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# DOCUMENTS

## **DRAFT General Budget of the European Union for the financial year 2011**

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COMMISSION

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## TITLE 19 — EXTERNAL RELATIONS

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 01	Administrative expenditure of 'External relations' policy area		152 818 226	152 818 226	444 697 913	444 697 913	421 915 881,74	421 915 881,74
	40 01 40				253 484 444 951 397	253 484 444 951 397		
19 02	Cooperation with third countries in the area of migration and asylum	4	54 000 000	47 690 310	52 959 000	50 000 000	51 360 390,09	60 068 691,75
19 03	Common foreign and security policy (CFSP)	4	326 624 000	272 107 560	280 891 000	225 000 000	242 750 000,00	315 971 012,16
19 04	European Instrument for Democracy and Human Rights (EIDHR)	4	157 710 000	132 903 369	154 224 200	148 400 000	153 231 380,35	122 297 633,47
19 05	Relations and cooperation with industrialised non-member countries	4	25 021 000	19 876 124	23 640 000	17 713 000	28 193 108,26	15 679 325,11
19 06	Crisis response and global threats to security	4	357 444 700	257 638 762	287 711 882	250 305 160	256 159 507,00	214 368 311,11
19 08	European Neighbourhood Policy and relations with Russia		1 899 135 179	1 407 413 988	1 722 667 073	1 384 200 000	1 672 668 694,55	1 469 289 540,24
	40 02 41				20 000 000 1 742 667 073	20 000 000 1 404 200 000		
19 09	Relations with Latin America	4	377 286 000	297 679 925	356 268 000	309 484 268	355 672 842,17	308 343 018,47
	40 02 41		16 000 000 393 286 000	1 907 612 299 587 537	11 500 000 367 768 000	5 000 000 314 484 268		
19 10	Relations with Asia, Central Asia and Middle East (Iraq, Iran, Yemen)	4	889 900 740	766 625 936	855 898 000	769 397 103	881 491 612,20	727 174 414,49
	40 02 41		28 000 000 917 900 740	4 540 118 771 166 054	23 000 000 878 898 000	4 500 000 773 897 103		
19 11	Policy strategy and coordination for 'External relations' policy area	4	30 500 000	28 614 187	31 500 000	32 400 000	41 183 487,50	24 387 037,63
19 49	Expenditure on administrative management of programmes committed in accordance with the former Financial Regulation	4	—	p.m.	—	p.m.	0,—	0,—
	<b>Title 19 — Total</b>		<b>4 270 439 845</b>	<b>3 383 368 387</b>	<b>4 210 457 068</b>	<b>3 631 597 444</b>	<b>4 104 626 903,86</b>	<b>3 679 494 866,17</b>
	<b>40 01 40, 40 02 41</b>		<b>44 000 000 4 314 439 845</b>	<b>6 447 730 3 389 816 117</b>	<b>54 753 484 4 265 210 552</b>	<b>29 753 484 3 661 350 928</b>		

## CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF 'EXTERNAL RELATIONS' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
19 01	Administrative expenditure of 'External relations' policy area				
<b>19 01 01</b>	<b>Expenditure related to staff in active employment of 'External relations' policy area</b>				
19 01 01 01	Expenditure related to staff in active employment of 'External relations' Directorates-General	5	6 616 239	91 519 358	90 090 643,53
	40 01 40			253 484 91 772 842	
19 01 01 02	Expenditure related to staff in active employment of 'External relations' delegations of the European Union	5	7 044 538	95 401 909	83 543 880,69
	Article 19 01 01 — Subtotal		13 660 777	186 921 267	173 634 524,22
	40 01 40			253 484 187 174 751	
<b>19 01 02</b>	<b>External staff and other management expenditure in support of 'External relations' policy area</b>				
19 01 02 01	External staff of 'External relations' Directorates-General	5	1 184 887	7 565 976	7 614 799,87



Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
19 01 02 02	External staff of 'External relations' delegations of the European Union	5	505 241	32 214 692	28 325 039,33
19 01 02 11	Other management expenditure of 'External relations' Directorates-General	5	640 622	8 529 744	8 873 054,28
19 01 02 12	Other management expenditure of 'External relations' delegations of the European Union	5	467 754	9 038 346	8 351 613,60
	<i>Article 19 01 02 — Subtotal</i>		2 798 504	57 348 758	53 164 507,08
<b>19 01 03</b>	<b><i>Expenditure related to equipment, furniture and services, buildings and related expenditure of 'External relations' policy area</i></b>				
19 01 03 01	Expenditure related to equipment, furniture and services of 'External relations' Directorates-General	5	486 882	6 640 459	7 102 811,59
19 01 03 02	Buildings and related expenditure of 'External relations' delegations of the European Union	5	3 333 663	71 251 929	74 709 028,90
	<i>Article 19 01 03 — Subtotal</i>		3 820 545	77 892 388	81 811 840,49
<b>19 01 04</b>	<b><i>Support expenditure for operations of 'External relations' policy area</i></b>				
19 01 04 01	Development Cooperation Instrument (DCI) — Expenditure on administrative management	4	60 145 500	55 858 500	51 377 091,63
19 01 04 02	European Neighbourhood and Partnership Instrument (ENPI) — Expenditure on administrative management	4	45 092 600	42 833 000	40 689 516,33
19 01 04 03	Instrument for Stability (IfS) — Expenditure on administrative management	4	8 288 000	6 000 000	5 432 902,24
19 01 04 04	Common foreign and security policy (CFSP) — Expenditure on administrative management	4	750 000	650 000	49 959,60
19 01 04 05	Evaluation of the results of EU aid and follow-up and audit measures — Expenditure on administrative management	4	1 600 000	1 500 000	1 389 992,60
19 01 04 06	Instrument for Nuclear Safety Cooperation (INSC) — Expenditure on administrative management	4	1 268 300	1 300 000	1 289 965,38
19 01 04 07	European Instrument for Democracy and Human Rights (EIDHR) — Expenditure on administrative management	4	10 673 000	9 974 000	8 980 246,28
19 01 04 08	Industrialised Countries Instrument (ICI) — Expenditure on administrative management	4	100 000	100 000	76 335,89
19 01 04 20	Administrative support expenditure for 'External Relations' policy area	4	p.m.	p.m.	0,—
19 01 04 30	Education, Audiovisual and Culture Executive Agency — Contribution from External Relations programmes	4	4 621 000	4 320 000	4 019 000,00
	<i>Article 19 01 04 — Subtotal</i>		132 538 400	122 535 500	113 305 009,95
	<b>Chapter 19 01 — Total</b>		<b>152 818 226</b>	<b>444 697 913</b>	<b>421 915 881,74</b>
	<b>40 01 40</b>			<b>253 484</b>	
				<b>444 951 397</b>	

***Article 19 01 01 — Expenditure related to staff in active employment of 'External relations' policy area***

Item 19 01 01 01 — Expenditure related to staff in active employment of 'External relations' Directorates-General

*Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
19 01 01 01	6 616 239	91 519 358	90 090 643,53
40 01 40		253 484	
Total	6 616 239	91 772 842	90 090 643,53

*Remarks*

More Commission personnel will be assigned to crisis response management in order to allow for enough capacity for the follow-up of civil society organisation proposals for crisis response.

