



**COUNCIL OF  
THE EUROPEAN UNION**

**Brussels, 24 September 2013**

---

**Interinstitutional File:  
2013/0302 (COD)**

---

**13717/13  
ADD2**

**TRANS 475  
MAR 133  
CODEC 2035**

**COVER NOTE**

---

from: Secretary-General of the European Commission,  
signed by Mr Jordi AYET PUIGARNAU, Director

date of receipt: 10 September 2013

to: Mr Uwe CORSEPIUS, Secretary-General of the Council of the European  
Union

---

No Cion doc.: COM(2013) 622 final

---

Subject: ANNEX to the proposal for a DIRECTIVE OF THE EUROPEAN  
PARLIAMENT AND OF THE COUNCIL laying down technical requirements  
for inland waterway vessels and repealing Directive 2006/87/EC of the  
European Parliament and of the Council

---

Delegations will find attached Commission document COM(2013) 622 final.

Encl.: COM(2013) 622 final



Brussels, 10.9.2013  
COM(2013) 622 final

PART 2

**ANNEX**

**to the proposal for a**

**DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**laying down technical requirements for inland waterway vessels and repealing Directive  
2006/87/EC of the European Parliament and of the Council**

**ANNEX**

**to the proposal for a**

**DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**laying down technical requirements for inland waterway vessels and repealing Directive  
2006/87/EC of the European Parliament and of the Council**

## **LIST OF ANNEXES**

Annex III Subjects for possible additional technical requirements applicable to craft on inland waterways of Zones 1 and 2

Annex IV Subjects for possible reductions of the technical requirements applicable to craft on inland waterways of Zones 3 and 4

Annex V Model Union inland navigation certificates

Annex VI Model register of Union inland navigation certificates

Annex VII Classification societies

## ANNEX III

### **SUBJECTS FOR POSSIBLE ADDITIONAL TECHNICAL REQUIREMENTS APPLICABLE TO CRAFT ON INLAND WATERWAYS OF ZONES 1 AND 2**

Any additional technical requirements adopted by a Member State under Article 5(1) of this Directive for craft operating on Zones 1 and/or 2 of that Member State's territory are limited to the following subjects:

1. Definitions

- Necessary for understanding the additional requirements

2. Stability

- Structure reinforcement
- Certificate/attestation by an approved Classification Society

3. Safety clearance and freeboard

- Freeboard
- Safety clearance

4. Watertightness of hull openings and superstructures

- Superstructures
- Doors
- Windows and skylights
- Hold hatches
- Other openings (ventilation pipes, exhaust pipes, etc.)

5. Equipment

- Anchors and anchor chains
- Navigation lights
- Sound signals
- Compass
- Radar
- Transmitting and receiving installations
- Life-saving equipment

- Availability of nautical charts

#### 6. Additional provisions for passenger vessels

- Stability (wind strength, criteria)
- Life-saving equipment
- Freeboard
- Safety clearance
- Wheelhouse visibility

#### 7. Convoys and container transport

- Pusher connection craft-lighter
- Stability of craft or lighters carrying containers

## ANNEX IV

### **SUBJECTS FOR POSSIBLE REDUCTIONS OF THE TECHNICAL REQUIREMENTS APPLICABLE TO CRAFT ON INLAND WATERWAYS OF ZONES 3 AND 4**

Any reduced technical requirements allowed by a Member State under Article 5(7) of this Directive for vessels operating exclusively on Zone 3 or Zone 4 waterways on the territory of that Member State are restricted to the following subjects:

#### Zone 3

- Anchor equipment, including length of anchor chains
- (Forward) speed
- Collective life-saving appliances
- Two-compartment status
- Wheelhouse visibility

#### Zone 4

- Anchor equipment, including length of anchor chains
- (Forward) speed
- Life-saving appliances
- Two-compartment status
- Wheelhouse visibility
- Second independent propulsion system

ANNEX V

**MODEL Union INLAND NAVIGATION CERTIFICATES**

**Part I**

**MODEL Union INLAND NAVIGATION CERTIFICATE**

**UNION INLAND NAVIGATION CERTIFICATE**

(Reserved for State emblem)

**NAME OF STATE**

CERTIFICATE No .....

