



**COUNCIL OF
THE EUROPEAN UNION**

Brussels, 8 April 2013

8225/13

**Interinstitutional File:
2013/0084 (COD)**

**STATIS 29
SOC 224
ECOFIN 239
CODEC 745**

PROPOSAL

from:	The Commission
dated:	27 March 2013
No Cion doc.:	COM(2013) 155 final
Subject:	Proposal for a Regulation of the European Parliament and of the Council amending Council Regulation (EC) No 577/98 on the organisation of a labour force sample survey in the Community

Delegations will find attached a proposal from the Commission, submitted under a covering letter from Mr Jordi AYET PUIGARNAU, Director, to Mr Uwe CORSEPIUS, Secretary-General of the Council of the European Union.

Encl.: COM(2013) 155 final



EUROPEAN
COMMISSION

Brussels, 27.3.2013
COM(2013) 155 final

2013/0084 (COD)

Proposal for a

REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

**amending Council Regulation (EC) No 577/98 on the organisation of a labour force
sample survey in the Community**

(Text with EEA relevance)

EXPLANATORY MEMORANDUM

1. CONTEXT OF THE PROPOSAL

The Treaty on the Functioning of the European Union (TFEU) makes a distinction between powers delegated to the Commission to adopt non-legislative acts of general application to supplement or amend certain non-essential elements of a particular legislative act, as laid down in Article 290(1) of the TFEU (delegated acts), and powers conferred on the Commission to adopt uniform conditions for implementing legally binding Union acts, as laid down in Article 291(2) of the TFEU (implementing acts).

The Commission has committed itself¹, under Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers², to reviewing legislative acts which currently contain references to regulatory procedure with scrutiny in the light of the criteria laid down in the Treaty.

The overall objective is, by the end of Parliament's seventh term (June 2014), to remove all provisions referring to the regulatory procedure with scrutiny from all legislative instruments.

In the context of the alignment of Council Regulation (EC) No 577/98 of 9 March 1998 on the organisation of a labour force sample survey in the Community³ to the new rules of the TFEU, powers currently conferred on the Commission by that Regulation have been re-classified.

The EU Labour Force Survey (LFS) is the biggest household survey in Europe. The results it produces on employment, unemployment and persons outside the labour market form the backbone of the statistical information system on labour markets in the European Union. In particular, the LFS provides the indicators for three of the Europe 2020 headline targets.

The national statistical institutes are responsible for selecting the sample, preparing the questionnaires, conducting the direct interviews among households, and forwarding the results to Eurostat in accordance with the common coding scheme.

Each year, the core LFS is supplemented with a so-called ad hoc module. While the core part of the survey is of equal interest at national and European levels, the annually changing ad hoc modules serve predominantly European policy initiatives, amongst them the European Employment Strategy, the Youth on the Move flagship initiative, the Strategy for Equality between Women and Men, the European agenda for integration and the Employment Package.

The sustainable production of high-quality ad hoc module data is of utmost importance to EU decision-makers, and thus a provision on financing is proposed to be integrated into the Regulation.

¹ OJ L 55, 28.2.2011, p. 19.

² OJ L 55, 28.2.2011, p. 13.

³ OJ L 77, 14.3.1998, p. 3.

2. RESULTS OF CONSULTATIONS WITH THE INTERESTED PARTIES AND IMPACT ASSESSMENTS

The Directors of Social Statistics and the European Statistical System Committee were consulted.

There was no need for impact assessment.

3. LEGAL ELEMENTS OF THE PROPOSAL

The objectives of this proposal are:

(i) to modify Regulation (EC) No 577/98 and align it to the new institutional context. In particular, the aim is to identify what powers the Commission has and establish the appropriate procedure for adopting measures on the basis of these powers.

The Commission is empowered to adopt delegated acts in accordance with Article 290 of the TFEU in order to supplement or amend certain non-essential elements of Regulation (EC) No 577/98. In particular, the Commission may adopt, by means of delegated acts, the content of the ad hoc modules, the definitions and any adjustment of the list of survey variables made necessary by the evolution of techniques and concepts. In addition, the Commission may adopt, by means of delegated acts, the list of structural variables, including the minimum sample size and the survey frequency. The Commission should ensure that these delegated acts do not impose a significant additional administrative burden on the Member States and on the respondent units.

