



**COUNCIL OF  
THE EUROPEAN UNION**

**Brussels, 28 October 2013  
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**15316/13**

**FIN 679**

**COVER NOTE**

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From: Mr Janusz LEWANSOWSKI, Member of the European Commission  
date of receipt: 28 October 2013  
To: Mr Algimantas RIMKUNAS, President of the Council of the European  
Union

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Subject: Transfer of appropriations No DEC 33/2013 within section III -  
Commission - of the general budget for 2013

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Delegations will find attached Commission's document DEC 33/2013.

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Encl.: DEC 33/2013



BRUSSELS, 25/10/2013

GENERAL BUDGET - 2013  
SECTION III - COMMISSION TITLES 01, 09, 12, 26, 29

TRANSFER OF APPROPRIATIONS N° **DEC 33/2013**

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**FROM**

**CHAPTER** - 0902 Regulatory framework for the Digital Agenda

ITEM - 09 02 02 01 Safer Internet programme Commitments - 199 328

ITEM - 09 02 04 02 Body of European Regulators for Electronic Communications (BEREC) - Office - Contribution to Title 3 Commitments - 154 519

**CHAPTER** - 1202 Internal market policy

ARTICLE - 12 02 06 Pilot project - The promotion of employee ownership and participation Commitments - 204 751

**CHAPTER** - 2602 Multimedia production

ARTICLE - 26 02 01 Procedures for awarding and advertising public supply, works and service contracts Commitments - 7 000 000

**CHAPTER** - 2902 Production of statistical information

ARTICLE - 29 02 04 Modernisation of European Enterprise and Trade Statistics (MEETS) Commitments - 1 815 059

**TO**

**CHAPTER** - 0104 Financial operations and instruments

ARTICLE - 01 04 04 Competitiveness and Innovation Framework Programme - Entrepreneurship and Innovation Programme Commitments 9 373 657

## I. INCREASE

### a) Heading

#### **01 04 04 - Competitiveness and Innovation Framework Programme - Entrepreneurship and Innovation Programme**

### b) Figures at 10/10/2013

	<b>Commitments</b>
1A. Appropriation in budget (initial budget + AB)	208 950 000
1B. Appropriation in budget (EFTA)	5 850 600
2. Transfers	19 600 000
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3. Final appropriation for the year (1A+1B+2)	234 400 600
4. Utilisation of final appropriation	234 400 600
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<b>5. Amount not used/available (3-4)</b>	<b>0</b>
<b>6. Requirements up to year-end</b>	<b>9 373 657</b>
<b>7. Increase proposed</b>	<b>9 373 657</b>
8. Increase as percentage of appropriation in budget (7/1A)	4.49%
9. Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### c) Receipts arising from recovery (carried over) (C5)

	<b>Commitments</b>
1. Appropriation available at start of year	0
2. Appropriation available on the 10/10/2013	0
3. Rate of utilisation [(1-2)/1]	n/a

### d) Detailed grounds for the increase

Appropriations on budget line 01 04 04 are devoted to financial instruments under the Competitiveness and Innovation framework Programme (CIP), i.e. High Growth and Innovative SME Facility (GIF) and SME Guarantee Facility (SMEG), which are managed by the EIF (European Investment Fund) on behalf of the Commission.

The proposed increase of EUR 9 373 657 in commitment appropriations is meant for the High Growth and Innovative SME Facility (GIF).

The CIP commitment period will end on 31 December 2013, meaning that the Commission can approve EIF investments in Venture Capital (VC) funds from GIF only up to that date. In this context, the absence of commitment appropriations may trigger a situation where venture capital funds are unable to raise sufficient funding to reach a viable size. As a consequence, some innovative business projects could be delayed or even abandoned.

According to the latest information received from the EIF, the potential deals for the remaining part of 2013 totals EUR 130 million, including some EUR 113 million in the field of eco-innovation. Moreover, an amount of EUR 20 million is expected to be used for a transaction recently approved by the EIF Board (Ambienta Fund II) and that will be shortly submitted for approval of the Commission. This amount exceeds the available amount for EIF investments in Venture Capital (VC) funds by some EUR 72 million.

## II. DECREASE

### II.A

#### a) Heading

##### 09 02 02 01 - Safer Internet programme

#### b) Figures at 10/10/2013

	<b>Commitments</b>
1A. Appropriation in budget (initial budget + AB)	2 700 000
1B. Appropriation in budget (EFTA)	75 600
2. Transfers	0
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3. Final appropriation for the year (1A+1B+2)	2 775 600
4. Utilisation of final appropriation	229 294
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<b>5. Amount not used/available (3-4)</b>	<b>2 546 306</b>
<b>6. Requirements up to year-end</b>	<b>2 346 978</b>
<b>7. Proposed decrease</b>	<b>199 328</b>
8. Decrease as percentage of appropriation in budget (7/1A)	7.38%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

#### c) Receipts arising from recovery (carried over) (C5)

	<b>Commitments</b>
1. Appropriation available at start of year	148 108
2. Appropriation available on the 10/10/2013	148 108
3. Rate of utilisation [(1-2)/1]	0,00%

#### d) Detail grounds for the transfer

Due to the lower than initially foreseen costs for the organisation of Safer Internet Forum and availability of internal assigned revenues (EUR 148 108), an amount of EUR 199 328 in commitment appropriations will not be consumed in 2013.