Place, date .....

Inspection Body

.....



.....

(Signature)

Remarks:

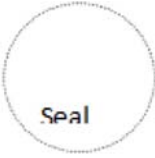
The craft may be used for navigation by virtue of this certificate only while in the condition herein described.

In the event of major alterations or repairs, the craft must undergo a special inspection before any new voyage.

The owner of a craft, or his representative, shall bring to the notice of the competent authority any change in the name or ownership of a craft, any re-measurement, and any change in the registration or home port, and shall send the Union inland navigation certificate to that authority for amendment.



Certificate No ..... of the..... Inspection Body

1. Name of craft	2. Type of craft	3. 'Unique European Vessel Identification Number'
4. Name and address of owner		
5. Place of registration and registration number	6. Home port	
7. Year of construction	8. Name and location of shipyard	
9. This certificate replaces Certificate No ..... issued on ..... by the .....Inspection Body		
<p>10. The abovementioned craft,  subsequent to the inspection carried out on *) .....  on presentation of the certificate issued on *) .....  by the approved classification society .....  is acknowledged as fit to operate  - on EU waterways in Zone(s) (*) .....  on the waterways in Zone(s) (*) .....  in ....(Names of States(*) .....  except for:.....  - on the following waterways in:...(Name of the State (*).....  at the maximum authorised draught and with the equipment and crew specified below.</p>		
11. The validity of this certificate expires on .....		
<p>*) Amendment to item(s): .....</p> <p>New text: .....</p> <p>.....</p> <p>*) This page has been replaced.</p> <p>Place, date ..... Inspection Body</p> <p>.....</p> <div style="text-align: center; margin-top: 20px;">  <p>Seal</p> </div> <p>.....</p> <p style="text-align: right;">(Signature)</p>		

---

\*) Delete as appropriate

Certificate No..... of the .....Inspection Body

12. The certificate number (1), unique European vessel identification number (2), registration number (3) and measurement number (4) are affixed with the corresponding signs at the following locations on the craft

1 .....

2 .....

3 .....

4 .....

13. The maximum authorised draught is indicated on each side of the craft

- by two - ..... - draught marks\*).
- by the upper measurement plates\*).

Two draught scales have been applied\*).

The rear measurement scales serve as draught scales: they have been supplemented for that purpose by figures indicating the draughts\*).

14. Without prejudice to the restrictions\* mentioned in items 15 and 52, the craft is fit to

1. push*)	4. be propelled in a side-by-side formation*)
1.1 in rigid formation*)	5. tow*)
1.2 with controlled articulation*)	5.1 craft having no motive power of their own*)
2. be pushed*)	5.2 motorised craft*)
2.1 in rigid formation*)	5.3 upstream only*)
2.2 at the head of a rigid formation*)	6. be towed*)
2.3 with controlled articulation*)	6.1 as a motorised craft*)
3. propel a side-by-side formation*)	6.2 as a craft with no motive power of its own*)

\*) Amendment to item(s): .....

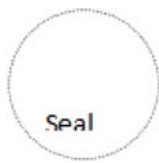
New text: .....

.....

\*) .....  
This page has been replaced.

Place, date ..... Inspection Body

.....



.....

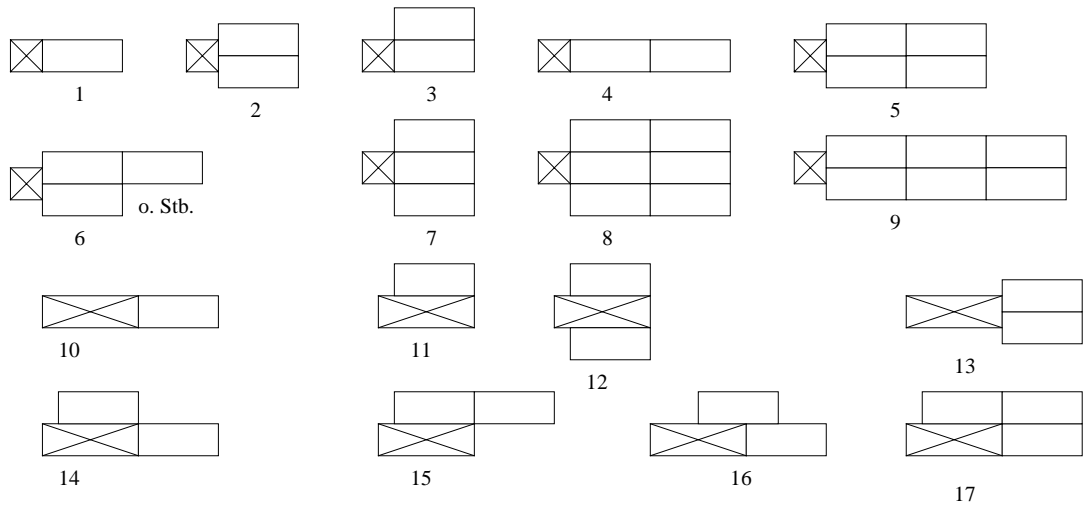
.....

