



**COUNCIL OF  
THE EUROPEAN UNION**

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**COVER NOTE**

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| from:            | Mr Janusz LEWANDOWSKI, Member of the European Commission   |
| date of receipt: | 21 May 2013  |
| to:              | Mr Brian HAYES, President of the Council of the European Union   |
| Subject:         | Transfer of appropriations No DEC 08/2013 within Section III - Commission - of the general budget for 2013 |

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Delegations will find attached Commission document DEC 08/2013.

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Encl.: DEC 08/2013



# EUROPEAN COMMISSION

BRUSSELS, 16/05/2013

GENERAL BUDGET - 2013  
SECTION III - COMMISSION TITLES 04, 40

## TRANSFER OF APPROPRIATIONS N° DEC 08/2013

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EUR

### FROM

**CHAPTER** - 0405 European Globalisation Adjustment Fund (EGF)

ARTICLE - 04 05 01 European Globalisation Adjustment Fund (EGF) Payments - 750 000

**CHAPTER** - 4002 Reserves for financial interventions

ARTICLE - 40 02 43 Reserve for the European Globalisation Adjustment Fund Commitments - 750 000

### TO

**CHAPTER** - 0401 Administrative expenditure of the `Employment and social affairs -  
policy area

ITEM - 04 01 04 14 European Globalisation Adjustment Fund (EGF) - Expenditure on  
administrative management Non-Diff. 750 000

## INTRODUCTION

Regulation 1927/2006 of the European Parliament and of the Council of 20 December 2006, as amended by Regulation (EC) 546/2009, establishes the European Globalisation Adjustment Fund (EGF).

Point 28 of the Inter-institutional Agreement between the European Parliament, the Council and the Commission of 17 May 2006 on budgetary discipline and sound financial management sets the budgetary framework.

The total annual budget available for EGF is EUR 500 million. Article 8(1) of Regulation (EC) 1927/2006 states that 0.35% of this amount (i.e. EUR 1 750 000) can be made available each year for technical assistance at the initiative of the Commission.

## I. INCREASE

### a) Heading

#### **04 01 04 14 - European Globalisation Adjustment Fund (EGF) - Expenditure on administrative management**

### b) Figures at 15/04/2013

|   | <b>Non-Diff.</b> |
|---|------------------|
| 1A. Appropriation in budget (initial budget + AB)   | p.m.             |
| 1B. Appropriation in budget (EFTA)  | 0                |
| 2. Transfers  | 0                |
| <hr/>   |                  |
| 3. Final appropriation for the year (1A+1B+2)   | 0                |
| 4. Utilisation of final appropriation   | 0                |
| <hr/>   |                  |
| <b>5. Amount not used/available (3-4)</b>   | <b>0</b>         |
| <b>6. Requirements up to year-end</b>   | <b>750 000</b>   |
| <b>7. Increase proposed</b>   | <b>750 000</b>   |
| 8. Increase as percentage of appropriation in budget (7/1A)   | N/A              |
| 9. Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application | n/a              |

### c) Receipts arising from recovery (carried over) (C5)

|  | <b>Non-Diff.</b> |
|--|------------------|
| 1. Appropriation available at start of year  | 0                |
| 2. Appropriation available on the 15/04/2013 | 0                |
| 3. Rate of utilisation $[(1-2)/1]$           | n/a              |

### d) Detailed grounds for the increase

An amount of EUR 750 000 is requested in order to cover technical assistance related to the European Globalisation Adjustment Fund.

The objective of the technical assistance is to finance monitoring, information and advice to Member States on using, following up and evaluating EGF.

The measures to be supported with the requested appropriations are as follows.

- Information: The website on the EGF, set up and maintained as required under Article 9(2) of Regulation (EC) No 1927/2006, will be regularly updated and expanded, with each new element also being translated into all EU languages. Information on the new EGF Regulation will be presented, and the annual report of the EGF will be produced, translated, printed and distributed. General awareness of the EGF and its visibility will be encouraged. The EGF will be covered in various Commission publications and audio-visual activities.

- Creation of a knowledge base: The Commission is continuing its work with a view to setting up an electronic application form and standardised procedures for EGF applications, which will allow applications under the new Regulation to be simplified, their processing to be speeded up and reports to be more easily extracted for varying needs. Standardisation of final report forms is also continuing, with the objective of easing the administrative burden of the Member States. It will improve its database containing the facts and figures of EGF cases, including workers, measures and outcomes.
- Administrative and technical support: The costs will cover two annual meetings of the Expert Group of Contact Persons of the EGF, with one member from each Member State. In addition, the Commission will be organising networking among the Member States. The priority event within this networking activity will be a seminar for practitioners on the implementation of the EGF, using the experience gathered under the current Regulation (2007 - 2013) to prepare the ground for the new one (2014 - 2020).
- Evaluation: The Commission will conduct the final phase of the ex-post evaluation of the EGF (2007-2013) by means of a contract with an external consultant evaluating EGF cases as and when they are closed, and focusing on the impact of the EGF and its added value during the current period.

