 03 01 — Subtotal</i>		p.m.	3 000 000	p.m.	10 500 000	0,—	9 261 273,80
<b>17 03 03</b>	<b>European Centre for Disease Prevention and Control</b>							
17 03 03 01	European Centre for Disease Prevention and Control — Contribution to Titles 1 and 2	3.2	37 390 000	37 390 000	36 936 900	36 936 900	35 042 831,32	35 042 537,00

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
17 03 03 02	European Centre for Disease Prevention and Control — Contribution to Title 3	3.2	19 337 000	19 337 000	19 790 100	19 790 100	21 613 463,00	21 613 463,00
	<i>Article 17 03 03 — Subtotal</i>		56 727 000	56 727 000	56 727 000	56 727 000	56 656 294,32	56 656 000,00
<b>17 03 04</b>	<b>Preparatory action — Public health</b>	3.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
<b>17 03 05</b>	<b>International agreements and membership of international organisations in the field of public health and tobacco control</b>	4	192 000	192 000	200 000	190 912	0,—	0,—
<b>17 03 06</b>	<b>Union action in the field of health</b>	3.2	49 800 000	40 000 000	48 300 000	37 000 000	48 383 606,48	29 635 940,83
<b>17 03 07</b>	<b>European Food Safety Authority</b>							
17 03 07 01	European Food Safety Authority — Contribution to Titles 1 and 2	3.2	46 890 000	46 890 000	48 266 209	48 266 209	51 721 458,00	51 721 400,00
17 03 07 02	European Food Safety Authority — Contribution to Title 3	3.2	27 444 000	24 980 000	26 813 571	23 992 571	25 588 404,96	23 776 900,00
	<i>Article 17 03 07 — Subtotal</i>		74 334 000	71 870 000	75 079 780	72 258 780	77 309 862,96	75 498 300,00
<b>17 03 08</b>	<b>Pilot project — New employment situation in the health sector: best practices for improving professional training and qualifications of healthcare workers and their remuneration</b>	1.1	p.m.	p.m.	p.m.	300 000	0,—	878 669,00
<b>17 03 09</b>	<b>Pilot project — Complex research on Health, Environment, Transport and Climate Change (HETC) — Improvement of indoor and outdoor air quality</b>	2	p.m.	p.m.	p.m.	1 600 000	0,—	1 483 135,00
<b>17 03 10</b>	<b>European Medicines Agency</b>							
17 03 10 01	European Medicines Agency — Contribution to Titles 1 and 2	1.1	6 165 000	6 165 000	7 655 333	7 655 333	9 569 459,00	9 569 458,60
17 03 10 02	European Medicines Agency — Contribution to Title 3	1.1	27 065 000	27 065 000	15 310 667	15 310 667	24 617 078,64	24 617 078,64
17 03 10 03	Special contribution for orphan medicinal products	1.1	6 000 000	6 000 000	6 000 000	6 000 000	5 017 644,00	4 836 248,80
	<i>Article 17 03 10 — Subtotal</i>		39 230 000	39 230 000	28 966 000	28 966 000	39 204 181,64	39 022 786,04
<b>17 03 11</b>	<b>Pilot project — Fruit and vegetable consumption</b>	2	—	500 000	1 000 000	1 000 000	1 000 000,00	0,—
<b>17 03 12</b>	<b>Pilot project — Healthy diet: early years and ageing population</b>	2	1 000 000	700 000	1 000 000	500 000		
<b>17 03 13</b>	<b>Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes</b>	2	—	200 000	1 000 000	500 000		
<b>17 03 14</b>	<b>Preparatory action — Antimicrobial resistance (AMR): Research on the causes of high and improper antibiotic usage</b>	2	—	200 000	1 000 000	500 000		
<b>17 03 15</b>	<b>Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems</b>	3.2	—	200 000	1 000 000	500 000		
<b>17 03 16</b>	<b>Pilot project — European Prevalence Protocol for early detection of Autistic Spectrum Disorders in Europe</b>	3.2	1 300 000	650 000				
<b>17 03 17</b>	<b>Pilot project — Promotion of Self Care Systems in the European Union</b>	3.2	1 000 000	500 000				
<b>17 03 18</b>	<b>Pilot project — Gender specific mechanisms in coronary artery disease in Europe</b>	3.2	1 000 000	500 000				
<b>17 03 19</b>	<b>Preparatory action — Fruit and vegetable consumption</b>	2	1 000 000	500 000				
	<b>Chapter 17 03 — Total</b>		<b>225 583 000</b>	<b>214 969 000</b>	<b>214 272 780</b>	<b>210 542 692</b>	<b>222 553 945,40</b>	<b>212 436 104,67</b>

## **Article 17 03 01 — Measures in the field of public health protection**

### Item 17 03 01 01 — Completion of public health programme (2003-2008)

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 000 000	p.m.	10 500 000	0,—	9 261 273,80

#### *Remarks*

This payment appropriation is intended to cover commitments relating to previous years under Decision No 1786/2002/EC of the European Parliament and the Council of 23 September 2002 adopting a programme of Community action in the field of public health for the years 2003-2008.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries for participation in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Decision No 1786/2002/EC of the European Parliament and of the Council of 23 September 2002 adopting a programme of Community action in the field of public health (2003-2008) (OJ L 271, 9.10.2002, p. 1).

## **Article 17 03 03 — European Centre for Disease Prevention and Control**

### Item 17 03 03 01 — European Centre for Disease Prevention and Control — Contribution to Titles 1 and 2

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 390 000	37 390 000	36 936 900	36 936 900	35 042 831,32	35 042 537,00

#### *Remarks*

This appropriation is intended to cover the Centre's personnel and administrative expenditure. In particular, Title 1 covers the salaries of permanent staff and seconded experts, the costs relating to recruitment, interim services, staff training and mission expenses. Title 2 'Expenditure' relates to the renting of the ECDC office facilities, the fitting-out of premises, information and communication technology, technical installations, logistics and other administrative costs.

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the Centre's budget, in particular concerning the establishment plans published in the budget, for which the budgetary authority's prior agreement is required. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The Centre's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

#### *Legal basis*

Regulation (EC) No 851/2004 of the European Parliament and of the Council of 21 April 2004 establishing a European Centre for disease prevention and control (OJ L 142, 30.4.2004, p. 1).

### Item 17 03 03 02 — European Centre for Disease Prevention and Control — Contribution to Title 3

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 337 000	19 337 000	19 790 100	19 790 100	21 613 463,00	21 613 463,00

#### *Remarks*

This appropriation is intended to cover operational expenditure relating to the following target areas:

- improving surveillance of communicable diseases in the Member States,
- strengthening the scientific support provided by the Member States and the Commission,
- enhancing the preparedness of the Union against emerging threats from communicable diseases, especially hepatitis B, including threats related to intentional release of biological agents, and diseases of unknown origin, and coordinating the response,
- strengthening the relevant capacity in the Member States through training,
- communicating information and building partnerships.

It is also intended to cover the maintenance of the emergency facility (Emergency Operations Centre) linking the Centre online with national communicable disease centres and reference laboratories in Member States in the event of major outbreaks of communicable diseases or other illnesses of unknown origin.

The Union contribution for 2013 amounts to a total of EUR 56 727 000. The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the Centre's budget, in particular concerning the establishment plans published in the budget, for which the budgetary authority's prior agreement is required. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

*Legal basis*

Regulation (EC) No 851/2004 of the European Parliament and of the Council of 21 April 2004 establishing a European Centre for disease prevention and control (OJ L 142, 30.4.2004, p. 1).

**Article 17 03 04 — Preparatory action — Public health**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This article has no new allocations as from 2007. The relevant actions have been continued under the new programme for public health under Article 17 03 06.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 17 03 05 — International agreements and membership of international organisations in the field of public health and tobacco control**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
192 000	192 000	200 000	190 912	0,—	0,—

*Remarks*

This appropriation is intended to cover the Union contribution to the World Health Organisation Framework Convention on Tobacco Control (FCTC), which the Community ratified and to which the Union is a party.

*Legal basis*

Council Decision 2004/513/EC of 2 June 2004 concerning the conclusion of the WHO Framework Convention on Tobacco Control (OJ L 213, 15.6.2004, p. 8).

**Article 17 03 06 — Union action in the field of health**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
49 800 000	40 000 000	48 300 000	37 000 000	48 383 606,48	29 635 940,83

*Remarks*

The second health programme replaces the previous programme established by Decision No 1786/2002/EC and covers the period 2008-2013.

Since 2008, as far as the health area is concerned, the programme has been focusing on three pillars where action at Union level is essential:

(1) *Health information*

The objective of this pillar is to strengthen the collection, analysis, exchange and dissemination of health-related information in the Union — including on disabilities and ‘dys’ conditions — which is necessary to provide a solid foundation for health policymaking and is also needed by professionals for their work and by citizens to enable them to make healthy lifestyle choices.

Actions will further include research into multiple sclerosis, with special attention being paid to identifying the factors behind the difference in the incidence of the disease between northern and southern parts of Europe.

Actions should also include measures to help boost research into the possible causes of amyotrophic lateral sclerosis (ALS), with particular attention being paid to professional sports and the possible influence of substance abuse in the world of sport.

### *(2) Health security*

The overall objective is to protect citizen against health threats.

An effective rapid response capability is needed to avoid threats to public health, for example arising from communicable diseases or chemical or biological attacks. Tackling such threats needs to be coordinated effectively at Union level. Union integration based on the principle of free movement increases the need for vigilance, in order to respond to major cross-border health threats such as avian flu, or bioterrorism.

Actions will further include the development of a Pan-European sentinel network for the early detection of new threats in allergies, with particular attention being paid to the monitoring of allergy trends in patients, the reporting of all cases of rare or novel allergies in the field of inhalant, food, drug or contact allergy, to the dissemination of scientific results to policymakers, health care professionals and the general public, as well as the development of a sustainable system for a better awareness in identifying and correctly treating the dramatically increasing number of European citizens suffering from allergic diseases and asthma.

### *(3) Promote health to improve prosperity and solidarity*

The overall objective is to contribute to the prosperity of the Union by fostering healthy ageing and bridging inequalities as well as to enhance solidarity between national health systems.

Actions will include initiatives to increase healthy life years and promote healthy ageing, to explore health’s impact on productivity and labour participation, and to support the reduction of inequalities between Member States and investment in health, thus contributing to the Europe 2020 Strategy, and to productivity and growth. Actions will also enhance solidarity between health systems, including cooperation on shared challenges, thus facilitating the development of a Union framework for safe, high-quality and efficient health services. Actions should also include initiatives to evaluate, by independent organisations, the quality of service provided by emergency medical services from the moment an alert is launched by citizens (e.g. through emergency call numbers) until the victim is transferred to hospital care; the aim is to help improve emergency medical services on the basis of comparable data and the identification of best practices to be exchanged between Member States.

Actions will further include the promotion of health by tackling environmental, addiction and lifestyle determinants.

Non-governmental organisations are key players in the implementation of the programme. Therefore, they should be allocated adequate funds.

Actions will also include appropriate initiatives to follow up on the recommendations obtained through the Green Paper consultation on mental health, and in particular on strategies to prevent suicide across the lifespan.

The objective is also for the Commission to implement the Health In All Policies strategy under the Structural Funds. Such a project should cover proposals for methods on how health issues could be incorporated — in accordance with the Health In All Policies strategy — into regional development projects. The project aims to enhance overall health in the Union by means of capacity building in the context of regional development agencies.

The budget should cover training courses, international events, exchange of experiences, good practices, and international collaboration with a view to the practical use of Health Impact Assessment (HIA) both for local government and development agencies and for individuals or companies willing to apply for a grant provided by the Union.

With regard to the crucial importance of small and medium-sized enterprises in the Union, they should be provided with professional support for compliance with environmental health rules, and to help them make positive changes to environmental health affecting company operation.

A European database on health issues and a database on environment issues should be interconnected so that further research could be improved for analysing the link between environment quality and state of health.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the ‘European Economic Area’ Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Decision No 1350/2007/EC of the European Parliament and of the Council of 23 October 2007 establishing a second programme of Community action in the field of health (2008-2013) (OJ L 301, 20.11.2007, p. 3).

**Article 17 03 07 — European Food Safety Authority**

Item 17 03 07 01 — European Food Safety Authority — Contribution to Titles 1 and 2

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
46 890 000	46 890 000	48 266 209	48 266 209	51 721 458,00	51 721 400,00

*Remarks*

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2).

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the budget of the agencies, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The Authority's establishment plan is set out in the Part entitled 'Establishment plan staff' of the Section III — Commission (Volume 3).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

*Legal basis*

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1).

Item 17 03 07 02 — European Food Safety Authority — Contribution to Title 3

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
27 444 000	24 980 000	26 813 571	23 992 571	25 588 404,96	23 776 900,00

### Remarks

This appropriation is intended to cover the Authority's operational expenditure relating to the work programme (Title 3).

It covers in particular:

- costs associated with supporting and holding meetings of the scientific committee and the scientific groups, working groups, the advisory forum, the Management Board and meetings with the scientific partners or interested parties,
- costs associated with the establishment of scientific opinions using external resources (contracts and subsidies),
- costs associated with the creation of data collection networks and the integration of the existing information systems,
- costs associated with the scientific and technical assistance to the Commission (Article 31),
- costs associated with the identification of logistical support measures,
- costs associated with technical and scientific cooperation,
- costs associated with the dissemination of scientific opinions,
- costs associated with communication activities.

The Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the budget of the agencies, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The Union contribution for 2013 amounts to a total of EUR 76 000 000. An amount of EUR 1 666 000 coming from the recovery of surplus is added to the amount of EUR 74 334 000 entered in the budget.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

### Legal basis

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1).