(Signature)

\*) Delete as appropriate

15. Authorised formations  
 1. The craft is authorised to propel the following formations:

Formation figure	Restrictions resulting from chapters 5 and 16								
	Max. dimensions m		Navigation direction and load status				Maximum wetted section in m <sup>2</sup>		Remarks
	No	length	breadth	UPSTREAM		DOWNSTREAM		upstream	
loaded t				empty	Loaded t	empty			



Other formations :



2. Couplings:

Type of coupling: .....

Number of coupling cables: .....

Tensile strength per longitudinal coupling: ..... kN

Number of cable windings:

Number of couplings per side: .....

Length of each coupling cable: ..... m

Tensile strength per cable: ..... kN

\*) Amendment to item(s): .....

New text: .....

.....  
.....

\*) This page has been replaced.

Place, date .....

Inspection Body

.....



.....

(Signature)

\_\_\_\_\_  
\*) Delete as appropriate

Certificate No ..... of the ..... Inspection Body

16. Measurement certificate No ..... of the ..... Measurement Office dated.....			
17a. Length overall 17b. Length L	m m	18a. Breadth overall 18b. Breadth B	m m
		19. Draught overall 19b Draught T m	m
21. Dead weight/Displacement <sup>*)</sup> t/m <sup>3*)</sup>		22. Number of passengers:	23. Number of passenger berths:
24. Number of watertight compartments		25. Number of holds	26. Type of hatch cover
27. Number of main propulsion engines		28. Total power rating of main means of propulsion kW	29. Number of main propellers
30. Number of bow windlasses of which ..... powered		31. Number of stern windlasses of which .....powered	
32. Number of towing hooks		33. Number of towing winches of which..... powered	
34. Steering gear			
Number of rudder blades on main rudder		Main rudder drive - manual <sup>*)</sup> - electric <sup>*)</sup>	
		- electric/hydraulic <sup>*)</sup> - hydraulic <sup>*)</sup>	
Other installations: yes/no <sup>*)</sup> Type :			
Flanking rudder: yes/no <sup>*)</sup>		Flanking rudder drive: - manual <sup>*)</sup> - electric <sup>*)</sup>	
		- electric/hydraulic <sup>*)</sup> - hydraulic <sup>*)</sup>	
Bow rudder installation yes/no <sup>*)</sup>		- bow rudder <sup>*)</sup> - bow thruster <sup>*)</sup> - other installation <sup>*)</sup>	- Remote control yes/no <sup>*)</sup> Remote activation yes/no <sup>*)</sup>
35 Bilge and drainage system			
B ilge Number of bilge pumps ....., and Minimum pumping capacity drain of which power driven ....., age system first bilge pump ..... l/min m second bilge pump ..... l/min Numb er of bilge pump s ..... ..... Minim um pump ing capac ity of whic h			

power  
drive  
.....  
.....

first  
bilge  
pump  
.....  
.....  
.....  
l/min

second  
bilge  
pump  
.....  
.....  
.....  
l/min

\*) Amendment to item(s): .....

New text: .....

.....

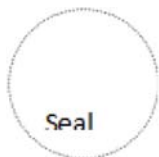
\*) .....

This page has been replaced.

Place, date .....

Inspection Body

.....



.....

