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From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
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To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union

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Subject:	ANNEX to the COMMISSION DELEGATED REGULATION (EU) .../... on the common monitoring and evaluation framework provided for in Regulation (EU) No 514/2014 of the European Parliament and the Council [laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management]

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Delegations will find attached document C(2016) 6265 final - ANNEX 2.

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Brussels, 3.10.2016  
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ANNEX 2

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## ANNEX

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### COMMISSION DELEGATED REGULATION (EU) .../...

**on the common monitoring and evaluation framework provided for in Regulation (EU) No 514/2014 of the European Parliament and the Council [laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management]**

**List of evaluation questions for the evaluation reports by Member States and the Commission, for the Internal Security Fund, as referred to in Articles 56 and 57 of Regulation (EU) No 514/2014 of the European Parliament and of the Council**

#### Effectiveness

**(1) How did the Internal Security Fund ("Fund") contribute to the achievement of the general objective defined in the Regulation 515/2014?**

(a) How did the Fund contribute to the achievement of the following specific objectives:

Support a common visa policy to facilitate legitimate travel;

Provide a high quality of service to visa applicants;

Ensure equal treatment of third-country nationals and

Tackle illegal migration?

(i) What progress was made towards promoting the development and implementation of the common visa policy to facilitate legitimate travel, and how did the Fund contribute to achieving this progress?

(ii) What progress was made towards ensuring better consular coverage and harmonised practices on visa issuance between Member States, and how did the Fund contribute to achieving this progress?

(iii) What progress was made towards ensuring the application of the Union's acquis on visas and how did the Fund contribute to achieving this progress?

(iv) What progress was made towards Member States' contribution to strengthening the cooperation between Member States operating in third countries as regards the flows of third-country national into the territory of Member States, including prevention and tackling of illegal immigration, as well as the cooperation with third countries, and how did the Fund contribute to achieving this progress?

(v) What progress was made towards supporting the common visa policy by setting up and running IT systems, their communication infrastructure and equipment, and how did the Fund contribute to achieving this progress?

(vi) How did the operating support provided for in Article 10 of the Regulation (EU) No 515/2014 contribute to the achievement of the specific objective on common visa policy?

(b) How did the Fund contribute to the following specific objectives:

Supporting integrated border management, including promoting further harmonisation of border management-related measures in accordance with common Union standards and through the sharing of information between Member States and between Member States and the European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union?

Ensuring, on one hand, a uniform and high level of control and protection of the external borders, including by the tackling of illegal immigration and, on the other hand, the smooth crossing of the external borders in conformity with the Schengen acquis, while guaranteeing access to international protection for those needing it, in accordance with the obligations contracted by the Member States in the field of human rights, including the principle of non-refoulement?

(i) What progress was made towards promoting the development, implementation and enforcement of policies with a view to ensure the absence of any controls on persons when crossing the internal borders, and how did the Fund contribute to achieving this progress?

(ii) What progress was made towards carrying out checks on persons and monitoring efficiently the crossing of external borders, and how did the Fund contribute to achieving this progress?

(iii) What progress was made towards establishing gradually an integrated management system for external borders, based on solidarity and responsibility, and how did the Fund contribute to achieving this progress?

(iv) What progress was made towards ensuring the application of the Union's acquis on border management, and how did the Fund contribute to achieving this progress?

(v) What progress was made towards contributing to reinforcing situational awareness at the external borders and the reaction capabilities of Member States, and how did the Fund contribute to achieving this progress?

(vi) What progress was made towards setting up and running IT systems, their communication infrastructure and equipment that support border checks and border surveillance at the external borders, and how did the Fund contribute to achieving this progress?

(vii) What progress was made towards supporting services to Member States in duly substantiated emergency situations requiring urgent action at the external borders, and how did the Emergency Assistance contribute to achieving this progress? What type of emergency actions was implemented? How did the emergency actions implemented under the Fund contribute to addressing the urgent needs of the Member State? What were the main results of the emergency actions?

(viii) How did the operating support provided for in Article 10 of the Regulation n° 515/2014 contribute to the achievement of the specific objective on border management?

**(2) How did the Fund contribute to the achievement of the general objective defined in Regulation (EU) No 513/2014?**

(a) How did the Fund contribute to the following specific objectives:

Prevention of cross-border, serious and organised crime, including terrorism?

Reinforcement of the coordination and cooperation between law enforcement authorities and other national authorities of Member States, including with Europol or other relevant Union bodies, and with relevant third countries and international organisations?

