



**COUNCIL OF  
THE EUROPEAN UNION**

**Brussels, 30 September 2013  
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**FIN 572**

**COVER NOTE**

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From: Mr Janusz LEWANSOWSKI, Member of the European Commission  
date of receipt: 27 September 2013  
To: Mr Algimantas RIMKUNAS, President of the Council of the European  
Union

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Subject: Transfer of appropriations No DEC 26/2013 within Section III -  
Commission - of the general budget for 2013

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Delegations will find attached Commission document DEC 26/2013.

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Encl.: DEC 26/2013



BRUSSELS, 25/09/2013

GENERAL BUDGET – 2013

SECTION III - COMMISSION TITLES 01, 02, 04, 05, 06, 07, 08, 09, 10, 11, 12, 13, 15, 16,  
17, 19, 20, 21, 23, 24, 25, 26, 32, 33

TRANSFER OF APPROPRIATIONS N° DEC 26/2013

**EXPLANATORY MEMORANDUM**

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**1. Introduction**

Each year, after reviewing actual implementation and expected utilisation of payment appropriations to the end of the financial year, the Commission proposes the so-called "Global Transfer" designed to rebalance **payment appropriations** for the current budget year. As far as possible, the shortfall in appropriations identified is met by internal transfers within the relevant budget chapters. The Global Transfer proposal relates to readjustments that cannot be met by such internal transfers.

The Global Transfer, like any other transfer, must be neutral in its budgetary effect. The additional payment needs of some programmes and actions can only be addressed insofar as there is sufficient availability from other sources, where appropriations are expected to be under-utilised by year-end.

This Global Transfer proposal for 2013 relates to payment appropriations amounting to some **EUR 509.8 million** in total, corresponding to less than 0.4 % of the total authorised payments in the 2013 budget. This relatively low amount follows the trend of recent years, and is a clear illustration of the pressure on payment appropriations in the 2013 budget. By way of comparison the value of the Global Transfer in 2010 was EUR 1 792 million, in 2011 it was EUR 719.2 million, and in 2012 it was EUR 419.7 million. In fact, were it not for the exceptional circumstances, which allow for the reallocation of EUR 289.2 million from line 08 20 02 Euratom – European Joint Undertaking for ITER – Fusion for Energy (F4E), explained below, the size of the Global Transfer for 2013 would be limited to EUR 220.6 million, just over half of that available in the 2012 exercise.

This Global Transfer has to be seen in the context of the initial request of Draft Amending Budget 2/2013 (DAB 2/2013), and its breakdown into a first tranche approved by the budgetary authority in September, and a second tranche proposed by the Commission in Draft Amending Budget 8/2013 (DAB 8/2013), which confirms the shortage of payment appropriations in the 2013 budget. The Global Transfer takes account of the updated situation of each budget line, identifying all the possible sources of redeployment. Taking into account the very tight situation for payment appropriations, priority has been given to the most urgent needs within each heading, taking account of contractual obligations and implementation rates. Account has also been taken of the need to reinforce certain budget lines, which were used as source of urgent payment appropriations during the year, despite the fact that they were foreseen to reach full implementation by the year-end. These were exceptional measures, taken to fill gaps, and it is now appropriate to restore the appropriations to the source lines.

Most payment appropriations made available within a heading have been used to cover needs in the same heading. The small amount available for transfer between headings, primarily allows for some further reinforcement in favour of humanitarian aid.

It should be noted that of the 37 lines with amounts available for redeployment, only six can offer EUR 10 million or more, and twenty of them are offering EUR 1 million or less.

The proposed reinforcements and reductions by heading and main programme are as follows (in million euro):

Headings		Global Transfer		
		Increases	Decreases	Net change
<b>1.</b>	<b>SUSTAINABLE GROWTH</b>	<b>328,928</b>	<b>-331,928</b>	<b>-3,000</b>
<b>1.1</b>	<b>Competitiveness for growth and employment</b>	<b>327,928</b>	<b>-331,928</b>	<b>-4,000</b>
	Seventh Research framework programme	263,139	-36,135	227,004
	ITER		-289,200	-289,200
	Decommissioning	2,700		2,700
	TEN-Transport	30,933		30,933
	Competitiveness and innovation framework programme (CIP)	24,289		24,289
	Social policy agenda	3,112	-1,639	1,473
	Other actions and programmes	3,754	-4,954	-1,199
<b>1.2</b>	<b>Cohesion for growth and employment</b>	<b>1,000</b>		<b>1,000</b>
	<b>Structural funds</b>	<b>1,000</b>		<b>1,000</b>
	Technical assistance	1,000		1,000
<b>2.</b>	<b>PRESERVATION AND MANAGEMENT OF NATURAL RESOURCES</b>	<b>9,396</b>	<b>-22,147</b>	<b>-12,751</b>
	Market related expenditure and direct aids		-10,746	-10,746
	Rural development		-0,750	-0,750
	European Fisheries Fund	2,438	-0,981	1,456
	Fisheries governance and international agreements	5,423	-4,215	1,209
	Life+		-1,500	-1,500
	Other actions and measures	1,535	-3,955	-2,420
<b>3.</b>	<b>CITIZENSHIP, FREEDOM, SECURITY AND JUSTICE</b>	<b>7,000</b>	<b>-3,000</b>	<b>4,000</b>
<b>3.1</b>	<b>Freedom, security and justice</b>	<b>4,500</b>	<b>-0,500</b>	<b>4,000</b>
	Fundamental rights and justice	4,500		4,500
	Other actions and programmes		-0,500	-0,500
<b>3.2</b>	<b>Citizenship</b>	<b>2,500</b>	<b>-2,500</b>	<b>0,000</b>
	Press and communication actions	2,465	-2,500	-0,035
	Other actions and programmes	0,035		0,035
<b>4.</b>	<b>THE EU AS A GLOBAL PLAYER</b>	<b>164,343</b>	<b>-152,592</b>	<b>11,751</b>
	Instrument for Pre-accession (IPA)	7,400	-112,303	-104,903
	European Neighbourhood and Partnership Instrument (ENPI)	20,495		20,495
	Development Cooperation Instrument (DCI)	35,000	-6,738	28,262
	Industrialised Countries Instrument (ICI)	2,000		2,000
	Democracy and Human Rights (EIDHR)	6,105		6,105
	Instrument for Nuclear Safety Cooperation (INSC)	4,000		4,000
	Humanitarian aid	79,127		79,127
	Macroeconomic assistance		-30,790	-30,790
	Common and Foreign Security Policy (CFSP)	6,432		6,432
	Other actions and programmes	3,785	-2,866	0,919
<b>5.</b>	<b>ADMINISTRATION</b>	<b>0,175</b>	<b>-0,175</b>	<b>0,000</b>
	Commission	0,175	-0,175	0,000
	<b>Total</b>	<b>509,841</b>	<b>-509,541</b>	<b>0,000</b>

In total, 96 budget lines are concerned (59 for reinforcement and 37 for reductions), compared to 110 (65 for reinforcement and 45 for reductions) budget lines included in the Global Transfer presented at the end of 2012.

## 2. Reinforcements and reductions of payment appropriations

The major reinforcements and reductions (greater than EUR 10 million) are summarised in this section.

### 2.1 Reinforcements

The transfer request proposes to reinforce **59 budget lines**. The main lines (i.e. for which changes are above EUR 10 million) concerned, by heading and decreasing size of the reinforcement, are the following:

#### 2.1.1 Heading 1a Competitiveness for Growth and Employment

- + EUR 78 million for 15 07 77 People. This is necessary to cover payments to the year-end, and will allow the launch of some 162 projects, which are ready to start.
- + EUR 57 million for 09 04 01 01 Support for research cooperation in the area of information and communication technologies (ICTs - Cooperation). The non-approval of this request would prevent the Commission from paying a significant part of the pre-financing due to be paid in 2013 in accordance with the calendar of the calls and the obligation in terms of payment deadlines imposed by the Financial Regulation. Payments for late interest would be due, adding further problems to the already severe situation of payments in 2014, and a risk that the "time to contract" constraints imposed by the new Financial Regulation would not be respected.
- + EUR 52 million for 08 10 01 Ideas. Following a revision of the payment needs until the end of 2013, a further EUR 51.6 million is required on this line, to allow the European Research Council Executive Agency (ERCEA) to meet its obligations efficiently and in due time.
- + EUR 31 million for 06 03 03 Financial support for projects of common interest in the trans-European transport network. This increase will raise the amount on the budget line to the level of the Draft Budget 2013, although even this will not fully cover the estimated EUR 150 million that could be paid out in 2013 in respect of both new and multi-annual TEN-T financing decisions.
- + EUR 25 million for 08 04 01 Cooperation – Nanosciences, nanotechnologies, materials and new production technologies. The requested reinforcement of EUR 24.6 million is intended to cover a number of payments to the year-end, with a view to avoiding implementation delays. Without reinforcement, this budget line will run out of payment appropriations in November.
- + EUR 22 million for 08 02 02 Cooperation – Health – Innovative Medicines Initiative Joint Undertaking. Without this reinforcement, there is the risk of significant delays in the implementation of projects, as well as late interest to be paid, which could amount to several hundred thousand euros. If this reinforcement is not approved, IMI JU will run out of payment appropriations in November 2013.
- + EUR 15 million for 06 06 02 03 SESAR Joint Undertaking (JU). The SESAR Programme has now reached its cruising speed, with amounts of EUR 79 million of co-financing to be paid to the members of the JU and EUR 20 million for complementary activities and studies in 2013.

#### 2.1.2 Heading 4 EU as a Global Player

- + EUR 69 million for 23 02 01 Humanitarian Aid. Implementation on this budget line was over 85% in early September, with four months of the year still to go, an accumulated *reste-à-liquider* (RAL) of some EUR 460 million, part of which is urgently needed to finance major operations, including those related to the Syrian crisis. This critical situation stems from the increasing gap, which has developed between commitment and payment appropriations, despite the fact that humanitarian aid projects are relatively short-term and need a high level of pre-financing for NGOs and a final payment very shortly after that. If the EU is to respect its commitments to the most vulnerable, this budget line must be reinforced.
- + EUR 10 million for 19 08 01 01 European Neighbourhood and Partnership financial cooperation with Mediterranean countries. This line has been used as the source for transfer to budget line 19 08 01 02 to

cover urgent needs in Palestine. A further EUR 30 million in payment appropriations was also transferred to Humanitarian Aid via budgetary authority transfer DEC 18/2013 to respond to the Syrian crisis. This was possible due to the implementation profile of this line over the course of the year, but it is now essential to restore an amount of EUR 10 million.

- + EUR 10 million for 19 08 01 03 European Neighbourhood and Partnership financial cooperation with Eastern Europe. This amount is necessary to cover additional needs, as six big payment actions in Moldova, are now to be taken into account in 2013.