Item 19 01 01 02 — Expenditure related to staff in active employment of ‘External relations’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
7 044 538	95 401 909	83 543 880,69

*Remarks*

The Commission will recruit highly qualified, stable and specialised personnel for human rights questions.

Sufficient staff will be assigned to crisis response management for the follow-up of Civil Society Organisation proposals for crisis response.

***Article 19 01 02 — External staff and other management expenditure in support of ‘External relations’ policy area***

Item 19 01 02 01 — External staff of ‘External relations’ Directorates-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 184 887	7 565 976	7 614 799,87

Item 19 01 02 02 — External staff of ‘External relations’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
505 241	32 214 692	28 325 039,33

Item 19 01 02 11 — Other management expenditure of ‘External relations’ Directorates-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
640 622	8 529 744	8 873 054,28

Item 19 01 02 12 — Other management expenditure of ‘External relations’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
467 754	9 038 346	8 351 613,60

**Article 19 01 03 — Expenditure related to equipment, furniture and services, buildings and related expenditure of ‘External relations’ policy area**

Item 19 01 03 01 — Expenditure related to equipment, furniture and services of ‘External relations’ Directorates-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
486 882	6 640 459	7 102 811,59

Item 19 01 03 02 — Buildings and related expenditure of ‘External relations’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
3 333 663	71 251 929	74 709 028,90

**Article 19 01 04 — Support expenditure for operations of ‘External relations’ policy area**

Item 19 01 04 01 — Development Cooperation Instrument (DCI) — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
60 145 500	55 858 500	51 377 091,63

*Remarks*

This appropriation is intended to cover:

expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by EU law,

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external staff at headquarters is limited to EUR 4 558 500; this estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members,

expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the EU delegations in non-member countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations in this item,

expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative

support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

This appropriation covers administrative expenditure under Chapters 19 02, 19 09 and 19 10.

#### Item 19 01 04 02 — European Neighbourhood and Partnership Instrument (ENPI) — Expenditure on administrative management

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
45 092 600	42 833 000	40 689 516,33

##### Remarks

This appropriation is intended to cover:

expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by EU law,

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external staff at headquarters is limited to EUR 5 233 566. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members,

expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the EU delegations in non-member countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations in this item,

expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

This appropriation covers administrative expenditure under Chapter 19 08.

#### Item 19 01 04 03 — Instrument for Stability (IfS) — Expenditure on administrative management

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
8 288 000	6 000 000	5 432 902,24

##### Remarks

This appropriation is intended to cover:

expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by EU law,

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the EU delegations in non-member countries or for internalisation of tasks of phased out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations of this item,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

This appropriation covers expenditure on administrative management under Articles 19 05 02, 19 06 01, 19 06 02 and 19 06 03.

#### Item 19 01 04 04 — Common foreign and security policy (CFSP) — Expenditure on administrative management

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
750 000	650 000	49 959,60

##### Remarks

This appropriation is intended to cover support for implementation of CFSP's measures for which the Commission lacks the required experience or needs additional support. The appropriation is intended to cover:

expenditure on technical and administrative assistance, which the Commission may delegate to an implementing agency governed by EU law,

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Articles 19 03 01, 19 03 02 and 19 03 06.

#### Item 19 01 04 05 — Evaluation of the results of EU aid and follow-up and audit measures — Expenditure on administrative management

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 600 000	1 500 000	1 389 992,60

##### Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

This appropriation is also intended to cover capacity building and training activities targeting key stakeholders involved in the design and implementation of external assistance programmes.

This appropriation covers administrative expenditure under Article 19 11 01.

Item 19 01 04 06 — Instrument for Nuclear Safety Cooperation (INSC) — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 268 300	1 300 000	1 289 965,38

*Remarks*

This appropriation is intended to cover:

expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by EU law,

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on external staff (contract agents, seconded national experts or agency staff) at headquarters intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external staff at headquarters is limited to EUR 968 300. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members,

expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

This appropriation covers administrative expenditure under Article 19 05 02 and Items 19 06 04 01 and 19 06 04 02.

Item 19 01 04 07 — European Instrument for Democracy and Human Rights (EIDHR) — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
10 673 000	9 974 000	8 980 246,28

*Remarks*

This appropriation is intended to cover:

expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by EU law,

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on external staff (contract agents, seconded national experts or agency staff) at headquarters intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external staff at headquarters is limited to EUR 1 950 000. This estimate is based on a provisional annual unit cost per man-year, of which 95 % is accounted for by remuneration for the staff concerned and 5 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members,

expenditure on external staff in delegations (contract agents, local agents or seconded national experts), for the purposes of devolved programme management in the EU delegations in non-member countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations in this item,

expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Chapter 19 04.

#### Item 19 01 04 08 — Industrialised Countries Instrument (ICI) — Expenditure on administrative management

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
100 000	100 000	76 335,89

##### Remarks

This appropriation is intended to cover:

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Article 19 05 01.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

#### Item 19 01 04 20 — Administrative support expenditure for ‘External Relations’ policy area

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

##### Remarks

This appropriation is intended to cover:

expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by EU law,

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Chapters 19 02, 19 04, 19 06, 19 08, 19 09 and 19 10.

Item 19 01 04 30 — Education, Audiovisual and Culture Executive Agency — Contribution from External Relations programmes

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 621 000	4 320 000	4 019 000,00

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the management of 'external relations' operational programmes (Heading 4) entrusted to the Agency under Chapters 19 05, 19 06, 19 08, 19 09 and 19 10.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Council Regulation (EC) No 1934/2006 of 21 December 2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 405, 30.12.2006, p. 41).

