



**COUNCIL OF
THE EUROPEAN UNION**

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PROPOSAL

from:	Commission/High Representative
dated:	26.4.2013
No Cion doc.:	JOIN(2013) 11 final
Subject:	Joint Proposal for a Council Regulation amending Regulation (EC) No 147/2003 concerning restrictive measures in respect of Somalia

Delegations will find attached a proposal from the Commission and the High Representative of the EU for Foreign Affairs and Security Policy, submitted under a covering letter from Mr Jordi AYET PUIGARNAU to Mr Uwe CORSEPIUS, Secretary-General of the Council of the European Union.

Encl.: JOIN(2013) 11 final



EUROPEAN
COMMISSION

HIGH REPRESENTATIVE OF THE
EUROPEAN UNION FOR
FOREIGN AFFAIRS AND
SECURITY POLICY

Brussels, 26.4.2013
JOIN(2013) 11 final

2013/0143 (NLE)

Joint Proposal for a

COUNCIL REGULATION

**amending Regulation (EC) No 147/2003 concerning restrictive measures in respect of
Somalia**

EXPLANATORY MEMORANDUM

- (1) Council Regulation (EC) No 147/2003 concerning certain restrictive measures against Somalia¹ imposes a general ban on the provision of technical advice, assistance, training, financing and financial assistance related to military activities to any person, entity or body in Somalia.
- (2) On 6 March 2013, the United Nations Security Council adopted resolution 2093 (2013) thereby amending the arms embargo imposed by paragraph 5 of resolution 733 (1992) and further elaborated upon by paragraphs 1 and 2 of resolution 1425 (2002) thereby providing for a derogation from the prohibition of assistance related to weapons and military equipment intended for the support of AMISOM's strategic partners, for United Nations personnel, for the successor mission of the United Nations Political Office for Somalia, for the development of the Security Forces of the Federal Government of Somalia, and to provide security for the Somali people.
- (3) The Council will adopt a new Council Decision amending Decision 2010/231/CFSP so as to provide for such derogation.
- (4) This measure falls within the scope of the Treaty on the Functioning of the European Union and regulatory action at the level of the Union is therefore necessary in order to implement it, in particular with a view to ensuring its uniform application by economic operators in all Member States.
- (5) Council Regulation (EC) No 147/2003 should therefore be amended accordingly.

¹ OJ L 24, 29.01.2003, p. 2.

Joint Proposal for a

COUNCIL REGULATION

amending Regulation (EC) No 147/2003 concerning restrictive measures in respect of Somalia

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 215 thereof,

Having regard to Council Decision 2010/231/CFSP of 26 April 2010 concerning restrictive measures against Somalia²,

Having regard to the joint proposal from the High Representative of the Union for Foreign Affairs and Security Policy and the European Commission,

Whereas:

- (1) Council Regulation (EC) No 147/2003 concerning certain restrictive measures against Somalia³ imposes a general ban on the provision of technical advice, assistance, training, financing and financial assistance related to military activities to any person, entity or body in Somalia.
- (2) On 6 March 2013, the United Nations Security Council adopted resolution 2093 (2013) thereby amending the arms embargo imposed by paragraph 5 of resolution 733 (1992) and further elaborated upon by paragraphs 1 and 2 of resolution 1425 (2002) thereby providing for a derogation from the prohibition of assistance related to weapons and military equipment intended for the support of AMISOM's strategic partners, for United Nations personnel, for the successor mission of the United Nations Political Office for Somalia, for the development of the Security Forces of the Federal Government of Somalia, and to provide security for the Somali people.
- (3) On ... April 2013 the Council adopted Council Decision 2013/.../CFSP⁴, which amends Decision 2010/231/CFSP and provides for such derogations.
- (4) These measures fall within the scope of the Treaty on the Functioning of the European Union and regulatory action at the level of the Union is therefore necessary in order to implement it, in particular with a view to ensuring its uniform application by economic operators in all Member States.

² OJ L 105, 27.04.2010, p. 17.

³ OJ L 24, 29.01.2003, p. 2.

⁴ OJ L ...