## 2.2 Reductions

The transfer request proposes to reduce the level of payment appropriations for **37 budget lines**. The main lines affected (i.e. for which changes are above EUR 10 million), by heading and decreasing size of the reduction, are the following:

### 2.2.1 Heading 1a Competitiveness for Growth and Employment

- - EUR 289 million from 08 20 02 Euratom – European Joint Undertaking for ITER – Fusion for Energy (F4E). The negotiation of the 2013 contracts has been delayed; the major part of these contracts will be signed only at the end of this year resulting in a shift of several months in the related payments. Furthermore, beneficiaries submitted/ are going to submit their request for interim/final payment with delays, which has generated a significant deviation from the payment forecast 2013. These factors represent a total amount of EUR 240 million that will not be paid as previously foreseen. In addition, part of the needs (EUR 49.2 million) can be covered by assigned revenue. This EUR 49.2 million corresponds to the outturn balance from the year 2012 that the Commission recovered in July 2013.
- - EUR 20 million from 08 07 01 Cooperation – Transport (including aeronautics). The proposed decrease arises from the fact that for two specific calls for proposals the claims for interim/final payments from the beneficiaries were below the amounts forecast.
- - EUR 11 million from 32 06 01 Research related to energy. Some new projects, still under negotiation, will be signed at year end (2nd half of December). Therefore the first payments for those projects will be paid in January 2014 instead of December 2013.

### 2.2.2 Heading 4 EU as a Global Player

- - EUR 79 million from 13 05 01 01 Instrument for Structural Policies for Pre-accession (ISPA) - Completion of other previous projects (2000 to 2006). The analyses of the payment claims related to the closures for ex-ISPA projects are mostly resulting in recoveries, allowing for this reduction.
- - EUR 33 million from 05 05 02 Instrument for Pre-accession Assistance for Rural Development (IPARD). The decrease corresponds to the expected implementation of payments, based on the latest information.
- - EUR 31 million from 01 03 02 Macro-financial assistance. The reduction is primarily due to the continuing political crisis and the absence of an International Monetary Fund (IMF) arrangement in Egypt, which means that the EU macro-financial assistance to Egypt is now highly unlikely to be paid in 2013. Furthermore a payment to Georgia will only be made next year.

## 3. Proposed transfers

All proposed transfers, either for an increase or reduction in appropriations, are summarised in the Summary Table in budget nomenclature order. The table indicates:

- the initial budget appropriations, including amending budgets as of 9 September 2013;
- transfers as of 9 September 2013;
- the implementation as of 9 September 2013;

- the total remaining available appropriations;
- proposed transfers (increase or reduction);
- percentage change, compared to the initial budget (including any amending budgets);
- short justifications for the proposed transfers. For reductions or reinforcements representing less than 10% of the authorised appropriations on the budget line one of the following standard justifications applies:
  - Implementation faster than expected;
  - Implementation slower than expected;
  - Non-implementation of scheduled advance payments;
  - Commitment appropriations not matched by sufficient payment appropriations;
  - Increased absorption of payments which were delayed in the previous year.

For reductions or reinforcements representing more than 10% of the authorised appropriations on the budget line more detailed justifications are provided in the enclosure.

## GENERAL BUDGET – 2013

SECTION III - COMMISSION TITLES 01, 02, 04, 05, 06, 07, 08, 09, 10, 11, 12, 13, 15, 16,  
17, 19, 20, 21, 23, 24, 25, 26, 32, 33

## TRANSFER OF APPROPRIATIONS N° DEC 26/2013

EUR

**FROM****CHAPTER** - 0102 Economic and monetary unionARTICLE - 01 02 04 Prince - Communication on the economic and monetary union, including  
the euro

Payments 753 676

**CHAPTER** - 0103 International economic and financial affairs

ARTICLE - 01 03 02 Macro-financial assistance

Payments 30 790 007

**CHAPTER** - 0404 Employment, social solidarity and gender equality

ARTICLE - 04 04 15 European Progress Microfinance Facility

Payments 1 639 000

**CHAPTER** - 0502 Interventions in agricultural markets

ITEM - 05 02 10 02 Promotion measures - Direct payments by the Union

Payments 112 000

ITEM - 05 02 17 04 Preparatory action - European farm prices and margins observatory

Payments 1 000 000

**CHAPTER** - 0504 Rural development

ITEM - 05 04 03 01 Preparatory action - Union plant and animal genetic resources

Payments 600 000

ITEM - 05 04 05 02 Operational technical assistance

Payments 750 000

ITEM - 05 04 05 03 Pilot project - Exchange programme for young farmers

Payments 750 000

**CHAPTER** - 0505 Pre-accession measures in the field of agriculture and rural development

ARTICLE - 05 05 02 Instrument for Pre-accession Assistance for Rural Development (IPARD)

Payments 33 315 178

**CHAPTER** - 0506 International aspects of the 'Agriculture and rural development' policy area

ARTICLE - 05 06 01 International agricultural agreements

Payments 2 006 853

**CHAPTER** - 0508 Policy strategy and coordination of the 'Agriculture and rural development'  
policy area

ARTICLE - 05 08 01 Farm Accountancy Data Network (FADN)

Payments 186 531

ARTICLE - 05 08 10 Pilot project - Assessing end-user costs of compliance with Union  
legislation in the fields of environment, animal welfare and food safety

Payments 29 364

**CHAPTER** - 0712 Implementation of Union policy and legislation on climate action

ARTICLE - 07 12 01 Implementation of Union policy and legislation on climate action

Payments 1 500 000

**CHAPTER** - 0807 Cooperation - Transport (including aeronautics)

ARTICLE - 08 07 01 Cooperation - Transport (including aeronautics)

Payments 20 425 000

**CHAPTER** - 0820 Euratom - Fusion energy

ARTICLE - 08 20 02 Euratom - European Joint Undertaking for ITER - Fusion for Energy (F4E)

Payments 289 200 000

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<b>CHAPTER - 0822</b> Completion of previous framework programmes and other activities		
ITEM - 08 22 03 02 Completion of the sixth Euratom framework programme (2003 to 2006)	Payments	780 000
<b>CHAPTER - 1102</b> Fisheries markets		
ITEM - 11 02 01 01 Intervention in fishery products - New measures	Payments	2 947 624
ITEM - 11 02 01 04 Pilot project - Establishment of a single instrument for commercial designations for fishery and aquaculture products	Payments	200 000
<b>CHAPTER - 1104</b> Governance of the common fisheries policy		
ARTICLE - 11 04 01 Closer dialogue with the fishing industry and those affected by the common fisheries policy	Payments	981 114
<b>CHAPTER - 1109</b> Maritime policy		
ARTICLE - 11 09 05 Programme to support the further development of an integrated maritime policy (IMP)	Payments	4 214 830
ARTICLE - 11 09 06 Preparatory action - Guardians of the Sea	Payments	75 500
<b>CHAPTER - 1305</b> Pre-accession operations related to the structural policies		
ITEM - 13 05 01 01 Instrument for Structural Policies for Pre-accession (ISPA) - Completion of other previous projects (2000 to 2006)	Payments	78 987 754
<b>CHAPTER - 1602</b> Communication and the media		
ARTICLE - 16 02 02 Multimedia actions	Payments	2 500 000
<b>CHAPTER - 1703</b> Public health		
ARTICLE - 17 03 12 Pilot project - Healthy diet: early years and ageing population	Payments	400 000
ARTICLE - 17 03 13 Pilot project - Developing and implementing successful prevention strategies for type 2 diabetes	Payments	200 000
ARTICLE - 17 03 14 Preparatory action - Antimicrobial resistance (AMR): Research on the causes of high and improper antibiotic usage	Payments	200 000
ARTICLE - 17 03 19 Preparatory action - Fruit and vegetable consumption	Payments	500 000
<b>CHAPTER - 1704</b> Food and feed safety, animal health, animal welfare and Plant health		
ITEM - 17 04 02 01 Other measures in the veterinary, animal welfare and public health field - New measures	Payments	2 000 000
ITEM - 17 04 03 01 Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health - New measures	Payments	5 500 000
<b>CHAPTER - 2106</b> Geographical cooperation with African, Caribbean and Pacific (ACP) States		
ARTICLE - 21 06 07 Banana accompanying measures	Payments	6 738 204
<b>CHAPTER - 2303</b> Civil protection financial instrument		
ARTICLE - 23 03 06 Civil protection interventions in third countries	Payments	753 843
<b>CHAPTER - 2502</b> Relations with civil society, openness and information		
ITEM - 25 02 04 01 Documentary databases	Payments	150 000
ITEM - 25 02 04 02 Digital publications	Payments	25 000
<b>CHAPTER - 2602</b> Multimedia production		
ARTICLE - 26 02 01 Procedures for awarding and advertising public supply, works and service contracts	Payments	4 200 000



<b>CHAPTER</b> - 3206 Research related to energy		
ARTICLE - 32 06 01 Research related to energy	Payments	11 050 000
ITEM - 32 06 04 02 Completion of the sixth framework programme (2003 to 2006)	Payments	3 880 000
<b>CHAPTER</b> - 3302 Fundamental rights and citizenship		
ARTICLE - 33 02 10 Pilot project - European Centre for Press and Media Freedom	Payments	500 000
 <b><u>TO</u></b>		
<b>CHAPTER</b> - 0104 Financial operations and instruments		
ARTICLE - 01 04 04 Competitiveness and Innovation Framework Programme - Entrepreneurship and Innovation Programme	Payments	7 270 000
ARTICLE - 01 04 05 Completion of programme for enterprises: improvement of the financial environment for small and middle-sized enterprises (SMEs)	Payments	7 861 000
<b>CHAPTER</b> - 0203 Internal market for goods and sectoral policies		
ITEM - 02 03 04 01 Support to standardisation activities performed by CEN, Cenelec and ETSI	Payments	1 000 000
<b>CHAPTER</b> - 0402 European Social Fund		
ARTICLE - 04 02 20 European Social Fund (ESF) - Operational technical assistance (2007 to 2013)	Payments	1 000 000
<b>CHAPTER</b> - 0406 Instrument for Pre-Accession Assistance (IPA) - Human resources development		
ARTICLE - 04 06 01 Instrument for Pre-Accession Assistance (IPA) - Human resources development	Payments	7 400 000
<b>CHAPTER</b> - 0603 Trans-European networks		
ARTICLE - 06 03 03 Financial support for projects of common interest in the trans-European transport network	Payments	30 932 904
<b>CHAPTER</b> - 0606 Research related to transport		
ITEM - 06 06 02 01 Research related to transport (including aeronautics)	Payments	5 150 000
ITEM - 06 06 02 03 SESAR Joint Undertaking	Payments	15 347 426
<b>CHAPTER</b> - 0802 Cooperation - Health		
ARTICLE - 08 02 02 Cooperation - Health - Innovative Medicines Initiative Joint Undertaking	Payments	22 152 000
<b>CHAPTER</b> - 0804 Cooperation - Nanosciences, nanotechnologies, materials and new production technologies		
ARTICLE - 08 04 01 Cooperation - Nanosciences, nanotechnologies, materials and new production technologies	Payments	24 561 000
<b>CHAPTER</b> - 0805 Cooperation - Energy		
ARTICLE - 08 05 01 Cooperation - Energy	Payments	4 527 449
<b>CHAPTER</b> - 0806 Cooperation - Environment (including climate change)		
ARTICLE - 08 06 01 Cooperation - Environment (including climate change)	Payments	3 454 726
<b>CHAPTER</b> - 0810 Ideas		
ARTICLE - 08 10 01 Ideas	Payments	51 600 000
<b>CHAPTER</b> - 0819 Capacities - Support for coherent development of research policies		
ARTICLE - 08 19 01 Capacities - Support for coherent development of research policies	Payments	500 000