(i) What progress was made towards the achievement of the expected results of strengthening Member States' capacity to combat cross-border, serious and organised crime, including terrorism and to reinforce their mutual cooperation in this field, and how did the Fund contribute to the achievement of this progress?

(ii) What progress was made towards developing administrative and operational coordination and cooperation among Member States' public authorities, Europol or other relevant Union bodies and, where appropriate, with third Countries and international organisations, and how did the Fund contribute to the achievement of this progress?

(iii) What progress was made towards developing training schemes, such as those regarding technical and professional skills and knowledge of obligations on human rights and fundamental freedoms, in implementation of EU training policies, including through specific Union law enforcement exchange programmes, and how did the Fund contribute to the achievement of this progress?

(iv) What progress was made towards putting in place measures, safeguard mechanisms and best practices for the identification and support of witnesses and victims of crime, including victims of terrorism, and how did the Fund contribute to the achievement of this progress?

(b) How did the Fund contribute to improve the capacity of Member States to manage effectively security-related risks and crises, and protecting people and critical infrastructure against terrorist attacks and other security-related incidents?

(i) What progress was made towards reinforcing Member States' administrative and operational capability to protect critical infrastructure in all sectors of economic activity, including through public-private partnerships and improved coordination, cooperation, exchange and dissemination of know-how and experience within the Union and with relevant third Countries, and how did the Fund contribute to the achievement of this progress?

(ii) What progress was made towards establishing secure links and effective coordination between existing sector-specific early warning and crisis cooperation actors at Union and national level, and how did the Fund contribute to the achievement of this progress?

(iii) What progress was made towards improving the administrative and operational capacity of the Member States and the Union to develop comprehensive threat and risk assessments, and how did the Fund contribute to the achievement of this progress?

**Efficiency** (*Were the results of the Fund achieved at reasonable cost?*)

(3) To what extent were the expected results of the Fund achieved at reasonable cost in terms of deployed financial and human resources? What measures were put in place to prevent, detect, report and follow up on cases of fraud and other irregularities, and how did they perform?

**Relevance** (*Did the objectives of the interventions funded by the Fund correspond to the actual needs?*)

(4) Did the objectives set by the Member State in their National Programmes respond to the identified needs? Did the objectives set in the Annual Work Programme (Union actions) address the actual needs? Did the

objectives set in the Annual Work Programme (Emergency assistance) address the actual needs? Which measures did the Member State put in place to address changing needs?

**Coherence** *(Were the objectives set in the national programme coherent with the ones set in other programmes funded by EU resources and applying to similar areas of work? Was the coherence ensured also during the implementation of the Fund?)*

(5) Was an assessment of other interventions with similar objectives carried out and taken into account during the programming stage? Were coordination mechanisms between the Fund and other interventions with similar objectives established for the implementing period? Were the actions implemented through the Fund coherent with and non-contradictory to other interventions with similar objectives?

**Complementarity** *(Were the objectives set in the national programme and the corresponding implemented actions complementary to those set in the framework of other policies - in particular those pursued by the Member State?)*

(6) Was an assessment of other interventions with complementary objectives carried out and taken into account during the programming stage? Were coordination mechanisms between the Fund and other interventions with similar objectives established for the implementing period to ensure their complementarity for the implementing period? Were mechanisms aimed to prevent overlapping of financial instruments put in place?

**EU added value** *(Was any added value brought about by the EU support?)*

(7) What are the main types of added value resulting from the support of the Fund (volume, scope, role, process)? Would the Member State have carried out the actions required to implement the EU policies in the areas supported by the Fund without its financial support? What would be the most likely consequences of an interruption of the support provided by the Fund? To which extent have actions supported by the Fund resulted in a benefit at the Union level? What was the added value of the operating support?

**Sustainability** *(Are the positive effects of the projects supported by the Fund likely to last when its support will be over?)*

(8) What were the main measures adopted by the Member State to ensure the sustainability of the results of the projects implemented with support of the Fund (both at programming and implementation stage)? Were mechanisms put in place to ensure a sustainability check at programming and implementation stage? To what extent are the outcomes/benefits of the actions sustained by the Fund expected to continue thereafter? What measures were adopted to ensure the continuity of the activities carried out thanks to the operating support?

**Simplification and reduction of administrative burden** *(Were the management procedures of the Fund simplified and the administrative burden reduced for its beneficiaries?)*

(9) Did the innovative procedures introduced by the Fund (simplified cost option, multiannual programming, national eligibility rules, more comprehensive national programmes allowing for flexibility, operating support and Special Transit Scheme for Lithuania) lead to simplification for the beneficiaries of the Fund?