



**COUNCIL OF
THE EUROPEAN UNION**

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COVER NOTE

from:	Mr Janusz LEWANDOWSKI, Member of the European Commission
date of receipt:	5 July 2013
to:	Mr Algimantas RIMKŪNAS, President of the Council of the European Union
Subject:	Transfer of appropriations No DEC 21/2013 within Section III - Commission - of the general budget for 2013

Delegations will find attached Commission document DEC 21/2013.

Encl.: DEC 21/2013



BRUSSELS, 01/07/2013

GENERAL BUDGET - 2013
SECTION III - COMMISSION TITLE 19

TRANSFER OF APPROPRIATIONS N° DEC 21/2013

EUR

FROM

CHAPTER - 1903 Common foreign and security policy (CFSP)

ITEM - 19 03 01 02 EULEX Kosovo

Payments - 214 000

ARTICLE - 19 03 02 Non-proliferation and disarmament

Payments - 451 000

ARTICLE - 19 03 04 Emergency measures

Payments - 12 210 178

ARTICLE - 19 03 05 Preparatory and follow-up measures

Payments - 1 900 000

ARTICLE - 19 03 06 European Union Special Representatives

Payments - 224 822

CHAPTER - 1904 European Instrument for Democracy and Human Rights (EIDHR)

ARTICLE - 19 04 03 Electoral observation

Payments - 6 000 000

TO

CHAPTER - 1906 Crisis response and global threats to security

ITEM - 19 06 01 01 Crisis response and preparedness (Instrument for Stability)

Payments 21 000 000

I. INCREASE

a) Heading

19 06 01 01 - Crisis response and preparedness (Instrument for Stability)

b) Figures at 20/06/2013

	Payments
1A. Appropriation in budget (initial budget + AB)	118 116 085
1B. Appropriation in budget (EFTA)	0
2. Transfers	-918 458
3. Final appropriation for the year (1A+1B+2)	117 197 627
4. Utilisation of final appropriation	92 006 453
5. Amount not used/available (3-4)	25 191 174
6. Requirements up to year-end	46 191 174
7. Increase proposed	21 000 000
8. Increase as percentage of appropriation in budget (7/1A)	17.78%
9. Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Payments
1. Appropriation available at start of year	100 451
2. Appropriation available on the 20/06/2013	0
3. Rate of utilisation $[(1-2)/1]$	100.00%

d) Detailed grounds for the increase

The 2013 budget was adopted with an amount of EUR 118 million in payment appropriations on budget line 19 06 01 01 Crisis response and preparedness of the Instrument of Stability. This represents a decrease of EUR 42 million or 26.2% compared to the Draft Budget 2013 proposed by the Commission.

As a result, the level of payment appropriations is not sufficient to respond to contractual obligations taken by the Commission. As of 20 June, the remaining payment appropriations amounted to EUR 25 million, and these will be paid by end of August 2013. This means that, as of September, this crisis-response part of the Instrument for Stability will not be able to operate.

A request to reinforce the Instrument for Stability was included in the Draft Amending Budget 2/2013. However, progress on this has been slower than foreseen, and so, without prejudice to the final outcome of these negotiations, a short-term solution needs to be found.

In this context and in order to address the most urgent needs, the Commission proposes a reinforcement of the budget line in question with EUR 21 million in payment appropriations through redeployment from other budget lines, notably from the Common Foreign and Security Policy (CFSP) and the Electoral Observation line of the European Instrument for Democracy and Human Rights (EIDHR), where amounts are temporarily available.

This is a temporary measure, and the budget lines being reduced might need to be reinforced at a later stage.

II. DECREASE

II.A

a) Heading

19 03 01 02 - EULEX Kosovo

b) Figures at 20/06/2013

	Payments
1A. Appropriation in budget (initial budget + AB)	108 726 103
1B. Appropriation in budget (EFTA)	0
2. Transfers	-17 000 000
3. Final appropriation for the year (1A+1B+2)	91 726 103
4. Utilisation of final appropriation	91 118 927
5. Amount not used/available (3-4)	607 176
6. Requirements up to year-end	393 176
7. Proposed decrease	214 000
8. Decrease as percentage of appropriation in budget (7/1A)	0.20%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Payments
1. Appropriation available at start of year	3 321 733
2. Appropriation available on the 20/06/2013	0
3. Rate of utilisation [(1-2)/1]	100.00%

d) Detail grounds for the transfer

See justifications provided under point I - Increase.