<b>CHAPTER</b> - 0902 Regulatory framework for the Digital Agenda		
ARTICLE - 09 02 01 Definition and implementation of the Union's policy in the field of electronic communication	Payments	120 043
ITEM - 09 02 02 01 Safer Internet programme	Payments	285 385
ARTICLE - 09 02 06 Preparatory action - Erasmus for Journalists	Payments	34 776
<b>CHAPTER</b> - 0903 information and communication technologies take-up		
ARTICLE - 09 03 01 Competitiveness and Innovation Framework Programme - Information and Communication Technologies Policy Support Programme (ICT PSP)	Payments	9 158 088
<b>CHAPTER</b> - 0904 Cooperation - information and communication technologies (ICTs)		
ITEM - 09 04 01 01 Support for research cooperation in the area of information and communication technologies (ICTs - Cooperation)	Payments	57 346 720
<b>CHAPTER</b> - 1003 Directly financed research operational appropriations - Seventh Framework Programme (2007 to 2011 and 2012 to 2013) - Euratom		
ARTICLE - 10 03 01 Nuclear activities of the Joint Research Centre (JRC)	Payments	500 000
<b>CHAPTER</b> - 1005 Historical liabilities resulting from nuclear activities carried out by the Joint Research Centre pursuant to the Euratom Treaty		
ARTICLE - 10 05 01 Decommissioning of nuclear installations and waste management	Payments	2 700 000
<b>CHAPTER</b> - 1103 International fisheries and law of the sea		
ARTICLE - 11 03 01 International fisheries agreements	Payments	2 423 387
ARTICLE - 11 03 03 Preparatory work for new international fisheries organisations and other non-compulsory contributions to international organisations	Payments	535 028
<b>CHAPTER</b> - 1106 European Fisheries Fund (EFF)		
ARTICLE - 11 06 01 Completion of the Financial Instrument for Fisheries Guidance (FIFG) - Objective 1 (2000 to 2006)	Payments	1 097 589
ARTICLE - 11 06 11 European Fisheries Fund (EFF) - Operational technical assistance	Payments	1 340 000
<b>CHAPTER</b> - 1108 Control and enforcement of the common fisheries policy		
ARTICLE - 11 08 01 Financial contribution to the Member States for expenses in the field of control	Payments	3 000 000
<b>CHAPTER</b> - 1202 Internal market policy		
ARTICLE - 12 02 01 Implementation and development of the internal market	Payments	1 590 811
<b>CHAPTER</b> - 1507 People - Programme for the mobility of researchers		
ARTICLE - 15 07 77 People	Payments	78 000 000
<b>CHAPTER</b> - 1602 Communication and the media		
ARTICLE - 16 02 04 Operation of radio and television studios and audiovisual equipment	Payments	175 000
<b>CHAPTER</b> - 1603 `Going Local- communication		
ARTICLE - 16 03 04 Communicating Europe in Partnership	Payments	912 772
<b>CHAPTER</b> - 1604 Analysis and communication tools		
ITEM - 16 04 02 01 Online and written information and communication tools	Payments	1 552 452

<b>CHAPTER</b> - 1903 Common foreign and security policy (CFSP)		
ITEM - 19 03 01 04 Other crisis management measures and operations	Payments	6 431 692
<b>CHAPTER</b> - 1904 European Instrument for Democracy and Human Rights (EIDHR)		
ARTICLE - 19 04 01 European Instrument for Democracy and Human Rights (EIDHR)	Payments	3 105 049
ARTICLE - 19 04 03 Electoral observation	Payments	3 000 000
<b>CHAPTER</b> - 1905 Relations and cooperation with industrialised third countries		
ARTICLE - 19 05 01 Cooperation with industrialised third countries	Payments	2 000 000
<b>CHAPTER</b> - 1906 Crisis response and global threats to security		
ARTICLE - 19 06 04 Assistance in the nuclear sector	Payments	4 000 000
<b>CHAPTER</b> - 1908 European Neighbourhood Policy and relations with Russia		
ITEM - 19 08 01 01 European Neighbourhood and Partnership financial cooperation with Mediterranean countries	Payments	10 000 000
ITEM - 19 08 01 03 European Neighbourhood and Partnership financial cooperation with eastern Europe	Payments	10 494 832
<b>CHAPTER</b> - 1909 Relations with Latin America		
ARTICLE - 19 09 01 Cooperation with developing countries in Latin America	Payments	3 000 000
<b>CHAPTER</b> - 1910 Relations with Asia, Central Asia and the Middle East (Iraq, Iran, Yemen)		
ITEM - 19 10 01 01 Cooperation with developing countries in Asia	Payments	8 000 000
ITEM - 19 10 01 02 Aid for the rehabilitation and reconstruction of Afghanistan	Payments	3 000 000
<b>CHAPTER</b> - 1911 Policy strategy and coordination for the `External relations' policy area		
ARTICLE - 19 11 03 The European Union in the world	Payments	600 000
<b>CHAPTER</b> - 2002 Trade policy		
ARTICLE - 20 02 01 External trade relations, including access to the markets of third countries	Payments	1 000 000
<b>CHAPTER</b> - 2103 Non-State actors in development		
ARTICLE - 21 03 01 Non-State actors in development	Payments	8 000 000
ARTICLE - 21 03 02 Local authorities in development	Payments	1 000 000
<b>CHAPTER</b> - 2104 Environment and sustainable management of natural resources, including energy		
ARTICLE - 21 04 01 Environment and sustainable management of natural resources, including energy	Payments	6 000 000
<b>CHAPTER</b> - 2105 Human and social development		
ITEM - 21 05 01 02 Education	Payments	6 000 000
<b>CHAPTER</b> - 2107 Development cooperation actions and ad-hoc programmes		
ARTICLE - 21 07 03 Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies	Payments	3 775
ARTICLE - 21 07 04 Commodities agreements	Payments	1 580 747
<b>CHAPTER</b> - 2108 Policy strategy and coordination for the `Development and relations with ACP States- policy area		
ARTICLE - 21 08 02 Coordination and promotion of awareness on development issues	Payments	600 000

<b>CHAPTER</b> - 2302 Humanitarian aid including aid to uprooted people, food aid and disaster preparedness		
ARTICLE - 23 02 01 Humanitarian aid	Payments	69 250 719
ARTICLE - 23 02 02 Food aid	Payments	9 000 000
ARTICLE - 23 02 03 Disaster preparedness	Payments	875 984
<b>CHAPTER</b> - 2303 Civil protection financial instrument		
ARTICLE - 23 03 05 Preparatory action - Union rapid response capability	Payments	1 000 000
<b>CHAPTER</b> - 2402 Fight against fraud		
ARTICLE - 24 02 03 Anti-fraud information system (AFIS)	Payments	758 000
<b>CHAPTER</b> - 3302 Fundamental rights and citizenship		
ARTICLE - 33 02 05 Fight against violence (Daphne)	Payments	4 000 000
<b>CHAPTER</b> - 3304 Drugs prevention and information		
ARTICLE - 33 04 01 Drugs prevention and information	Payments	500 000
<b>CHAPTER</b> - 3306 Equality		
ARTICLE - 33 06 01 Anti-discrimination and diversity	Payments	1 000 000
ARTICLE - 33 06 02 Gender equality	Payments	2 112 124

Line / Heading							Standard justification
Initial Budget #AB (1A)	Transfers (2)	Utilisation (3)	Available amount (4)=(1A)+(EFTA)+(2)-(3)	Transfer proposed (5)	Fluctuation (5/1A)	Total (4±5)	
<b>01 02 04 - Prince - Communication on the economic and monetary union, including the euro</b>							
3.953.676	0	1.766.808	2.186.868	-753.676	-19,06%	1.433.192	*
<b>01 03 02 - Macro-financial assistance</b>							
56.339.890	-15.000.000	300.458	41.039.432	-30.790.007	-54,65%	10.249.425	*
<b>01 04 04 - Competitiveness and Innovation Framework Programme - Entrepreneurship and Innovation Programme</b>							
110.000.000	0	110.000.000	3.080.000	7.270.000	6,61%	10.350.000	*
<b>01 04 05 - Completion of programme for enterprises: improvement of the financial environment for small and middle-sized enterprises (SMEs)</b>							
9.884.191	-4.060.000	6.100.000	948	7.861.000	79,53%	7.861.948	*
<b>02 03 04 01 - Support to standardisation activities performed by CEN, Cenelec and ETSI</b>							
14.826.287	0	14.813.846	12.441	1.000.000	6,74%	1.012.441	*
<b>04 02 20 - European Social Fund (ESF) - Operational technical assistance (2007 to 2013)</b>							
6.500.000	1.500.000	6.455.744	1.544.256	1.000.000	15,38%	2.544.256	*
<b>04 04 15 - European Progress Microfinance Facility</b>							
19.768.382	0	5.700.000	14.068.382	-1.639.000	-8,29%	12.429.382	Implementation slower than expected
<b>04 06 01 - Instrument for Pre-Accession Assistance (IPA) - Human resources development</b>							
29.652.574	0	27.955.633	1.696.941	7.400.000	24,96%	9.096.941	*
<b>05 02 10 02 - Promotion measures - Direct payments by the Union</b>							
1.126.798	350.000	794.883	681.915	-112.000	-9,94%	569.915	Non-implementation of scheduled advance payments
<b>05 02 17 04 - Preparatory action - European farm prices and margins observatory</b>							
1.000.000	0	0	1.000.000	-1.000.000	-100,00%	0	*
<b>05 04 03 01 - Preparatory action - Union plant and animal genetic resources</b>							
750.000	0	0	750.000	-600.000	-80,00%	150.000	*
<b>05 04 05 02 - Operational technical assistance</b>							
8.463.833	0	4.212.976	4.250.857	-750.000	-8,86%	3.500.857	Implementation slower than expected
<b>05 04 05 03 - Pilot project - Exchange programme for young farmers</b>							
750.000	0	0	750.000	-750.000	-100,00%	0	*
<b>05 05 02 - Instrument for Pre-accession Assistance for Rural Development (IPARD)</b>							
81.470.000	0	39.761.745	41.708.255	-33.315.178	-40,89%	8.393.077	*
<b>05 06 01 - International agricultural agreements</b>							
5.069.602	0	3.062.749	2.006.853	-2.006.853	-39,59%	0	*
<b>05 08 01 - Farm Accountancy Data Network (FADN)</b>							
14.350.561	0	10.077.759	4.272.802	-186.531	-1,30%	4.086.271	Implementation slower than expected
<b>05 08 10 - Pilot project - Assessing end-user costs of compliance with Union legislation in the fields of environment, animal welfare and food safety</b>							