### **Article 17 03 08 — Pilot project — New employment situation in the health sector: best practices for improving professional training and qualifications of healthcare workers and their remuneration**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	300 000	0,—	878 669,00

### Remarks

This appropriation is intended to fund initiatives which help deal with the new employment situation in the health sector, particularly in relation to professional qualifications and duties carried out by healthcare workers, healthcare assistants and lower-skilled nurses and which help examine the effects of cross-border mobility both in the host country and the country of origin. Measures covered will include:

- analysing factors and policies with a view to better meeting the need for measures to increase the supply and improve the qualifications of health care staff in the long run,
- promoting exchanges on policies and best practices with a view to coping with the increase in care consumption due to demographic change,
- funding initiatives which help examine cross-border effects on health services,
- paying attention to the effects of different levels of remuneration, which may come to light in this context,
- studies, meetings with experts and information campaigns; a solution should also be found to maintain the level of care in national healthcare systems,
- analysing the relationship between healthcare and social care and work on producing comparable data. A solid database, which also includes gender and diversity aspects, will be crucial to enhance the open method of coordination when it has been extended to healthcare.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 17 03 09 — Pilot project — Complex research on Health, Environment, Transport and Climate Change (HETC) — Improvement of indoor and outdoor air quality**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 600 000	0,—	1 483 135,00

### Remarks

Environment and health are important cross-sector fields in the European Environment and Health process connecting environmental policies and approaches. This process is vital for good quality of life and sustainable development. The objectives of the project are that the nine countries involved (Austria, Bosnia and Herzegovina, Finland, Hungary, Italy, the Netherlands, Norway, Serbia and Slovakia) should:

- assess the associations between the school environment and children's (respiratory) health,
- assess the associations between the impact of transport on the school environment and children's (respiratory) health,
- assess the associations between the impact of climate change on the school environment and children's (respiratory) health,
- issue recommendations for improving the quality of the school environment for the better health of children and a Guideline for Healthy European Schools.

This article has had no new commitment allocations since 2009. The funded initiatives are currently ongoing and completion is expected by the end of 2012.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 17 03 10 — European Medicines Agency

### Item 17 03 10 01 — European Medicines Agency — Contribution to Titles 1 and 2

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 165 000	6 165 000	7 655 333	7 655 333	9 569 459,00	9 569 458,60

#### Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), including expenditure following the adoption of Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use (OJ L 378, 27.12.2006, p. 1).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the budget of the agencies, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of the Section III — Commission (Volume 3).

#### Legal basis

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Commission Regulation (EC) No 494/2003 of 18 March 2003 amending Council Regulation (EC) No 297/95 on the fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 73, 19.3.2003, p. 6).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

#### Reference acts

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Commission Regulation (EC) No 2049/2005 of 15 December 2005 laying down, pursuant to Regulation (EC) No 726/2004 of the European Parliament and of the Council, rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises (OJ L 329, 16.12.2005, p. 4).

Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use and amending Regulation (EEC) No 1768/92, Directive 2001/20/EC, Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 378, 27.12.2006, p. 1).

Regulation (EC) No 1394/2007 of the European Parliament and of the Council of 13 November 2007 on advanced therapy medicinal products and amending Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 324, 10.12.2007, p. 121).

Commission Regulation (EC) No 1234/2008 of 24 November 2008 concerning the examination of variations to the terms of marketing authorisations for medicinal products for human use and veterinary medicinal products (OJ L 334, 12.12.2008, p. 7).

Regulation (EU) No 1235/2010 of the European Parliament and of the Council of 15 December 2010 amending, as regards pharmacovigilance of medicinal products for human use, Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency, and Regulation (EC) No 1394/2007 on advanced therapy medicinal products (OJ L 348, 31.12.2010, p. 1).

#### Item 17 03 10 02 — European Medicines Agency — Contribution to Title 3

##### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
27 065 000	27 065 000	15 310 667	15 310 667	24 617 078,64	24 617 078,64

##### Remarks

This appropriation is intended to cover only the Agency's operational expenditure in connection with the work programme (Title 3), including the tasks relating to the implementation of Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use (OJ L 378, 27.12.2006, p. 1).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

During the budgetary procedure and even during the financial year, when an amending letter or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the budgets of the agencies, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 39 230 000, including the special contribution for orphan medicinal products for EUR 6 000 000.

##### Legal basis

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Commission Regulation (EC) No 494/2003 of 18 March 2003 amending Council Regulation (EC) No 297/95 on the fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 73, 19.3.2003, p. 6).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

**Item 17 03 10 03 — Special contribution for orphan medicinal products**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 000 000	6 000 000	6 000 000	6 000 000	5 017 644,00	4 836 248,80

*Remarks*

This appropriation is intended to cover the special contribution provided for in Article 7 of Regulation (EC) No 141/2000, as opposed to the one provided for in Article 67 of Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1). It is used by the Agency exclusively to compensate for the total or partial non-recovery of payments due for an orphan medicinal product.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

*Legal basis*

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

**Article 17 03 11 — Pilot project — Fruit and vegetable consumption**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	500 000	1 000 000	1 000 000	1 000 000,00	0,—

*Remarks*

This appropriation is intended to cover a pilot project aimed at increasing the consumption of fresh fruit and vegetables in vulnerable population groups (low-income pregnant women and their children, the elderly, etc.), in order to improve public health and stimulate demand in the fruit and vegetables market.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 17 03 12 — Pilot project — Healthy diet: early years and ageing population

### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
1 000 000	700 000	1 000 000	500 000	

### Remarks

The pilot project aims at underlining the importance of a correct and healthy diet with a focus on the ageing population.

The ageing of the population of Europe is a demographic phenomenon that is due to a decrease in fertility and to an increase of life expectancy among European citizens.

The population of Europe is expected to age over the next 40 years and this phenomenon is closely related to nutrition issues.

As recognised in various studies, nutrition has a strong and important impact in the treatment and prevention of several diseases and in maintaining good health and quality of life in an ageing population.

With advancing age, there is a high incidence of chronic diseases. Evidence points to the important effect of nutrition and, in particular, of a balanced and healthy diet based on fruit and vegetables, and on one's susceptibility to such diseases and their development and outcome.

This project also seeks to provide parents and children with information on nutrition. It will focus on the early years of life, and could therefore cover prenatal nutrition, breastfeeding, and childhood nutrition. The project has two main objectives, namely to educate parents on the importance of good nutrition for their children, and to educate children so they consciously make healthy lifestyle choices throughout their lives. The project will operate within the framework of the Health Programme and will specifically address two of the programme's overarching objectives: that of promoting health and reducing health inequalities, and that of disseminating health information.

This pilot project will try to reach its target audiences through a range of channels, such as pre-natal classes, hospitals, nurseries and pre-school establishments, and schools. It should involve appropriate civil society organisations, such as health-orientated NGOs, as well as healthcare professionals such as, for instance, paediatric doctors and midwives, and national and regional health authorities. Cooperation between these different actors should aim to deliver targeted education about nutrition, independently of the food industry, to both parents and children. Information campaigns could be launched in the form of leaflets (to be given, for example, to pregnant mothers by midwives, or to parents by paediatric doctors) or presentations in schools.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 17 03 13 — Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes

### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	200 000	1 000 000	500 000	

### Remarks

Diabetes mellitus type 2 is a chronic disease and one of Europe's biggest public health challenges. Over 30 000 000 European citizens are living with diabetes and this number clearly indicates that diabetes has reached the dimensions of a social and economic disaster. The average Union prevalence rate in the adult population of the Union is of 8,6 % and it is expected to grow to over 10 % by 2025. Diabetes is one of the top ten causes of disability, and leads to devastating complications such as cardiovascular disease, blindness and renal failure. As a result, a large proportion of healthcare budgets in the Union is currently spent on treating and managing diabetes and its complications. For example in 2010, an average of 10 % of the healthcare budgets in the Union was spent on diabetes.

Both the occurrence and consequences of type 2 diabetes have been shown to be preventable through various measures, such as timely identification of people at risk, development of awareness campaigns and educational programmes focused on encouraging healthy living habits and good quality preventive care. However, to ensure success in preventing diabetes, the burden of this growing

epidemic must be addressed in a collaborative manner by all relevant stakeholders — healthcare professionals, people with diabetes, the general population, the media, the food industry, local market chains, and policymakers.

This pilot project proposes a two-phase innovative approach for diabetes prevention. The first phase will include the development of systematic approaches to the early diagnosis of people who already have diabetes and for the identification of those at risk, such as those with the metabolic syndrome. The second phase will consist of the development and evaluation of structured action programmes for diabetes prevention for those at risk.

1. Population screening will be carried out on a small scale (3 000 to 10 000 people), meaning that screening will involve the whole population living in a certain village or town within five selected Member States. This innovative approach is proposed in order to:

- ensure maximum precision of the data evaluation,
- avoid the burden of administrative approvals that may be necessary for population screening at national level,
- efficiently manage the data acquired via screening and the impact of the implemented prevention measures,
- generate local enthusiasm towards the project's objectives and engage the attention of all relevant stakeholders.

2. All relevant local stakeholders will be involved in the project and will work together in a coordinated manner:

- healthcare professionals will be involved in screening, monitoring/data collection, and in the development of educational programmes,
- the local population will be encouraged to develop a collaborative and an open attitude towards the screening programmes and to actively participate in educational activities on diabetes,
- the media will be involved in developing awareness campaigns and will help to disseminate the information about the objectives and status of the project,
- the food industry and local market chains will promote and encourage the consumption of food products with low content of saturated fats, trans-fats, salt and sugar,
- local government policymakers will translate the results of the project into guidelines and strategies for the prevention of diabetes.

*Objectives:*

- to establish a coordinated collaboration between physicians and other healthcare professionals (nurses, nutritionists, psychologists, researchers), the general population, the food industry, local market chains, the media and local policymakers with a view to preventing type 2 diabetes,
- to develop small-scale screening programmes for the early diagnosis of people with diabetes and for the timely identification of people at risk. Population screening will be divided into four groups:
  - new-born screening for babies at risk (e.g. blood tests if one or both parents have diabetes),
  - screening of all school children and teenagers (e.g. blood tests, clinical examinations, evaluation of dietary habits, evaluation of physical activity, smoking, alcohol consumption, excess of fast-food, and others to be determined),
  - screening of the whole adult population (e.g. blood tests, clinical examinations, evaluation of food habits and relevant lifestyle factors),
  - screening of elderly people,
- to identify innovative approaches in order to involve the food industry and local public administration in the educational programmes,
- to develop educational programmes for the general population and especially for people diagnosed with type 2 diabetes, for those at risk and for the parents of new-borns or children suffering from diabetes or who are at risk,
- to establish standard screening programmes by identifying and selecting the best indicators/parameters to be measured, such as blood tests, food habits, physical activity, and others to be determined,
- to create a local food market which contribute to preventing the consumption of foods and drinks with high levels of sugar, salt, saturated fats and trans-fats,
- to organise workshops and seminars in order to educate the local population on the importance of food quality and food content. The activities of the workshops and seminars can be linked to campaigns promoting the consumption of fruit and vegetables. For instance, local market chains could sponsor such events by offering fruit and vegetables to participants.

Involved regions or countries: a selection of five towns or villages (3 000 to 10 000 citizens) from five Member States.

### *Expected outcomes*

The overall project goal is to identify and develop best practices for the prevention of type 2 diabetes. It is expected that some of the villages or towns participating in the project will be able to develop and implement a successful local strategy for preventing type 2 diabetes. The most successful strategies may be then translated or incorporated into future Union and national initiatives on diabetes (e.g. guidelines or recommendation on diabetes, National Diabetes Plans). The impact of the prevention measures developed during the project can be only assessed after a period of approximately two years from the beginning of the project. This impact assessment will be done by closely monitoring the health status of the local population participating in the project.

*Starting date of the project:* June 2012.

*End date of the project:* June 2014.

### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 17 03 14 — Preparatory action — Antimicrobial resistance (AMR): Research on the causes of high and improper antibiotic usage**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	200 000	1 000 000	500 000	

### *Remarks*

In 2009, based on bacteria most frequently isolated from blood cultures in Europe, the European Centre for Disease Prevention and Control estimated that each year these selected multidrug-resistant bacteria resulted in about 25 000 patient deaths and in extra healthcare costs and productivity losses of at least EUR 1,5 billion each year. Over the past few decades, the irresponsible and incorrect use of antibiotics has accelerated this trend. Antimicrobial resistance is therefore a phenomenon which clearly has cross-border implications and the occurrence of an outlier effect, which would most probably not be manageable with the current resources and knowledge, cannot be excluded.

### *Aims of the preparatory action:*

- to study the issue of the inappropriate use and sale of antimicrobial agents with or without prescription throughout the chain — from the doctor and the pharmacist to the patient – in terms of behaviour of all actors involved, by choosing as study-cases the key Member States with the highest degree of access to antibiotics without prescription. The action will seek to better evaluate the reasons for the inappropriate use of antibiotics, assess the level of antibiotics sold without prescription in the target countries (including the countries where the enforcement of legislation is poor), identify the reasons for such high levels of sales without prescription, assess whether there is enough information reaching the relevant actors, identifying their motives, incentives, practices and the perception of the overall threat of antimicrobial resistance and make recommendations on how to best address this situation,
- to contribute and lead to a comprehensive and integrated Union policy against AMR.

### *Specific actions:*

- thorough surveys in the groups to which this preparatory action is addressed,
- in-depth discussions,
- identification of study-cases in each key Member State.

### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 17 03 15 — Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	200 000	1 000 000	500 000	

*Remarks*

This appropriation is intended to cover the creation of a Union network of experts that will promote and sustain innovative and adapted care structures for adolescents with psychological problems (houses for teens). It will collect, exchange, develop and share experience, knowledge and best practices in this field. The network must be seen as a follow-up action to the European Mental Health Pact and the series of conferences organised by the Commission in this field (on the agenda of the interministerial meeting of employment, social policy, health and consumers affairs of 6 and 7 June 2011).

The objectives of the Union network are:

- to collect, exchange, develop and share expertise, experience, knowledge and best practices,
- to promote, support and offer practical help for the creation of adapted care structures for adolescents,
- to facilitate reciprocal staff training, including study visits and traineeships,
- to raise the awareness of the general public and of national and European authorities,
- to promote a medical specialisation in adolescentology,
- to provide representation of its members in the European and international institutions.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 17 03 16 — Pilot project — European Prevalence Protocol for early detection of Autistic Spectrum Disorders in Europe**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 300 000	650 000				

*Remarks*

Of those affected by intellectually debilitating diseases, children suffering from autistic spectrum disorders (ASD) are most likely to profit from an EU added value, and should therefore constitute a primary focus for action. At present, the lack of EU and national efforts regarding ASD patients who have reached adulthood and who are first diagnosed in adulthood negatively impacts/ affects the Healthy Life Years and active ageing of that specific subpopulation.

The creation of a shared European perspective on autism spectrum disorders necessitates the encouragement of advocacy, trans-cultural and cost-effective clinical practices, research, and exchange of information and expertise. The ability of adults with autism to take care, or even provide for themselves depends on their intellectual properties and their ability to cope with the social and communicative demands of modern society. Patients first diagnosed in adulthood tend to have high intellectual capacities but still fail due to poor social and communicative skills. Specific support relies on the existence of tested protocols in order to detect the ASD symptoms/ behavioural patterns that arise not only in severe forms of autism generally diagnosed in childhood but also in milder forms first diagnosed in adulthood. If properly taught social responses and accepted behaviours, autistic adults can function as contributing members of society. Presently, the majority of such high functioning autistic adults live socially isolated and rely on social support due to a lack of recognition of the specific form of high functioning autism and specific means to support these people.

Aim of the project:

The objectives of this project should focus on the major issue surrounding ASD in adulthood, namely early detection of high functioning ASD and developments of means to support such people. The strategic objective is the improvement of the quality of life, social and vocational reintegration of individuals affected by ASD and avoidance of social disintegration and early retirement.

Description of the project:

The proposed action will build on the progress yielded by the European Autism Information System (EAIS) project (<http://www.eais.eu/>) via implementation of the protocols developed. This proposal is particularly focused on applying a public health model to ASD, with work packages on prevalence, screening, diagnosis and intervention.

There are three specific achievements to be reached for this project, The European Prevalence Protocol for early detection of Autism Spectrum Disorders in Europe. These are as follows:

1. To estimate the prevalence of ASD in 6 to 9 pilot areas, to be located in different Member States, using the harmonised European Autism Prevalence Protocol developed by the EAIS project, and to validate aforementioned protocol in different languages and cultural settings. This will lead to the first continental study of autism prevalence and will ideally supply the European Commission with an accurate estimate of the number of ASD-afflicted individuals in Europe.
2. To implement and, as much as possible validate a strategy for early identification of ASD in 6-9 pilot areas via the utilisation of the harmonised protocol developed by the EAIS project. This is to include a component of translating and adapting autism screening tools into different languages and cultural settings and to compare the results. Furthermore, this objective will aim to increase awareness of autism amongst public and professional communities, and aid in the training of health care professionals in order to improve their familiarity of and ability to recognise ASD in childhood and also high functioning variants in adulthood.
3. To review current best practices on early intervention, diagnosis and clinical management on ASD, to collaborate with partners in order to review what is currently delivered by their services regarding early intervention for children and adults with ASD, and to identify specific needs and to then design a training program in intervention appropriate for that community and to make recommendations about staff training.

At the end of the project period, a clear picture of comparable, population-based prevalence rates in the Member States will exist. Moreover, the medical community will have guidelines on how to recognize ASD at an early stage and low and high functioning variants, and how best to diagnose and to medically intervene in order to assure best individually possible outcomes. The envisioned multicultural, poly-lingual experience will provide a unique opportunity for future expansion, both in Europe and worldwide, in order to improve the quality of life of people suffering from ASD. This project will lay a strong focus on disseminating the findings of this holistic public health approach broadly across European member countries, so that the potential benefits may be reaped by as many afflicted individuals and families as possible.

#### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### ***Article 17 03 17 — Pilot project — Promotion of Self Care Systems in the European Union***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

#### *Remarks*

Project's Interest: Identification of the cost, benefit of self care oriented health care systems in Europe, exchange of best practices, and potential areas for collaboration. Develop a deeper understanding of the opportunity offered by self care in the current economic and social context in the European Union and identify barriers to present uptake. Test the possibility to implement best practices in certain Member States.

Project objective: Put in place a framework for action to enhance self care at EU level. Develop strategies to support the broader implementation of effective self care.

1) The European Commission to undertake an independent cost/benefit analysis of self care in the European Union and the current frameworks in place to enhance self care and patients' empowerment

— —The European Commission should launch a call for tender to conduct the Study and the mandate should be attributed to a respected academic

- —Objective of the study:
- —Review of available studies and data
- —Analysis of self care in the European Union and Member States
- —Identification of advantages and barriers of patient empowerment uptake
- —Identification of the level of healthcare systems resources dealing with minor and self-limiting conditions that could be effectively managed through self care by motivated, empowered, and informed patients given the right support
- —Identification of best practices on self-care between Member States and testing of transferability
- —Based on the result of the study the European Commission should identify different opportunities for action to promote self-care.

2) The creation of a platform of experts in self care and healthcare gathering cross-functional stakeholders

Model:

- —EU and national policy makers, policy influencers, governments, academics, healthcare professionals, patients groups, consumers associations , industry, public and private healthcare insurers to take part
- —Agenda with clear objectives, deliverables, and timeline
- —Platform to be set up by the organization selected in the call for tender
- —Objective of the platform:
- —Identification of the gaps in the available data and the information that hinder present understanding of self care
- —Review and identification of the opportunities and barriers as identified in the European Commission study
- —Identification of the scope and the priorities for action:
- —disease areas where the promotion of a self care systems could bring an added value in the prevention and effective management of the disease
- —relevant tools and mechanisms to promote a self care model
- —Publication of a EU guideline by the platform on how to promote self care on the agreed scope taking into account the results of the testing of best practices transfers in different Member States
- —Proposal of scenarios for EU collaboration in this field
- —Preparation of adapted communication tools to patient/consumers on prevention and disease management: awareness leaflets, interactive tools at the point of healthcare delivery
- —Increase access to diagnosis tools at the point of healthcare delivery: eg: blood pressure meter, spirometer, diet evaluation tools

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

***Article 17 03 18 — Pilot project — Gender specific mechanisms in coronary artery disease in Europe***

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

*Remarks*

Coronary artery disease (CAD) is one of the most frequent killers in women and men in Europe. Our study aims at establishing a best practice scheme across the EU, improving diagnosis and therapy by considering sex specific manifestations of the disease. This will largely improve diagnosis and treatment in women and men and basic principles may be translated to other diseases.

The objectives of the program are for the involved countries:

- — to increase medical and public awareness about gender differences in CAD regarding clinical manifestations and incidence
- — to establish EU guidelines on gender specific manifestations of CAD and treatments
- — to examine gender implications on health determinants and risk factors
- — to disseminate the best practice plan in member states.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 17 03 19 — Preparatory action — Fruit and vegetable consumption**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

*Remarks*

This appropriation is intended to cover a preparatory action aimed at increasing the consumption of fresh fruit and vegetables in vulnerable population groups (low-income pregnant women and their children, the elderly, etc.), in order to improve public health and stimulate demand in the fruit and vegetables market.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
17 04	Food and feed safety, animal health, animal welfare and Plant health							
<b>17 04 01</b>	<b>Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor</b>							
17 04 01 01	Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor — New measures	2	200 000 000	185 000 000	201 360 000	201 901 486	238 015 000,00	186 257 457,91
17 04 01 02	Pilot project — Coordinated European Animal Welfare Network	2	—	300 000	1 000 000	500 000		
	<i>Article 17 04 01 — Subtotal</i>		200 000 000	185 300 000	202 360 000	202 401 486	238 015 000,00	186 257 457,91
<b>17 04 02</b>	<b>Other measures in the veterinary, animal welfare and public health field</b>							
17 04 02 01	Other measures in the veterinary, animal welfare and public health field — New measures	2	14 000 000	13 000 000	18 000 000	12 326 766	13 506 114,85	17 726 669,12
	<i>Article 17 04 02 — Subtotal</i>		14 000 000	13 000 000	18 000 000	12 326 766	13 506 114,85	17 726 669,12

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>17 04 03</b>	<b>Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health</b>							
17 04 03 01	Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health — New measures	2	10 000 000	10 000 000	5 600 000	9 482 128	10 053 119,41	14 386 939,40
17 04 03 03	Preparatory action — Control posts (resting points) in relation to transport of animals	2	p.m.	p.m.	p.m.	p.m.	0,—	1 410 927,00
	<i>Article 17 04 03 — Subtotal</i>		10 000 000	10 000 000	5 600 000	9 482 128	10 053 119,41	15 797 866,40
<b>17 04 04</b>	<b>Plant-health measures</b>							
17 04 04 01	Plant-health measures — New measures	2	14 000 000	13 000 000	14 000 000	9 482 128	21 256 677,24	10 897 456,25
	<i>Article 17 04 04 — Subtotal</i>		14 000 000	13 000 000	14 000 000	9 482 128	21 256 677,24	10 897 456,25
<b>17 04 06</b>	<b>Completion of earlier veterinary and plant-health measures</b>							
		3.2	p.m.	p.m.	p.m.	347 000	0,—	0,—
<b>17 04 07</b>	<b>Feed and food safety and related activities</b>							
17 04 07 01	Feed and food safety and related activities — New measures	2	34 000 000	28 000 000	28 620 000	26 549 957	29 539 222,00	25 312 317,20
	<i>Article 17 04 07 — Subtotal</i>		34 000 000	28 000 000	28 620 000	26 549 957	29 539 222,00	25 312 317,20
<b>17 04 09</b>	<b>International agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health</b>							
		4	276 000	276 000	250 000	238 640	235 000,00	234 506,43
	<b>Chapter 17 04 — Total</b>		<b>272 276 000</b>	<b>249 576 000</b>	<b>268 830 000</b>	<b>260 828 105</b>	<b>312 605 133,50</b>	<b>256 226 273,31</b>

**Article 17 04 01 — Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor**

Item 17 04 01 01 — Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor — New measures

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000 000	185 000 000	201 360 000	201 901 486	238 015 000,00	186 257 457,91

*Remarks*

The Union's financial assistance helps accelerate the eradication or control of animal diseases by providing funds to supplement national financial resources and contributes to harmonising measures at Union level. The bulk of these diseases or infections are zoonoses communicable to man (BSE, brucellosis, avian influenza, salmonellosis, tuberculosis, etc.). Moreover, the persistence of the diseases in question hampers the smooth operation of the internal market; fighting them helps to improve the level of public health and to boost food safety in the Union.

*Legal basis*

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (OJ L 155, 18.6.2009, p. 30).

Item 17 04 01 02 — Pilot project — Coordinated European Animal Welfare Network

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
—	300 000	1 000 000	500 000	

### Remarks

This appropriation is intended to cover the setting up of a European coordinated network for animal welfare, as called for by the Paulsen report on the evaluation and assessment of the Animal Welfare Action Plan 2006-2010 (PE 430.922 v02-00, A7-0053/2010). It should perform the tasks referred to in the Commission communication of 28 October 2009 on options for animal welfare labelling and the establishment of a European Network of Reference Centres for the protection and welfare of animals (COM(2009) 0584).

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 17 04 02 — Other measures in the veterinary, animal welfare and public health field**

Item 17 04 02 01 — Other measures in the veterinary, animal welfare and public health field — New measures

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 000 000	13 000 000	18 000 000	12 326 766	13 506 114,85	17 726 669,12

### Remarks

This appropriation is intended to cover the Union's contribution to measures for eliminating obstacles to the free movement of goods in these sectors, for veterinary support and as back-up action.

It consists in providing financial assistance:

- for the purchase, storage and formulation of foot-and-mouth antigens and of different vaccines,
- for an information policy in the field of animal protection, including information campaigns and programmes to inform the public about the harmlessness of consumption of meat from vaccinated animals, as well as information campaigns and programmes emphasising the humane aspects of vaccination strategies in the fight against contagious animal diseases,
- for the monitoring of compliance with animal-protection provisions during the transport of animals for slaughter,
- for the development of marker vaccines or tests that can distinguish between sick and vaccinated animals,
- for the establishment and maintenance of a rapid alert system, including a worldwide rapid alert system, for the notification of direct or indirect risks to human and animal health deriving from food or feed,
- for technical and scientific measures necessary to develop Union veterinary legislation and for the development of veterinary education or training,
- for information technology tools, including TRACES and the Animal Disease Notification System,
- for measures to combat illegal imports of dog and cat furs.

### Legal basis

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1), and in particular Article 50 thereof.

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (Codified version) (OJ L 155, 18.6.2009, p. 30).

**Article 17 04 03 — Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health**

Item 17 04 03 01 — Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health — New measures

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 000 000	10 000 000	5 600 000	9 482 128	10 053 119,41	14 386 939,40

*Remarks*

The outbreak of some animal diseases in the Union could possibly have a major impact on the operation of the internal market and on the Union's commercial relations with third countries. Thus, it is important for the Union to make a financial contribution in order to allow the fastest eradication possible of any outbreak of a serious infectious disease in Member States by deploying Union resources to fight these diseases.