II.B

a) Heading

19 03 02 - Non-proliferation and disarmament

b) Figures at 20/06/2013

	Payments
1A. Appropriation in budget (initial budget + AB)	17 791 544
1B. Appropriation in budget (EFTA)	0
2. Transfers	6 000 000
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3. Final appropriation for the year (1A+1B+2)	23 791 544
4. Utilisation of final appropriation	7 979 075
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5. Amount not used/available (3-4)	15 812 469
6. Requirements up to year-end	15 361 469
7. Proposed decrease	451 000
8. Decrease as percentage of appropriation in budget (7/1A)	2.53%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Payments
1. Appropriation available at start of year	303 521
2. Appropriation available on the 20/06/2013	0
3. Rate of utilisation $[(1-2)/1]$	100,00%

d) Detail grounds for the transfer

See justifications provided under point I - Increase.

II.C

a) Heading

19 03 04 - Emergency measures

b) Figures at 20/06/2013

	Payments
1A. Appropriation in budget (initial budget + AB)	24 710 478
1B. Appropriation in budget (EFTA)	0
2. Transfers	-12 500 000
3. Final appropriation for the year (1A+1B+2)	12 210 478
4. Utilisation of final appropriation	0
5. Amount not used/available (3-4)	12 210 478
6. Requirements up to year-end	300
7. Proposed decrease	12 210 178
8. Decrease as percentage of appropriation in budget (7/1A)	49.41%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Payments
1. Appropriation available at start of year	0
2. Appropriation available on the 20/06/2013	0
3. Rate of utilisation $[(1-2)/1]$	n/a

d) Detail grounds for the transfer

See justifications provided under point I - Increase.

II.D

a) Heading

19 03 05 - Preparatory and follow-up measures

b) Figures at 20/06/2013

	Payments
1A. Appropriation in budget (initial budget + AB)	4 942 096
1B. Appropriation in budget (EFTA)	0
2. Transfers	0
3. Final appropriation for the year (1A+1B+2)	4 942 096
4. Utilisation of final appropriation	935 825
5. Amount not used/available (3-4)	4 006 271
6. Requirements up to year-end	2 106 271
7. Proposed decrease	1 900 000
8. Decrease as percentage of appropriation in budget (7/1A)	38.45%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Payments
1. Appropriation available at start of year	13 946
2. Appropriation available on the 20/06/2013	0
3. Rate of utilisation $[(1-2)/1]$	100.00%

d) Detail grounds for the transfer

See justifications provided under point I - Increase.

II.E

a) Heading

19 03 06 - European Union Special Representatives

b) Figures at 20/06/2013

	Payments
1A. Appropriation in budget (initial budget + AB)	15 814 706
1B. Appropriation in budget (EFTA)	0
2. Transfers	7 000 000
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3. Final appropriation for the year (1A+1B+2)	22 814 706
4. Utilisation of final appropriation	4 163 715
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5. Amount not used/available (3-4)	18 650 991
6. Requirements up to year-end	18 426 169
7. Proposed decrease	224 822
8. Decrease as percentage of appropriation in budget (7/1A)	1.42%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Payments
1. Appropriation available at start of year	2 859 723
2. Appropriation available on the 20/06/2013	0
3. Rate of utilisation [(1-2)/1]	100.00%

d) Detail grounds for the transfer

See justifications provided under point I - Increase.

II.F

a) Heading

19 04 03 - Electoral observation

b) Figures at 20/06/2013

	Payments
1A. Appropriation in budget (initial budget + AB)	29 652 574
1B. Appropriation in budget (EFTA)	0
2. Transfers	0
3. Final appropriation for the year (1A+1B+2)	29 652 574
4. Utilisation of final appropriation	7 127 857
5. Amount not used/available (3-4)	22 524 717
6. Requirements up to year-end	16 524 717
7. Proposed decrease	6 000 000
8. Decrease as percentage of appropriation in budget (7/1A)	20.23%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Payments
1. Appropriation available at start of year	230 648
2. Appropriation available on the 20/06/2013	0
3. Rate of utilisation $[(1-2)/1]$	100.00%

d) Detail grounds for the transfer

See justifications provided under point I - Increase.