(5) Council Regulation (EC) No 147/2003 should therefore be amended accordingly,

HAS ADOPTED THIS REGULATION:

Article 1

Regulation (EC) No 147/2003 is amended as follows:

(1) Article 2a is replaced by the following:

“Article 2a

By way of derogation from Article 1, the competent authority, as indicated in the websites set out in Annex I, in the Member State where the service provider is established, may authorise, under such conditions as it deems appropriate:

- (a) the provision of financing, financial assistance, technical advice, assistance or training related to military activities, if it has determined that such financing, advice, assistance or training is intended solely for the support of, or use by, the AMISOM mission referred to in paragraph 4 of United Nations Security Council Resolution 1744 (2007) or for the sole use of States and regional organisations undertaking measures in accordance with paragraph 6 of UNSCR 1851 (2008) and paragraph 10 of UNSCR 1846 (2008);
- (b) the provision of financing, financial assistance, technical advice, assistance or training related to military activities, if it has determined that such financing, advice, assistance or training is intended solely for the support of, or use by, AMISOM’s strategic partners, operating solely under the African Union Strategic Concept of 5 January 2012, and in cooperation and coordination with AMISOM as stipulated in paragraph 36 of UNSCR 2093 (2013).
- (c) the provision of financing, financial assistance, technical advice, assistance or training related to military activities, if it has determined that such financing, advice, assistance or training is intended solely for the support of or use by United Nations personnel, including the United Nations Political Office for Somalia or its successor mission.
- (d) the provision of technical advice, assistance or training related to military activities, if the following conditions are met:
 - (i) the competent authority concerned has determined that such advice, assistance or training is intended solely for the purpose of helping to develop security sector institutions, consistent with the political process set out in paragraphs 1, 2 and 3 of Resolution 1744 (2007); and
 - (ii) the Member State concerned has notified the Committee established by paragraph 11 of United Nations Security Council Resolution 751 (1992) of the determination that such advice, assistance or training is intended solely for the purpose of helping to develop security sector institutions, consistent with the political process set out in paragraphs 1, 2 and 3 of Resolution 1744 (2007) and of the intention of its competent

authority to grant an authorisation, and the Committee has not objected to that course of action within five working days of notification.

(e) the provision of financing, financial assistance, technical advice, assistance or training related to military activities, except in relation to the items set out in Annex III to this Regulation, if the following conditions are met:

(i) the competent authority concerned has determined that such advice, assistance or training is intended solely for the development of the Security Forces of the Federal Government of Somalia, and to provide security for the Somali people; and

(ii) a notification to the Committee established by paragraph 11 of United Nations Security Council Resolution 751 (1992) has been made at least five days in advance of any provision of advice, assistance or training intended solely for the development of the Security Forces of the Federal Government of Somalia, and to provide security for the Somali people, providing details of such advice, assistance or training in accordance with paragraph 38 of UNSCR 2093 (2013); or, if appropriate,

(iii) the Member State concerned, after having informed the Federal Government of Somalia of its intention to do so, has notified the Committee established by paragraph 11 of United Nations Security Council Resolution 751 (1992), at least five days in advance, of the determination that such advice, assistance or training is intended solely for the development of the Security Forces of the Federal Government of Somalia, and to provide security for the Somali people, and of the intention of its competent authority to grant an authorisation, including all the relevant information in accordance with paragraph 38 of UNSCR 2093 (2013).”

(2) In Article 3(1), points (c) and (d) are deleted.

(3) The text set out in the Annex to this Regulation is added as Annex III.

Article 2

This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the Council
The President

ANNEX

"ANNEX III

List of items referred to in Article 2a(e)

1. Surface to air missiles, including Man-Portable Air-Defence Systems (MANPADS);
2. Guns, howitzers, and cannons with a calibre greater than 12.7 mm, and ammunition and components specially designed for these. (This does not include shoulder fired anti-tank rocket launchers such as RPGs or LAWs, rifle grenades, or grenade launchers.);
3. Mortars with a calibre greater than 82 mm;
4. Anti-tank guided weapons, including Anti-tank Guided Missiles (ATGMs) and ammunition and components specially designed for these items;
5. Charges and devices intended for military use containing energetic materials; mines and related materiel;
6. Weapon sights with a night vision capability."