*Legal basis*

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (Codified version) (OJ L 155, 18.6.2009, p. 30).

Item 17 04 03 03 — Preparatory action — Control posts (resting points) in relation to transport of animals

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 410 927,00

*Remarks*

This preparatory action was launched in 2008 to cover the costs of developing improved control posts (resting points) for animals during long journeys. In the interests of animal health and welfare, it has been necessary to introduce specific measures to avoid stress, for example, when animals are unloaded and reloaded, and to prevent the spread of infectious diseases.

The budgetary authority allocated new credits to pursue this action in 2009 and 2010.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 17 04 04 — Plant-health measures**

Item 17 04 04 01 — Plant-health measures — New measures

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 000 000	13 000 000	14 000 000	9 482 128	21 256 677,24	10 897 456,25

### Remarks

This appropriation is intended to cover the Union contribution to the implementation, by the Commission and/or the Member States, of the measures provided for in the legal basis below, in particular the provisions eliminating obstacles to the free movement of goods in these sectors.

This appropriation should cover preventive and curative actions to combat the pests and diseases threatening agricultural and horticultural crops, forests and landscape, among them the spread of exotic invasive species and diseases (such as pine nematode and others), which are becoming more frequent and are spreading.

### Legal basis

Council Directive 66/401/EEC of 14 June 1966 on the marketing of fodder plant seed (OJ L 125, 11.7.1966, p. 2298/66).

Council Directive 66/402/EEC of 14 June 1966 on the marketing of cereal seed (OJ L 125, 11.7.1966, p. 2309/66).

Council Directive 68/193/EEC of 9 April 1968 on the marketing of material for the vegetative propagation of the vine (OJ L 93, 17.4.1968, p. 15).

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1), and in particular Article 5, Assessment of Risk and Determination of the Appropriate Level of Sanitary or Phytosanitary Protection, of the chapter 'Agreement on the application of sanitary and phytosanitary measures' thereof.

Council Directive 98/56/EC of 20 July 1998 on the marketing of propagating material of ornamental plants (OJ L 226, 13.8.1998, p. 16).

Council Directive 1999/105/EC of 22 December 1999 on the marketing of forest reproductive material (OJ L 11, 15.1.2000, p. 17), and in particular Article 11(1) thereof.

Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community (OJ L 169, 10.7.2000, p. 1).

Council Directive 2002/53/EC of 13 June 2002 on the common catalogue of varieties of agricultural plant species (OJ L 193, 20.7.2002, p. 1), and in particular Article 17 thereof.

Council Directive 2002/54/EC of 13 June 2002 on the marketing of beet seed (OJ L 193, 20.7.2002 p. 12).

Council Directive 2002/55/EC of 13 June 2002 on the marketing of vegetable seed (OJ L 193, 20.7.2002, p. 33).

Council Directive 2002/56/EC of 13 June 2002 on the marketing of seed potatoes (OJ L 193, 20.7.2002, p. 60).

Council Directive 2002/57/EC of 13 June 2002 on the marketing of seed of oil and fibre plants (OJ L 193, 20.7.2002, p. 74).

Regulation (EC) No 396/2005 of the European Parliament and of the Council of 23 February 2005 on maximum residue levels of pesticides in or on food and feed of plant and animal origin (OJ L 70, 16.3.2005, p. 1).

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Council Directive 2008/72/EC of 15 July 2008 on the marketing of vegetable propagating and planting material, other than seed (OJ L 205, 1.8.2008, p. 28).

Council Directive 2008/90/EC of 29 September 2008 on the marketing of fruit plant propagating material and fruit plants intended for fruit production (Recast version) (OJ L 267, 8.10.2008, p. 8).

## **Article 17 04 06 — Completion of earlier veterinary and plant-health measures**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	347 000	0,—	0,—

### Remarks

This appropriation is intended to cover the settlement of commitments contracted previously against Items B2-5 1 0 0, B2-5 1 0 1, B2-5 1 0 2, B2-5 1 0 3, B2-5 1 0 5, B2-5 1 0 6, B2-5 1 2 2 and B2-5 1 9 0.

This appropriation will be used, in the event of an emergency, to cover the reformulation of foot-and-mouth antigens for emergency vaccination to tackle the disease. The amount of EUR 347 000 is the balance, at the end of 2009, of the amount of EUR 3 900 000 allocated in 1997 for the purchase and reformulation of antigens. Until the reformulation in question has taken place, payment appropriations totalling EUR 347 000 are required.

### **Article 17 04 07 — Feed and food safety and related activities**

Item 17 04 07 01 — Feed and food safety and related activities — New measures

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
34 000 000	28 000 000	28 620 000	26 549 957	29 539 222,00	25 312 317,20

#### *Remarks*

This appropriation is intended to cover the implementation of the initial measures resulting from Regulation (EC) No 882/2004, in particular:

- training on feed and food control,
- the activities of the Union laboratories,
- IT tools, communication and information on feed and food control, development of Union strategy for safer food,
- travel and subsistence expenses for national experts participating in Food and Veterinary Office missions.

#### *Legal basis*

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1).

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on food enzymes (OJ L 354, 31.12.2008, p. 7).

Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (OJ L 354, 31.12.2008, p. 16).

Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods (OJ L 354, 31.12.2008, p. 34).

### **Article 17 04 09 — International agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
276 000	276 000	250 000	238 640	235 000,00	234 506,43

#### *Remarks*

This appropriation is intended to cover the Union contribution to the International Union for the Protection of New Varieties of Plants (UPOV), established by the International Convention for the Protection of New Varieties of Plants as last amended on 19 March 1991, which provides for an exclusive property right for breeders of new plant varieties.

#### *Legal basis*

Council Decision No 2005/523/EC of 30 May 2005 approving the accession of the European Community to the International Convention for the Protection of New Varieties of Plants, as revised at Geneva on 19 March 1991 (OJ L 192, 22.7.2005, p. 63).

# TITLE 18 — HOME AFFAIRS

## Figures

Title Chapter	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 01	Administrative expenditure of the 'Home affairs' policy area		40 140 399	40 140 399	40 064 398	40 064 398	40 262 573,41	40 262 573,41
	40 01 40		546 499	546 499	39 662	39 662		
			40 686 898	40 686 898	40 104 060	40 104 060		
18 02	Solidarity — External borders, return, visa policy and free movement of people	3	765 959 000	492 396 000	660 000 000	400 060 911	590 104	444 629
	40 02 41		730 000	730 000	14 740 000	15 659 972	421,60	695,54
			766 689 000	493 126 000	674 740 000	415 720 883		
18 03	Migration flows — Common immigration and asylum policies	3	321 630 000	169 175 000	299 330 000	163 246 661	269 061	159 180
							490,42	540,89
18 05	Security and safeguarding liberties	3	164 330 140	141 930 140	246 370 560	144 970 803	228 794	148 303
							349,94	832,51
18 08	Policy strategy and coordination	3	3 500 000	1 900 000	3 400 000	1 814 983	3 187 025,00	1 460 776,71
	<b>Title 18 — Total</b>		<b>1 295 559 539</b>	<b>845 541 539</b>	<b>1 249 164 958</b>	<b>750 157 756</b>	<b>1 131 409</b>	<b>793 837</b>
							<b>860,37</b>	<b>419,06</b>
	40 01 40, 40 02 41		1 276 499	1 276 499	14 779 662	15 699 634		
	Total including reserves		1 296 836 038	846 818 038	1 263 944 620	765 857 390		

## CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HOME AFFAIRS' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
18 01	Administrative expenditure of the 'Home affairs' policy area				
<b>18 01 01</b>	<b>Expenditure related to staff in active employment in the 'Home affairs' policy area</b>	5	31 078 583	30 477 753	30 569 710,33
	40 01 40		538 891		
			31 617 474		
<b>18 01 02</b>	<b>External staff and other management expenditure in support of the 'Home affairs' policy area</b>				
18 01 02 01	External staff	5	2 253 261	2 830 564	2 826 729,15
	40 01 40		7 608		
			2 260 869		
18 01 02 11	Other management expenditure	5	1 741 785	1 810 130	1 492 743,22
	40 01 40			39 662	
				1 849 792	
	<i>Article 18 01 02 — Subtotal</i>		3 995 046	4 640 694	4 319 472,37
	40 01 40		7 608	39 662	
			4 002 654	4 680 356	
<b>18 01 03</b>	<b>Expenditure related to information and communication technology equipment and services of the 'Home affairs' policy area</b>	5	1 966 770	1 945 951	2 293 211,22
<b>18 01 04</b>	<b>Support expenditure for operations in the 'Home affairs' policy area</b>				
18 01 04 02	European Refugee Fund — Expenditure on administrative management	3.1	500 000	500 000	500 000,00
18 01 04 03	Emergency measures in the event of mass influxes of refugees — Expenditure on administrative management	3.1	200 000	200 000	149 505,83
18 01 04 08	External Borders Fund — Expenditure on administrative management	3.1	500 000	500 000	614 649,66
18 01 04 09	European Fund for the Integration of third-country nationals — Expenditure on administrative management	3.1	500 000	500 000	490 823,80
18 01 04 10	European Return Fund — Expenditure on administrative management	3.1	500 000	500 000	500 000,00
18 01 04 16	Prevention, preparedness and consequences management of terrorism — Expenditure on administrative management	3.1	350 000	200 000	299 999,10

Title Chapter Article Item	Heading	FF	Budget 2013	Appropriations 2012	Outturn 2011
18 01 04 17	Prevention of and fight against crime — Expenditure on administrative management	3.1	550 000	600 000	525 201,10
	<i>Article 18 01 04 — Subtotal</i>		3 100 000	3 000 000	3 080 179,49
	<b>Chapter 18 01 — Total</b>		<b>40 140 399</b>	<b>40 064 398</b>	<b>40 262 573,41</b>
	40 01 40		546 499	39 662	
	Total including reserves		40 686 898	40 104 060	

**Article 18 01 01 — Expenditure related to staff in active employment in the ‘Home affairs’ policy area**

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
18 01 01	31 078 583	30 477 753	30 569 710,33
40 01 40	538 891		
Total	31 617 474	30 477 753	30 569 710,33

**Article 18 01 02 — External staff and other management expenditure in support of the ‘Home affairs’ policy area**

Item 18 01 02 01 — External staff

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
18 01 02 01	2 253 261	2 830 564	2 826 729,15
40 01 40	7 608		
Total	2 260 869	2 830 564	2 826 729,15

Item 18 01 02 11 — Other management expenditure

*Figures*

	Budget 2013	Appropriations 2012	Outturn 2011
18 01 02 11	1 741 785	1 810 130	1 492 743,22
40 01 40		39 662	
Total	1 741 785	1 849 792	1 492 743,22

*Remarks*

Part of this appropriation should be used to ensure adequate support for the Article 29 Working Party.

Part of this appropriation should be used for anti-discrimination training for staff.

**Article 18 01 03 — Expenditure related to information and communication technology equipment and services of the ‘Home affairs’ policy area**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
1 966 770	1 945 951	2 293 211,22

**Article 18 01 04 — Support expenditure for operations in the ‘Home affairs’ policy area**

**Item 18 01 04 02 — European Refugee Fund — Expenditure on administrative management**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	500 000,00

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 18 03 03.

**Item 18 01 04 03 — Emergency measures in the event of mass influxes of refugees — Expenditure on administrative management**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
200 000	200 000	149 505,83

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 18 03 04.

**Item 18 01 04 08 — External Borders Fund — Expenditure on administrative management**

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	614 649,66

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

In accordance with Article 11(7) of the Agreement between the European Community and the Republic of Iceland, the Kingdom of Norway, the Swiss Confederation and the Principality of Liechtenstein on supplementary rules in relation to the External Borders Fund for the period 2007 to 2013 (OJ L 169, 3.7.2010, p. 24), the Commission may use up to EUR 300 000 of the payments made by the associated States each year to finance the administrative expenditure related to staff or external staff necessary for supporting the implementation by the associated States of Decision No 574/2007/EC and that Agreement.

*Legal basis*

See Article 18 02 06.

Item 18 01 04 09 — European Fund for the Integration of third-country nationals — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	490 823,80

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 18 03 09.

Item 18 01 04 10 — European Return Fund — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
500 000	500 000	500 000,00

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 18 02 09.

Item 18 01 04 16 — Prevention, preparedness and consequences management of terrorism — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
350 000	200 000	299 999,10

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 18 05 08.

Item 18 01 04 17 — Prevention of and fight against crime — Expenditure on administrative management

*Figures*

Budget 2013	Appropriations 2012	Outturn 2011
550 000	600 000	525 201,10

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Legal basis

See Article 18 05 09.