Line / Heading							
Initial Budget #AB (1A)	Transfers (2)	Utilisation (3)	Available amount (4)=(1A)+(EFTA)+(2)-(3)	Transfer proposed (5)	Fluctuation (5/1A)	Total (4±5)	Standard justification
939.631	0	528.542	411.089	-29.364	-3,13%	381.725	Implementation slower than expected
<b>06 03 03 - Financial support for projects of common interest in the trans-European transport network</b>							
677.067.096	0	264.353.689	412.713.407	30.932.904	4,57%	443.646.311	
<b>06 06 02 01 - Research related to transport (including aeronautics)</b>							
10.542.392	0	5.695.623	5.141.956	5.150.000	48,85%	10.291.956	*
<b>06 06 02 03 - SESAR Joint Undertaking</b>							
29.652.574	0	30.405.331	77.515	15.347.426	51,76%	15.424.941	*
<b>07 12 01 - Implementation of Union policy and legislation on climate action</b>							
19.076.489	0	8.871.505	10.204.984	-1.500.000	-7,86%	8.704.984	Non-implementation of scheduled advance payments
<b>08 02 02 - Cooperation - Health - Innovative Medicines Initiative Joint Undertaking</b>							
100.719.908	108.242	60.800.000	42.848.307	22.152.000	21,99%	65.000.307	*
<b>08 04 01 - Cooperation - Nanosciences, nanotechnologies, materials and new production technologies</b>							
497.518.000	0	347.306.072	164.119.752	24.561.000	4,94%	188.680.752	*
<b>08 05 01 - Cooperation - Energy</b>							
130.633.551	0	102.746.444	31.537.370	4.527.449	3,47%	36.064.819	*
<b>08 06 01 - Cooperation - Environment (including climate change)</b>							
240.421.301	0	79.404.068	167.736.569	3.454.726	1,44%	171.191.295	*
<b>08 07 01 - Cooperation - Transport (including aeronautics)</b>							
310.125.246	2.947.228	156.486.203	165.258.186	-20.425.000	-6,59%	144.833.186	*
<b>08 10 01 - Ideas</b>							
991.958.500	0	763.025.431	256.644.403	51.600.000	5,20%	308.244.403	*
<b>08 19 01 - Capacities - Support for coherent development of research policies</b>							
8.912.772	0	4.654.860	4.506.994	500.000	5,61%	5.006.994	*
<b>08 20 02 - Euratom - European Joint Undertaking for ITER - Fusion for Energy (F4E)</b>							
494.812.495	0	195.200.000	299.612.495	-289.200.000	-58,45%	10.412.495	*
<b>08 22 03 02 - Completion of the sixth Euratom framework programme (2003 to 2006)</b>							
1.780.143	0	504.204	1.275.939	-780.000	-43,82%	495.939	*
<b>09 02 01 - Definition and implementation of the Union's policy in the field of electronic communication</b>							
1.976.838	154.520	1.378.877	752.481	120.043	6,07%	872.524	Implementation faster than expected
<b>09 02 02 01 - Safer Internet programme</b>							
10.576.085	0	7.731.016	3.141.199	285.385	2,70%	3.426.584	Implementation faster than expected
<b>09 02 06 - Preparatory action - Erasmus for Journalists</b>							
0	184.776	184.777	-1	34.776	0,00%	34.776	*

Line / Heading							Standard justification
Initial Budget #AB (1A)	Transfers (2)	Utilisation (3)	Available amount (4)=(1A)+(EFTA)+(2)-(3)	Transfer proposed (5)	Fluctuation (5/1A)	Total (4±5)	
<b>09 03 01 - Competitiveness and Innovation Framework Programme - Information and Communication Technologies Policy Support Programme (ICT PSP)</b>							
98.841.912	14.068	74.516.724	27.106.830	9.158.088	9,27%	36.264.918	*
<b>09 04 01 01 - Support for research cooperation in the area of information and communication technologies (ICTs - Cooperation)</b>							
1.017.379.643	10.872.610	715.101.165	341.587.906	57.346.720	5,64%	398.934.626	*
<b>10 03 01 - Nuclear activities of the Joint Research Centre (JRC)</b>							
7.314.301	0	7.307.614	6.687	500.000	6,84%	506.687	CA not matched by sufficient PA
<b>10 05 01 - Decommissioning of nuclear installations and waste management</b>							
25.204.688	0	19.950.894	5.253.794	2.700.000	10,71%	7.953.794	*
<b>11 02 01 01 - Intervention in fishery products - New measures</b>							
11.366.820	0	1.422.908	9.943.912	-2.947.624	-25,93%	6.996.288	*
<b>11 02 01 04 - Pilot project - Establishment of a single instrument for commercial designations for fishery and aquaculture products</b>							
200.000	0	0	200.000	-200.000	-100,00%	0	*
<b>11 03 01 - International fisheries agreements</b>							
28.674.039	0	26.418.976	2.255.063	2.423.387	8,45%	4.678.450	*
<b>11 03 03 - Preparatory work for new international fisheries organisations and other non-compulsory contributions to international organisations</b>							
3.953.676	0	3.793.707	159.969	535.028	13,53%	694.997	*
<b>11 04 01 - Closer dialogue with the fishing industry and those affected by the common fisheries policy</b>							
4.820.520	0	1.936.406	2.884.114	-981.114	-20,35%	1.903.000	*
<b>11 06 01 - Completion of the Financial Instrument for Fisheries Guidance (FIG) - Objective 1 (2000 to 2006)</b>							
14.826.287	0	11.221.932	3.604.355	1.097.589	7,40%	4.701.944	Implementation faster than expected
<b>11 06 11 - European Fisheries Fund (EFF) - Operational technical assistance</b>							
2.569.890	0	1.994.715	575.175	1.340.000	52,14%	1.915.175	*
<b>11 08 01 - Financial contribution to the Member States for expenses in the field of control</b>							
23.117.086	0	20.416.490	2.700.596	3.000.000	12,98%	5.700.596	*
<b>11 09 05 - Programme to support the further development of an integrated maritime policy (IMP)</b>							
12.098.250	0	2.270.555	9.827.695	-4.214.830	-34,84%	5.612.865	*
<b>11 09 06 - Preparatory action - Guardians of the Sea</b>							
600.000	-324.500	0	275.500	-75.500	-12,58%	200.000	*
<b>12 02 01 - Implementation and development of the internal market</b>							
6.407.353	0	6.104.495	524.264	1.590.811	24,83%	2.115.075	*
<b>13 05 01 01 - Instrument for Structural Policies for Pre-accession (ISPA) - Completion of other previous projects (2000 to 2006)</b>							
232.278.493	-82.395.749	32.992.517	116.890.227	-78.987.754	-34,01%	37.902.473	*
<b>15 07 77 - People</b>							

Line / Heading							Standard justification
Initial Budget #AB (1A)	Transfers (2)	Utilisation (3)	Available amount (4)=(1A)+(EFTA)+(2)-(3)	Transfer proposed (5)	Fluctuation (5/1A)	Total (4±5)	
726.275.000	0	528.054.680	218.520.320	78.000.000	10,74%	296.520.320	*
<b>16 02 02 - Multimedia actions</b>							
27.675.735	0	8.484.450	19.191.285	-2.500.000	-9,03%	16.691.285	Implementation slower than expected
<b>16 02 04 - Operation of radio and television studios and audiovisual equipment</b>							
5.553.000	-400.000	3.682.740	1.470.260	175.000	3,15%	1.645.260	Increased absorption of payments which were delayed in the previous year
<b>16 03 04 - Communicating Europe in Partnership</b>							
11.861.029	-800.000	6.318.518	4.742.511	912.772	7,70%	5.655.283	Implementation faster than expected
<b>16 04 02 01 - Online and written information and communication tools</b>							
13.600.647	0	9.800.823	3.799.824	1.552.452	11,41%	5.352.276	*
<b>17 03 12 - Pilot project - Healthy diet: early years and ageing population</b>							
700.000	0	0	700.000	-400.000	-57,14%	300.000	*
<b>17 03 13 - Pilot project - Developing and implementing successful prevention strategies for type 2 diabetes</b>							
200.000	0	0	200.000	-200.000	-100,00%	0	*
<b>17 03 14 - Preparatory action - Antimicrobial resistance (AMR): Research on the causes of high and improper antibiotic usage</b>							
200.000	0	0	200.000	-200.000	-100,00%	0	*
<b>17 03 19 - Preparatory action - Fruit and vegetable consumption</b>							
500.000	0	0	500.000	-500.000	-100,00%	0	*
<b>17 04 02 01 - Other measures in the veterinary, animal welfare and public health field - New measures</b>							
12.849.449	0	6.937.007	5.912.442	-2.000.000	-15,56%	3.912.442	*
<b>17 04 03 01 - Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health - New measures</b>							
9.884.191	-435.430	3.253.362	6.195.399	-5.500.000	-55,64%	695.399	*
<b>19 03 01 04 - Other crisis management measures and operations</b>							
64.247.243	17.000.000	52.875.144	28.372.099	6.431.692	10,01%	34.803.791	*
<b>19 04 01 - European Instrument for Democracy and Human Rights (EIDHR)</b>							
88.463.511	0	87.848.323	615.188	3.105.049	3,51%	3.720.237	*
<b>19 04 03 - Electoral observation</b>							
29.652.574	-6.000.000	11.819.964	11.832.610	3.000.000	10,12%	14.832.610	*
<b>19 05 01 - Cooperation with industrialised third countries</b>							
18.285.754	0	12.400.241	5.885.513	2.000.000	10,94%	7.885.513	*
<b>19 06 04 - Assistance in the nuclear sector</b>							
64.153.343	-15.000.000	30.237.966	18.915.377	4.000.000	6,24%	22.915.377	*
<b>19 08 01 01 - European Neighbourhood and Partnership financial cooperation with Mediterranean countries</b>							
650.848.229	-130.000.000	220.306.214	300.542.015	10.000.000	1,54%	310.542.015	*



Line / Heading							
Initial Budget ±AB (1A)	Transfers (2)	Utilisation (3)	Available amount (4)=(1A)+(EFTA)+(2)-(3)	Transfer proposed (5)	Fluctuation (5/1A)	Total (4±5)	Standard justification
<b>19 08 01 03 - European Neighbourhood and Partnership financial cooperation with eastern Europe</b>							
327.858.337	0	170.005.777	157.852.560	10.494.832	3,20%	168.347.392	*
<b>19 09 01 - Cooperation with developing countries in Latin America</b>							
273.386.429	-5.000.000	182.972.909	85.413.520	3.000.000	1,10%	88.413.520	*
<b>19 10 01 01 - Cooperation with developing countries in Asia</b>							
384.495.037	-14.000.000	234.041.335	136.453.702	8.000.000	2,08%	144.453.702	*
<b>19 10 01 02 - Aid for the rehabilitation and reconstruction of Afghanistan</b>							
128.988.695	-18.000.000	79.089.158	31.899.537	3.000.000	2,33%	34.899.537	*
<b>19 11 03 - The European Union in the world</b>							
1.186.103	153.349	1.110.356	229.096	600.000	50,59%	829.096	*
<b>20 02 01 - External trade relations, including access to the markets of third countries</b>							
6.918.934	-3.000.000	3.871.045	47.889	1.000.000	14,45%	1.047.889	*
<b>21 03 01 - Non-State actors in development</b>							
172.973.346	-19.000.000	151.164.783	2.808.563	8.000.000	4,62%	10.808.563	*
<b>21 03 02 - Local authorities in development</b>							
10.477.243	19.000.000	27.472.824	2.004.419	1.000.000	9,54%	3.004.419	*
<b>21 04 01 - Environment and sustainable management of natural resources, including energy</b>							
122.563.971	0	67.664.411	54.899.560	6.000.000	4,90%	60.899.560	*
<b>21 05 01 02 - Education</b>							
7.907.353	-3.900.000	3.989.623	17.730	6.000.000	75,88%	6.017.730	*
<b>21 06 07 - Banana accompanying measures</b>							
32.617.831	0	2.343.882	30.273.949	-6.738.204	-20,66%	23.535.745	*