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

## CHAPTER 19 02 — COOPERATION WITH THIRD COUNTRIES IN THE AREA OF MIGRATION AND ASYLUM

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 02	Cooperation with third countries in the area of migration and asylum							
<b>19 02 01</b>	<b>Cooperation with third countries in the areas of migration and asylum</b>	4	54 000 000	47 690 310	52 959 000	50 000 000	51 360 390,09	60 068 691,75
	<b>Chapter 19 02 — Total</b>		<b>54 000 000</b>	<b>47 690 310</b>	<b>52 959 000</b>	<b>50 000 000</b>	<b>51 360 390,09</b>	<b>60 068 691,75</b>

### *Article 19 02 01 — Cooperation with third countries in the areas of migration and asylum*

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
54 000 000	47 690 310	52 959 000	50 000 000	51 360 390,09	60 068 691,75

*Remarks*

As part of the rationalisation and simplification of the instruments for external actions for the Financial Framework 2007-2013, the Aeneas programme financial and technical assistance to third countries in the areas of migration and asylum adopted on 10 March 2004 — which followed the preparatory actions for 2001 to 2003 and the Commission Communication on integrating migration



issues in the European Union's relations with third countries (COM(2002) 703 final) — was replaced by a thematic programme for cooperation with third countries in these fields under the Development Cooperation Instrument (DCI) (Regulation (EC) No 1905/2006).

The general aim of the DCI is to make the EU/Community's external assistance more effective. Under this programme, the objective of the thematic programme on cooperation with third countries in the areas of migration and asylum is to support third countries in their efforts to ensure better management of migratory flows in all their dimensions. Appropriations are used to provide, in a specific and complementary way, technical and financial assistance for third countries to support them in these efforts.

The EU/Community programme for the cooperation with non-EU countries and regions of origin and transit has the objective of fostering the links between migration and development, as well as to mitigate the brain drain from south to north in the process, to promote well-managed labour migration, to fight illegal migration, to fight smuggling and the trafficking of human beings and facilitate re-admission, to protect migrants and to help third countries to improve their capacities to fulfil their international obligations in the field of asylum and migration.

This EU/Community cooperation programme will finance appropriate actions which will join in a coherent way national and regional EU/Community cooperation and development strategies regarding the non-EU countries concerned and complete the actions — in particular in the fields of migration, asylum, border control, refugees and displaced people — provided for in the implementation of these strategies and financed from other EU/Community instruments in the field of cooperation and development.

This thematic programme will, in the context of its new strategy, also take migration into account that results from climate change. The respect of democratic principles and the rule of law, as well as of human rights and of fundamental freedoms, will constitute an essential element for the application of this instrument. If necessary, and as far as possible, the actions financed will be associated with measures aimed at strengthening democracy and the rule of law and the compliance with international instruments in this field, including the 1951 Geneva Convention relating to the status of refugees.

Partners eligible for financial support under this appropriation may include regional and international organisations and agencies (in particular, United Nations agencies), non-governmental organisations (NGOs) or other non-State actors, federal, national, provincial and local governments of third countries, their departments and agencies, institutes, associations and public and private operators.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

#### *Legal basis*

Regulation (EC) No 491/2004 of the European Parliament and of the Council of 10 March 2004 establishing a programme for financial and technical assistance to third countries in the areas of migration and asylum (Aeneas) (OJ L 80, 18.3.2004, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

Commission Communication of 3 August 2005 entitled 'External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Commission Communication of 25 January 2006 entitled 'Thematic programme for the cooperation with third countries in the areas of migration and asylum' (COM(2006) 26 final).

## CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP)

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 03	Common foreign and security policy (CFSP)							
<b>19 03 01</b>	<b>Monitoring and implementation of peace and security processes</b>							
19 03 01 01	Monitoring mission in Georgia	4	30 000 000	27 469 619	32 934 600	24 960 000	10 591 074,00	19 189 426,49
19 03 01 02	EULEX Kosovo						121 223	161 917
		4	144 000 000	124 459 578	120 751 800	97 064 000	453,01	491,26
19 03 01 03	EUPOL Afghanistan	4	48 000 000	41 077 107	38 476 371	32 603 280	44 344 956,13	54 200 548,44
19 03 01 04	Other missions monitoring and implementing peace and security processes	4	52 524 000	42 365 409	43 930 229	33 522 720	44 006 806,86	54 875 469,86
	<i>Article 19 03 01 — Subtotal</i>		274 524 000	235 371 713	236 093 000	188 150 000	220 166 290,00	290 182 936,05
<b>19 03 02</b>	<b>Non-proliferation and disarmament</b>	4	15 000 000	11 445 675	15 000 000	12 000 000	5 477 760,00	9 888 093,13
<b>19 03 04</b>	<b>Emergency measures</b>	4	30 550 000	19 076 124	5 000 000	4 000 000	0,—	0,—
<b>19 03 05</b>	<b>Preparatory and follow-up measures</b>	4	6 550 000	5 975 596	4 850 000	3 500 000	40 950,00	221 250,01
<b>19 03 06</b>	<b>European Union Special Representatives</b>	4	p.m.	238 452	19 948 000	17 350 000	17 065 000,00	15 678 732,97
	<b>Chapter 19 03 — Total</b>		<b>326 624 000</b>	<b>272 107 560</b>	<b>280 891 000</b>	<b>225 000 000</b>	<b>242 750 000,00</b>	<b>315 971 012,16</b>

### Remarks

The High Representative of the Union for Foreign Affairs and Security Policy must ensure that the European Parliament is closely involved in all stages of the decision-making process. The joint consultation meetings provided for under point 43 of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1), which are to be further intensified on the basis of the Declaration by the High Representative on political accountability (OJ C 210, 3.8.2010, p. 1), must enhance a continuous dialogue between the High Representative and the European Parliament on the main choices for and aspects of the Union's CFSP, including consultations prior to the adoption of mandates and strategies.

### Article 19 03 01 — Monitoring and implementation of peace and security processes

### Remarks

Common Security and Defence Policy (CSDP) crisis management measures in the area of monitoring and verification of peace processes fall under this article.. Operations may be established to monitor border crossings, peace or cease-fire agreements or more generally political and security developments. As with all actions financed under the budget chapter, relevant measures must be civilian in nature.

### Item 19 03 01 01 — Monitoring mission in Georgia

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 000 000	27 469 619	32 934 600	24 960 000	10 591 074,00	19 189 426,49

### Remarks

### Former article 19 03 01 (in part)

This appropriation is intended to finance the EU Monitoring Mission in Georgia, in line with the relevant legal basis adopted by the Council.

### Legal basis

Council Decision 2010/452/CFSP of 12 August 2010 on the European Union Monitoring Mission in Georgia, EUMM Georgia (OJ L 213, 13.8.2010, p. 43); EUR 26 600 000.

