



**COUNCIL OF
THE EUROPEAN UNION**

Brussels, 16 April 2013

**9667/05
EXT 1**

COSDP 354

PARTIAL DECLASSIFICATION

of document:	9667/05 RESTREINT UE/EU RESTRICTED
dated:	3 June 2005
new status:	Public
Subject:	EU Principles for EU HQs

Delegations will find attached the partially declassified version of the above-mentioned document.



**COUNCIL OF
THE EUROPEAN UNION**

Brussels, 3 June 2005

**9667/05
EXT 1 (16.04.2013)**

ESDP/PESD

COSDP 354

NOTE

from : European Union Military Staff
to : European Union Military Committee

No. prev. doc. : EUMC Mtg Doc 29/05

Subject : EU Principles for EU HQs

Delegations will find attached the "EU Principles for EU HQs", which was agreed by the EUMC on 2 June 2005.

EU PRINCIPLES FOR EU HQs

EU PRINCIPLES FOR EU HQs

TABLE OF CONTENTS

TITLE	PAGES	
TABLE OF CONTENTS	3	
REFERENCES	4	
INTRODUCTION		
A. AIM AND SCOPE	5	
B. GENERAL PRINCIPLES AND REQUIREMENTS FOR EU-HQs	5	
C. CRISIS RESPONSE MILITARY STRATEGIC PLANNING	6	
PRINCIPLES		
D. COMMAND AND CONTROL	7	
E. HQ ESTABLISHMENT AND STRUCTURE	9	
F. MILITARY OPERATIONAL PLANNING	12	
ANNEXES		
ANNEX A	CRISIS RESPONSE MILITARY STRATEGIC PLANNING PROCESS	14
ANNEX B	OPERATIONAL PLANNING PROCESS	15
ANNEX C	MECHANISM FOR THE IDENTIFICATION AND SELECTION OF PRIMARY AUGMENTEES FOR AN EU OHQ	16
ANNEX D	LIST OF SUPPORTING DOCUMENTS	17

REFERENCES

- A. Treaty on European Union;
- B. Framework for EU-NATO relations (Berlin Plus), SG/HR-NATO SG exchange of letters, dated 17 Mar 03 including the attached list of documents;
- C. "EU Military C2 Concept", Council doc. 11096/03, 03 Jul 03;
- D. "Civil Military Co-ordination", Council doc. 14457/03, 07 Nov 03;
- E. "European Defence: NATO/EU Consultation, Planning and Operation", Council doc. 13990/1/04 REV 1, 7 Dec 04;
- F. "General Principles and Procedures for EU Force Identification, Generation/Activation and Deployment", Council doc. 12398/02, 26 Sep 02;
- G. "Suggestions for Procedures for Coherent - Comprehensive EU Crisis Management" (CMP), Council doc. 11127/03, 03 Jul 03;
- H. "EU Concept for Military Strategic Planning", Council doc. 12046/01, 25 Sep 01;

INTRODUCTION

A. AIM AND SCOPE

1. The aim of this document is to provide guidelines and principles that will serve as a basis for the establishment and operation of an EU Headquarters (EU HQ), when used in the context of an EU-led military Crisis Management Operation (EU-led military CMO).
2. Detailed procedures are subject to follow-on work and current staffing regarding Standard Operational Procedures (SOP) for the various HQs. They are therefore not addressed in this document.
3. The detail of existing policies, concepts and doctrines is not contained herein but such related policies, concepts and doctrines are listed in Annex D.

B. GENERAL PRINCIPLES AND REQUIREMENTS FOR EU-HQs

4. It is generally envisaged that an EU-led military CMO will be multinational in nature (Combined) and with command structures able to command and control operations in which elements of more than one service participate (Joint). Furthermore EU Operations Headquarters¹ (EU OHQs) and EU Force Headquarters (EU FHQs) for an EU-led military CMO should always be both Combined and Joint.
5. Procedures will adhere to EU agreed concepts (see references and supporting documents) and will be, to the extent possible, compatible with those of NATO.

¹ In the context of this document the activated EU Operations Centre (OpsCen) will operate according to the principles laid down in this document.

C. CRISIS RESPONSE MILITARY STRATEGIC PLANNING

6. External Planning Support to EUMS

- a. In order to develop and prioritise Military Strategic Options (MSOs), the European Union Military Staff (EUMS) may draw on planning support from various sources, as appropriate. Having determined the initial general options, as outlined in the Crisis Management Concept (CMC), the EUMS may call in other planning resources, e.g. the potential EU OHQs. The EUMS evaluates the results of such more detailed work and, if necessary, may ask for further support;
- b. The involvement of the potential Operation Commander (OpCdr) is paramount. In this case an appropriate liaison team of the respective potential EU OHQ may be deployed to the EUMS. This appropriate liaison team would function as a single Point of Contact (POC) for the HQ.

