



**COUNCIL OF  
THE EUROPEAN UNION**  
**GENERAL SECRETARIAT**

**Brussels, 22 July 2013**

**CM 3878/13**

**DAPIX**

**COMMUNICATION**

**REQUEST FOR CONTRIBUTION**

From:	Europol
To:	Delegations
Subject:	SIENA - Capture Member States' constraints

At the DAPIX meeting of 4 July, Europol outlined the features of an improved SIENA.

In order to address constraints Member States encounter when working with SIENA and to facilitate the solution of such problems, EUROPOL submitted the questionnaire in annex.

Delegations are invited to reply to the questionnaire by 6 September 2013.

## **Europol SIENA – Secure Information Exchange**

### **Capture Member States' constraints**

#### **1. Introduction**

In the draft Council Conclusions following the communication on the European Information Exchange Model (EIXM) (7226/3/13 REV3) the Council invites Europol to further enhance the SIENA solution in order to provide legal, technical and organisational compatibility with both national and (other) international workflows, including those based on standard secure e-mail and case management systems.

At the DAPIX Plenary meeting on 4 July 2013, Europol presented SIENA and its future evolution to continuously improve the operational and strategic data exchange as well as to further enhance the workflow- and case management within a secure environment.

Some Member States expressed that they are currently facing constraints working with SIENA at national level. In order for Europol to work towards a solution together with these Member States and to overcome these hurdles - within the authority and competency of Europol - we need to be aware of the details of these constraints.

#### **2. Questionnaire**

By means of this questionnaire we aim to identify and to capture your concerns and demands with regard to SIENA. You are kindly invited to fill in the questionnaire **electronically** and send it to [CDBPM@europol.europa.eu](mailto:CDBPM@europol.europa.eu) by Friday **6 September COB** at the latest.

#### **3. The way forward**

Europol would sincerely like to thank you for providing the requested information. Capturing your national constraint(s) in working with SIENA and identifying your national SIENA requirements is an important first step towards working on a solution which aims to fulfil your needs.

Europol will report on the result of the Questionnaire at the next DAPIX meeting in October 2013.

## **Administrative data**

Member State:

Unit:

Name and contact details:

### **1. Use of SIENA at national level – identify the constraint(s)**

1. - Has your Member State identified at national level any constraint(s) to work with SIENA?

☐ Yes    ☐ No

2. - If you selected YES to Question 1, for which constraint(s) can Europol be of any support? Please summarize the(se) constraint(s) and explain for each of them which action you require Europol to take.

<b>Constraint</b>	<b>Requested Europol action</b>

## **2. SIENA features – support to overcome the constraint(s)**

*How important are the following future SIENA functionalities for your Member State?*

*To which extent these SIENA features can overcome the constraint(s) that, if any, your Member State currently has when working with SIENA.*

1. - Indicate for each future SIENA functionality the importance for your Member State.

Nr	SIENA Feature	Very Important	Important	Nice to have	Not necessary
1	The <b>multilingual interface</b> enabling your SIENA end-users to display the SIENA interface in their own language	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	The <b>structured data exchange (UMF2)</b> enabling your Member State to exchange your data in a structured way, automate working processes and therefore reduce error rate and free resources to take up other tasks.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	<b>Connect your national case management system(s) (CMS) with SIENA</b> in order to enable your national SIENA end-users to send the data towards the other Member States directly from your national system, using the secure SIENA application at the backend.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	The <b>automated cross check notifications</b> enabling your SIENA end-users to easily follow-up a hit notification (following cross checks against Europol data repositories) and share the information fast and quick with the competent authority concerned at national level.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	The <b>integrated search</b> enabling your SIENA end-users to search via SIENA through all Europol databases in line with their access rights.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

6	The <b>advanced case management</b> enabling your SIENA end-users to improve keeping track of all information linked to criminal cases, investigations or proceedings such as connecting cases, chronological view of cases, hierarchical view of cases, reminders, customizable workflow to meet each countries needs, etc.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	<b>Support for JITs</b> enabling your SIENA end-users, when applicable, to become part of a dedicated case with a predefined group of participants having always access to all information exchanged on this case.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

8	<b>(Near) real-time communication</b> enabling your SIENA end-users to enhance means of communication, quality of communication and e.g. reduce travelling costs. This includes chat, voice and video conferencing, and guaranteed fast delivery of message.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	Alignment of <b>SIENA statistics</b> with statistics available in all Europol Information Management Systems and therefore improve the process of SIENA statistics.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	Receive a <b>read notification</b> informing your SIENA end-users at the moment the receiver opens the SIENA message in order to assure the message was delivered at its intended recipient(s).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. - Which of the above mentioned future SIENA features might affect the constraint(s) that, if any, your Member State currently has when working with SIENA?

Indicate the effect and explain where appropriate.

SIENA feature	Overcome constraint YES (Y) / No (N)	Explain
1. Multilingual interface	Y <input type="checkbox"/> N <input type="checkbox"/>	
2. UMF2 structured data	Y <input type="checkbox"/> N <input type="checkbox"/>	
3. Integration CMS-SIENA	Y <input type="checkbox"/> N <input type="checkbox"/>	
4. Automated cross-check notification	Y <input type="checkbox"/> N <input type="checkbox"/>	
5. Integrated search	Y <input type="checkbox"/> N <input type="checkbox"/>	
6. Advanced case management	Y <input type="checkbox"/> N <input type="checkbox"/>	
7. Support for JITs	Y <input type="checkbox"/> N <input type="checkbox"/>	

8. Real-time communication	Y <input type="checkbox"/>	N <input type="checkbox"/>	
9. SIENA statistics	Y <input type="checkbox"/>	N <input type="checkbox"/>	
10. Receive read notifications	Y <input type="checkbox"/>	N <input type="checkbox"/>	

3. Which other SIENA feature does your Member State require in order to overcome the constraint(s), if any, that your Member State currently has when working with SIENA?

Summarize and provide a detailed explanation of each required SIENA feature:

Required SIENA feature	Detailed description

### **3. National security settings and the impact on the use of SIENA at national level**

1. - Are there any upgrades of your national secure lines required in order to comply with Europol's code of connection?

Please describe

2. - If you need to upgrade your national secure lines, do you have the budget available at national level to perform this upgrade?

Please describe



3. - Does the network accreditation EU Restricted impact the ease of use of SIENA in your Member State?

Please describe

4. - To which extent a network accreditation upgrade towards EU Confidential might affect your Member State in using SIENA?

Please describe

5. - To which extent a network accreditation downgrade towards Basic Protection Level - if this is a feasible solution - might affect your Member State in using SIENA?

Please describe

#### **4. Other SIENA requirements**

Please provide any other requirement you have with regard to SIENA which has not been captured in any of the questions mentioned above:

Please describe

## **5. ISEC funded related questions - EU Commission**

1 – Which of the constraint(s) to work with SIENA at national level could be eased by co-funding under ISEC or ISF-Police (Union funding programmes)?

Please describe

2 – Please give an estimation of required amounts for co-funding for related projects.

Please describe

3 – Have you already integrated related activities into your draft national programmes under ISF-Police?

Please describe

4 – What are the reasons for not considering a co-funding from the Union programmes as a workable solution?

Please describe

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