Line / Heading							
Initial Budget #AB (1A)	Transfers (2)	Utilisation (3)	Available amount (4)=(1A)+(EFTA)+(2)-(3)	Transfer proposed (5)	Fluctuation (5/1A)	Total (4±5)	Standard justification
<b>21 07 03 - Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies</b>							
322.225	0	275.207	47.018	3.775	1,17%	50.793	Implementation faster than expected
<b>21 07 04 - Commodities agreements</b>							
2.624.253	0	1.222.311	1.401.942	1.580.747	60,24%	2.982.689	*
<b>21 08 02 - Coordination and promotion of awareness on development issues</b>							
4.871.918	0	4.601.731	270.187	600.000	12,32%	870.187	*
<b>23 02 01 - Humanitarian aid</b>							
512.545.722	201.000.000	604.094.882	109.450.840	69.250.719	13,51%	178.701.559	*
<b>23 02 02 - Food aid</b>							
226.941.029	-19.000.000	201.946.243	5.994.786	9.000.000	3,97%	14.994.786	*
<b>23 02 03 - Disaster preparedness</b>							
32.123.621	1.000.000	32.910.955	212.666	875.984	2,73%	1.088.650	*
<b>23 03 05 - Preparatory action - Union rapid response capability</b>							
500.000	410.000	909.261	739	1.000.000	200,00%	1.000.739	*
<b>23 03 06 - Civil protection interventions in third countries</b>							
3.755.993	-3.000.000	2.150	859.011	-753.843	-20,07%	105.158	*
<b>24 02 03 - Anti-fraud information system (AFIS)</b>							
4.942.096	0	3.962.087	980.009	758.000	15,34%	1.738.009	*
<b>25 02 04 01 - Documentary databases</b>							
760.000	0	132.055	627.945	-150.000	-19,74%	477.945	Implementation slower than expected
<b>25 02 04 02 - Digital publications</b>							
978.000	0	352.061	625.939	-25.000	-2,56%	600.939	Implementation slower than expected
<b>26 02 01 - Procedures for awarding and advertising public supply, works and service contracts</b>							
12.849.449	0	4.854.784	7.994.665	-4.200.000	-32,69%	3.794.665	*
<b>32 06 01 - Research related to energy</b>							
116.069.721	0	62.911.992	56.401.325	-11.050.000	-9,52%	45.351.325	*
<b>32 06 04 02 - Completion of the sixth framework programme (2003 to 2006)</b>							
14.826.287	0	4.810.686	10.430.737	-3.880.000	-26,17%	6.550.737	*
<b>33 02 05 - Fight against violence (Daphne)</b>							
15.023.971	8.131.500	21.650.346	1.925.796	4.000.000	26,62%	5.925.796	*
<b>33 02 10 - Pilot project - European Centre for Press and Media Freedom</b>							
500.000	0	0	500.000	-500.000	-100,00%	0	*
<b>33 04 01 - Drugs prevention and information</b>							

Line / Heading							
Initial Budget ±AB (1A)	Transfers (2)	Utilisation (3)	Available amount (4)=(1A)+(EFTA)+(2)-(3)	Transfer proposed (5)	Fluctuation (5/1A)	Total (4±5)	Standard justification
2.797.242	0	2.680.968	194.597	500.000	17,87%	694.597	*
<b>33 06 01 - Anti-discrimination and diversity</b>							
16.783.972	1.232.690	15.935.424	2.551.189	1.000.000	5,96%	3.551.189	*
<b>33 06 02 - Gender equality</b>							
9.569.661	-2.456.000	5.323.652	2.057.960	2.112.124	22,07%	4.170.084	*
<b>Total DECREASE Payments</b>				<b>- 509.841.478</b>			
<b>Total INCREASE Payments</b>				<b>509.841.478</b>			

(\*) See annex for detailed justification

## Justifications

### **Decrease 01 02 04**

#### **Prince - Communication on the economic and monetary union, including the euro**

Invoices for two large projects with long implementation periods will only be submitted in 2014. Therefore, EUR 0.8 million can be made available for redeployment.

### **Decrease 01 03 02**

#### **Macro-financial assistance**

EUR 30.8 million is made available under this line. The main reasons are the continuing political crisis and the absence of an International Monetary Fund (IMF) arrangement in Egypt. This means that the EU macro-financial assistance to Egypt of EUR 25 million in payment appropriations is now highly unlikely to be paid in 2013. In addition, while the commitment of EUR 23 million for the macro-financial assistance for Georgia is foreseen for this year, it is unlikely that the planned first payment of EUR 11.5 million will take place before the end of 2013.

### **Increase 01 04 04**

#### **Competitiveness and Innovation Framework Programme - Entrepreneurship and Innovation Programme**

The proposed increase of EUR 7.3 million is split as follows: EUR 4.5 million for the SME Guarantee Facility (SMEG) and EUR 2.8 million for the High Growth and Innovation Facility for SMEs (GIF, venture capital).

According to article 4.5(b) of the Fiduciary and Management Agreement (FMA) between the Commission and the entrusted entity, the European Investment Fund (EIF), the balance of the Trust Accounts for each of the GIF and SMEG facilities has to be at least EUR 20 million. Therefore a sufficient balance on the account at year-end should be respected.

The additional EUR 4.5 million requested for the SMEG Facility is mainly justified by the increase of new deals in non-euro currency forecasted for the second half of the year, for which the EIF will need to purchase the relevant tradable currency upfront. The current pipeline covers new potential deals in countries such as Croatia, Czech Republic, Denmark, France, Germany, Poland, Spain, and Turkey. For the first time, potential guarantee deals are also envisaged in Greece, former Yugoslav Republic of Macedonia, Romania, and Serbia in view of the balanced geographical coverage of the instrument.

Regarding the additional EUR 2.8 million requested for the GIF Facility, this amount should cover the management fees which will be due in view of the new transactions that are to be signed in the next weeks.

### **Increase 01 04 05**

#### **Completion of programme for enterprises: improvement of the financial environment for small and middle-sized enterprises (SMEs)**

The proposed increase of EUR 7.9 million is meant to be split as EUR 4.3 million for the ETF Start-up Facility (ESU01) and EUR 3.6 million for the Guarantees Facility (SMEG01).

In the light of the latest forecast from the entrusted entity – the European Investment Fund (EIF) – the liquidity available on the trust accounts is not sufficient to cover investments obligations under the programme in 2013 and to guarantee the contractual margin agreed.

The trust account balance for ETF Start-up Facility under the Multiannual programme for Enterprise and Entrepreneurship (MAP) programme as at 31/07/2013 was EUR 21.1 million. From this amount, EUR 7.6 million are needed for the out-currency reserve and EUR 10.0 million for the Fiduciary and Management Agreement contractual margin. A further EUR 2.17 million relates to interests, capital

repayment and dividends which will be transferred back to the EU budget. The remaining available amount is EUR 1.3 million while the expected payment needs by end 2013 amount to EUR 5.6 million. As a result, the reinforcement of EUR 4.3 million payment appropriations is needed for MAP ESU01 in the following months.

With regard to the SMEG01 Facility under the MAP programme, its trust account balance as at 31/07/2013 was EUR 18.2 million while the expected payment needs by end 2013 amount to EUR 10.0 million. As a result, a reinforcement of EUR 3.6 million in payment appropriations is needed in order to replenish the contractual margin and accommodate the increased disbursements estimated for the remaining months of 2013.

#### **Increase 02 03 04 01**

##### **Support to standardisation activities performed by CEN, Cenelec and ETSI**

The payment appropriations request is needed to cover the operating grants to European Standardisation Organisations (ESOs), and the payments on the different actions for standardisation.

The implementation rate in early September is 97%. An amount of EUR 9 million has been recently paid as operating grants to the ESOs, as well as EUR 5.3 million in final payments on outstanding action grants from previous years.

The requested increase of EUR 1 million will be necessary to cover further urgent RAL payments.

#### **Increase 04 02 20**

##### **European Social Fund (ESF) - Operational technical assistance (2007 to 2013)**

The volume of the RAL has led to the necessity to make payments which were not covered by the original voted budget. It is currently expected that an additional EUR 1 million is necessary to meet obligations in 2013, especially for final payments for contracts linked to grants in the field of trans-nationality, and a few pre-financing payments for new grants.

#### **Increase 04 06 01**

##### **Instrument for Pre-Accession Assistance (IPA) - Human resources development**

The latest forecasts of payments until the end of the year for the implementation of IPA Component IV operational programmes in Turkey and the former Yugoslav Republic of Macedonia result in the need for a reinforcement on top of the EUR 35.5 million already requested via the original Draft Amending Budget 2/2013.

The forecasts have been checked with the counterparts in the beneficiary countries, and take into account recent developments with regard to the lifting of current payment interruptions. On this basis, an amount of EUR 7.4 million is requested.

#### **Decrease 05 02 17 04**

##### **Preparatory action - European farm prices and margins observatory**

Due to the late adoption of the Financing Decision of the Preparatory Action – European Farm Prices and Margins Observatory at end July 2013, the payments foreseen for implementation in 2013 are to be postponed to the year 2014, and EUR 112 000 can be transferred.

## **Decrease 05 04 03 01**

### **Preparatory action - Union plant and animal genetic resources**

An open call for tenders concerning the action on EU plant and animal genetic resources in agriculture will be launched soon. It is planned to sign the contract and to make the budgetary commitment by the end of this year. Most of the payments will be made in 2014 and 2015, and for this reason, EUR 600 000 of the payment appropriations can be made available for redeployment.

## **Decrease 05 04 05 03**

### **Pilot project - Exchange programme for young farmers**

In order to carry out this pilot project, the Commission decided to carry over the 2012 commitment appropriations (EUR 1.5 million) to 2013. A negotiated procedure has been initiated, and it is expected to sign the contract, and thus make the budgetary commitment, in the third quarter of this year. Since no pre-financing has been foreseen under this contract, and considering that the interim report which is linked to the first interim payment will not be due before December, no payment appropriations will be used in 2013. The payment appropriations that have been requested in the Draft Budget 2014 should cover the needs for 2014. Further payments are foreseen for 2015. This leaves payment appropriations amounting to EUR 750 000 available for use in the 2013 Global Transfer procedure.

## **Decrease 05 05 02**

### **Instrument for Pre-accession Assistance for Rural Development (IPARD)**

The decrease corresponds to the expected implementation of payments. The amount of payments required until the end of the year is based on the forecast of the agencies at 23/8/2013. An amount of EUR 33.3 million can therefore be made available.