### Item 19 03 01 02 — EULEX Kosovo

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
144 000 000	124 459 578	120 751 800	97 064 000	121 223 453,01	161 917 491,26

#### Remarks

#### Former article 19 03 03 (in part)

This appropriation is intended to cover the costs of the EU Rule of Law mission in Kosovo, in line with the relevant legal basis adopted by the Council.

### Legal basis

Council Joint Action 2008/124/CFSP of 4 February 2008 on the European Union Rule of Law Mission in Kosovo, EULEX Kosovo (OJ L 42, 16.2.2008, p. 92).

Council Joint Action 2009/445/CFSP of 9 June 2009 amending Joint Action 2008/124/CFSP on the EU Rule of Law Mission in Kosovo, EULEX Kosovo (OJ L 148, 11.6.2009, p. 33); EUR 265 000 000.

Council Decision 2010/619/CFSP of 15 October 2010 amending Joint Action 2008/124/CFSP of 4 February 2008 on the EU Rule of Law Mission in Kosovo, EULEX Kosovo (OJ L 272, 16.10.2010, p. 19); EUR 165 000 000. (Committed in 2010: EUR 70 000 000).

### Item 19 03 01 03 — EUPOL Afghanistan

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
48 000 000	41 077 107	38 476 371	32 603 280	44 344 956,13	54 200 548,44

#### Remarks

#### Former article 19 03 07 (in part)

This appropriation is intended to cover the costs of the EU Police Mission in Afghanistan, in line with the relevant legal basis adopted by the Council.

### Legal basis

Council Decision 2010/279/CFSP of 18 May 2010 amending Joint Action 2007/369/CFSP on the establishment of the EU police mission in Afghanistan, EUPOL Afghanistan (OJ L 123, 19.5.2010, p. 4); EUR 54 600 000.

### Item 19 03 01 04 — Other missions monitoring and implementing peace and security processes

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
52 524 000	42 365 409	43 930 229	33 522 720	44 006 806,86	54 875 469,86

## Remarks

*Former article 19 03 01, 19 03 03 and 19 03 07 (in part)*

This appropriation is intended to cover other missions monitoring and implementing peace and security processes other than EULEX Kosovo, EUMM Georgia and EUPOL Afghanistan. It is also intended to provide for the running of the European Security and Defence College Secretariat and its Internet-Based Advanced Distance Learning System. This appropriation will also cover the cost of running a warehouse for civilian CSDP missions, should the Council decide to establish such warehouse on the basis of a feasibility study conducted in 2010.

## Legal basis

Council Decision 2010/274/CFSP of 12 May 2010 amending Joint Action 2005/889/CFSP on establishing a European Union Border Assistance Mission for the Rafah Crossing Point, EU BAM Rafah (OJ L 119, p.22, 13.5.2010); EUR 1 950 000.

Council Decision 2010/565/CFSP of 21 September 2010 on the EU mission to provide advice and assistance for security sector reform in the Democratic Republic of the Congo, EUSEC RD Congo (OJ L 248, 22.9.2010, p. 59); EUR 12 600 000.

Council Decision 2010/330/CFSP of 14 June 2010 on EU Rule of Law Mission for Iraq, EUJUST LEX-IRAQ (OJ L 149, 15.6.2010, p. 12); EUR 17 500 000.

Council Decision 2010/576/CFSP of 23 September 2010 on the European Union police mission undertaken in the framework of reform of the security sector (SSR) and its interface with the system of justice in the Democratic Republic of Congo, EUPOL RD Congo (OJ L 254, 29.9.2010, p. 33); EUR 6 430 000.

Council Decision 2009/906/CFSP of 8 December 2009 on the EU Police Mission, EUPM in Bosnia and Herzegovina (EUPM BiH) (OJ L 322, 9.12.2009, p. 22); EUR 14 100 000.

Council Decision 2009/955/CFSP of 15 December 2009 amending JA 2005/797/CFSP on the EU Police Mission for the Palestinian Territories, EUPOL COPPS (OJ L 330, 16.12.2009, p. 76); EUR 6 650 000.

## Article 19 03 02 — Non-proliferation and disarmament

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 000 000	11 445 675	15 000 000	12 000 000	5 477 760,00	9 888 093,13

## Remarks

This appropriation is intended to finance measures which contribute to the non-proliferation of weapons of mass destruction (nuclear, chemical and biological), primarily in the framework of the strategy against the proliferation of Weapons of Mass Destruction of December 2003. This includes support for measures implemented by international organisations in this field. It is also intended to finance operations to combat the destabilising accumulation and trafficking of small arms and light weapons (SALW).

## Legal basis

Council Joint Action 2004/796/CFSP of 22 November 2004 for the support of the physical protection of a nuclear site in the Russian Federation (OJ L 349, 25.11.2004, p. 57); EUR 7 730 000.

Council Joint Action 2006/243/CFSP of 20 March 2006 on support for activities of the Preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation (CTBTO) in the area of training and capacity building for verification and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 88, 25.3.2006, p. 68); EUR 1 133 000.

Council Joint Action 2007/753/CFSP of 19 November 2007 on support for IAEA monitoring and verification activities in the Democratic People's Republic of Korea in the framework of the implementation of the EU Strategy against the Proliferation of Weapons of Mass Destruction (OJ L 304, 22.11.2007, p. 38); EUR 1 780 000.

Council Joint Action 2008/314/CFSP of 14 April 2008 on support for IAEA activities in the areas of nuclear security and verification and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 107, 17.4.2008, p. 62); EUR 7 703 000.

Council Joint Action 2008/368/CFSP of 14 May 2008 in support of the implementation of United Nations Security Council Resolution 1540 (2004) and in the framework of the implementation of the EU strategy against the proliferation of weapons of mass destruction (OJ L 127, 15.5.2008, p. 78); EUR 475 000.

Council Joint Action 2008/487/CFSP of 23 June 2008 in support of the universalisation and implementation of the 1997 Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction, in the framework of the European Security Strategy (OJ L 165, 26.6.2008, p. 41); EUR 1 070 000.

Council Joint Action 2008/588/CFSP of 15 July 2008 on support for activities of the Preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation (CTBTO) in order to strengthen its monitoring and verification capabilities and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 189, 17.7.2008, p. 28); EUR 2 136 000.

Council Joint Action 2008/858/CFSP of 10 November 2008 in support of the Biological and Toxin Weapons Convention (BTWC), in the framework of the implementation of the EU Strategy against the Proliferation of Weapons of Mass Destruction (OJ L 302, 13.11.2008, p. 29); EUR 1 400 000.