7. Interaction of Parent HQ with EU Civ/Mil Cell

- a. One of the operational tasks of the Civilian/Military Cell (Civ/Mil Cell) is the generation of the capacity to rapidly set up an EU Operations Centre (OpsCen) for a particular operation. In this context the Civ/Mil Cell Operations Centre Permanent Staff (OCPS) has to prepare concepts and procedures for the EU OpsCen, to ensure the availability and readiness of the key nucleus reinforced and further augmentees for the EU OpsCen, in particular by training and education. Furthermore it has to ensure the availability and readiness of the facilities and equipment of the EU OpsCen for operations, exercises and training and to maintain, update and replace the equipment;
- b. The tasks mentioned above require regular co-ordination and co-operation of the Civ/Mil Cell, in particular the OCPS, with all parent HQs in order to avoid unnecessary duplications, to establish common understanding and procedures and to ensure interoperability, as appropriate and achievable.

Pages 7 through 16: NOT DECLASSIFIED

LIST OF SUPPORTING DOCUMENTS

- A. "Use of Force Concept for EU-led Military CMO", Council doc. 13478/02, 21 Nov 02;
- B. "EU Framework Nation Concept", Council doc. 11278/02, 25 Jul 02;
- C. "EU Military Rapid Response Concept", Council doc. 5641/1/03, 16 Sep 03;
- D. "EU Battlegroup Concept", Council doc. 10501/04, 14 June 04;
- E. "Military Intelligence Structures Concept for EU Crisis Management and EU-led CMO"
Council doc. 6346/03, 13 Feb 03;
- F. "Military Information Security Concept for EU-led CMO", Council doc. 6630/05, 21 Feb 05;
- G. "Military ISTAR Concept for EU Crisis Management and EU-led CMO", Council doc.
7480/02, 26 Mar 02;
- H. "Exercise Policy of the EU", Council doc. 8373/1/01, Rev 1, 08 May 01;
- I. "EU Exercise Concept", Council doc. 9329/04, 7 May 04;
- J. "Logistic Support Concept for EU-led Military CMO", Council doc.16200/04, 16 Dec 04;
- K. "Health and Medical Support Concept for EU-led CMO", Council doc. 10147/02, 18 Jun 02;
- L. "The Host Nation Support Concept for EU-led CMO", Council doc. 15208/02, 06 Dec 02;
- M. "The Strategic M & T Concept for EU-led CMO", Council doc. 12351/02, 25 Sep 02;
- N. "Military CIS Concept for EU-led Crisis Management", Council doc. 13791/01, 12 Nov 01;
- O. "Draft Guidelines for ESDP Crisis Response Information Activities", Council doc. 13817/02,
18 Nov 02;
- P. "Concept for EU Military Information Operations", Council doc. 6637/1/03 REV 1, 27 Feb
03;
- Q. "Concept for EU Psychological Operations in EU-led CMO", Council doc. 14153/04, 3 Nov
04;
- R. "Civil-Military Co-operation (CIMIC) Concept for EU-led CMO", Council doc. 7106/02, 18
Mar 02;
- S. "Civil-Military Co-operation (CIMIC) Functional Planning Guide for EU-led CMO", Council
doc. 12265/02, 27 Sep 02;
- T. "ESDP Geospatial Information Concept, Council doc. 14094/1/04 Rev 1, 14 Mar 05;
- U. "Draft Model Participation Agreement - Third States", Council doc. 12044/04, 07 Sep 04;
- V. "Draft Framework Participation Agreement - Third States", Council doc. 6284/04, 17 Feb
2004;

- W. “Draft Model Agreement on the status of the EU-led forces between the EU and a Host State”, Council doc. 8720/05, 18 May 05;
 - X. Council Decision 2004/197/CFSP dated 23 February 2004 (amended by CD 2004/925/CFSP, of 22 Dec 04 and CD 2005/68/CFSP, of 24 Jan 05) establishing the "ATHENA mechanism", Official Journal EU L 63/68 of 28 February 2004;
 - Y. “Agreement between the EU and the NATO on the security of information”, Council decision 2003/211/CFSP of 24 Feb 2003;
 - Z. “Agreements between Members States of the European Union related to EU SOFA”, Official Journal C 321/6 of 31 Dec 03;
 - AA. “Arrangements for the Involvement of Non-EU European Allies in the EUMS and in National OHQs Designated for an EU-led Operation”, Council doc. 9825/03, 27 May 03;
 - BB. “Principles for an EU FHQ-Revision 1”, EUMC Mtg Doc. No:05/04, 27 Apr 04;
 - CC. EUMS SOP for Crisis Management, dated 22 Feb 05;
 - DD. EUMS Glossary (Acronyms and Definitions updated half-yearly);
-