Implementing powers are conferred upon the Commission with a view to ensuring uniform conditions concerning the transmission of statistical information, in particular by adopting the rules on the edits to be used, the codification of the variables and the list of principles for the formulation of the questions concerning the labour status, in accordance with the examination procedure laid down in Article 5 of Regulation (EU) No 182/2011.

(ii) to put the Commission in a position to allow for a financial contribution. This is proposed in view of the importance of the Labour Force Survey ad hoc modules in support of Union policies. Such contribution by the Union to the financing of their implementation is proposed through grant procedures, without calls for proposals. The grants are to be awarded to the national statistical institutes and other national authorities referred to in Article 5(2) of Regulation (EC) No 223/2009 of the European Parliament and of the Council of 11 March 2009 on European statistics⁴. In order to allow for significantly simplified administrative procedures and for a substantially reduced risk of error relating to the management of grants, the use of lump sums may be proposed in accordance with Article 124(1) of the Financial Regulation.

- **Legal basis**

Article 338(1) of the Treaty on the Functioning of the European Union.

- **Choice of instrument**

⁴ OJ L 87, 31.3.2009, p. 164.

Regulation of the European Parliament and of the Council.

4. BUDGETARY IMPLICATIONS

The budgetary implications are set out in the financial statement.

- **European Economic Area**

The proposed act concerns an EEA matter and should therefore extend to the European Economic Area.

Proposal for a

REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

amending Council Regulation (EC) No 577/98 on the organisation of a labour force sample survey in the Community

(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 338(1) thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national Parliaments,

Acting in accordance with the ordinary legislative procedure,

Whereas:

- (1) As a consequence of the entry into force of the Treaty on the Functioning of the European Union (TFEU), the powers conferred on the Commission need to be aligned to Articles 290 and 291 of the TFEU.
- (2) The Commission has committed itself⁵, under Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers⁶, to reviewing legislative acts which currently contain references to the regulatory procedure with scrutiny in the light of the criteria laid down in the Treaty.
- (3) The Commission should have the power to adopt delegated acts under Article 290 of the TFEU in order to supplement or amend certain non-essential elements of particular legislative acts, notably to take account of economic, social and technical developments. The Commission should ensure that these delegated acts do not impose a significant additional administrative burden on the Member States or on the respondent units.
- (4) Council Regulation (EC) No 577/98 of 9 March 1998 on the organisation of a labour force sample survey in the Community⁷ contains references to the regulatory

⁵ OJ L 55, 28.2.2011, p. 19.

⁶ OJ L 55, 28.2.2011, p. 13.

⁷ OJ L 77, 14.3.1998, p. 3.

procedure with scrutiny and should therefore be reviewed in the light of the criteria laid down in the Treaty.

- (5) The Commission should have the power to adopt delegated acts in accordance with Article 290 of the TFEU in order to supplement or amend certain non-essential elements of Regulation (EC) No 577/98 as regards the content of the ad hoc modules, the definitions, and any adjustment of the list of survey variables made necessary by the evolution of techniques and concepts. In addition, the Commission should be empowered to adopt delegated acts in order to adopt the list of structural variables, including the minimum sample size and the survey frequency.
- (6) It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level. The Commission, when preparing and drawing up delegated acts, should ensure simultaneous, timely and appropriate transmission of relevant documents to the European Parliament and the Council.
- (7) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation (EU) No 182/2011.
- (8) In view of the importance of the Labour Force Survey ad hoc modules in support of Union policies, a contribution by the Union to the financing of their implementation is proposed through grant procedures, without calls for proposals. The grants shall be awarded to the national statistical institutes and other national authorities referred to in Article 5(2) of Regulation (EC) No 223/2009 of the European Parliament and of the Council of 11 March 2009 on European statistics⁸. Grants that are provided for purposes of carrying out labour force surveys may take the form of lump sums. In this context, the use of lump sums should be a principal means of simplifying grant management.
- (9) By derogation from Article 126(3)(e) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union⁹, in view of the increased administrative burden related to the additional information to be collected for the Labour Force Survey which will contribute to providing the indicators for three of the Europe 2020 headline targets, it is necessary to cofinance the salary costs of the personnel of national administrations even if the relevant public authority would have carried out the supported action without an EU grant.
- (10)
- (11) In accordance with the principle of proportionality, it is necessary and appropriate, for achieving the basic objective of alignment of the powers conferred on the Commission to Articles 290 and 291 of the TFEU, to lay down rules on such alignment in Regulation (EC) No 577/98. This Regulation does not go beyond what is necessary in order to achieve that objective, in accordance with Article 5(4) of the Treaty on European Union.