Council Decision 2008/974/CFSP of 18 December 2008 in support of the Hague Code of Conduct against Ballistic Missile Proliferation in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 345, 23.12.2008, p. 91); EUR 1 015 000.

Council Decision 2009/42/CFSP of 19 January 2009 on support for EU activities in order to promote among third countries the process leading towards an Arms Trade Treaty, in the framework of the European Security Strategy (OJ L 17, 22.1.2009, p. 39); EUR 836 260.

Council Decision 2009/569/CFSP of 27 July 2009 on support for OPCW activities in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 197, 29.7.2009, p. 96); EUR 2 110 000.

Council Decision 2009/1012/CFSP of 22 December 2009 on support of EU activities in order to promote the control of arms exports and the principles and criteria of Common Position 2008/944/CFSP among third countries (OJ L 348, 29.12.2009, p. 16); EUR 787 000.

Council Decision 2010/179/CFSP of 11 March 2010 in support of SEESAC arms control activities in the Western Balkans, in the framework of the EU Strategy to combat illicit accumulation and trafficking of SALW and their ammunition (OJ L 80, 26.3.2010, p. 48); EUR 1 600 000.

Council Decision 2010/336/CFSP OF 14 June 2010 on EU activities in support of the Arms Trade Treaty, in the framework of the European Security Strategy (OJ L 152, 18.6.2010, p 14); EUR 1 520 000.

Council Decision 2010/461/CFSP of 26 July 2010 on support for activities of the preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation (CTBTO) in order to strengthen its monitoring and verification capabilities and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 219, 20.8.2010, p.7); EUR 5 280 000.

Council Decision 2010/430/CFSP OF 26 July 2010 establishing a European network of independent non-proliferation think tanks in support of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 202, 4.8.2010, p.5); EUR 2 182 000.

Council Decision 2010/585/CFSP of 27 September 2010 on support for IAEA activities in the areas of nuclear security and verification and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 259, 1.10.2010, p.10); EUR 9 966 000.

## **Article 19 03 04 — Emergency measures**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 550 000	19 076 124	5 000 000	4 000 000	0,—	0,—

### *Remarks*

This appropriation is intended to cover the financing for any unforeseen measures under Articles 19 03 01, 19 03 02 and 19 03 06 decided on in the course of the financial year, which have to be implemented urgently.

This article is also intended as an element of flexibility in the CFSP budget, as described in the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management.

### **Article 19 03 05 — Preparatory and follow-up measures**

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 550 000	5 975 596	4 850 000	3 500 000	40 950,00	221 250,01

#### *Remarks*

This appropriation is for funding preparatory measures to establish the conditions for EU actions in the field of the CFSP and the adoption of the necessary legal instruments. It may cover evaluation and analysis measures (*ex ante* evaluation of means, specific studies, organisation of meetings, fact-finding on the ground). In the field of EU crisis management operations and for EU Special Representatives (EUSR), in particular, preparatory measures may, inter alia, serve to assess the operational requirements for an envisaged action, to provide for a rapid initial deployment of personnel and resources (e.g. mission expenses, purchase of equipment, pre-financing of running and insurance costs in the start-up phase), or to take the necessary measures on the ground to prepare for the launching of the operation. It may also cover experts supporting EU crisis management operations on specific technical issues (e.g. identification and assessment of procurement needs) or security training for staff to be deployed to an CSDP mission/EUSR team.

It also covers follow-up measures and audits of common foreign and security policy measures and the financing of any expenditure on the regularisation of previously closed measures.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the measures coming under Articles 19 03 01 and 19 03 06.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 19 03 06 — European Union Special Representatives**

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	238 452	19 948 000	17 350 000	17 065 000,00	15 678 732,97

#### *Remarks*

This appropriation is intended to cover all the expenditure connected with the appointment of European Union Special Representatives (EUSR) in accordance with Article 33 of the Treaty on European Union.

EUSR should be appointed with due regard for gender equality and gender mainstreaming policies, and the appointment of women EUSR should therefore be promoted.

It covers expenditure on the salaries of EUSR and on setting up their teams and/or support structures, including staff costs other than those relating to staff seconded by Member States or EU institutions. It also covers the costs of any projects implemented under the direct responsibility of an EUSR.

#### *Legal basis*

Council Decision 2010/441/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative to the African Union (OJ L 211, 12.8.2010, p. 23); EUR 1 280 000.

Council Decision 2010/445/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative for the crisis in Georgia (OJ L 211, 12.8.2010, p. 33); EUR 700 000.

Council Decision 2010/447/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative for the Middle East peace process (OJ L 211, 12.8.2010, p. 39); EUR 585 000.

Council Decision 2010/448/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative in the Republic of Moldova (OJ L 211, 12.8.2010, p. 40); EUR 830 000.

Council Decision 2010/449/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative for the South Caucasus (OJ L 211, 12.8.2010, p. 41); EUR 1 410 000.

Council Decision 2010/450/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative for Sudan (OJ L 211, 12.8.2010, p. 42); EUR 1 820 000.

Council Decision 2010/442/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative in Bosnia and Herzegovina (OJ L 211, 12.8.2010, p. 26); EUR 3 700 000.

Council Decision 2010/443/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative for Central Asia (OJ L 211, 12.8.2010, p. 29); EUR 1 250 000.

Council Decision 2010/440/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative for the African Great Lakes Region (OJ L 211, 12.8.2010, p. 20); EUR 1 520 000.

Council Decision 2010/446/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative in Kosovo (OJ L 211, 12.8.2010, p. 36); EUR 1 230 000.

Council Decision 2010/444/CFSP of 11 August 2010 extending the mandate of the European Union Special Representative in the former Yugoslav Republic of Macedonia (OJ L 211, 12.8.2010, p. 32); EUR 310 000.

Council Decision 2010/439/CFSP of 11 August 2010 appointing the European Union Special Representative in Afghanistan (OJ L 211, 12.8.2010, p. 17); EUR 4 515 000.