## **Decrease 05 06 01**

### **International agricultural agreements**

This budget article covers the EU's contribution to the International Grains Council (IGC), the International Sugar Organisation (ISO) and to the International Olive Oil Council (IOOC). For all these organisations, the EU contributions paid in 2013 were smaller than expected in the budget, in particular for IOOC.

The current IOOC agreement will expire at the end of 2014. The agreed approach of the Commission is that until the end of the current agreement the budget, human resources, and activities of the IOOC should be kept at a minimum. The 2013 IOOC budget, agreed in May 2013, reflects this approach and consequently the EU contribution made was lower than originally foreseen.

In addition, the 2013 contributions for the International Sugar Organisation and International Grains Convention were smaller than foreseen, primarily due to changes in the GBP-EUR exchange rate and to the final number of votes eventually attributed to the EU in these organisations.

On this basis, payment appropriations of EUR 2.0 million are made available.

## **Increase 06 03 03**

### **Financial support for projects of common interest in the trans-European transport network**

This increase of EUR 30.9 million will raise the amount on the budget line to the level of the Draft Budget 2013, and is essential to cover the needs of the TEN-T decisions to the year end. The proposed increase includes some EUR 2.3 million for the Marguerite Fund whose pace of implementation has now reached cruising speed. The Commission's participation in the Fund to finance its share of equity in these PPP infrastructure projects amounts to 11.27%.

## **Increase 06 06 02 01**

## **Research related to transport (including aeronautics)**

This reinforcement of EUR 5.2 million is necessary to cover cost claims, which are higher than expected. In particular there is a cost claim (already received) of EUR 5 million which cannot be covered without the requested appropriations.

### **Increase 06 06 02 03**

#### **SESAR Joint Undertaking**

After a sluggish start over the period 2010 to 2012, the SESAR Programme has now reached its cruising speed, with, for 2013, amounts of EUR 79 million of co-financing to be paid to the members and EUR 20 million for complementary activities and studies. To cover these needs, a reinforcement of EUR 15.3 million is therefore requested in the Global Transfer.

### **Increase 08 02 02**

#### **Cooperation - Health - Innovative Medicines Initiative Joint Undertaking**

IMI JU foresees the payment of operational expenditure in 2013 to reach EUR 125.8 million. This amount was approved by the IMI Governing Board as part of the budget 2013, and a further EUR 22.2 million is now needed to cover the obligations towards beneficiaries of IMI projects.

Without this reinforcement, there is the risk of significant delays in the implementation of projects, as well as late interest which could amount to several hundred thousand euros. If this reinforcement is not approved, IMI JU will run out of payment appropriations in November 2013.

### **Increase 08 04 01**

#### **Cooperation - Nanosciences, nanotechnologies, materials and new production technologies**

The requested reinforcement of EUR 24.6 million is intended to cover a number of payments to the year-end, with a view to avoiding implementation delays. If this reinforcement is not approved, this budget line will run out of payment appropriations in November.

### **Increase 08 05 01**

#### **Cooperation - Energy**

A reinforcement of EUR 4.5 million is needed to cover payments to the year-end, otherwise the budget line will be exhausted in November. The payments concerned cover interim and final payments for calls for proposals from previous years (FP7 Energy 2012/2011/2010, FP7 Eranet 2012 RTD). Thanks to this reinforcement, approximately 5% of payment on RAL will be made, avoiding or minimising payment of late interest.

Some pre-financing is also needed for calls made in 2013 (FP7-Energy 2013, FP7 Smartcities 2013, FP7 Energy 2013 IRP, FP7 Eranet 2013 RTD and FP7 Ocean 2013). The evaluation/negotiation of these calls is complete, and payment is needed to avoid any delay in the implementation of these research projects.

### **Increase 08 06 01**

#### **Cooperation - Environment (including climate change)**

A reinforcement of EUR 3.5 million is requested, to avoid the risk of significant late interest payments, as well as delays to projects. The budget line is otherwise forecast to run out of appropriations in November, as the payments on this line are concentrated in the last months of the year.

### **Decrease 08 07 01**

## **Cooperation - Transport (including aeronautics)**

The proposed decrease of EUR 20.5 million arises from the fact that for two specific calls for proposals the claims for interim/final payments from the beneficiaries were below the amounts forecast.

### **Increase 08 10 01**

#### **Ideas**

Following a revision of the payment appropriations needs until the end of 2013, it is proposed that apart from the EUR 35 million requested via amending budget, a further EUR 51.6 million is needed. This is necessary to allow the European Research Council Executive Agency (ERCEA) to meet its obligations efficiently and in due time. The total reinforcement of EUR 86.6 million (EUR 35 million + EUR 51.6 million) is in line with the initial request for Draft Budget 2013, and is supported by implementation rates.

### **Increase 08 19 01**

#### **Capacities - Support for coherent development of research policies**

The requested reinforcement of EUR 0.5 million concerns payments to experts participating in groups set up according to the revised work programme CDRP 2013, as well as final payments for short running studies ending in 2013. These payments are contractual obligations.

### **Decrease 08 20 02**

#### **Euratom - European Joint Undertaking for ITER - Fusion for Energy (F4E)**

The decrease proposed consists of an adjustment of the payment forecasts representing 58% of the initial appropriations voted by the Budgetary Authority. The major part of this adjustment of the forecasts concerns pre-financing payments related to 2013 contracts and interim payments of previous year's contracts.

The negotiation of the 2013 contracts has been delayed; the major part of these contracts will be signed only at the end of this year resulting in a shift of several months in the advanced payments linked to those contracts to the year 2014.

Furthermore, beneficiaries submitted/ are going to submit their request for interim/final payment with delays, which has generated a significant deviation from the payment forecast 2013. These factors represent a total amount of EUR 240 million that will not be paid as previously foreseen. In addition to the delay in pre-financing and interim/final payments, part of the needs (EUR 49.2 million) can be covered by assigned revenue, rather than by the voted 2013 appropriations. This EUR 49.2 million corresponds to the outturn balance from the year 2012 that the Commission recovered in July 2013.

In this context, the budget line 08 20 02 can offer EUR 289.2 million for redeployment.

### **Decrease 08 22 03 02**

#### **Completion of the sixth Euratom framework programme (2003 to 2006)**

The payment appropriations on this line only relate to closed FP6 contracts. The forecast was based on the on-going appeals by the beneficiaries, received after final payments for the projects 036412 – Nulife and 516508 – Raphael. These appeals were only partially accepted, therefore the downwards adjustment of the forecast by -EUR 0.1 million.

A further reduction of EUR 0.7 million relates related to the project FU06-CT-2005-00131 where the cost claim of a participant was not accepted.

In total, EUR 0.8 million is available for redeployment.

### **Increase 09 02 06**



### **Preparatory action - Erasmus for Journalists**

The final payment request for this preparatory action was received in June. No payment appropriations are available on this line in 2013 budget. An amount of EUR 150 000 was requested in the original Draft Amending Budget 2/2013, and the amount requested in the Global Transfer EUR 34 776 is the remaining part of the final payment.

### **Increase 09 03 01**

#### **Competitiveness and Innovation Framework Programme - Information and Communication Technologies Policy Support Programme (ICT PSP)**

An increase of EUR 9.2 million is proposed to fulfil obligations to the year end, and bearing in mind the rate of implementation to date. The addition amount will be used, inter alia, to cover interim payments, pre-financing on calls from 2012 and those processed in 2013, and the payment due to the European Investment Bank in relation to the pilot project bond.

### **Increase 09 04 01 01**

#### **Support for research cooperation in the area of information and communication technologies (ICTs - Cooperation)**

An increase of EUR 57.3 million is requested to cover payments to the year-end. The non-approval of this request would prevent the Commission from paying a significant part of the pre-financing of 2013 call projects to be paid in 2013 in accordance with the calendar of the calls and the obligation in terms of payment deadlines imposed by the Financial Regulation.

Payment for late interest would be due and the problem would be shifted to 2014, where the shortage of payment appropriations is even more severe. Furthermore, there would be a risk that the time to contract constraints imposed by the new Financial Regulation would not be respected.

### **Increase 10 05 01**

#### **Decommissioning of nuclear installations and waste management**

The request for EUR 2.7 million represents the minimum amount needed for the completion of Commission's tasks within the Decommissioning & Waste Management Programme. The requested payment appropriations will allow the legal obligations to be honoured, and at the same time, will guarantee full consumption at year-end. The non-approval of additional payment credits will make that the gap between commitment and payment appropriations grows in 2013, as happened in 2012. It is therefore vital to cover the maximum amount of obligations left pending at the end of 2012, as well as those arising in 2013, in order to avoid an abnormally high carry-over into 2014 of payment needs related to past financial years.

### **Decrease 11 02 01 01**

#### **Intervention in fishery products - New measures**

The expected level of payments of interventions is lower than foreseen at the date of preparation of the budget for the year 2013. The most recent projections are extrapolated from the payments made in 2012 and in the first declaration period of 2013, and on this basis EUR 2.9 million can be redeployed.

#### **Decrease 11 02 01 04**

##### **Pilot project - Establishment of a single instrument for commercial designations for fishery and aquaculture products**

The procurement procedure will take place later than expected, and the first payment will only take place in 2014, allowing for the redeployment of EUR 0.2 million.

#### **Increase 11 03 01**

##### **International fisheries agreements**

The increase in needs is linked to the payment of EUR 2.4 million for the sectoral support to the Islamic Republic of Mauritania if the necessary conditions have been fulfilled as per the conditions of the protocol currently under provisional application. A request for information concerning the fulfilment of these conditions was sent to the relevant authorities in Mauritania on 1 August 2013. The corresponding commitment of appropriations was made in 2012, after the approval of the budgetary authority. The corresponding payment of the RAL was not inscribed on the reserve line in the 2013 budget.

#### **Increase 11 03 03**

##### **Preparatory work for new international fisheries organisations and other non-compulsory contributions to international organisations**

A significant number of final payments will take place before the end of the year. Moreover, the following actions have been added to the work programme:

- International Commission for the Conservation of Atlantic Tunas (ICCAT): Feasibility study on tropical tuna tagging.
- Indian Ocean Tuna Commission (IOTC): Organisation of a meeting of the Working Party on Tropical Tunas (WPTT).

Therefore, an increase of EUR 0.5 million is requested.

#### **Decrease 11 04 01**

##### **Closer dialogue with the fishing industry and those affected by the common fisheries policy**

Requirements for the communication campaign dedicated to the reform of the Common Fisheries Policy (CFP) are lower than originally planned, and just under EUR 1 million can be released.

#### **Increase 11 06 11**

##### **European Fisheries Fund (EFF) - Operational technical assistance**

This increase of payment appropriations of EUR 1.3 million is needed to cover actions committed in 2012 and 2013 for:

- The European Fisheries Areas Network (FARNET): EUR 930 000;
- Studies on the implementation of the Axis 4 (Sustainable development of fisheries areas) of the European Fisheries Fund: EUR 120 000;
- Others (i.e. Retrospective and prospective evaluations on the CFP, excluding its international dimension, IT expenses, etc.) EUR 290 000.