(Signature)

.....  
\*) Delete as appropriate

Certificate No..... of the ..... Inspection Body

36. Number and position of closures referred to in Article 8.08, sections 10 and 11

37. Anchors			
Number of bow anchors .....	Total mass of bow anchors ..... kg	Number of stern anchors .....	Total mass of stern anchors ..... kg

38. Anchor chains			
Number of bow anchor chains .....	Length of each chain ..... m	Tensile strength of each chain ..... kN	
Number of stern anchor chains .....	Length of each chain ..... m	Tensile strength of each chain ..... kN	

39. Mooring cables

1st cable ..... m long with a tensile strength of ..... kN

2nd cable ..... m long with a tensile strength of ..... kN

3rd cable .....m long with a tensile strength of ..... kN

40. Towing cables

..... with a length of ..... m and a tensile strength of ..... kN

..... with a length of ..... m and a tensile strength of ..... kN

41. Visual and audible signals  
The lights, flags, balls, floats and audible warning devices used for signalling and to emit the visual and audible signals prescribed by the navigational authority regulations in force in the Member States are carried on board, as are the stand-alone emergency mooring lights prescribed by the navigational authority regulations in force in the Member States.

\*) Amendment to item(s): .....

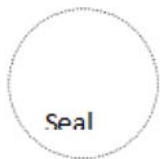
New text: .....

.....

\*) This page has been replaced.

Place, date ..... Inspection Body

.....



.....

(Signature)

\*) Delete as appropriate



Certificate No \_\_\_\_\_ of the ..... Inspection Body

42. Other equipment

heaving line	Voice communication- alternative two-way*)	
gangway in accordance with Article 10(2)(d)	system	- simultaneous two-way/telephone*)
in accordance with Article 15.06(12)		- internal radio-telephone link*)
Length ..... m		
gaff hook	Radio- telephone	- vessel-to-vessel service
first-aid kit		- nautical information service
pair of binoculars	Installation	- vessel-port authority service
notice concerning rescue		
of persons overboard		- in accordance with Article 11.12(9)*)
fire-resistant receptacles	Cranes	- other cranes with a useful load not exceeding
		2000 kg*)
embarkation stairway/ladder*)		

43. Fire-fighting appliances

Number portable fire extinguishers ....., fire pumps ....., hydrants .....

Permanently installed fire-fighting systems in accommodation etc. No /Number .....\*)

Permanently installed fire-fighting systems in engine rooms etc. No / Number .....\*)

The power driven bilge pump replaces a fire pump ..... Yes/No\*)

44. Life saving appliances

Number of lifebuoys ....., of which with light ....., with line.....\*)

One lifejacket for every person who is regularly on board /in accordance with EN 395 : 1998, EN 396 : 1998, EN ISO 12402-3 : 2006 or EN ISO 12402-4 : 2006\*)

A ship's boat with a set of oars, one mooring line and a baler / in accordance with EN 1914 : 1997\*)

Platform or an installation in accordance with Article 15.15(5) or (6)\*)

Number, type and site(s) of installation of the equipment to enable persons to be transferred safely to shallow water, to the bank or to another craft in accordance with Article 15.09(3) .....

.....

.....

Number of individual life saving appliances for shipboard personnel..... ,

of which in accordance with Article 10.05(2) .....\*)

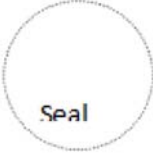
Number of individual life saving appliances for passengers .....\*)

Collective life saving appliances, with respect to the number, equivalent to..... individual life saving appliances\*)

Two breathing apparatus sets, two sets in accordance with Article 15.12(10)(b), number of ..... smoke hoods\*)

Safety rota and safety plan displayed at:.....

.....

45. Special wheelhouse arrangements for radar navigation by one person: The vessel has a wheelhouse designed for radar navigation by one person <sup>*)</sup>	
*) Amendment to item(s): .....	
New text: .....	
.....	
*) This page has been replaced.	
Place, date .....	Inspection Body .....
	