⁸ OJ L 87, 31.3.2009, p. 164.

⁹ OJ L 298, 26.10.2012, p. 1.

- (12) In order to ensure legal certainty, it is necessary that procedures for the adoption of measures which have been initiated but not completed before the entry into force of this Regulation are not affected by this Regulation.
- (13) Regulation (EC) No 577/98 should therefore be amended accordingly,

HAVE ADOPTED THIS REGULATION:

Article 1

Regulation (EC) No 577/98 is amended as follows:

(1) Article 4 is amended as follows:

(a) paragraphs 2 and 3 are replaced by the following:

‘2. The Commission shall be empowered to adopt delegated acts in accordance with Article 7c, concerning the definitions and any adjustment of the list of survey variables made necessary by the evolution of techniques and concepts.

The Commission shall be empowered to adopt delegated acts in accordance with Article 7c, concerning a list of variables (hereinafter referred to as ‘structural variables’), including the minimum sample size and the survey frequency, from among the survey characteristics specified in paragraph 1 which need to be surveyed only as annual averages with reference to 52 weeks rather than as quarterly averages.

3. The Commission shall, by means of implementing acts, adopt rules on the edits to be used, the codification of the variables and the list of principles for formulation of the questions concerning labour status. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 8(2).’

(b) paragraph 4 is deleted.

(2) The following Articles 7a, 7b and 7c are inserted:

‘Article 7a Ad hoc modules

1. The Commission shall be empowered to adopt delegated acts in accordance with Article 7c concerning an additional set of variables (hereinafter referred to as ‘ad hoc module’) in order to supplement the information described in Article 4(1), including the subject, the reference period, the sample size (equal to or less than the sample size determined according to Article 3) and the deadline for transmission of the results (which may be different from the deadline according to Article 6).

2. The detailed list of information to be collected in an ad hoc module shall be drawn up at least 12 months before the beginning of the reference period for that module. The volume of an ad hoc module shall not exceed 11 variables.

Article 7b
Financing provision

The Union may award financial support to the national statistical institutes and other national authorities referred to as designated beneficiaries in Article 5(2) of Regulation (EC) No 223/2009, for the implementation of the ad hoc modules as referred to in Article 7a in accordance with Article X of Regulation (EU) No XX/XX of the European Parliament and of the Council on a European Union Programme for Social Change and Innovation¹⁰, [COM (2011) 609 final].

Article 7c
Exercise of delegated powers

1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.

2. When exercising the powers delegated in accordance with Article 4(2) and Article 7a, the Commission shall ensure that the delegated acts do not impose a significant additional administrative burden on the Member States and on the respondents.

3. The power to adopt delegated acts referred to in Article 4(2) and Article 7a shall be conferred on the Commission for an indeterminate period of time from (Publications office: please insert the exact date of the entry into force of the amending Regulation).

4. The delegation of power referred to in Article 4(2) and Article 7a may be revoked at any time by the European Parliament or by the Council.

A revocation decision shall put an end to the delegation of the power specified in that decision. It shall take effect on the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.

5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.

6. A delegated act adopted pursuant to Article 4(2) and Article 7a shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of 2 months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or the Council.’