## CHAPTER 18 02 — SOLIDARITY — EXTERNAL BORDERS, RETURN, VISA POLICY AND FREE MOVEMENT OF PEOPLE

Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02	Solidarity — External borders, return, visa policy and free movement of people							
<b>18 02 03</b>	<b>European Agency for the Management of Operational Cooperation at the External Borders</b>							
18 02 03 01	European Agency for the Management of Operational Cooperation at the External Borders — Contribution to Titles 1 and 2	3.1	29 000 000	29 000 000	29 000 000	29 000 000	21 000 000,00	21 000 000,00
18 02 03 02	European Agency for the Management of Operational Cooperation at the External Borders — Contribution to Title 3	3.1	49 959 000	49 959 000	50 500 000	40 500 000	90 000 000,00	90 000 000,00
	40 02 41				9 000 000	9 000 000		
					59 500 000	49 500 000		
	<i>Article 18 02 03 — Subtotal</i>		78 959 000	78 959 000	79 500 000	69 500 000	111 000 000,00	111 000 000,00
	40 02 41				9 000 000	9 000 000		
					88 500 000	78 500 000		
<b>18 02 04</b>	<b>Schengen information system (SIS II)</b>	3.1	36 750 000	22 500 000	10 360 000	13 678 411	31 096 900,72	27 261 643,94
	40 02 41				5 180 000	6 131 702		
					15 540 000	19 810 113		
<b>18 02 05</b>	<b>Visa Information System (VIS)</b>	3.1	8 750 000	28 500 000	38 740 000	27 356 823	29 660 021,74	26 152 648,29
<b>18 02 06</b>	<b>External Borders Fund</b>	3.1	415 000 000	235 000 000	349 100 000	187 482 911	299 460 839,00	216 749 132,42
<b>18 02 07</b>	<b>Schengen evaluation</b>	3.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
	40 02 41		730 000	730 000	560 000	528 270		
			730 000	730 000	560 000	528 270		
<b>18 02 08</b>	<b>Preparatory action — Completion of return management in the area of migration</b>	3.1	p.m.	p.m.	p.m.	p.m.	0,—	75 850,73
<b>18 02 09</b>	<b>European Return Fund</b>	3.1	185 500 000	93 000 000	162 500 000	82 242 766	116 672 001,84	63 185 597,67
<b>18 02 10</b>	<b>Preparatory action — Migration management — Solidarity in action</b>	3.1	p.m.	p.m.	p.m.	p.m.	0,—	204 822,49
<b>18 02 11</b>	<b>Agency for the operational management of large-scale IT systems in the area of freedom, security and justice</b>							
18 02 11 01	Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Titles 1 and 2	3.1	30 100 000	24 707 000	13 860 000	13 860 000	2 214 658,30	0,—
18 02 11 02	Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Title 3	3.1	10 900 000	9 730 000	5 940 000	5 940 000	0,—	0,—
	<i>Article 18 02 11 — Subtotal</i>		41 000 000	34 437 000	19 800 000	19 800 000	2 214 658,30	0,—
<b>18 02 12</b>	<b>Schengen Facility for Croatia</b>	3.1	p.m.	p.m.				
	<b>Chapter 18 02 — Total</b>		<b>765 959 000</b>	<b>492 396 000</b>	<b>660 000 000</b>	<b>400 060 911</b>	<b>590 104 421,60</b>	<b>444 629 695,54</b>
	40 02 41		730 000	730 000	14 740 000	15 659 972		
	<b>Total including reserves</b>		<b>766 689 000</b>	<b>493 126 000</b>	<b>674 740 000</b>	<b>415 720 883</b>		

**Article 18 02 03 — European Agency for the Management of Operational Cooperation at the External Borders**

Item 18 02 03 01 — European Agency for the Management of Operational Cooperation at the External Borders — Contribution to Titles 1 and 2

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
29 000 000	29 000 000	29 000 000	29 000 000	21 000 000,00	21 000 000,00

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), including those stemming from the revision of the Agency's mandate, notably the establishment of the European Border Guard Teams, the task of providing fundamental rights training, the creation of a post of Fundamental Rights Officer and the setting up of a consultative forum.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The budget of the Agency will be subject to amendment in 2013 when the Eurosur Regulation enters into force if additional resources are needed by the Agency to fulfil its new tasks under that regulation.

During the first half of 2012 the budget of the Agency shall be subject to an amendment in order to provide sufficient resources for the Agency to fulfil its new tasks under the new Regulation which will be coming into force and also for the possible establishment of Frontex operational offices.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

*Legal basis*

Council Regulation (EC) No 2007/2004 of 26 October 2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 349, 25.11.2004, p. 1).

Regulation (EC) No 863/2007 of the European Parliament and of the Council of 11 July 2007 establishing a mechanism for the creation of Rapid Border Intervention Teams and amending Council Regulation (EC) No 2007/2004 as regards that mechanism and regulating the tasks and powers of guest officers (OJ L 199, 31.7.2007, p. 30).

Regulation (EU) No 1168/2011 of the European Parliament and of the Council of 25 October 2011 amending Council Regulation (EC) No 2007/2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 304, 22.11.2011, p. 1).

Item 18 02 03 02 — European Agency for the Management of Operational Cooperation at the External Borders — Contribution to Title 3

*Figures*

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02 03 02	49 959 000	49 959 000	50 500 000	40 500 000	90 000 000,00	90 000 000,00
40 02 41			9 000 000	9 000 000		
Total	49 959 000	49 959 000	59 500 000	49 500 000	90 000 000,00	90 000 000,00

### Remarks

This appropriation is intended to cover the Agency's operational expenditure relating to the work programme (Title 3), including those stemming from the revision of the Agency's mandate, notably the establishment of the European Border Guard Teams, the task of providing fundamental rights training, the creation of a post of Fundamental Rights Officer and the setting up of a consultative forum.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure. The budget of the Agency will be subject to an amendment in 2013 when the Eurosur Regulation enters into force, if additional resources are needed by the Agency to fulfil its new tasks under this Regulation.

During the first half of 2012 the budget of the Agency shall be subject to an amendment in order to provide sufficient resources for the Agency to fulfil its new tasks under the new Regulation which will be coming into force and also for the possible establishment of Frontex operational offices.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Union contribution for 2013 amounts to a total of EUR 79 500 . An amount of EUR 541 000 coming from the recovery of surplus is added to the amount of EUR 78 959 500 entered in the budget.

The appropriations foreseen in the operational budget would enable the Agency to sustain the commitment to permanent missions, notably at the southern borders of the Union (Hera, Nautilus and Poseidon), as from 2010 and to assist Member States with implementing the operational aspects of external border management, including return of third-country nationals illegally present in the Member States in accordance with common standards guaranteeing that they are returned with dignity and full respect for their human rights.

### Legal basis

Council Regulation (EC) No 2007/2004 of 26 October 2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 349, 25.11.2004, p. 1).

Regulation (EC) No 863/2007 of the European Parliament and of the Council of 11 July 2007 establishing a mechanism for the creation of Rapid Border Intervention Teams and amending Council Regulation (EC) No 2007/2004 as regards that mechanism and regulating the tasks and powers of guest officers (OJ L 199, 31.7.2007, p. 30).

Regulation (EU) No 1168/2011 of the European Parliament and of the Council of 25 October 2011 amending Council Regulation (EC) No 2007/2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 304, 22.11.2011, p. 1).

## Article 18 02 04 — Schengen information system (SIS II)

### Figures

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02 04	36 750 000	22 500 000	10 360 000	13 678 411	31 096 900,72	27 261 643,94
40 02 41			5 180 000	6 131 702		
Total	36 750 000	22 500 000	15 540 000	19 810 113	31 096 900,72	27 261 643,94

### Remarks

This appropriation is intended to finance the following:

- operating expenditure of the Schengen information system (SIS),
- other operating expenditure which may result from this incorporation.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

### *Legal basis*

Protocol (No 19) on the Schengen *acquis* integrated into the framework of the European Union.

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second-generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 4).

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006, on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 381, 28.12.2006, p. 4).

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Decision 2008/839/JHA of 24 October 2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 299, 8.11.2008, p. 43).

Council Regulation (EC) No 1104/2008 of 24 October 2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 299, 8.11.2008, p. 1).

### *Reference acts*

Council Regulation (EU) No 541/2010 of 3 June 2010 amending Regulation (EC) No 1104/2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 155, 22.6.2010, p. 19).

## **Article 18 02 05 — Visa Information System (VIS)**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 750 000	28 500 000	38 740 000	27 356 823	29 660 021,74	26 152 648,29

### *Remarks*

This appropriation is intended to cover expenditure related to the analysis development, delivery and installation of a Europe-wide large-scale information system 'VIS' (Visa Information System).

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

### *Legal basis*

Council Decision 2004/512/EC of 8 June 2004 establishing the Visa Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008 concerning the Visa Information System (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

## Article 18 02 06 — External Borders Fund

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
415 000 000	235 000 000	349 100 000	187 482 911	299 460 839,00	216 749 132,42

### Remarks

This appropriation will provide support for Member State measures in the following areas:

- efficient organisation of control covering both checks and surveillance tasks relating to external borders,
- efficient management by the Member States of the flow of persons at the external borders, in order to ensure both a high level of border protection and smooth crossing of external borders in accordance with the Schengen *acquis*, including the principle of respectful treatment and dignity,
- uniform application by the border guards of Union law on the crossing of external borders,
- improvement of the management of activities organised by the consular and other services of the Member States in third countries as regards the flow of third-country nationals into the territory of the Member States and cooperation between Member States in this regard.

In particular, this appropriation is intended to support the following actions in the Member States:

- border crossing infrastructures and related buildings, such as border stations, helicopter landing places or lanes or booths for the queuing of vehicles and persons at border crossing points,
- infrastructure, buildings and systems required for surveillance between border crossing points and for protection against illegal crossing of the external border,
- operating equipment,
- means of transport for the surveillance of external borders, such as vehicles, vessels, helicopters, and light aircraft, specially equipped with electronic equipment for the surveillance of the border and the detection of persons in means of transport,
- equipment for the real-time exchange of information between relevant authorities,
- information and communication technology systems,
- programmes for the detachment and exchange between Member States of staff such as border guards, immigration officers and consular officers,
- training and education of staff in relevant authorities, including language training,
- investments in the development, testing and instalment of state of the art technology,
- studies and pilot projects implementing recommendations, operational standards and best practices resulting from operational cooperation between Member States in the field of border control,
- studies and pilot projects designed to stimulate innovation, facilitate exchanges of experience and good practice and improve the quality of the management of activities organised by the consular and other services of the Member States in third countries as regards the flow of third-country nationals in the territory of the Member States and cooperation between Member States in this regard,
- create a common Schengen visa Internet site in order to improve the visibility and uniform image of the common visa policy.

In the framework of the Kaliningrad Transit Scheme, this appropriation is intended to cover foregone fees from transit visas and additional costs (investment in infrastructures, training of border guards and rail staff, additional operational costs) incurred in implementing the Facilitated Transit Document and Facilitated Rail Transit Document scheme in accordance with Council Regulation (EC) No 693/2003 (OJ L 99, 17.4.2003, p. 8) and Council Regulation (EC) No 694/2003 (OJ L 99, 17.4.2003, p. 15).

At the Commission's initiative, it is also intended to cover transnational actions or actions of interest to the Union as a whole (Union actions) serving the general objective of contributing to the improvement of the activities organised by the consular and other services of the Member States in third countries as regards the flow of third-country nationals into the territory of the Member States and cooperation between Member States in this regard, including the activities of Asylum Liaison Officers and Immigration Liaison Officers, and the objective of promoting the progressive inclusion of customs, veterinary and phytosanitary controls in integrated border management activities according to policy developments in this field. These actions can also be used to provide supporting

services to Member States in duly substantiated emergency situations requiring urgent action at the external borders of the Member States.

Moreover, each year the Commission will draw up a list of specific actions to be implemented by the Member States and, where appropriate, in cooperation with the Agency, that contribute to the development of the common integrated border management system by addressing weaknesses at strategic border points identified in risk analyses carried out by the Agency.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Decision No 574/2007/EC of the European Parliament and the Council of 23 May 2007 establishing the External Borders Fund for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 22).

#### *Reference acts*

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

Commission Decision 2007/599/EC of 27 August 2007 implementing Decision No 574/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2007 to 2013 (OJ L 233, 5.9.2007, p. 3).

Commission Decision 2008/456/EC of 5 March 2008 laying down rules for the implementation of Decision No 574/2007/EC of the European Parliament and of the Council establishing the External Border Fund for the period 2007 to 2013 as part of the general programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 1).

Regulation (EC) No 810/2009 of the European Parliament and of the Council of 13 July 2009 establishing a Community Code on Visas (Visa Code) (OJ L 243, 15.9.2009, p. 1).

## **Article 18 02 07 — Schengen evaluation**

#### *Figures*

	Budget 2013		Appropriations 2012		Outturn 2011	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02 07	p.m.	p.m.	p.m.	p.m.	0,—	0,—
40 02 41	730 000	730 000	560 000	528 270		
Total	730 000	730 000	560 000	528 270	0,—	0,—

#### *Remarks*

This appropriation will provide for reimbursement of the costs incurred by the Commission and Member States experts for the on-the-spot evaluation visits (travel cost and accommodation) regarding the application of the Schengen *acquis*. The cost of supplies and equipment needed for the on-the-spot evaluation visits and for their preparation and follow-up must be added to these costs.

#### *Conditions for releasing the reserve*

The reserve will be released once the corresponding basic act is adopted pursuant to the procedure laid down in Article 294 of the Treaty on the Functioning of the European Union.

#### *Reference acts*

Amended proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 16 September 2011, on the establishment of an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* (COM(2011) 0559 final).

## **Article 18 02 08 — Preparatory action — Completion of return management in the area of migration**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	75 850,73

### *Remarks*

This appropriation is intended to cover earlier commitments.

### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 18 02 09 — European Return Fund**

### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
185 500 000	93 000 000	162 500 000	82 242 766	116 672 001,84	63 185 597,67

### *Remarks*

This appropriation will provide support for Member State measures to improve the management of return in all its dimensions while respecting fundamental rights through the use of the concept of integrated management, taking account of Union legislation in this field, in the following areas:

- the introduction and improvement of the organisation and implementation of integrated return management by Member States,
- the enhancement of the cooperation between Member States in the framework of integrated return management and its implementation,
- the promotion of an effective and uniform application of common standards on return according to the policy development in the field, with priority for voluntary return schemes,
- the organisation of information campaigns in countries of origin and of transit for prospective displaced persons, refugees and asylum seekers. These campaigns can take place in the framework of better cooperation with third countries that combat irregular migration and promote legal migration.