	..... (Signature)
_____ *) Delete as appropriate	

46. Operating modes meeting the requirements of national or international law concerning the crew \*\*)

47. Vessel equipment in accordance with Article 23.09  
 The vessel (complies\*)/(does not comply\*) with Article 23.09, section 1.1\*)/(Article 23.09, section 1.2\*)

Space for entering the minimum crew meeting the requirements of national or international law**)	Space for entering the operating modes according to item 46.		

48. Space for entering the minimum crew for vessels that are not covered by general minimum crew prescriptions in national or international requirements\*\*)

	Space for entering the operating modes**)		

Observations and special conditions:

.....

.....

.....

.....

.....

\*) Amendment to item(s): .....


New text: .....

.....

\*) This page has been replaced.

Place, date ..... Inspection Body

.....



Seal

.....

(Signature)

.....

\*) Delete as appropriate

\*\*\*) Requirements of national or international law which a Member State may choose to apply, or have no requirements

Certificate No..... of the ..... Inspection Body

49. **Extension/confirmation<sup>\*)</sup> of certificate validity<sup>\*)</sup> Periodical/special<sup>\*)</sup> inspection**  
 The Inspection Body inspected the vessel on .....<sup>\*)</sup>.  
 A certificate dated ..... from the .....approved classification society  
 .....  
 was presented to the Inspection Body<sup>\*)</sup>.  
 Reason for the inspection/certificate<sup>\*)</sup>:  
 .....  
 .....  
 In view of the inspection result/certificate<sup>\*)</sup>, the period of validity of the certificate is maintained/extended<sup>\*)</sup>  
 until .....  
 .....  
 (Place) (date)  
 .....  
 Inspection Body  
 .....  
 (Signature)  
 Seal

<sup>\*)</sup> Delete as appropriate

49. **Extension/confirmation<sup>\*)</sup> of certificate validity<sup>\*)</sup> Periodical/special<sup>\*)</sup> inspection**  
 The Inspection Body inspected the vessel on .....<sup>\*)</sup>.  
 A certificate dated ..... from the .....approved classification society  
 .....  
 was presented to the Inspection Body<sup>\*)</sup>.  
 Reason for the inspection/certificate<sup>\*)</sup>:  
 .....  
 .....  
 In view of the inspection result/certificate<sup>\*)</sup>, the period of validity of the certificate is maintained/extended<sup>\*)</sup>  
 until .....  
 .....  
 (Place) (date)  
 .....  
 Inspection Body  
 .....  
 (Signature)  
 Seal

<sup>\*)</sup> Delete as appropriate

49. **Extension/confirmation<sup>\*)</sup> of certificate validity<sup>\*)</sup> Periodical/special<sup>\*)</sup> inspection**  
 The Inspection Body inspected the vessel on .....<sup>\*)</sup>.  
 A certificate dated ..... from the .....approved classification society  
 .....  
 was presented to the Inspection Body<sup>\*)</sup>.  
 Reason for the inspection/certificate<sup>\*)</sup>:  
 .....  
 .....  
 In view of the inspection result/certificate<sup>\*)</sup>, the period of validity of the certificate is maintained/extended<sup>\*)</sup>  
 until .....  
 .....  
 (Place) (date)  
 .....  
 Inspection Body



\_\_\_\_\_

\*) Delete as appropriate

.....

(Signature)

49. **Extension/confirmation<sup>\*)</sup> of certificate validity<sup>\*)</sup> Periodical/special<sup>\*)</sup> inspection**

The Inspection Body inspected the vessel on .....<sup>\*)</sup>.  
 A certificate dated ..... from the .....approved classification society  
 .....  
 was presented to the Inspection Body<sup>\*)</sup>.  
 Reason for the inspection/certificate<sup>\*)</sup>:  
 .....  
 .....

In view of the inspection result/certificate<sup>\*)</sup>, the period of validity of the certificate is maintained/extended<sup>\*)</sup>  
 until .....  
 ..... , .....

(Place) (date)

.....  
 Inspection Body

.....  
 (Signature)

.....  
 Seal

.....  
 \*) Delete as appropriate

49. **Extension/confirmation<sup>\*)</sup> of certificate validity<sup>\*)</sup> Periodical/special<sup>\*)</sup> inspection**

The Inspection Body inspected the vessel on .....<sup>\*)</sup>.  
 A certificate dated ..... from the .....approved classification society  
 .....  
 was presented to the Inspection Body<sup>\*)</sup>.  
 Reason for the inspection/certificate<sup>\*)</sup>:  
 .....  
 .....