## CHAPTER 19 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS (EIDHR)

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 04	European Instrument for Democracy and Human Rights (EIDHR)							
<b>19 04 01</b>	<b>European Instrument for Democracy and Human Rights (EIDHR)</b>	4	118 310 000	85 842 559	116 500 000	90 000 000	116 295 204,31	59 488 931,37
<b>19 04 03</b>	<b>Electoral observation</b>	4	38 000 000	33 383 217	37 724 200	30 000 000	35 826 766,54	33 777 170,37
<b>19 04 04</b>	<b>Preparatory action — Establish a conflict-prevention network</b>	4	p.m.	578 112	p.m.	400 000	0,—	796 834,00
<b>19 04 05</b>	<b>Completion of former cooperation</b>	4	p.m.	12 399 481	p.m.	28 000 000	1 109 409,50	28 234 697,73
<b>19 04 06</b>	<b>Pilot project — Civil Society Forum EU-Russia</b>	4	400 000	200 000				
<b>19 04 07</b>	<b>Pilot project — Funding for victims of torture</b>	4	1 000 000	500 000				
	<b>Chapter 19 04 — Total</b>		<b>157 710 000</b>	<b>132 903 369</b>	<b>154 224 200</b>	<b>148 400 000</b>	<b>153 231 380,35</b>	<b>122 297 633,47</b>

### Article 19 04 01 — European Instrument for Democracy and Human Rights (EIDHR)

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
118 310 000	85 842 559	116 500 000	90 000 000	116 295 204,31	59 488 931,37

#### Remarks

The general objective will be to contribute to the development and consolidation of democracy and respect for human rights, in accordance with European Union policies and guidelines and in close cooperation with civil society.

Key areas of activity will include:

enhancing respect for human rights and fundamental freedoms in countries and regions where they are most at risk, as well as promoting the rule of law,

strengthening the role of civil society in promoting human rights and democratic reform, while ensuring the protection of human rights defenders and defenders of freedom of speech and freedom of the press, in supporting the peaceful conciliation of group interests and in consolidating political participation and representation,

supporting actions on human rights and democracy issues in areas covered by EU Guidelines, including on human rights dialogues, on human rights defenders, on the death penalty, on torture, including forced abortion, female genital mutilation or coerced sterilisation and on childhood and armed conflicts,

strengthening the international framework for the protection of human rights, the rule of law and the promotion of democracy, in particular international criminal justice mechanisms and core legal instruments, with part of this support being provided to give legal advice and clear up murders of human rights defenders and defenders of freedom of speech.

In order to ensure full financial transparency under Articles 53 to 56 of the Financial Regulation, the Commission, when entering into joint management with international organisations, will — upon request — undertake to make available to its Internal Auditor as well as to the European Court of Auditors all internal and external audits which concern the use of Union funds.

Part of this appropriation will be devoted to the European Inter-University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation and an EU-UN Fellowship Programme, beyond the expiry by end 2006 of Decision No 791/2004/EC of the European Parliament and of the Council of 21 April 2004 (OJ L 138, 30.4.2004, p. 31).

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

This appropriation is also intended to finance support for NGOs and human rights defenders working in North Caucasus.

#### *Legal basis*

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

### **Article 19 04 03 — Electoral observation**

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
38 000 000	33 383 217	37 724 200	30 000 000	35 826 766,54	33 777 170,37

#### *Remarks*

Key areas of activity will include: building confidence in and enhancing the reliability and transparency of democratic electoral processes, through further development of EU electoral observation and support for observation capacity at regional and national level.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.



### *Legal basis*

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

### *Reference acts*

Commission statement on EU Election Observation Missions (EU EOM) related to the adoption of the European Instrument for Democracy and Human Rights confirming its intention to keep EU EOM related expenditure within 25 % of the EIDHR budget over the seven-year period of the 2007 to 2013 Financial Framework.

## **Article 19 04 04 — Preparatory action — Establish a conflict-prevention network**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	578 112	p.m.	400 000	0,—	796 834,00

### *Remarks*

This appropriation is intended to finance a conflict-prevention network to carry out analytical groundwork for, and underpin, decision-taking in the external relations field, as provided for in the resolution of the European Parliament of 13 December 2001 on the Commission communication on conflict prevention (OJ C 177 E, 25.7.2002, p. 291).

### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### *Reference acts*

This appropriation is intended to finance a preparatory action within the meaning of the Interinstitutional Agreement of 6 May 1999 between the European Parliament, the Council and the Commission on budgetary discipline and improvement of the budgetary procedure (OJ C 172, 18.6.1999, p. 1) and the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

## **Article 19 04 05 — Completion of former cooperation**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	12 399 481	p.m.	28 000 000	1 109 409,50	28 234 697,73

### *Remarks*

This appropriation covers completion of former cooperation under the European Initiative for Democracy and Human Rights.

This appropriation covers support for the establishment and maintenance of rehabilitation centres for torture victims — taking into account the gender-specific aspects of torture against women and girls — and their families and for other organisations offering concrete help to victims of human rights abuses. Support for the rehabilitation of torture victims must remain a priority. Projects may also include prevention activities when relevant.

This appropriation is intended to cover grant aid to projects undertaken in non-member countries and in the European Union with the following objectives:

- the promotion and defence of human rights and fundamental freedoms,
- support for the democratisation process, strengthening of the rule of law and good governance,
- support for measures promoting respect for human rights and democratisation,

support for efforts to foster the establishment of groupings of democratic countries within United Nations bodies, specialised agencies and regional organisations.

This appropriation covers financial support for building confidence in, and enhancing the reliability and transparency of, democratic electoral processes through deployment of European Union Election Observation Missions and support for observation capacity at regional and national level.

It is also intended to enable the use of external staff providing support to electoral observation missions, including the financing of the chief observer contract with the Commission as special adviser under Articles 5 and 82 of the Conditions of employment of other servants of the European Communities, in the Staff Regulations.

This appropriation is intended to cover the financing of measures designed to improve the functioning of the United Nations International Court for the former Yugoslavia and the International Criminal Court for Rwanda, as well as support to the Special International Court for Sierra Leone.

It is also intended to cover funding for the operation of the International Criminal Court, including support for international, regional or local organisations, including NGOs, in order to promote further ratifications of the Statute of the Court, to provide legal expertise to transpose the Statute into national legislation, to enhance public support for the Court and to provide training regarding the Court's developments.

#### *Legal basis*

Regulation (EC) No 2240/2004 of the European Parliament and of the Council of 15 December 2004 amending Council Regulation (EC) No 975/1999 laying down the requirements for the implementation of development cooperation operations which contribute to the general objective of developing and consolidating democracy and the rule of law and to that of respecting human rights and fundamental freedoms (OJ L 390, 31.12.2004, p. 3).

Council Regulation (EC) No 2242/2004 of 22 December 2004 amending Regulation (EC) No 976/1999 laying down the requirements for the implementation of Community operations, other than those of development cooperation, which, within the framework of Community cooperation policy, contribute to the general objective of developing and consolidating democracy and the rule of law and to that of respecting human rights and fundamental freedoms in third countries (OJ L 390, 31.12.2004, p. 21).