(3) Article 8 is replaced by the following:

‘Article 8
Committee

¹⁰ Not yet published in the Official Journal.

1. The Commission shall be assisted by the European Statistical System Committee established by Regulation (EC) No 223/2009. That committee shall be a committee within the meaning of Regulation (EU) No 182/2011.

2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.’

Article 2

This Regulation shall not affect the procedures for the adoption of measures provided for in Regulation (EC) No 577/98 which have been initiated but not completed before the entry into force of this Regulation.

Article 3

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the European Parliament

For the Council

The President

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

- 1.1. Title of the proposal/initiative
- 1.2. Policy area(s) concerned in the ABM/ABB structure
- 1.3. Nature of the proposal/initiative
- 1.4. Objectives
- 1.5. Grounds for the proposal/initiative
- 1.6. Duration and financial impact
- 1.7. Management mode(s) envisaged

2. MANAGEMENT MEASURES

- 2.1. Monitoring and reporting rules
- 2.2. Management and control system
- 2.3. Measures to prevent fraud and irregularities

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

- 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected
- 3.2. Estimated impact on expenditure
 - 3.2.1. *Summary of estimated impact on expenditure*
 - 3.2.2. *Estimated impact on operational appropriations*
 - 3.2.3. *Estimated impact on appropriations of an administrative nature*
 - 3.2.4. *Compatibility with the current multiannual financial framework*
 - 3.2.5. *Third-party contributions*
- 3.3. Estimated impact on revenue

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

1.1. Title of the proposal/initiative

REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Council Regulation (EC) No 577/98 on the organisation of a labour force sample survey in the Community

1.2. Policy area(s) concerned in the ABM/ABB structure¹¹

3403 – Production of statistical information

1.3. Nature of the proposal/initiative

☐ The proposal/initiative relates to **a new action**

☐ The proposal/initiative relates to **a new action following a pilot project/preparatory action¹²**

☒ The proposal/initiative relates to **the extension of an existing action**

☐ The proposal/initiative relates to **an action redirected towards a new action**

1.4. Objectives

1.4.1. The Commission's multiannual strategic objective(s) targeted by the proposal/initiative

Community Statistical Programme 2008 to 2012, Title VIII 'Employment'.

Regulation (EU) No 99/2013 of the European Parliament and of the Council of 15 January 2013 on the European statistical programme 2013-2017¹³, section 3.2 'People's Europe'.

1.4.2. Specific objective(s) and ABM/ABB activity(ies) concerned

Specific objective No.

Specific objective 1-data production

ABM/ABB activity(ies) concerned

3403 – Production of statistical information

¹¹ ABM: Activity-Based Management – ABB: Activity-Based Budgeting.

¹² As referred to in Article 49(6)(a) or (b) of the Financial Regulation.

¹³ OJ L 39, 9.2.2013, p. 12.

1.4.3. *Expected result(s) and impact*

Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.

Collect statistical information on specific topics linked to the labour market and relevant to EU policy initiatives (a different topic each year, on proposal from policymakers; for example, in 2010 data were collected on reconciliation between work and family life, in 2011 on the situation of disabled people on the labour market, and in 2012 on transition from work to retirement).

Given the possibility to cross that information with the variables collected regularly in the core Labour Force Survey (LFS), the initiative will provide comparable statistical evidence for the formulation and evaluation of specific EU initiatives linked to the labour market.

1.4.4. *Indicators of results and impact*

Specify the indicators for monitoring implementation of the proposal/initiative.

The Commission (Eurostat) defines common European Statistical System guidelines for the implementation of the LFS ad hoc modules. The quality of the statistical data will be ensured through specific checks of the minimum sample size.

1.5. **Grounds for the proposal/initiative**

1.5.1. *Requirement(s) to be met in the short or long term*

Comparable indicators regarding the specific topic of the module (a different one each year) published.

Special publications reporting results.

Microdata released to research community.

1.5.2. *Added value of EU involvement*

Comparability of results at EU level on specific EU initiatives — which is necessary for policymaking measures.