The above-mentioned measures aim to simplify the application procedure as well as to enable better access to and easier processing of the relevant data.

Enhanced knowledge sharing via the EGF website and publications as well as via expert groups and networking combined with a better overview of the functioning of the EGF would lead to more efficient implementation of EGF measures in the future.

## II. DECREASE

### II.A

#### a) Heading

#### 04 05 01 - European Globalisation Adjustment Fund (EGF)

#### b) Figures at 15/04/2013

|   | <b>Commitments</b> | <b>Payments</b>   |
|---|--------------------|-------------------|
| 1A. Appropriation in budget (initial budget + AB)   | p.m.               | 50 000 000        |
| 1B. Appropriation in budget (EFTA)  | 0                  | 0                 |
| 2. Transfers  | 0                  | 0                 |
| <hr/>   |                    |                   |
| 3. Final appropriation for the year (1A+1B+2)   | 0                  | 50 000 000        |
| 4. Utilisation of final appropriation   | 0                  | 0                 |
| <hr/>   |                    |                   |
| <b>5. Amount not used/available (3-4)</b>   | <b>0</b>           | <b>50 000 000</b> |
| <b>6. Requirements up to year-end</b>   | <b>0</b>           | <b>49 250 000</b> |
| <b>7. Proposed decrease</b>   | <b>0</b>           | <b>750 000</b>    |
| 8. Decrease as percentage of appropriation in budget (7/1A)   | N/A                | 1.50%             |
| 9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application | n/a                | n/a               |

#### c) Receipts arising from recovery (carried over) (C5)

|  | <b>Commitments</b> | <b>Payments</b> |
|--|--------------------|-----------------|
| 1. Appropriation available at start of year  | 0                  | 0               |
| 2. Appropriation available on the 15/04/2013 | 0                  | 0               |
| 3. Rate of utilisation [(1-2)/1]             | n/a                | n/a             |

#### d) Detail grounds for the transfer

The line for technical assistance from the EGF at the Commission's initiative (budget line: 04 01 04 14) is used for this measure and must therefore be provided with commitment and payment appropriations. EUR 750 000 of the payment appropriations available on the EGF line 04 05 01 will be used to cover this amount.

## II.B

### a) Heading

#### 40 02 43 - Reserve for the European Globalisation Adjustment Fund

### b) Figures at 15/04/2013

|   | <b>Commitments</b> | <b>Payments</b> |
|---|--------------------|-----------------|
| 1A. Appropriation in budget (initial budget + AB)   | 500 000 000        | p.m.            |
| 1B. Appropriation in budget (EFTA)  | 0                  | 0               |
| 2. Transfers  | 0                  | 0               |
| <hr/>   |                    |                 |
| 3. Final appropriation for the year (1A+1B+2)   | 500 000 000        | 0               |
| 4. Utilisation of final appropriation   | 0                  | 0               |
| <hr/>   |                    |                 |
| <b>5. Amount not used/available (3-4)</b>   | <b>500 000 000</b> | <b>0</b>        |
| <b>6. Requirements up to year-end</b>   | <b>n/a</b>         | <b>0</b>        |
| <b>7. Proposed decrease</b>   | <b>750 000</b>     | <b>0</b>        |
| 8. Decrease as percentage of appropriation in budget (7/1A)   | 0.15%              | N/A             |
| 9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application | n/a                | n/a             |

### c) Receipts arising from recovery (carried over) (C5)

|  | <b>Commitments</b> | <b>Payments</b> |
|--|--------------------|-----------------|
| 1. Appropriation available at start of year  | 0                  | 0               |
| 2. Appropriation available on the 15/04/2013 | 0                  | 0               |
| 3. Rate of utilisation [(1-2)/1]             | n/a                | n/a             |

### d) Detail grounds for the transfer

Under point 28 of the Inter-institutional Agreement of 17 May 2006 on budgetary discipline and sound financial management, the reserve for the European Globalisation Adjustment Fund is intended to provide additional support for workers who suffer from the consequences of major structural changes in world trade patterns, to assist them with their reintegration into the labour market.