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

### ***Article 19 04 06 — Pilot project — Civil Society Forum EU-Russia***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
400 000	200 000				

#### *Remarks*

#### *New article*

The EU-Russia Civil Society Forum would establish regular and systematic contacts between civil society and NGOs in Russia and counterparts in the EU Member States. It would give the European and Russian civil society actors an opportunity to make their voice heard on topics of common interest. Forum meetings would be held on the fringes of the bi-annual EU-Russia summits. The pilot project is intended to enhance a bottom-up approach in European and Russian policy processes.

#### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 19 04 07 — Pilot project —Funding for victims of torture

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

### Remarks

#### New article

The main objective of this pilot project is to create a new line of funding for victims of torture.

The pilot project will cover the following actions:

- create of rehabilitation centres for victims of torture or to support the existing ones
- provide access to multi-disciplinary support and counselling, including physical and psychotherapeutic treatment, psycho-social counselling, legal services, and socio-economic support to the victims.

### Legal basis

Charter of Fundamental Rights of the European Union (OJ C 83 30.03.2010)

Council Directive 2003/9/EC 27.01.2003

Council of the European Union, 2865th External Relations Council meeting, Luxembourg, 29 April 2009

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 19 05 — RELATIONS AND COOPERATION WITH INDUSTRIALISED NON-MEMBER COUNTRIES

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 05	Relations and cooperation with industrialised non-member countries							
19 05 01	Cooperation with industrialised non-member countries	4	25 021 000	19 076 124	23 640 000	16 963 000	25 199 223,34	13 471 435,07
19 05 02	Korean Peninsula Energy Development Organisation (KEDO)	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—
19 05 03	Pilot project — Transatlantic methods for handling global challenges	4	p.m.	800 000	p.m.	750 000	2 993 884,92	2 207 890,04
	Chapter 19 05 — Total		25 021 000	19 876 124	23 640 000	17 713 000	28 193 108,26	15 679 325,11

## Article 19 05 01 — Cooperation with industrialised non-member countries

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 021 000	19 076 124	23 640 000	16 963 000	25 199 223,34	13 471 435,07

### Remarks

Cooperation with industrialised and other high-income countries and territories is aimed at engaging with partners which share similar political, economic and institutional structures and values to the Union and which are important bilateral partners and players in

multilateral fora and in global governance. The cooperation also covers newly industrialised or high-income countries and territories with whom the Union has a strategic interest in promoting links.

Specific attention shall be paid to measures, which may include a regional dimension, in the following areas of cooperation:

- the promotion of cooperation, partnerships and joint undertakings between economic, academic and scientific actors in the Union and partner countries,
- the stimulation of bilateral trade, investment flows and economic partnerships,
- the promotion of dialogue between political, economic and social actors and other non-governmental organisations in relevant sectors in the Union and partner countries,
- the promotion of people-to-people links, education and training programmes and intellectual exchanges and the enhancement of mutual understanding between cultures and civilisations,
- the promotion of cooperative projects in areas such as research, science and technology, energy, transport and environmental matters — including climate change, customs and financial issues and any other matter of mutual interest between the Union and the partner countries,
- the enhancement of awareness about and understanding of the European Union and of its visibility in partner countries,
- support for specific initiatives, including research work, studies, pilot schemes or joint projects destined to respond in an effective and flexible manner to cooperation objectives arising from developments in the Union's bilateral relationship with the partner countries or aiming to provide impetus to the further deepening and broadening of bilateral relationships with them.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

#### *Legal basis*

Council Regulation (EC) No 1934/2006 of 21 December 2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 405, 30.12.2006, p. 41).

### ***Article 19 05 02 — Korean Peninsula Energy Development Organisation (KEDO)***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

#### *Remarks*

No appropriations for a contribution to the Korean Peninsula Energy Development Organisation have been entered as it is expected that KEDO will continue in a much reduced form.

#### *Legal basis*

Agreement of 24 March 2006 between the European Atomic Energy Community and the Korean Peninsula Energy Development Organisation.

European Atomic Energy Community participation in the Korean Peninsula Energy Development Organisation.

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

## Article 19 05 03 — Pilot project — Transatlantic methods for handling global challenges

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	800 000	p.m.	750 000	2 993 884,92	2 207 890,04

### Remarks

This pilot project is intended to foster common transatlantic approaches to key international policy challenges. Measures under this pilot project will aim at improving the efficacy of actions by the international community and be complementary to existing mechanisms. The pilot project will be used to fund innovative ventures between European and US policymakers that are beyond the scope of the current tools available under existing legal instruments. In implementing this action the Commission will ensure a balanced distribution of subsidies. It will also help engage the US Administration to strengthen the Transatlantic partnership. Finally, it will provide an opportunity for presenting EU thinking to US academics.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Reference acts

European Parliament resolution of 18 May 2006 on the 2007 budget: the Commission's Annual Policy Strategy report (APS) (OJ C 297 E, 7.12.2006, p. 357) and European Parliament resolutions of 1 June 2006 on improving EU-US relations in the framework of a Transatlantic Partnership Agreement (OJ C 298 E, 8.12.2006, p. 226) and on EU-US transatlantic economic relations (OJ C 298 E, 8.12.2006, p. 235).

## CHAPTER 19 06 — CRISIS RESPONSE AND GLOBAL THREATS TO SECURITY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 06	Crisis response and global threats to security							
<b>19 06 01</b>	<b>Crisis response and preparedness</b>							
19 06 01 01	Crisis response and preparedness (Instrument for Stability)	4	202 900 000	152 608 993	151 559 000	150 000 000	135 444 507,00	114 783 055,42
19 06 01 02	Completion of former cooperation	4	p.m.	2 861 419	p.m.	848 824	0,—	4 285 179,85
	<i>Article 19 06 01 — Subtotal</i>		202 900 000	155 470 412	151 559 000	150 848 824	135 444 507,00	119 068 235,27
<b>19 06 02</b>	<b>Actions to protect countries and their populations against critical technological threats</b>							
19 06 02 01	Actions in the area of risk mitigation and preparedness relating to chemical, nuclear and biological materials or agents (Instrument for Stability)	4	49 000 000	24 608 200	41 000 000	25 800 000	33 000 000,00	11 503 931,40
19 06 02 02	Preparatory action — Reduce nuclear, biological and chemical weapons and small arms	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—
19 06 02 03	EU policy on combating the proliferation of light arms	4	p.m.	915 654	p.m.	400 000	0,—	0,—
	<i>Article 19 06 02 — Subtotal</i>		49 000 000	25 523 854	41 000 000	26 200 000	33 000 000,00	11 503 931,40
<b>19 06 03</b>	<b>Transregional actions in the areas of organised crime, trafficking, protection of critical infrastructure, threats to public health and the fight against terrorism (Instrument for Stability)</b>							
		4	30 000 000	9 538 062	21 000 000	8 756 336	13 000 000,00	8 185 386,00
<b>19 06 04</b>	<b>Assistance in the nuclear sector</b>							
19 06 04 01	Assistance in the nuclear sector	4	49 544 700	42 921 279	54 152 882	45 500 000	64 000 000,00	65 910 758,44