At the Commission's initiative, this appropriation is also intended to cover transnational actions or actions of interest to the Union as a whole (Union actions) concerning return policy. Studies to determine the existence of and evaluate mechanisms to support reintegration in selected third countries and examine the patterns of social and professional reintegration in the most relevant countries of origin, specifically in immediate eastern and southern neighbourhoods, are also covered.

This appropriation is also intended to finance a Union action to compile data for collaboration and exchange of best practices among childcare workers in closed detention centres for asylum seekers and immigrants.

### *Legal basis*

Directive 2008/115/EC of the European Parliament and of the Council of 16 December 2008 on common standards and procedures in Member States for returning illegally staying third-country nationals (OJ L 348, 24.12.2008, p. 98).

Decision No 575/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the European Return Fund for the period 2008 to 2013 as part of the General Programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 45).

### *Reference acts*

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

Commission Decision 2007/837/EC of 30 November 2007 implementing Decision No 575/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2008 to 2013 (OJ L 330, 15.12.2007, p. 48).

Commission Decision 2008/458/EC of 5 March 2008 laying down rules for the implementation of Decision No 575/2007/EC of the European Parliament and of the Council establishing the European Return Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 135).

### **Article 18 02 10 — Preparatory action — Migration management — Solidarity in action**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	204 822,49

#### *Remarks*

This appropriation is intended to cover earlier commitments.

The purpose of the action is to test hypotheses in the field of migration management. On the basis of its evaluation, it could lead to the development of a global approach, an objective set by the Union. The action will be based on three related components.

*Component 1:* Financial assistance for the employment of immigrants returning to their countries of origin with which there are readmission agreements.

*Component 2:* Organising information campaigns, in countries of origin, for applicants for immigration into the Union so as to inform them in particular about the dangers of illegal immigration.

*Component 3:* Reception in dignity and solidarity – Assistance to Member States in coping with the reception of irregular migrants arriving by sea. Measures would:

- assist Member States affected by sudden arrivals of migrants, e.g. by improving and exchanging good/best practices and providing interpreters and medical and legal teams,
- assist Member States to enhance reception quality and capacity, including temporary capacity, reception of irregular migrants at arrival points, e.g. by providing first aid and transport to adequate reception centres and improving/increasing reception facilities and conditions at those facilities,
- assist Member States in pooling resources to address particular pressures on their asylum systems, in particular through activities where specific expertise is needed, sharing knowledge and promoting joint approaches to address mass arrivals of asylum seekers at the external border of the Union.

For component 3, recipients of funding should be national authorities. Projects may include partnerships with other Member State authorities, international organisations and non-governmental organisations.

For all components, part of the appropriations should be used by the Commission to help in the management of this action (external experts, studies, etc.).

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 18 02 11 — Agency for the operational management of large-scale IT systems in the area of freedom, security and justice**

Item 18 02 11 01 — Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Titles 1 and 2

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 100 000	24 707 000	13 860 000	13 860 000	2 214 658,30	0,—

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Agency's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

*Legal basis*

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011).

Item 18 02 11 02 — Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Title 3

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 900 000	9 730 000	5 940 000	5 940 000	0,—	0,—

*Remarks*

This appropriation is intended to cover the Agency's operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Union contribution for 2013 amounts to a total of EUR 49 000 000.

*Legal basis*

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011).

## Article 18 02 12 — Schengen Facility for Croatia

### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.			

### Remarks

#### New article

This appropriation is intended to cover the expenditure related to a temporary instrument to help Croatia between the date of accession and the end of 2014 to finance actions at the new external borders of the Union for the implementation of the Schengen *acquis* and external border control.

#### Legal basis

Tasks resulting from the specific powers assigned directly to the Commission by Article 31 of the Treaty concerning the accession of the Republic of Croatia of 9 December 2011.

## CHAPTER 18 03 — MIGRATION FLOWS — COMMON IMMIGRATION AND ASYLUM POLICIES

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 03	Migration flows — Common immigration and asylum policies							
<b>18 03 03</b>	<b>European Refugee Fund</b>	3.1	112 330 000	60 000 000	102 530 000	57 682 805	98 961 975,36	54 842 279,71
<b>18 03 04</b>	<b>Emergency measures in the event of mass influxes of refugees</b>	3.1	9 800 000	5 300 000	9 800 000	5 037 429	21 850 000,00	17 480 000,00
<b>18 03 05</b>	<b>European Migration Network</b>	3.1	6 500 000	3 900 000	8 000 000	4 150 690	7 582 232,38	5 219 424,95
<b>18 03 06</b>	<b>Preparatory action — Completion of integration of third-country nationals</b>	3.1	p.m.	p.m.	p.m.	p.m.	82 993,26	155 521,87
<b>18 03 07</b>	<b>Completion of ARGO</b>	3.1	—	p.m.	p.m.	p.m.	0,—	0,—
<b>18 03 09</b>	<b>European Fund for the Integration of third-country nationals</b>	3.1	177 500 000	89 000 000	162 500 000	85 018 402	131 503 342,83	78 539 380,16
<b>18 03 11</b>	<b>Eurodac</b>	3.1	p.m.	p.m.	500 000	377 335	1 532 930,46	1 040 703,82
<b>18 03 14</b>	<b>European Asylum Support Office (EASO)</b>							
18 03 14 01	European Asylum Support Office — Contribution to Titles 1 and 2	3.1	7 000 000	7 000 000	5 058 000	5 058 000	4 037 298,69	1 639 531,63
18 03 14 02	European Asylum Support Office — Contribution to Title 3	3.1	5 000 000	2 000 000	4 942 000	2 422 000	2 010 717,44	263 698,75
	<i>Article 18 03 14 — Subtotal</i>		12 000 000	9 000 000	10 000 000	7 480 000	6 048 016,13	1 903 230,38
<b>18 03 15</b>	<b>Pilot project — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees</b>	3.1	p.m.	225 000	p.m.	500 000	1 500 000,00	0,—
<b>18 03 16</b>	<b>Pilot project — Funding for victims of torture</b>	3.1	2 000 000	1 000 000	2 000 000	1 000 000		
<b>18 03 17</b>	<b>Preparatory action — Enable the resettlement of refugees during emergency situations</b>	3.1	p.m.	p.m.	3 000 000	1 500 000		
<b>18 03 18</b>	<b>Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union</b>	3.1	1 000 000	500 000	1 000 000	500 000		
<b>18 03 19</b>	<b>Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees</b>	3.1	500 000	250 000				

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
	<b>Chapter 18 03 — Total</b>		<b>321 630 000</b>	<b>169 175 000</b>	<b>299 330 000</b>	<b>163 246 661</b>	<b>269 061 490,42</b>	<b>159 180 540,89</b>

### ***Article 18 03 03 — European Refugee Fund***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
112 330 000	60 000 000	102 530 000	57 682 805	98 961 975,36	54 842 279,71

#### *Remarks*

This appropriation is intended to finance, as regards structural measures, projects and measures concerning the reception of refugees, displaced persons and asylum seekers which meet the conditions governing eligibility for Union financial aid.

It is intended to support Member States' efforts to integrate refugees and other persons granted supplementary support, and to allow displaced persons to lead a life for which they themselves are responsible, through measures taken chiefly in the following areas:

- facilitating access to employment, including vocational training,
- acquisition of knowledge of the language, society, culture and institutions of the host country,
- facilitating access to housing and to the medical and social infrastructure of the host country,
- support for persons in particular need of protection, such as women refugees, unaccompanied minors and torture victims, including victims of forced abortion, female genital mutilation or coerced sterilisation and rape victims,
- integration into local structures and activities,
- improvement in public awareness and understanding of refugees' situation,
- analysis of the situation of refugees in the Union,
- training on gender issues and child protection for officials, healthcare workers and police officers at reception centres,
- separate accommodation for single women and girls.

Moreover, it is intended to support efforts of the voluntary burden sharing operations by Member States, such as the resettlement, reception and integration by Member States of refugees coming from third countries and recognised by UNHCR and transfers of applicants and beneficiaries of international protection from one Member State to another which grants them equivalent protection.

Part of this appropriation will be used for supporting the Member States in direct cooperation with United Nations humanitarian and other agencies which on a voluntary basis extend resettlement in a flexible manner in acute refugee situations. The focus will be on the most vulnerable groups and cases where other durable solutions are regarded as having been ruled out.

Particular attention should be given to when the appropriation can be used to demonstrate a significant and tangible act of solidarity at European level which can produce added value as part of a broader approach on delivery of humanitarian support to a country or region.

At the Commission's initiative, this appropriation is also intended to cover transnational actions or actions of interest to the Union as a whole (Union actions) concerning asylum policy and measures applicable to the target population of the Fund, in particular to offer adequate support to joint efforts by Member States to identify, share and promote best practices and establish effective cooperation structures in order to enhance the quality of decision making.

This appropriation is also intended to cover earlier commitments from ERF I and II, including those regarding voluntary repatriation.

It is also intended to finance a Union action to compile data for collaboration and exchange of best practices among childcare workers in closed detention centres for asylum seekers and immigrants.

A solidarity mechanism will be established to facilitate the voluntary reallocation of refugees and beneficiaries of international protection from Member States which are facing severe pressure from immigration flows to other Member States. The mechanism would be established at Union level and brought into operation on a trial basis so that it may eventually be continued under a Common European Asylum System. Member States would freely determine all aspects of the selection process on a voluntary basis. The Commission would set the framework, issue guidelines, encourage participation and facilitate management and coordination.

The mechanism should be established in line with the European Council Conclusions of 18 and 19 June 2009. The conclusions note that, in view of the present humanitarian emergency, concrete measures need to be quickly put in place and implemented. The European Council called for the coordination of voluntary measures for internal reallocation of beneficiaries of international protection present in the Member States exposed to specific and disproportionate pressures and highly vulnerable persons and welcomed the intention of the Commission to take initiatives in this respect, starting with a pilot project.

#### *Legal basis*

Decision No 573/2007/EC of the European Parliament and the Council of 23 May 2007 establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme ‘Solidarity and Management of Migration Flows’ (OJ L 144, 6.6.2007, p. 1).

Decision No 458/2010/EU of the European Parliament and of the Council of 19 May 2010 amending Decision No 573/2007/EC establishing the European Refugee Fund for the period 2008 to 2013 by removing funding for certain Community actions and altering the limit for funding such actions (OJ L 129, 28.5.2010, p. 1).

#### *Reference acts*

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

Commission Decision 2007/815/EC of 29 November 2007 implementing Decision No 573/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines 2008 to 2013 (OJ L 326, 12.12.2007, p. 29).

Commission Decision 2008/22/EC of 19 December 2007 laying down rules for the implementation of Decision No 573/2007/EC of the European Parliament and of the Council establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme ‘Solidarity and Management of Migration Flows’ as regards Member States’ management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 7, 10.1.2008, p. 1).

### ***Article 18 03 04 — Emergency measures in the event of mass influxes of refugees***

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 800 000	5 300 000	9 800 000	5 037 429	21 850 000,00	17 480 000,00

#### *Remarks*

In the event of mass influxes of refugees or displaced persons, emergency measures in the following areas can be taken under this article:

- reception and accommodation,
- provision of subsistence funds,
- medical, psychological and other assistance, aimed in particular at minors and including specialised assistance to women and girls who have fallen victim to harassment in any form or to criminal acts (rape, violence or specific forms of torture such as forced abortion, female genital mutilation or coerced sterilisation) or have suffered because of poor conditions as refugees,
- necessary staffing and administrative costs in connection with reception and carrying out the measures,
- expert missions and ancillary technical assistance for the identification of displaced persons,
- logistical and transport costs.

Under this provision, emergency measures can also be taken to address situations of particular pressure, characterised by the sudden arrival at particular points on the borders of a large number of third-country nationals who may be in need of international protection, where this places exceptionally heavy and urgent demands on the reception facilities, the asylum system or the infrastructure of the Member State(s) concerned and may give rise to risks to human life, well-being or access to protection provided for under Union legislation.

The duration of such measures must not exceed six months. Besides the measures listed above, emergency measures may include legal aid and language assistance and the provision of translation and interpretation services, country of origin information or

expertise and other measures contributing to the rapid identification of persons who may be in need of international protection and to the fair and efficient processing of asylum applications.

*Legal basis*

Council Directive 2001/55/EC of 20 July 2001 on minimum standards for giving temporary protection in the event of a mass influx of displaced persons and on measures promoting a balance of effort between Member States in receiving such persons and bearing the consequences thereof (OJ L 212, 7.8.2001, p. 12).

Decision No 573/2007/EC of the European Parliament and the Council of 23 May 2007 establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 1).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

**Article 18 03 05 — European Migration Network**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 500 000	3 900 000	8 000 000	4 150 690	7 582 232,38	5 219 424,95

*Remarks*

This appropriation is intended to finance the establishment of the European Migration Network in order to provide the Union and the Member States with objective, reliable and comparable information on migration and asylum.

Such information will contain statistical material on the number of asylum seekers coming to Member States, broken down by Member State, the number of successful applications, the number of unsuccessful applications, the reasons for rejection, etc.

*Legal basis*

Council Decision 2008/381/EC of 14 May 2008 establishing a European Migration Network (OJ L 131, 21.5.2008, p. 7).

**Article 18 03 06 — Preparatory action — Completion of integration of third-country nationals**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	82 993,26	155 521,87

*Remarks*

This appropriation is intended to cover earlier commitments.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 18 03 07 — Completion of ARGO

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	0,—	0,—

### Remarks

This appropriation is intended to cover earlier commitments.