1.5.3. *Lessons learned from similar experiences in the past*

Only a legal act accompanied by Union financing support can ensure the participation of all Member States, which is essential for the usefulness of the data for policymaking.

1.5.4. *Coherence and possible synergy with other relevant instruments*

Being a module attached to the Labour Force Survey, the data provided by the module will be consistent with the LFS and exploitable simultaneously with it, thus enhancing the results.

1.6. Duration and financial impact

☐ Proposal/initiative of **limited duration**

- ☐ Proposal/initiative in effect from [DD/MM]YYYY to [DD/MM]YYYY
- ☐ Financial impact from YYYY to YYYY

X Proposal/initiative of **unlimited duration**

- Implementation with a start-up period from YYYY to YYYY,
- followed by full-scale operation.

1.7. Management mode(s) envisaged¹⁴

X **Centralised direct management** by the Commission

☐ **Centralised indirect management** with the delegation of implementation tasks to:

- ☐ executive agencies
- ☐ bodies set up by the Communities¹⁵
- ☐ national public-sector bodies/bodies with public-service mission
- ☐ persons entrusted with the implementation of specific actions pursuant to Title V of the Treaty on European Union and identified in the relevant basic act within the meaning of Article 49 of the Financial Regulation

☐ **Shared management** with the Member States

☐ **Decentralised management** with third countries

☐ **Joint management** with international organisations (*to be specified*)

If more than one management mode is indicated, please provide details in the 'Comments' section.

Comments

The data collection will be co-financed by the EU with grants to the National Statistical Institutes (all of them being public bodies) which may take the form of lump sums in accordance with the Financial Regulation.

¹⁴ Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html

¹⁵ As referred to in Article 185 of the Financial Regulation.

2. MANAGEMENT MEASURES

2.1. Monitoring and reporting rules

Specify frequency and conditions.

Regular in-depth reporting of quality implementation is in place, according to specific Eurostat rules.

Grant recipients must deliver the data collected and the corresponding quality report.

2.2. Management and control system

2.2.1. Risk(s) identified

Direct management mode = inherent risks limited to management of grants.

2.2.2. Control method(s) envisaged

Use of simplified form of grants may reduce the risk of errors.

2.3. Measures to prevent fraud and irregularities

Specify existing or envisaged prevention and protection measures.

All the potential recipients of grants are public bodies (National Statistical Institutes). They are grants without calls for proposals. Controls are in place, taking into account these specific grant procedures, and involve ex-ante and ex-post analysis of the grant management.

The use of lump sums, in accordance with Article 124(1) of the Financial Regulation, substantially reduces the risk of errors relating to the management of grants in order to allow significantly simplified administration.

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing expenditure budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Description]	Diff./non-diff. (16)	from EFTA ¹⁷ countries	from candidate countries ¹⁸	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
	[XX.YY.YY.YY]	Diff/non -diff.	YES/N O	YES/N O	YES/N O	YES/NO

- New budget lines requested

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	HEADING 1: Smart and Inclusive Growth	Diff./non-diff.	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
1a	<u>04 03 02 01 XX</u> PROGRESS — Support the development, implementation, monitoring and evaluation of Union employment and social policy and legislation on working conditions	DA	YES	YES	NO	NO

¹⁶ Diff. = Differentiated appropriations / Non-diff. = Non-differentiated appropriations.

¹⁷ EFTA: European Free Trade Association.

¹⁸ Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

3.2. Estimated impact on expenditure

3.2.1. Summary of estimated impact on expenditure

EUR million (to 3 decimal places)