In view of the inspection result/certificate<sup>\*)</sup>, the period of validity of the certificate is maintained/extended<sup>\*)</sup>  
 until .....  
 ..... , .....

(Place) (date)

.....  
 Inspection Body

.....  
 (Signature)

.....  
 Seal

.....  
 \*) Delete as appropriate

49. **Extension/confirmation<sup>\*)</sup> of certificate validity<sup>\*)</sup> Periodical/special<sup>\*)</sup> inspection**

The Inspection Body inspected the vessel on .....<sup>\*)</sup>.  
 A certificate dated ..... from the .....approved classification society  
 .....  
 was presented to the Inspection Body<sup>\*)</sup>.  
 Reason for the inspection/certificate<sup>\*)</sup>:  
 .....  
 .....

In view of the inspection result/certificate<sup>\*)</sup>, the period of validity of the certificate is maintained/extended<sup>\*)</sup>  
 until .....  
 ..... , .....

(Place)

(date)



.....  
Inspection Body

.....  
(Signature)

\_\_\_\_\_  
\*) Delete as appropriate



50. **Attestation relating to liquefied gas installation(s)**

The liquefied gas installation(s) on board the craft has/have been inspected by the authorised officer<sup>\*)</sup> .....  
 .....  
 and according to his acceptance report dated .....<sup>\*)</sup> fulfil(s) the conditions laid down.

The plant(s) include(s) the following gas-consuming appliances:

Plant	Serial No	Model	Make	Type	Position

This attestation is valid until .....

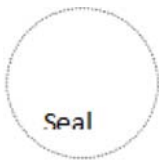
....., .....

(Place)

(Date)

Authorised officer<sup>\*)</sup>

Inspection Body



.....

(Signature)

\*) Amendment to item(s): .....

New text: .....

.....

\*) This page has been replaced.

Place, date .....

Inspection Body



.....

(Signature)

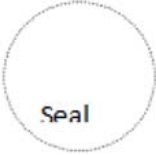
---

\*) Delete as appropriate

51. **Extension of the attestation relating to liquefied gas installation(s)**  
 The period covered by the attestation relating to liquefied gas installation(s)  
 dated..... is extended until .....  
 - following the periodical inspection by the authorised officer .....  
 - on presentation of the acceptance report dated .....

.....  
 (Place) (Date)

.....  
 Inspection Body




.....  
 (Signature)

51. **Extension of the attestation relating to liquefied gas installation(s)**  
 The period covered by the attestation relating to liquefied gas installation(s)  
 dated..... is extended until .....  
 - following the periodical inspection by the authorised officer .....  
 - on presentation of the acceptance report dated .....

.....  
 (Place) (Date)

.....  
 Inspection Body



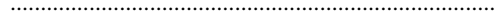
.....  
 (Signature)

51. **Extension of the attestation relating to liquefied gas installation(s)**  
 The period covered by the attestation relating to liquefied gas installation(s)  
 dated..... is extended until .....  
 - following the periodical inspection by the authorised officer .....  
 - on presentation of the acceptance report dated .....

.....  
 (Place) (Date)

.....

Inspection Body



(Signature)



certificate\*)

---

Part II

MODEL SUPPLEMENTARY UNION INLAND NAVIGATION CERTIFICATE

Annex to inspection certificate for the Rhine No .....	Page 1
<b>SUPPLEMENTARY Union INLAND NAVIGATION CERTIFICATE</b>	
(Reserved for State emblem)	
<b>NAME OF STATE</b>	
Name and address of the competent authority issuing the supplementary certificate	
1.	Name of vessel .....
2.	Unique European Vessel Identification Number' .....
3.	Place of registration and registration number .....
4.	Country of registration and/or home port <sup>(1)</sup> .....
5.	Having regard to the inspection certificate for the Rhine No ..... dated ..... valid until .....
6.	Having regard to the result of the inspection: ..... ..... on .....
7.	the abovementioned vessel is deemed fit to operate on the EU waterways of Zone(s) ..... .....
8.	This supplementary certificate expires on .....
9.	Issued in ..... on .....
10.	.....  .....  (Competent authority)  .....  (Signature)

\_\_\_\_\_

<sup>(1)</sup> Delete where inapplicable.