## II.B

### a) Heading

#### **09 02 04 02 - Body of European Regulators for Electronic Communications (BEREC) - Office - Contribution to Title 3**

### b) Figures at 10/10/2013

	<b>Commitments</b>
1A. Appropriation in budget (initial budget + AB)	602 991
1B. Appropriation in budget (EFTA)	0
2. Transfers	411 295
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3. Final appropriation for the year (1A+1B+2)	1 014 286
4. Utilisation of final appropriation	859 767
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<b>5. Amount not used/available (3-4)</b>	<b>154 519</b>
<b>6. Requirements up to year-end</b>	<b>0</b>
<b>7. Proposed decrease</b>	<b>154 519</b>
8. Decrease as percentage of appropriation in budget (7/1A)	25.63%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### c) Receipts arising from recovery (carried over) (C5)

	<b>Commitments</b>
1. Appropriation available at start of year	0
2. Appropriation available on the 10/10/2013	0
3. Rate of utilisation [(1-2)/1]	n/a

### d) Detail grounds for the transfer

By its decision N° MC/2013/6, the Management Committee of the BEREC Office adopted on 13 August 2013 an amending budget (2013/1).

The amending budget indicates that the amount of the EU contribution needed in 2013 for the operational expenditure (Title 3) is lower than initially planned.

Therefore, an amount of EUR 154 519 can be transferred to another budget line as it will not be consumed.

## II.C

### a) Heading

#### 12 02 06 - Pilot project - The promotion of employee ownership and participation

### b) Figures at 10/10/2013

	<b>Commitments</b>
1A. Appropriation in budget (initial budget + AB)	500 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	0
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3. Final appropriation for the year (1A+1B+2)	500 000
4. Utilisation of final appropriation	295 249
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<b>5. Amount not used/available (3-4)</b>	<b>204 751</b>
<b>6. Requirements up to year-end</b>	<b>0</b>
<b>7. Proposed decrease</b>	<b>204 751</b>
8. Decrease as percentage of appropriation in budget (7/1A)	40.95%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### c) Receipts arising from recovery (carried over) (C5)

	<b>Commitments</b>
1. Appropriation available at start of year	0
2. Appropriation available on the 10/10/2013	0
3. Rate of utilisation [(1-2)/1]	n/a

### d) Detail grounds for the transfer

The tender procedures have been completed early September and will allow for full implementation of the action in line with the indication of the Budget Authority for 2013.

Therefore, the remaining commitment appropriations are not going to be used and can be allocated to another line where urgent and priority needs can be covered.

## II.D

### a) Heading

#### **26 02 01 - Procedures for awarding and advertising public supply, works and service contracts**

### b) Figures at 10/10/2013

	<b>Commitments</b>
1A. Appropriation in budget (initial budget + AB)	14 738 200
1B. Appropriation in budget (EFTA)	0
2. Transfers	0
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3. Final appropriation for the year (1A+1B+2)	14 738 200
4. Utilisation of final appropriation	5 641 622
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<b>5. Amount not used/available (3-4)</b>	<b>9 096 578</b>
<b>6. Requirements up to year-end</b>	<b>2 096 578</b>
<b>7. Proposed decrease</b>	<b>7 000 000</b>
8. Decrease as percentage of appropriation in budget (7/1A)	47.50%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### c) Receipts arising from recovery (carried over) (C5)

	<b>Commitments</b>
1. Appropriation available at start of year	404 643
2. Appropriation available on the 10/10/2013	0
3. Rate of utilisation [(1-2)/1]	100,00%

### d) Detail grounds for the transfer

The amount available for transfer from this line is mainly the result of three factors:

1. Decrease in production costs following the entry of a new contract in force: A new production contract came into force in December 2012 with more favourable prices. Based on the experience of the first eight months of the year, this has led to a decrease of 54% on the average costs of structured and unstructured notices and 35.6% on the notices of the Institutions.

2. Reduction in distribution costs due to the streamlining: Concerning the reduction in distribution costs, the efforts to streamline the distribution activity led to substantial savings. The Office introduced a new postal address management system and it is no longer required to send DVDs to each contracting authority publishing on the Official journal S series (OJS). This reduced both the postage and the DVD costs

3. Postponement of a number of IT developments to 2014: The most substantial IT development postponed to 2014 is a project that seeks to automate the technical administration of entities that send public procurement notices for publishing in a structured electronic format. Detailed specifications for this project were prepared during the first half of 2013 and development was planned to start in the second half of the year. However, it was decided that it would be more cost-effective in the long term to integrate the system with the Office's corporate platform and to foresee the use of the forthcoming functionalities of the Office Common Portal. To do this, the project must be postponed to 2014 in order to have the necessary specifications for integration.

## II.E

### a) Heading

#### 29 02 04 - Modernisation of European Enterprise and Trade Statistics (MEETS)

### b) Figures at 10/10/2013

	<b>Commitments</b>
1A. Appropriation in budget (initial budget + AB)	5 000 000
1B. Appropriation in budget (EFTA)	105 000
2. Transfers	0
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3. Final appropriation for the year (1A+1B+2)	5 105 000
4. Utilisation of final appropriation	2 539 941
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<b>5. Amount not used/available (3-4)</b>	<b>2 565 059</b>
<b>6. Requirements up to year-end</b>	<b>750 000</b>
<b>7. Proposed decrease</b>	<b>1 815 059</b>
8. Decrease as percentage of appropriation in budget (7/1A)	36.30%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### c) Receipts arising from recovery (carried over) (C5)

	<b>Commitments</b>
1. Appropriation available at start of year	15 046
2. Appropriation available on the 10/10/2013	15 046
3. Rate of utilisation [(1-2)/1]	0,00%

### d) Detail grounds for the transfer

As from 2014, projects on enterprises and trade currently covered by this line will be integrated in the European Statistical Programme 2013-2017.

Taking this into account, Member States adjusted their strategy, and as a consequence the level of response to the calls for proposals has been lower than expected.