Heading of multiannual financial framework:		Number	HEADING 1: Smart and Inclusive Growth													
DG EMPL			Year N ¹⁹ 2014	Year N+1 2015	Year N+2 2016	Year N+3 2017	Year N+4 2018	Year N+5 2019	Year N+6 2020	TOTAL						
• Operational appropriations																
Number of budget line	Commitments	(1)	2	2	2	2	2	2	2	14						
	Payments	(2)	0.8	0.8	2	2	2	2	2	11.6						
Number of budget line	Commitments	(1a)														
	Payments	(2a)														
Appropriations of an administrative nature financed from the envelope for specific programmes ²⁰																
Number of budget line 29.010405		(3)	0.047	0.047	0.047	0.047	0.047	0.047	0.047	0.329						
TOTAL appropriations for DG EMPL	Commitments	=1+1a+3	2.047	2.047	2.047	2.047	2.047	2.047	2.047	14,329						
	Payments	=2+2a+3	0.847	0.847	2.047	2.047	2.047	2.047	2.047	11,929						

Note: This calculation presumes a pre-financing of 40 % in year N and a final payment of 60 % in year N+2.

¹⁹

Year N is the year in which implementation of the proposal/initiative starts.

²⁰

Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

Heading of multiannual financial framework:		5	Administrative expenditure							
			EUR million (to 3 decimal places)							
			Year N 2014	Year N+1 2015	Year N+2 2016	Year N+3 2017	Year N+4 2018	Year N+5 2019	Year N+6 2020	TOTAL
DG ESTAT										
• Human resources			0.223	0.223	0.223	0.223	0.223	0.223	0.223	1.559
• Other administrative expenditure										
TOTAL DG ESTAT			Appropriations							
TOTAL appropriations under HEADING 5 of the multiannual financial framework										
			EUR million (to 3 decimal places)							
			Year N 2014	Year N+1 2015	Year N+2 2016	Year N+3 2017	Year N+4 2018	Year N+5 2019	Year N+6 2020	TOTAL
TOTAL appropriations under HEADINGS 1 to 5 of the multiannual financial framework			Commitments		2.270	2.270	2.270	2.270	2.270	15,890
			Payments		1.070	1.070	2.270	2.270	2.270	13,490

3.2.2. Estimated impact on operational appropriations

- ☐ The proposal/initiative does not require the use of operational appropriations
- ☒ The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to 3 decimal places)

Indicate objectives and outputs		Year N	Year N+1	Year N+2	Year N+3	Year N+4	Year N+5	Year N+6	TOTAL			
		2014	2015	2016	2017	2018	2019	2020				
OUTPUTS												
↓	Type of output ₂₁	Average cost of the output	Number of outputs	Cost	Number of outputs	Cost	Number of outputs	Cost	Total number of outputs	Total cost		
SPECIFIC OBJECTIVE No 1 ²²												
Number of records	Survey micro-data	3.4 €/record	580,905	2	580,905	2	580,905	2	580,905	2	4,066,336	14
	Sub-total for specific objective No 1		580,905	2	580,905	2	580,905	2	580,905	2	4,066,336	14
TOTAL COST			580,905	2	580,905	2	580,905	2	580,905	2	4,066,336	14

Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.). As described in Section 1.4.2. 'Specific objective(s)...'

3.2.3. Estimated impact on appropriations of an administrative nature

3.2.3.1. Summary

- ☐ The proposal/initiative does not require the use of administrative appropriations
- ☒ The proposal/initiative requires the use of administrative appropriations, as explained below:

EUR million (to 3 decimal places)

	Year N	Year N+1	Year N+2	Year N+3	Year N+4	Year N+5	Year N+6	TOTAL
	2014	2015	2016	2017	2018	2019	2020	

HEADING 5 of the multiannual financial framework								
Human resources	0.223	0.223	0.223	0.223	0.223	0.223	0.223	1.559
Other administrative expenditure								
Subtotal HEADING 5 of the multiannual financial framework								

Outside HEADING 5²³ of the multiannual financial framework								
Human resources	0.047	0.047	0.047	0.047	0.047	0.047	0.047	0.328
Other expenditure of an administrative nature								
Subtotal outside HEADING 5 of the multiannual financial framework								

TOTAL	0.270	0.270	0.270	0.270	0.270	0.270	0.270	1.887
--------------	-------	-------	-------	-------	-------	-------	-------	--------------

²³

Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

3.2.3.2. Estimated requirements of human resources

- ☐ The proposal/initiative does not require the use of human resources
- ☒ The proposal/initiative requires the use of human resources, as explained below:

Estimate to be expressed in full time equivalent units (or at most to one decimal place)

	Year N	Year N+1	Year N+2	Year N+3	Year N+4	Year N+5	Year N+6
	2014	2015	2016	2017	2018	2019	2020
• Establishment plan posts (officials and temporary agents)							
29 01 01 01 (Headquarters and Commission's Representation Offices)	1.7	1.7	1.7	1.7	1.7	1.7	1.7
XX 01 01 02 (Delegations)							
XX 01 05 01 (Indirect research)							
10 01 05 01 (Direct research)							
• External personnel (in Full Time Equivalent unit: FTE)²⁴							
29 01 02 01 (CA, INT, SNE from the 'global envelope')							
XX 01 02 02 (CA, INT, JED, LA and SNE in the delegations)							
29 01 04 05²⁵	- at Headquarters ²⁶	0.6	0.6	0.6	0.6	0.6	0.6
	- in delegations						
XX 01 05 02 (CA, INT, SNE — Indirect research)							
10 01 05 02 (CA, INT, SNE — Direct research)							
Other budget lines (specify)							
TOTAL	2.3	2.3	2.3	2.3	2.3	2.3	2.3

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary agents	<p>Officials carry out the following tasks:</p> <p>- Methodological work for the sound definition of the survey</p>
--------------------------------	---

²⁴ CA= Contract Agent; INT= agency staff ('Intérimaire'); JED= 'Jeune Expert en Délégation' (Young Experts in Delegations); LA= Local Agent; SNE= Seconded National Expert.

²⁵ Under the ceiling for external personnel from operational appropriations (former "BA" lines).

²⁶ Essentially for Structural Funds, European Agricultural Fund for Rural Development (EAFRD) and European Fisheries Fund (EFF).

	<ul style="list-style-type: none"> - IT work for receiving, validating and processing the data - Data analysis, data release and user support
External personnel	<p>SNE carry out the following tasks:</p> <ul style="list-style-type: none"> - Methodological work for the sound definition of the survey

3.2.4. Compatibility with the current multiannual financial framework

- ☒ Proposal/initiative is compatible with the current multiannual financial framework.
- ☐ Proposal/initiative will entail reprogramming of the relevant heading in the multiannual financial framework.

Not applicable

- ☐ Proposal/initiative requires application of the flexibility instrument or revision of the multiannual financial framework²⁷.

Not applicable

3.2.5. Third-party contributions

- The proposal/initiative does not provide for co-financing by third parties

X The proposal/initiative provides for the co-financing estimated below:

Appropriations in EUR million (to 3 decimal places)

	Year N 2014	Year N+1 2015	Year N+2 2016	Year N+3 2017	Year N+4 2018	Year N+5 2019	Year N+6 2020	Total
Specify the co-financing body	0.86	0.86	0.86	0.86	0.86	0.86	0.86	6.02
TOTAL appropriations co-financed	0.86	0.86	0.86	0.86	0.86	0.86	0.86	6.02

Note: these amounts are estimated from historical costs, when 2 million euros financed 70% of the costs. This means they required 0.86 million euros from third parties. Non-profit is ensured through proper ex-ante calculation of lump sum amounts for each recipient (i.e. each Member State).

²⁷ See points 19 and 24 of the Interinstitutional Agreement.

Estimated impact on revenue

- ☒ Proposal/initiative has no financial impact on revenue.
- ☐ Proposal/initiative has the following financial impact:
 - ☐ on own resources
 - ☐ on miscellaneous revenue

EUR million (to 3 decimal places)

Budget revenue line:	Appropriations available for the ongoing budget year	Impact of the proposal/initiative ²⁸						
		Year N	Year N+1	Year N+2	Year N+3	... insert as many columns as necessary in order to reflect the duration of the impact (see point 1.6)		
Article								

For miscellaneous assigned revenue, specify the budget expenditure line(s) affected.

Not applicable

Specify the method for calculating the impact on revenue.

Not applicable

²⁸

As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 25 % for collection costs.