11.

		Zone and / or waterways <sup>(1)</sup>				
		4	3	2	1	
Freeboard (cm)	with hold closed					
	with hold open					

12. Derogations from inspection certificate for the Rhine No .....

.....  
 .....  
 .....  
 .....  
 .....

13. The entries concerning the number of crew members in the inspection certificate for the Rhine do not apply.

14. Having regard to inspection certificate for the Rhine No .....  
 dated ..... valid until .....

Having regard to the result of the inspection .....  
 ..... on .....

This supplementary certificate is extended/renewed <sup>(1)</sup> until .....

(Place)

(Date)

.....  
 (Competent authority)

.....  
 (Signature)

<sup>(1)</sup> Delete where inapplicable.



### Part III

## MODEL PROVISIONAL UNION INLAND NAVIGATION CERTIFICATE

Provisional Union inland navigation certificate<sup>\*)</sup> / Provisional certificate of  
approval<sup>\*)</sup>

No .....

1. Name of craft	2. Type of craft	3. Unique European Vessel Identification Number'						
4. Name and domicile of owner								
5. Length L/ L <sub>WL</sub> <sup>*)</sup> ..... Number of passengers..... Number of berths <sup>*)</sup> .....								
6. Space for information about the crew .....								
6.1. Operating modes meeting the requirements of national or international law**.								
6.2. Vessel equipment in accordance with Article 23.09 The vessel (complies <sup>*)</sup> /(does not comply <sup>*)</sup> ) with Article 23.09, section 1.1 <sup>*)</sup> /(Article 23.09, section 1.2 <sup>*)</sup> )								
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;">Space for entering the minimum crew meeting the requirements of national or international law**)</td> <td style="width: 50%; padding: 5px;">Space for entering the operating modes according to 6.1</td> </tr> <tr> <td style="padding: 5px;">.....</td> <td style="padding: 5px;">.....</td> </tr> <tr> <td style="padding: 5px;">.....</td> <td style="padding: 5px;">.....</td> </tr> </table>		Space for entering the minimum crew meeting the requirements of national or international law**)	Space for entering the operating modes according to 6.1	.....	.....	.....	.....	
Space for entering the minimum crew meeting the requirements of national or international law**)	Space for entering the operating modes according to 6.1							
.....	.....							
.....	.....							
6.3. Space for entering the minimum crew for vessels that are not covered by general minimum crew prescriptions in national or international requirements **) .....								
7. Liquefied gas installation(s) Attestation valid until .....								
8. Special conditions								

9.	Carriage of dangerous goods, see separate box*)			
10.	<b>Validity</b> Provisional certificate*)/provisional certificate of approval*) valid until ..... for navigation*)/for a single voyage*). (Date)			
	<b>The abovementioned craft is acknowledged as fit to operate</b>  <b>- on EU waterways in Zone(s)*).....</b> <b>on the waterways in Zone(s)*) .....</b> <b>in (Names of States*) .....</b> <b>except for:.....</b> .....  <b>- on the following waterways in (Name of State*).....</b> .....			
11.	..... Place	..... Date	..... Place	..... Date
	..... Competent authority for provisional certificate of approval		..... Inspection Body	
	..... Signature		..... Signature	
	*) Delete as appropriate **) Requirements of national or international law which a Member State may choose to apply, or have no requirements			

9. Carriage of dangerous goods

(Indicate whether the vessel meets the requirements of national and international law, if any.)

**ANNEX VI**

**MODEL REGISTER OF UNION INLAND NAVIGATION CERTIFICATES**

Competent authority/Inspection body .....

Register of Union inland navigation certificates

Year .....