### Legal basis

Council Decision 2002/463/EC of 13 June 2002 adopting an action programme for administrative cooperation in the fields of external borders, visas, asylum and immigration (ARGO programme) (OJ L 161, 19.6.2002, p. 11).

## Article 18 03 09 — European Fund for the Integration of third-country nationals

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
177 500 000	89 000 000	162 500 000	85 018 402	131 503 342,83	78 539 380,16

### Remarks

In view of the general objective of supporting the efforts of Member States to enable third-country nationals to fulfil the conditions of residence and to facilitate their integration into European societies, in accordance with the Common Basic Principles for immigrant integration policy in the European Union as adopted by the Council in November 2004 and with Parliament's resolution of 6 July 2006 on strategies and means for the integration of immigrants in the European Union, this appropriation will support measures in the following areas:

- facilitating the development and implementation of admission procedures relevant to and supportive of the integration process of third-country nationals,
- developing and implementing the integration process for third-country nationals in Member States,
- increasing the capacity of Member States to develop, implement, monitor and evaluate policies and measures for the integration of third-country nationals,
- exchanging information, best practice and cooperation in and between Member States in developing, implementing, monitoring and evaluating policies and measures for the integration of third-country nationals that will help, inter alia, to reduce the employment gap between immigrants and others, improve immigrant participation and performance in education, advance the education and employment prospects of immigrant women, language and introductory programmes, health, housing and urban living and enhancing civic participation by immigrants.

At the Commission's initiative, it is also intended to cover transnational actions or actions of interest to the Union as a whole (Union actions) concerning immigration and integration policy.

### Legal basis

Council Decision 2007/435/EC of 25 June 2007 establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 168, 28.6.2007, p. 18).

### Reference acts

Communication from the Commission to the Council and the European Parliament of 2 May 2005, establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 0123).

Commission Decision 2008/457/EC of 5 March 2008 laying down rules for the implementation of Council Decision 2007/435/EC establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules

for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 69).

### **Article 18 03 11 — Eurodac**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	500 000	377 335	1 532 930,46	1 040 703,82

#### *Remarks*

This appropriation is intended to cover expenditure relating to the establishment and operation of the central unit of the Eurodac system.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Council Regulation (EC) No 2725/2000 of 11 December 2000 concerning the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of the Dublin Convention (OJ L 316, 15.12.2000, p. 1).

Council Regulation (EC) No 343/2003 of 18 February 2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 50, 25.2.2003, p. 1).

#### *Reference acts*

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

### **Article 18 03 14 — European Asylum Support Office (EASO)**

Item 18 03 14 01 — European Asylum Support Office — Contribution to Titles 1 and 2

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 000 000	7 000 000	5 058 000	5 058 000	4 037 298,69	1 639 531,63

#### *Remarks*

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Office's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

### Legal basis

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

### Item 18 03 14 02 — European Asylum Support Office — Contribution to Title 3

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	2 000 000	4 942 000	2 422 000	2 010 717,44	263 698,75

#### Remarks

This appropriation is intended to cover the Office's operational expenditure relating to the work programme (Title 3).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The Union contribution for 2013 amounts to a total of EUR 12 000 000.

### Legal basis

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

### **Article 18 03 15 — Pilot project — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees**

#### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	225 000	p.m.	500 000	1 500 000,00	0,—

#### Remarks

The main objective of this pilot project is to establish a network of contacts and discussion between targeted municipalities and local authorities in Member States which have just started a resettlement programme, or wish to participate in the near future, and Member States which are experienced in resettlement, drawing on UNHCR and non-governmental organisation (NGO) experiences and best practices in the resettlement and reintegration of refugees. The pilot project will cover the following actions:

- identifying municipalities or local authorities in Member States that have just started resettlement programmes (e.g. Portugal, Romania) or are about to do so (e.g. Spain) and arranging meetings with selected municipalities or local authorities in resettlement countries (e.g. the UK and the Netherlands) with a view to strengthening 'new' resettlement programmes and ensuring that they are of a high quality and sustainable,
- identifying municipalities or local authorities or NGOs in Member States not yet participating in resettlement programmes, but wishing to join the network with a view to future participation in such programmes,
- holding meetings of representatives of the targeted local authorities and municipalities involved, along with the UNHCR, participating NGOs and representatives of resettled refugees, to lay down the activities to be developed jointly, including visits, exchanges of experience, training and thematic meetings focusing on issues such as housing, education and employment,
- designing a form for collecting information from the various stakeholders on procedures, types of monitoring prior to resettlement, types of information collected on the refugees to be resettled (including cultural, health and educational issues and

diet), infrastructure, human resources, accommodation, reception, actions taken after resettlement for the integration of refugees, community participation in the process, any economic, legal or educational aid, the role of refugees already resettled in the integration process, and cooperation with NGOs,

- creating a task force, with the necessary human and technical resources, to make the practical arrangements for the project and in particular to provide for the necessary meetings, collect available information, create a website and content for it, thus increasing the exchange of information between the stakeholders in the resettlement process, and create links with existing resettlement-related projects and/or websites. This website will also allow information on past and future meetings between municipalities or local authorities to be made available to all interested parties on a systematic basis,
- collating the information gathered by the task force, which will then circulate it for discussion on the network, in order to draw conclusions on best practices.

The experience gained from the pilot project will be recorded and passed on to the Resettlement Unit in the European Asylum Support Office (EASO) once it has been set up.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 18 03 16 — Pilot project — Funding for victims of torture**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
2 000 000	1 000 000	2 000 000	1 000 000	

*Remarks*

The main objective of this pilot project is to create a new line of funding for the final benefit of victims of torture (EU or non-EU citizens) present in the Union. The pilot project will cover the following actions:

- to create rehabilitation centres for victims of torture or to support the existing ones by providing funds for their rehabilitation costs (premises, therapeutical staff, administrative staff, etc.) and their other activities (prevention, advocacy, training, legal support, etc.),
- to provide access to multidisciplinary support and counselling, including physical and psychotherapeutic treatment, psycho-social counselling, legal services, and socio-economic support to the victims,
- to support international networking between rehabilitation centres (within and outside the Union) in order to build capacities, support the exchange of good practices among specialists, allow peer supervision as a means of prevention of vicarious trauma, etc.,
- to support activities which aim to empower torture victims themselves and, in general, to support the ability of torture victims to integrate into society in European countries.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 18 03 17 — Preparatory action — Enable the resettlement of refugees during emergency situations**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	3 000 000	1 500 000	

### Remarks

This preparatory action aims to create a new line of funding to support the resettlement of refugees in emergency conditions that are not covered by the current European Refugee Fund (ERF) rules, and will not be covered in the near future as the current Fund, in its third iteration (ERF III), lapses in 2014. The activities carried out in the framework of this preparatory action, and the experiences collected within that framework, could then be integrated in the revision of the ERF planned for 2014.

The following actions are covered by this preparatory action:

- to support persons already recognised as refugees by UNHCR and ERF falling victim to natural disasters, armed attacks, etc.,
- to support emergency action in the case of groups of refugees, identified as priorities according to ERF and UNHCR rules, who are under armed attack, that have been victims of a natural disaster, or that face other conjunctures of extreme vulnerability and of a life-threatening nature,
- to fund rapid resettlement procedures of the Member States in conditions replicated from the routine resettlement activities funded by the ERF,
- to guarantee financing for emergency procedures without disruption of the ongoing ERF resettlement procedures,
- to provide, where needed, extra financial support during emergencies to the Office of the United Nations High Commissioner for Refugees (UNHCR) and to its liaison organisations in the Member States and at Union level,
- to strengthen the activities of the European Asylum Support Office, whose Resettlement Unit is expected to become operational during 2012, as a focal point during these emergency procedures.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 18 03 18 — Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011
Commitments	Payments	Commitments	Payments	
1 000 000	500 000	1 000 000	500 000	

### Remarks

The aim of this pilot project is to identify good practices on prevention, reception, protection and integration policies for unaccompanied minors. The child may be returned to his or her country of origin where it will be necessary to guarantee reintegration or may be given the status of international protection or other legal status that allows the child to integrate in the Member State of residence; alternatively, the child may be resettled in the Union. In all these cases it is important that the interests of the child will be guaranteed. The pilot project should contribute to the implementation of the 2010 Commission action plan on unaccompanied minors (2010-2014) and actions specified thereof:

- identifying prevention actions and policies vis-à-vis the group to which the unaccompanied minors belong (e.g., asylum seekers, victims of trafficking, children who enter illegally into the Union) and/or the country or region of origin,
- identifying best practices on child reception and protection, and in particular on issues such as procedures at first encounter, legal representation, appointment of a guardian, access to and adequacy of reception facilities, initial interviews, education and medical care,
- identifying good practices in specific measures and procedural guarantees for unaccompanied minors in the Union,
- evaluating the various experiences of combating the phenomenon of missing children and identifying best practices,
- identifying best practices on restoring family unity and reintegration of unaccompanied minors in their countries of origin.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 18 03 19 — Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

The main objective of this preparatory action is to establish a network of contacts and discussion between targeted municipalities and local authorities in Member States which have just started a resettlement programme, or wish to participate in the near future, and Member States which are experienced in resettlement, drawing on UNHCR and non-governmental organisation (NGO) experiences and best practices in the resettlement and reintegration of refugees. The preparatory action will cover the following actions:

- identifying municipalities or local authorities in Member States that have just started resettlement programmes (e.g. Portugal, Romania) or are about to do so (e.g. Spain) and arranging meetings with selected municipalities or local authorities in resettlement countries (e.g. the UK and the Netherlands) with a view to strengthening ‘new’ resettlement programmes and ensuring that they are of a high quality and sustainable,
- identifying municipalities or local authorities or NGOs in Member States not yet participating in resettlement programmes, but wishing to join the network with a view to future participation in such programmes,
- holding meetings of representatives of the targeted local authorities and municipalities involved, along with the UNHCR, participating NGOs and representatives of resettled refugees, to lay down the activities to be developed jointly, including visits, exchanges of experience, training and thematic meetings focusing on issues such as housing, education and employment,
- designing a form for collecting information from the various stakeholders on procedures, types of monitoring prior to resettlement, types of information collected on the refugees to be resettled (including cultural, health and educational issues and diet), infrastructure, human resources, accommodation, reception, actions taken after resettlement for the integration of refugees, community participation in the process, any economic, legal or educational aid, the role of refugees already resettled in the integration process, and cooperation with NGOs,
- creating a task force, with the necessary human and technical resources, to make the practical arrangements for the project and in particular to provide for the necessary meetings, collect available information, create a website and content for it, thus increasing the exchange of information between the stakeholders in the resettlement process, and create links with existing resettlement-related projects and/or websites. This website will also allow information on past and future meetings between municipalities or local authorities to be made available to all interested parties on a systematic basis,
- collating the information gathered by the task force, which will then circulate it for discussion on the network, in order to draw conclusions on best practices.

The experience gained from the preparatory action will be recorded and passed on to the Resettlement Unit in the European Asylum Support Office (EASO) once it has been set up.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**CHAPTER 18 05 — SECURITY AND SAFEGUARDING LIBERTIES**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 05	Security and safeguarding liberties							
<b>18 05 01</b>	<b>Cooperation programmes in the fields of justice and home affairs — Title VI</b>							
18 05 01 01	Completion of cooperation programmes in the fields of justice and home affairs, and AGIS	3.1	—	p.m.	p.m.	p.m.	0,—	105 579,31

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 05 01 03	Completion of Erasmus for judges (exchange programme for judicial authorities)	3.1	—	—	—	—	0,—	0,—
	<i>Article 18 05 01 — Subtotal</i>		—	p.m.	p.m.	p.m.	0,—	105 579,31
<b>18 05 02</b>	<b>European Police Office (Europol)</b>							
18 05 02 01	European Police Office — Contribution to Titles 1 and 2	3.1	56 600 000	56 600 000	61 635 739	61 635 739	63 712 000,00	63 712 000,00
18 05 02 02	European Police Office — Contribution to Title 3	3.1	18 582 500	18 582 500	20 869 261	17 869 261	19 757 000,00	19 757 000,00
	<i>Article 18 05 02 — Subtotal</i>		75 182 500	75 182 500	82 505 000	79 505 000	83 469 000,00	83 469 000,00
<b>18 05 04</b>	<b>Preparatory action — Completion of preparatory actions for the victims of terrorist acts</b>							
		3.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
<b>18 05 05</b>	<b>European Police College</b>							
18 05 05 01	European Police College — Contribution to Titles 1 and 2	3.1	4 622 140	4 622 140	3 917 430	3 917 430	3 927 000,00	3 927 000,00
18 05 05 02	European Police College — Contribution to Title 3	3.1	3 828 500	3 828 500	4 533 210	4 533 210	4 414 000,00	4 414 000,00
	<i>Article 18 05 05 — Subtotal</i>		8 450 640	8 450 640	8 450 640	8 450 640	8 341 000,00	8 341 000,00
<b>18 05 06</b>	<b>Pilot project — Completion of the fight against terrorism</b>							
		3.1	p.m.	p.m.	p.m.	p.m.	0,—	136 868,41
<b>18 05 07</b>	<b>Completion of crisis management capacity</b>							
		3.1	—	p.m.	p.m.	p.m.	0,—	228,00
<b>18 05 08</b>	<b>Prevention, preparedness and consequence management of terrorism</b>							
		3.1	12 100 000	7 850 000	23 280 000	7 546 710	22 400 000,00	6 721 789,44
<b>18 05 09</b>	<b>Prevention of and fight against crime</b>							
		3.1	53 150 000	35 000 000	117 570 000	34 903 533	99 184 349,94	34 129 367,35
<b>18 05 11</b>	<b>European Monitoring Centre for Drugs and Drug Addiction</b>							
18 05 11 01	European Monitoring Centre for Drugs and Drug Addiction — Contribution to Titles 1 and 2	3.1	11 091 894	11 091 894	10 629 367	10 629 367	10 923 391,87	10 923 391,87
18 05 11 02	European Monitoring Centre for Drugs and Drug Addiction — Contribution to Title 3	3.1	4 355 106	4 355 106	3 935 553	3 935 553	4 476 608,13	4 476 608,13
	<i>Article 18 05 11 — Subtotal</i>		15 447 000	15 447 000	14 564 920	14 564 920	15 400 000,00	15 400 000,00
	<b>Chapter 18 05 — Total</b>		<b>164 330 140</b>	<b>141 930 140</b>	<b>246 370 560</b>	<b>144 970 803</b>	<b>228 794 349,94</b>	<b>148 303 832,51</b>

### **Article 18 05 01 — Cooperation programmes in the fields of justice and home affairs — Title VI**

Item 18 05 01 01 — Completion of cooperation programmes in the fields of justice and home affairs, and AGIS

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	0,—	105 579,31

#### *Remarks*

This appropriation is intended to cover earlier commitments.