## **Increase 11 08 01**

### **Financial contribution to the Member States for expenses in the field of control**

The increase requested is based on the latest information provided from 13 Member States concerning payment claims to be sent before the end of 2013, which shows that they intend to send to the Commission claims amounting to EUR 13.9 million. Taking into account that payments to Member States will be determined after further analysing the respective files and deducting the pre-financing amount, an increase in payment appropriations of EUR 3 million is requested.

## **Decrease 11 09 05**

### **Programme to support the further development of an integrated maritime policy (IMP)**

The pre-financing for a number of procurement projects linked to the building of the marine knowledge databases will not be paid, as the contractors have not provided the bank guarantees, and have renounced the pre-financing. Therefore, the payments will be done as interim and final payments only in 2014, and EUR 4.2 million can be transferred.

## **Decrease 11 09 06**

### **Preparatory action - Guardians of the Sea**

Due to a modification of the planning, a part of the initially forecasted payments will be made in 2014, and EUR 75 500 can be transferred.

## **Increase 12 02 01**

### **Implementation and development of the internal market**

The payment allocations requested will essentially cover commitments remaining to be settled from 2012. Notably, several contracts have been signed in December 2012 and in accordance with the payment terms specified in the contracts; interim and/or final payments are now due on them. Contracts are mainly related to studies, conformity assessments and IT developments.

Total needs on this line amount to EUR 3.1 million, but the net increase requested is EUR 1.6 million, as EUR 1.5 million are currently in reserve and the lifting of the reserve has been requested via transfer request DEC 25/2013.

Without the reinforcement there will be late interest to pay, and furthermore, since the contractors able to carry out the studies are quite limited in number, there would be a reputational risk and a risk for future open tender procedures.

## **Decrease 13 05 01 01**

### **Instrument for Structural Policies for Pre-accession (ISPA) - Completion of other previous projects (2000 to 2006)**

The analyses of the payment claims related to the closures for ex-ISPA projects are mostly resulting in recoveries. An amount of EUR 79.0 million can therefore be made available.

## **Increase 15 07 77**

### **People**

A reinforcement of EUR 78 million is requested to cover payments to the year-end, avoiding that the launch of some 162 projects is postponed to 2014. The total needs for payment appropriations on this line in 2013 can be detailed as follows:

- Interim and final payments for a total amount of EUR 230.4 million for grants awarded under the calls for proposals 2007-2011 and charged to commitments carried forward to 2013. On 1 January 2013 the stock of open projects resulting from these calls represents 2 065 projects;
- Pre-financing payments for a total amount of EUR 324.4 million, relating to some 1397 grant agreements retained under the various 2012 calls for proposals (IxF-Individual Fellowships, COFUND, IRSES, CIG, IAPP and ITN) for which grant signature (followed by the payment of pre-financing) has to be made by 31.12.2013 at the latest;
- Pre-financing payments for a total amount of EUR 307.3 million and relating to some 426 grant agreements resulting from various 2013 calls for proposals (IRSES, NIGHT, CIG, IAPP and ITN) and planned for signature (and subsequent payment of pre-financing) in 2013;
- Payments for a total amount of EUR 11.0 million to expert evaluators assisting the Research Executive Agency (REA) with proposal evaluations.
- Payments for a total of EUR 8.9 million for projects managed by the Commission.

### **Increase 16 04 02 01**

#### **Online and written information and communication tools**

The additionally requested amount of EUR 1.6 million is necessary to fulfil the contractual obligations related to interim payments on contracts signed during 2013 for information and communication tools (Europe website, Europe Direct Call Centre, etc.).

### **Decrease 17 03 12**

#### **Pilot project - Healthy diet: early years and ageing population**

In 2013, only a pre-financing of 30% will be made for this pilot project and the remaining EUR 0.4 million on the line can be returned as no other payments are due for this year.

### **Decrease 17 03 13**

#### **Pilot project - Developing and implementing successful prevention strategies for type 2 diabetes**

No payments are foreseen for this pilot project in 2013, and the EUR 0.2 million can be made available for transfer.

### **Decrease 17 03 14**

#### **Preparatory action - Antimicrobial resistance (AMR): Research on the causes of high and improper antibiotic usage**

The contract is expected to be signed in November and as the first payment is linked to the approval of the inception report, it will be done in 2014. Therefore no payment appropriations are needed on this line for 2013, and the EUR 0.2 million can be redeployed.

### **Decrease 17 03 19**

#### **Preparatory action - Fruit and vegetable consumption**

As stated in the letter of executability in 2012, (24/10/2012), reacting to the amendments voted to the 2013 Draft Budget, in 2013, the Commission intends to wait for the results from the pilot project before launching further preparatory action. The results are expected to be available at the beginning of 2014 and therefore the appropriations (EUR 0.5 million) available on this budget line can be made available.

## **Decrease 17 04 02 01**

### **Other measures in the veterinary, animal welfare and public health field - New measures**

The surveillance studies aiming to gather further important information on honeybee colony losses will only be paid in 2014. Taking into account other actions to be financed, a net amount of EUR 2 million in payment appropriations can be redeployment.

## **Decrease 17 04 03 01**

### **Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health - New measures**

Due to a favourable animal health situation, the requests for EU contribution from the Emergency Fund will be lower than the provision set up at the start of the year. As a result, a decrease of EUR 5.5 million in payment appropriations is possible.

## **Increase 19 03 01 04**

### **Other crisis management measures and operations**

The CFSP Chapter has been decreased by EUR 15 million in payment appropriations through DEC 21/2013 in order to temporarily reinforce the Instrument for Stability (budget line 19 06 01 01) while awaiting the approval of DAB2/2013.

The budget line 19 03 01 04 needs to be reinforced in order to cover partially the second pre-financing for EU Border Assistance Mission (EUBAM) Libya for an amount of EUR 13 million. Therefore EUR 6.4 million is requested in the Global Transfer.

## **Increase 19 04 01**

### **European Instrument for Democracy and Human Rights (EIDHR)**

Payment appropriations voted on this line were EUR 6.5 million lower than requested in the Draft Budget, and as early as March 2013, it was estimated that a reinforcement of EUR 20 million (from EUR 88.5 million to EUR 108.5 million) would be necessary fully to cover the Commission's commitments.

By the end of August 2013, EUR 83 million of the initial EUR 88.5 million had been consumed. The average monthly rate of payments is EUR 5 to 7 million, (this average increases towards the end of the year). Nevertheless, only EUR 3.1 million is requested via the Global Transfer to cover the bare minimum of essential payments.

## **Increase 19 04 03**

In the framework of budgetary authority transfer DEC 21, an amount of EUR 6 million from budget line 19 04 03 'Electoral observation' was transferred to the Instrument for Stability (budget line 19 06 01 01), to cover urgent payment needs. Several electoral observation missions are planned for which an amount of EUR 3 million is urgently needed, and should be restored to this line. (Bangladesh, Nepal, Honduras, Madagascar, Tunisia, Mali).

## **Increase 19 05 01**

### **Cooperation with industrialised third countries**

An additional amount of EUR 2 million is requested for this line to help to cover final or interim payments, as well as to cover payments linked to the transfer of commitment appropriations in 2012 from budget line 15 02 03 Cooperation with third countries on education and vocational training, which concern Tempus and Erasmus Mundus activities. No corresponding payment appropriations were transferred at the same time but pre-financings and interim payments have to be paid in 2013.

## **Increase 19 06 04**

### **Assistance in the nuclear sector**

The Instrument for Nuclear Safety Cooperation was decreased by EUR 15 million in payment appropriations as an emergency measure to cover the additional humanitarian needs of the Syrian crisis. EUR 4 million needs to be recovered to honour outstanding payment obligations towards contractors.

## **Increase 19 08 01 01**

An amount of EUR 30 million in payment appropriations was transferred to Humanitarian Aid via budgetary authority transfer DEC 18/2013 to respond to the Syrian crisis. A minimum of EUR 10 million has now to be restored in order to pay the most urgent needs on this line.

## **Increase 19 08 01 03**

### **European Neighbourhood and Partnership financial cooperation with Eastern Europe**

Despite reinforcement via Amending Budget 2/2013, the latest forecasts (August) for this budget line, still indicate additional needs, as some big payment actions in Moldova, should now be taken into account in 2013. Therefore a further EUR 10.5 million is necessary.

## **Increase 19 09 01**

### **Cooperation with developing countries in Latin America**

The revised forecast of July confirms a strong implementation. The payments appropriations were reduced by EUR 5 million in order to cover finance needs for Syria. On-going projects in Latin America, worth almost EUR 70 million, have however matured and should be paid by the end of the year. Therefore a reinforcement of EUR 3 million is requested.

## **Increase 19 10 01 01**

### **Cooperation with developing countries in Asia**

The level of payment appropriations, voted under the 2013 budget, was lower than level proposed in the Draft Budget. There were also payments which had to be made at the beginning of 2013, which should have been made in 2012, but were delayed due to a lack of appropriations.

In addition, payment appropriations for Asia were reduced by EUR 14 million in view of the urgent need to provide payments for the assistance to Syria. In view of the urgency of the situation, funds were deployed from budget lines where payments were immediately available. However, it is now necessary to reinstate these amounts. The proposed amount of EUR 8 million is based on forecasts of payments until the end of the year, which would otherwise lead to payment of late interest.

## **Increase 19 10 01 02**

### **Aid for the rehabilitation and reconstruction of Afghanistan**

Payment appropriations for Afghanistan were reduced during the year by EUR 18 million in view of the urgent need to provide payment appropriations for the assistance to Syria. In view of the urgency of the situation, funds were deployed from budget lines where payments were immediately available. This was possible on the understanding that regularisation would be sought in the Global Transfer, and EUR 3 million is now duly requested.

## **Increase 19 11 03**

### **The European Union in the world**

The payment appropriations for 2013 were EUR 1.1 million, of which EUR 153 000 remain. This remaining amount will cover small invoices linked to 2013 on-going contracts. The amount of appropriations committed in 2011 and 2012, stemming directly from the existence of old annual programmes that have not yet been paid, amounts to EUR 574 000. This amount is needed to pay invoices for writing and editing services and awareness raising events in Member States. In addition, EUR 626 000 is needed to pay invoices received for the Mali conference and the 30% pre-financing for the contracts in view of the European Year of Development 2015 until end of this year, as well as possible late interest payments. Therefore, there is a need for an additional EUR 0.6 million.

### **Increase 20 02 01**

#### **External trade relations, including access to the markets of third countries**

The budget 2013 line was approved with an amount of EUR 6.9 million in payment appropriations (EUR 1.6 million less than Commission's request). The line has subsequently been decreased by EUR 3 million to help cover the urgent shortage of payment appropriations on line 20 02 03 Aid for Trade – Multilateral Initiatives. The requested reinforcement of EUR 1 million is the absolute minimum required for the Commission to cover its contractual obligations.

### **Increase 21 03 01**

#### **Non-State actors in development**

In the first four months of 2013, an amount of EUR 91.5 million, which represents 43.8% of the allocated payment appropriations of 2013 budget, was used for making payments signed with 2012 budget, as well as for intermediate or final payments to on-going contracts.