(Left-hand page)

Union inland navigation certificate	Name of vessel		Unique European Vessel Identification Number*	Owner		Vessel register		Type of vessel
	No	Day		Month	Name	Address	Place	

(Right-hand page)

Deadweight according to tonnage certificate or displacement*)		Zones or stretch of inland waterway, where appropriate		Endorsements concerning supplementary or special inspections, withdrawal and annulment of the certificate	Union inland navigation certificate valid until	Other comments
Date of tonnage certificate	Tonnage mark	t/m <sup>3</sup>	from			

\*) If no tonnage certificate is available, estimate the deadweight or displacement.

## ANNEX VII

### CLASSIFICATION SOCIETIES

#### Criteria for the approval of classification societies

Classification societies seeking approval pursuant to Article 10 of this Directive shall meet all the following criteria:

1. the classification society shall be able to document extensive experience in assessing the design and construction of inland waterway vessels. The classification society shall have comprehensive rules and regulations for the design, construction and periodic inspection of inland waterway vessels, in particular for calculating stability in accordance with Part 9 of the Regulations annexed to the European Agreement concerning the International Carriage of Dangerous Goods by Inland Waterways (ADN) as referred to in Article 22a.04 and Article 22a.05 of Annex II and which will be published at least in Dutch, English, French or German and shall be continuously updated and improved through research and development programmes. The rules and regulations must not conflict with the provisions of EU law or with international agreements in force;
2. the classification society shall publish its register of vessels annually;
3. the classification society shall not be controlled by shipowners or shipbuilders or by others engaged commercially in the design, manufacture, fitting-out, repair, operation or insurance of ships. The classification society shall not be dependent on a single commercial enterprise for its revenue;
4. the headquarters of the classification society or a branch authorised to give a ruling and to act in all areas incumbent on it under the regulations governing inland waterway transport shall be located in one of the Member States;
5. the classification society and its experts shall have a good reputation in inland waterway transport; the experts shall be able to provide proof of their professional abilities. They shall act on the responsibility of the classification society;
6. the classification society shall have a significant technical, managerial, support, inspection and research staff, in proportion to the tasks and the vessels classified and catering also for developing capability and updating the regulations. It shall have inspectors in at least one Member State;
7. the classification society shall be governed by a code of ethics;
8. the classification society shall be managed and administered in such a way as to ensure the confidentiality of information required by a Member State;
9. the classification society shall be prepared to provide relevant information to a Member State;
10. the classification society's management shall have defined and documented its policy and objectives for, and commitment to, quality and ensured that this policy is understood, implemented and maintained at all levels in the classification society;

11. the classification society shall have prepared and implemented and shall maintain an effective internal quality system based on the relevant parts of internationally recognised quality standards and complying with the EN ISO/IEC 17020 : 2004, as interpreted by the IACS Quality System Certification Scheme Requirements. The quality system must be certified by an independent body of auditors recognised by the administration of the State in which the classification society has its headquarters or branch, as provided for in subparagraph 4, and which, *inter alia*, ensures that:

(a) the classification society's rules and regulations are established and maintained in a systematic manner;

(b) the classification society's rules and regulations are complied with;

(c) the requirements of the statutory work for which the classification society is authorised are satisfied;

(d) the responsibilities, powers and interrelation of personnel whose work affects the quality of the classification society's services are defined and documented;

(e) all work is carried out under controlled conditions;

(f) a supervisory system is in place which monitors the actions and work carried out by surveyors and technical and administrative staff employed directly by the classification society;

(g) the requirements of major statutory work for which the classification society is authorised are only carried out or directly supervised by its exclusive surveyors or by exclusive surveyors of other approved classification societies;

(h) a system for qualification of surveyors and continuous updating of their knowledge is implemented;

(i) records are maintained, demonstrating achievement of the required standards in the items covered by the services performed, as well as the effective operation of the quality system; and

(j) a comprehensive system of planned and documented internal audits of quality-related activities exists in all locations.

12. the quality system must be certified by an independent body of auditors recognised by the administration of the State in which the classification society has its headquarters or branch, as provided for in paragraph 4;

13. the classification society shall undertake to bring its requirements into line with the appropriate European Union directives and to provide the Commission with all relevant information in good time;

14. the classification society shall undertake periodically to consult with the classification societies already approved in order to guarantee the equivalence of their technical standards and of implementation thereof, and should allow



participation in the development of its rules and regulations by representatives of a Member State and other parties concerned.