#### *Legal basis*

Joint Action 98/245/JHA of 19 March 1998 adopted by the Council, on the basis of Article K.3 of the Treaty on European Union, establishing a programme of exchanges, training and cooperation for persons responsible for action to combat organised crime (Falcone programme) (OJ L 99, 31.3.1998, p. 8).

Council Decision 2001/512/JHA of 28 June 2001 establishing a second phase of the programme of incentives and exchanges, training and cooperation for legal practitioners (Grotius II — Criminal) (OJ L 186, 7.7.2001, p. 1).

Council Decision 2001/513/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for law enforcement authorities (Oisin II) (OJ L 186, 7.7.2001, p. 4).

Council Decision 2001/514/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for persons responsible for combating trade in human beings and the sexual exploitation of children (Stop II) (OJ L 186, 7.7.2001, p. 7).

Council Decision 2001/515/JHA of 28 June 2001 establishing a programme of incentives and exchanges, training and cooperation for the prevention of crime (Hippokrates) (OJ L 186, 7.7.2001, p. 11).

Council Decision 2002/630/JHA of 22 July 2002 establishing a framework programme on police and judicial cooperation in criminal matters (AGIS) (OJ L 203, 1.8.2002, p. 5).

#### Item 18 05 01 03 — Completion of Erasmus for judges (exchange programme for judicial authorities)

##### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

##### Remarks

This item is intended to cover earlier commitments.

##### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### Article 18 05 02 — European Police Office (Europol)

#### Item 18 05 02 01 — European Police Office — Contribution to Titles 1 and 2

##### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
56 600 000	56 600 000	61 635 739	61 635 739	63 712 000,00	63 712 000,00

##### Remarks

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Office's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

##### Legal basis

Council Decision 2009/371/JHA of 6 April 2009 establishing the European Police Office (Europol) (OJ L 121, 15.5.2009, p. 37).

Item 18 05 02 02 — European Police Office — Contribution to Title 3

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 582 500	18 582 500	20 869 261	17 869 261	19 757 000,00	19 757 000,00

*Remarks*

This appropriation is intended to cover the Office's operational expenditure (Title 3).

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 82 120 500. An amount of EUR 6 938 000 coming from the recovery of surplus is added to the amount of EUR 75 182 500 entered in the budget.

*Legal basis*

Council Decision 2009/371/JHA of 6 April 2009 establishing the European Police Office (Europol) (OJ L 121, 15.5.2009, p. 37).

**Article 18 05 04 — Preparatory action — Completion of preparatory actions for the victims of terrorist acts**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover earlier commitments.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 18 05 05 — European Police College**

Item 18 05 05 01 — European Police College — Contribution to Titles 1 and 2

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 622 140	4 622 140	3 917 430	3 917 430	3 927 000,00	3 927 000,00

*Remarks*

This appropriation is intended to cover the College's staff and administrative expenditure (Titles 1 and 2).

The College must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The College's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

*Legal basis*

Council Decision 2005/681/JHA of 20 September 2005 establishing the European Police College (CEPOL) and repealing Decision 2000/820/JHA (OJ L 256, 1.10.2005, p. 63).

**Item 18 05 05 02 — European Police College — Contribution to Title 3**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 828 500	3 828 500	4 533 210	4 533 210	4 414 000,00	4 414 000,00

*Remarks*

This appropriation is intended to cover the College's operational expenditure (Title 3).

The College must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 8 450 640.

*Legal basis*

Council Decision 2005/681/JHA of 20 September 2005 establishing the European Police College (CEPOL) and repealing Decision 2000/820/JHA (OJ L 256, 1.10.2005, p. 63).

**Article 18 05 06 — Pilot project — Completion of the fight against terrorism**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	136 868,41

*Remarks*

This appropriation is intended to cover earlier commitments.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 18 05 07 — Completion of crisis management capacity**

*Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	p.m.	0,—	228,00

### Remarks

This appropriation is intended to cover earlier commitments.

### Legal basis

Task resulting from the Commission's administrative autonomy, as provided for in Article 49(6) of the Financial Regulation.

## **Article 18 05 08 — Prevention, preparedness and consequence management of terrorism**

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 100 000	7 850 000	23 280 000	7 546 710	22 400 000,00	6 721 789,44

### Remarks

Regarding the prevention and preparedness to terrorist attacks, this appropriation is intended to support the following areas:

- to stimulate, promote, and support risk and threat assessments on critical infrastructure, including evaluations on site, to identify possible targets of terrorist attacks and the possible need for upgrading their security,
- to promote and support the development of common security standards including cyber security, and an exchange of know-how and experience on protection of critical infrastructure,
- to promote and support Union wide coordination and cooperation on critical infrastructure protection.

Regarding the consequence management in case of terrorist attacks, this appropriation is intended to support the following areas:

- to stimulate, promote and support the exchange of know-how, experience and technology on the potential consequences of terrorist attacks,
- to stimulate, promote and support the development of relevant methodology and contingency plans, including with regard to a European cyber security strategy,
- to ensure real-time input of specific expertise on terrorism matters within overall crisis management, rapid alert and civil protection mechanisms.

In particular, this appropriation is intended to cover the following actions:

- actions on operational cooperation and coordination (strengthening networking, mutual confidence and understanding, development of contingency plans, exchange and dissemination of information, experience and best practice),
- analytical, monitoring, evaluation, audit and inspection activities,
- development and transfer of technology and methodology, particularly regarding information sharing and interoperability,
- training, exchange of staff and experts,
- awareness and dissemination activities, and
- provision of financial support for projects to help victims of terrorism and/or their families recover from their ordeal by availing themselves of social or psychological support offered by organisations and/or networks, and for projects to mobilise public opinion against all forms of terrorism. Part of the appropriation will be used primarily to improve the legal assistance and advice provided to victims and their families.

### Legal basis

Council Decision 2007/124/EC, Euratom of 12 February 2007 establishing for the period 2007-2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme 'Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks' (OJ L 58, 24.2.2007, p. 1).

### Reference acts

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing a framework programme on 'Security and Safeguarding Liberties' for the period 2007-2013 (COM(2005) 0124).

## Article 18 05 09 — Prevention of and fight against crime

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
53 150 000	35 000 000	117 570 000	34 903 533	99 184 349,94	34 129 367,35

### Remarks

This appropriation is intended to support the following areas:

- to promote and develop coordination, cooperation and mutual understanding among law enforcement agencies, and other institutions (in particular organisations active in preventing violence and crime), other national authorities and related Union bodies,
- to stimulate, promote and develop horizontal methods and tools necessary for strategically preventing and fighting crime and cybercrime, such as prevention of urban violence, in particular urban violence affecting minors, or measures to prevent and combat juvenile delinquency through exchanges of best practice, networking of the authorities responsible and the implementation of pilot projects, also in the field of rehabilitation of minors former prisoners, public-private partnerships, best practices in crime prevention, comparable statistics and applied criminology,
- to improve cooperation as regards the confiscation and seizure of assets and of proceeds from illegal activities of criminal organisations between the national agencies competent for the recovery of assets, and
- to promote and develop best practices for the protection of crime victims including victims with specific needs such as victims of gender based violence, victims of violence in close relationships and witnesses.

In particular, this appropriation is intended to cover the following actions:

- actions on operational cooperation and coordination (strengthening networking, mutual confidence and understanding, exchange and dissemination of information, experience and best practices),
- analytical, monitoring and evaluation activities,
- development and transfer of technology and methodology,
- training, exchange of staff and experts, and
- awareness and dissemination activities.

Part of the appropriation will cover the costs of creating a Union telephone hotline for the victims of human trafficking, with a view to establishing a single common telephone number across Member States, to provide equal standards of social, psychological and legal assistance to the victims of human trafficking and if possible to respond to a request for shelter. This project will involve a variety of stakeholders: national regulatory authorities to provide telephone lines, telecommunication companies, specialised non-governmental organisations, local and professional staff, enforcement authorities (to exchange information on the smugglers and actors involved in human trafficking).

Part of this appropriation will be used to improve the prevention of criminal activities of mobile criminal groups in border areas.

### Legal basis

Council Decision 2007/125/JHA of 12 February 2007 establishing for the period 2007 to 2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme ‘Prevention of and Fight against Crime’ (OJ L 58, 24.2.2007, p. 7).

### Reference acts

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing a framework programme on ‘Security and Safeguarding Liberties’ for the period 2007-2013 (COM(2005) 0124).

## Article 18 05 11 — European Monitoring Centre for Drugs and Drug Addiction

Item 18 05 11 01 — European Monitoring Centre for Drugs and Drug Addiction — Contribution to Titles 1 and 2

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
11 091 894	11 091 894	10 629 367	10 629 367	10 923 391,87	10 923 391,87

### Remarks

This appropriation is intended to cover the Centre's staff and administrative expenditure (Titles 1 and 2).

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Centre's establishment plan is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

### Legal basis

Regulation (EC) No 1920/2006 of the European Parliament and of the Council of 12 December 2006 on the European Monitoring Centre for Drugs and Drug Addiction (OJ 376, 27.12.2006, p. 1).

Item 18 05 11 02 — European Monitoring Centre for Drugs and Drug Addiction — Contribution to Title 3

### Figures

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 355 106	4 355 106	3 935 553	3 935 553	4 476 608,13	4 476 608,13

### Remarks

This appropriation is intended to cover the Centre's operational expenditure relating to the work programme (Title 3).

The Centre must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2013 amounts to a total of EUR 15 550 000. An amount of EUR 103 000 coming from the recovery of surplus is added to the amount of EUR 15 477 000 entered in the budget.

### Legal basis

Regulation (EC) No 1920/2006 of the European Parliament and of the Council of 12 December 2006 on the European Monitoring Centre for Drugs and Drug Addiction (OJ L 376, 27.12.2006, p. 1).

## CHAPTER 18 08 — POLICY STRATEGY AND COORDINATION

### Figures

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 08	Policy strategy and coordination							
<b>18 08 01</b>	<b>Prince — Area of freedom, security and justice</b>	3.1	2 900 000	1 500 000	2 800 000	1 437 648	2 700 000,00	992 035,29

Title Chapter Article Item	Heading	FF	Budget 2013		Appropriations 2012		Outturn 2011	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 08 05	<i>Evaluation and impact assessment</i>	3.1	600 000	400 000	600 000	377 335	487 025,00	468 741,42
	<b>Chapter 18 08 — Total</b>		<b>3 500 000</b>	<b>1 900 000</b>	<b>3 400 000</b>	<b>1 814 983</b>	<b>3 187 025,00</b>	<b>1 460 776,71</b>

### **Article 18 08 01 — Prince — Area of freedom, security and justice**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 900 000	1 500 000	2 800 000	1 437 648	2 700 000,00	992 035,29

#### *Remarks*

This appropriation is intended to cover the funding of priority information measures in the field of home affairs.

It covers information and communication measures in the field of home affairs in relation to the establishment of an area of freedom, security and justice (internal web sites, public events, communication products, Eurobarometer surveys, etc.). These measures are designed to be an effective channel of communication and dialogue between the people of the Union, stakeholders, and the Union institutions and take account of specific national, regional and local characteristics, in close cooperation with the Member State authorities.

The Commission has adopted a series of communications to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on a new framework for cooperation in activities concerning the information and communication policy of the European Union (COM(2001) 0354 and COM(2002) 0350). These communications propose an interinstitutional framework for cooperation extended to Member States for the development of a communication and information policy strategy for the Union.

The Interinstitutional Group on Information (IGI), co-chaired by the European Parliament, the Council and the Commission, lays down common guidelines for interinstitutional cooperation in matters of Union information and communication policy. It coordinates the central and decentralised public information activities on European topics. Each year the IGI gives its opinion on the priorities for the following years on the basis of information provided by the Commission.

#### *Legal basis*

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of the Financial Regulation.

### **Article 18 08 05 — Evaluation and impact assessment**

#### *Figures*

Budget 2013		Appropriations 2012		Outturn 2011	
Commitments	Payments	Commitments	Payments	Commitments	Payments
600 000	400 000	600 000	377 335	487 025,00	468 741,42

#### *Remarks*

This appropriation is intended to cover expenditure:

- to extend evaluation to all activities (policies and legislation),
- to better integrate evaluation in strategic planning and programming,
- to complete the methodological work needed to develop policy evaluation,
- to apply the policy evaluation framework to all of the major policy areas covered by Tampere,
- to prepare for the implementation of pilot projects and preparatory actions.

#### *Legal basis*

Task resulting from the Commission's administrative autonomy, as provided for in Article 49(6) of the Financial Regulation.