This increased consumption of payment appropriations was unexpected in comparison with the initial forecasts for the year, with projects maturing faster than forecasted.

The proposed transfer of EUR 8 million will be used to cover, interim and/or final payments for on-going contracts, as well as payments for contracts signed using the 2012 budget, and calls which were launched in the first months of 2013.

### **Increase 21 03 02**

#### **Local authorities in development**

The scenario is similar to that of budget line 21 03 01. However, in this case a reinforcement of EUR 1 million is proposed.

The following expenditure is expected for this line:

- Payments for new contracts which will be signed using the 2012 budget equal to EUR 20 million, approximately EUR 4.2 million will be spent on payments for new contracts following Calls for Proposals launched in the first months of 2013.
- Furthermore, according to the forecasts made at headquarters as well as those received from the delegations which manage part of these lines, it is expected that interim and/or final payments for on-going contracts will reach a minimum of EUR 8 million from September to December.

## **Increase 21 04 01**

### **Environment and sustainable management of natural resources, including energy**

The budget for this line is EUR 40 million lower than the Draft Budget 2013 request. The amount of payment appropriations left available on this line will not be sufficient to meet the payments which are due by the end of the year for contracts signed in 2012 and 2013, estimated at EUR 45 million. This includes, just as an example, the tenders launched in 2012 for the “Sustainable energy for all” initiative, where contracts worth EUR 60 million should be signed by the end of this year, and low pre-financing of 20% should be paid in December. Interim and final payments in the pipeline relating to earlier contracts which are due before the year-end total EUR 47.8 million. A minimum of EUR 6 million in payment appropriations are required as reinforcement.

## **Increase 21 05 01 02**

### **Education**

There are currently a total of 22 grant contracts and five contribution agreements with international organisations under this budget line. The end of the year needs includes the following payments: pre-financing on the contribution agreement with the Global Partnership for Education for EUR 6 million; final payment for contract 2007/142-413 (Annual Contribution to the FTI) for EUR 6 million, and payments to eight on-going contracts managed by EU Delegations, for a total of EUR 2.5 million. Taking account of availabilities, a reinforcement of EUR 6 million is requested.

## **Decrease 21 06 07**

### **Banana accompanying measures**

Due to the late adoption of the BAM as an instrument, and delays in committing the funds, most of the budgetary commitments were made towards the end of 2012, and all financing agreements were countersigned by the respective governments only in 2013, implying delays in the implementation. In total, EUR 16.9 million can be released from the line, with EUR 10.2 million redeployed within the same chapter, and an amount of EUR 6.7 million available within the Global Transfer.

## **Increase 21 07 04**

### **Commodities agreements**

The 21 07 04 budget line covers the annual membership fees which the Union must pay for its participation on the grounds of its exclusive competence in the field. More specifically this appropriation covers the payment of:

- the annual fee for membership of the International Coffee Organisation: a commitment and corresponding payment for the amount of EUR 1.5 million;
- the annual fee for membership of the International Cocoa Organisation: a commitment and corresponding payment for the amount of EUR 1.3 million
- the annual fee for membership of the International Jute Organisation: a commitment and corresponding payment for the amount of EUR 0.3 million

Due to the very nature of expenses covered by this budget line (i.e. payment of annual contribution to an international body), the amount committed during year N should be fully disbursed during the same budgetary year, and therefore an increase of EUR 1.6 million is requested.



## **Increase 21 08 02**

### **Coordination and promotion of awareness on development issues**

Several payments are in the pipeline and have to be made this year, with no possibility to postpone them to 2014. An amount of EUR 0.6 million is requested, which is crucial for payments in view of preparatory actions for the European Year of Development 2015.

## **Increase 23 02 01**

### **Humanitarian aid**

On 31 August 2013 there are only EUR 112 million available in payment appropriations on the humanitarian aid budget line, with implementation rate at 84.2%, which is - extremely high four months before the year-end.

At the same time, the accumulated *reste-à-liquider* (RAL) stands at around EUR 460 million and the *reste-à-contracter* (RAC) is as high with EUR 420 million to finance major operations, such as the ones related to the Syrian crisis.

This critical situation stems from the increasing gap, which has developed between commitment and payment appropriations, despite the fact that humanitarian aid projects are relatively short-term and need a pre-financing of 80% and a final payment very shortly after that.

Therefore, based on the actual forecast of needs, a reinforcement of EUR 69.3 million in payment appropriations on budget line 23 02 01 is proposed to partially cover Commission's contractual obligations and provide humanitarian assistance to those most in need.

## **Increase 23 02 02**

### **Food aid**

On 31 August 2013 there was only EUR 6 million available in payment appropriations on the food aid budget line. The implementation rate thus stood at 96.9%, which is extremely high for this point of the year.

At the same time, several humanitarian actions for an amount of EUR 34 million still have to be launched and pending payment claims have to be processed.

As in the case of Humanitarian Aid, this critical situation has arisen from the growing gap between commitment and payment appropriations, despite the fact that humanitarian aid projects are relatively short-term and need a pre-financing of 80% and a final payment very shortly after that.

Therefore, based on the actual forecast of needs, a reinforcement of EUR 9 million in payment appropriations on budget line 23 02 02 is necessary.

## **Increase 23 02 03**

### **Disaster preparedness**

As of 31 August 2013 there were no more payment appropriations on the budget line, whereas outstanding payment claims for an amount of EUR 5 million have been received. Therefore, a reinforcement of EUR 0.9 million in payment appropriations is proposed to cover the most urgent needs.

## **Increase 23 03 05**

### **Preparatory action - Union rapid response capability**

The initial 2013 budget in payment appropriations was EUR 0.5 million. However, files for final payments worth EUR 2.2 million were received in 2013. Even with an internal transfer of EUR 0.4 million from budget

and making use of assigned revenue, there is still a gap of EUR 1 million to be addressed, and this reinforcement is requested in the Global Transfer.

### **Decrease 23 03 06**

#### **Civil protection interventions in third countries**

The initial budget of EUR 3.7 million in payment appropriations has been reinforced by internal assigned revenues for an amount of EUR 3.6 million. The number of interventions needed during the year is unpredictable by nature, since it depends on emergencies that might occur. 2013 is currently marked by a low activity and only a few interventions were needed. For this reason and based on the actual forecasts, a decrease of EUR 0.8 million in payment appropriations on this budget line is proposed.

### **Increase 24 02 03**

#### **Anti-fraud information system (AFIS)**

The Anti-Fraud Information system project (AFIS) is an IT application maintained and finance by OLAF. All expenses related to the application are covered by procurement contracts and OLAF has to fulfil its payment obligations within 30 days after receipt of the invoice, which follows the delivery of the equipment or of the service. Typically, 90% of the annual commitments are paid within the same year.

A very prudent amount was entered in the Draft Budget 2013 (EUR 5.7 million), which was further reduced by EUR 760 000. The requested reinforcement of EUR 0.8 million would restore payments to the level of the Draft Budget 2013, although it will not be sufficient to cover the EUR 1.1 million needed for paying out the minimum legal obligations relating to 39 contracts.

### **Decrease 26 02 01**

#### **Procedures for awarding and advertising public supply, works and service contracts**

Three main factors have led to the availability of EUR 4.2 million in payment appropriations: lower production costs following the entry into force of a new contract; reduction in distribution costs due to the streamlining of this part of the activity; and postponement of a number of IT developments.

### **Decrease 32 06 01**

#### **Research related to energy**

Some new projects, still under negotiation, will be signed at year end (2nd half of December). Therefore the pre-financing for those projects will be paid in January 2014 instead of December 2013, and EUR 11.1 million can be transferred.

### **Decrease 32 06 04 02**

#### **Completion of the sixth framework programme (2003 to 2006)**

For a few projects, the final implementation dates were extended, and some claims received were lower than the estimated amounts at the time of preparing the 2013 budget. Therefore the amount of EUR 3.9 million is available for other purposes.

### **Increase 33 02 05**

#### **Fight against violence (Daphne)**

The accumulated outstanding obligations on commitments made in previous years under this budget line (RAL) amount to more than EUR 33 million. By the end of August 2013, about EUR 18 million had to be paid in relation to commitments from the years 2008 - 2012, including a large number of pre-financings

concerning the call for proposals launched under the Annual Work Programme 2012. Consequently, the payment appropriations initially allocated in the 2013 budget have been exhausted.

For the remaining part of the years it is expected to close the on-going grants awarded under the Annual Work Programmes for 2009 and 2010 for a remaining amount of around EUR 7 million (of which EUR 4.7 million is linked to cost claims already received by the Commission and EUR 2.3 million estimated in line with the contractual deadlines of the grant agreements).

Additionally, pre-financing payments following a call for proposals under the Annual Work Programme 2013 for the amount of EUR 0.5 million are also foreseen to be paid by the end of 2013.

On the basis of the current balance left of payment appropriations and taking into account the outstanding payments for the on-going grants and procurement files, the Commission makes a conservative request for an additional amount of EUR 4 million which will cover part of the needs on this budget line until the end of 2013.

### **Decrease 33 02 10**

#### **Pilot project - European Centre for Press and Media Freedom**

Due to the timing of the launch of the call for proposals, no payment will be processed in 2013, and EUR 0.5 million can be transferred.

### **Increase 33 04 01**

#### **Drugs prevention and information**

Based on the draft award decision for the call for proposals under the Annual Work Programme 2013, foreseen for adoption by the middle of October 2013, it is expected to conclude 7 individual legal commitments for the amount of EUR 2.6 million by the middle of November, the related payments in 2013 are expected to be about EUR 1.5 million. In addition, some EUR 0.6 million in relation to cost claims already received by the Commission for previous years' commitments is also due to be paid before the year-end.

On the basis of the current balance left of payment appropriations and taking into account the outstanding payments, the Commission estimates an additional amount of EUR 0.5 million is necessary to cover the most urgent needs on this budget line.

### **Increase 33 06 01**

#### **Anti-discrimination and diversity**

The payments to be implemented under this budget line by the end of the year are foreseen to reach EUR 3.9 million, including EUR 0.7 million in relation to cost claims already received by the Commission and EUR 3.2 million for the intermediate and final invoices in line with the contractual deadlines of the procurement files.

On the basis of the current balance left of payment appropriations and taking into account the outstanding payments, the Commission estimates an additional amount of EUR 1 million is necessary to cover part of the needs on this budget line by the end of 2013.

### **Increase 33 06 02**

#### **Gender equality**

Based on the award decisions for the two calls for proposals under the Annual Work Programme 2012 (the first one adopted on 17/07/2013 and the second one foreseen for adoption by end of September 2013), it is expected to conclude 26 individual legal commitments for the amount of EUR 6.2 million by the end of October. The related payment requests are expected to be EUR 3.3 million in 2013.

In addition, some EUR 1.7 million is also due to be paid before the year-end, including EUR 0.2 million in relation to cost claims already received by the Commission and EUR 1.5 million for the intermediate and final invoices in line with the contractual deadlines of the procurement files.

On the basis of the current balance left of payment appropriations, and taking into account the outstanding payments for grants and procurement files, an additional amount of some EUR 2.1 million is necessary to cover part of the needs on this budget line by the end of 2013.