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 06 04 02	EU contribution to the European Bank for Reconstruction and Development for the Chernobyl Shelter Fund	4	25 000 000	23 845 155	15 000 000	15 000 000	9 700 000,00	9 700 000,00
	<i>Article 19 06 04 — Subtotal</i>		74 544 700	66 766 434	69 152 882	60 500 000	73 700 000,00	75 610 758,44
<b>19 06 06</b>	<b>Consular cooperation</b>	4	p.m.	p.m.	p.m.	p.m.	15 000,00	0,—
<b>19 06 07</b>	<b>Pilot project — Support for surveillance and protection measures for EU vessels sailing through areas where piracy is a threat</b>	4	p.m.	340 000	1 000 000	1 000 000	1 000 000,00	0,—
<b>19 06 08</b>	<b>Emergency response to the financial and economic crisis in developing countries</b>	4	p.m.	p.m.	3 000 000	2 000 000		
<b>19 06 09</b>	<b>Pilot project — Programme for NGO-led peacebuilding activities</b>	4	1 000 000	p.m.	1 000 000	1 000 000		
	<b>Chapter 19 06 — Total</b>		<b>357 444 700</b>	<b>257 638 762</b>	<b>287 711 882</b>	<b>250 305 160</b>	<b>256 159 507,00</b>	<b>214 368 311,11</b>

Remarks

### *Article 19 06 01 — Crisis response and preparedness*

Item 19 06 01 01 — Crisis response and preparedness (Instrument for Stability)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
202 900 000	152 608 993	151 559 000	150 000 000	135 444 507,00	114 783 055,42

Remarks

The quick release of financing through the Instrument for Stability is designed to address situations of urgency, crisis or emerging crisis, a situation posing a threat to democracy, law and order, to the protection of human rights and fundamental freedoms, to the security and safety of individuals, situations threatening to escalate into armed conflict or to destabilise the country concerned and where such situations are likely to jeopardise the beneficial effects of assistance and cooperation policies and programmes, their effectiveness and/or conditions for their proper implementation.

This appropriation is intended to cover an integrated programme of response measures designed to re-establish the minimum conditions necessary for the conduct of assistance under the Union's long-term assistance instruments. Such programmes will ensure a smooth link between relief, rehabilitation and development. They shall also provide complementary measures falling under Community competence relating to measures adopted under the CFSP, as part of a comprehensive EU approach to crisis.

The appropriation is also intended to cover response measures to prevent and reduce climate-change-induced hazards, in particular management of water resources, in cases where these hazards risk escalating into security threats.

Operations covered will include:

- technical and logistical support for the efforts of international and regional organisations, state and non-state actors in promoting confidence-building, mediation, dialogue and reconciliation,
- the relaunch of basic public services and economic activity,
- the initial physical and operational rehabilitation of basic infrastructure, including mine clearance,
- social reintegration, in particular of refugees, displaced persons and demobilised combatants,
- the restoration of the institutional capacities needed for good governance and ensuring the rule of law and democracy,
- assisting with the special needs of women and children affected by armed conflict, particularly rehabilitation of children affected by war, including child soldiers,

preparedness measures aimed at strengthening the capacity of international, regional and sub-regional organisations, state and non-state actors in relation to their efforts in promoting early warning, confidence-building, mediation and reconciliation and addressing emerging inter-community tensions, and in improving post-conflict and post-disaster recovery. In this regard, the Commission will also enhance the capacity of civil society organisations, building upon previous support under the conflict prevention network preparatory action,

support for international criminal tribunals and ad hoc national tribunals, truth and reconciliation commissions, and mechanisms for the legal settlement of human rights claims,

support for measures to address, in the context of Union cooperation policies and their objectives, the impact on the civilian population of the illicit use of and access to firearms,

support for measures to promote and defend respect for human rights,

support for measures to support the development and organisation of civil society.

Implementing partners may include authorities of the Member States or of beneficiary countries and their agencies, regional and international organisations and their agencies, NGOs, public and private operators and individual organisations or operators (including staff seconded from Member State administrations) with appropriate specialised expertise and experience.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

#### *Legal basis*

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

### Item 19 06 01 02 — Completion of former cooperation

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 861 419	p.m.	848 824	0,—	4 285 179,85

#### *Remarks*

This appropriation is intended to cover, in addition to the funding allocated to anti-personnel mine schemes under cooperation programmes with the beneficiary countries concerned, Community contribution to projects against anti-personnel landmines, in particular schemes relating to implementation of the Ottawa Treaty (Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-personnel Mines).

It is also intended to cover actions aimed at the rehabilitation of victims of anti-personnel mines.

It is intended to cover a whole range of actions such as mine clearance, stockpile destruction, mine risk education, survey of suspected areas and victim assistance.

It also includes financing of activities of non-governmental organisations to raise the issue of the landmine problem with non-State armed groups which are 'part of the problem' and therefore should also be 'part of the solution'.

#### *Legal basis*

Regulation (EC) No 1724/2001 of the European Parliament and of the Council of 23 July 2001 concerning action against anti-personnel landmines in developing countries (OJ L 234, 1.9.2001, p. 1).

Council Regulation (EC) No 1725/2001 of 23 July 2001 concerning action against anti-personnel landmines in third countries other than developing countries (OJ L 234, 1.9.2001, p. 6).

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

## ***Article 19 06 02 — Actions to protect countries and their populations against critical technological threats***

Item 19 06 02 01 — Actions in the area of risk mitigation and preparedness relating to chemical, nuclear and biological materials or agents (Instrument for Stability)

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
49 000 000	24 608 200	41 000 000	25 800 000	33 000 000,00	11 503 931,40

### *Remarks*

This appropriation is intended to cover actions aiming to help safeguard countries and populations against critical technological threats. This may, inter alia, include:

the promotion of civilian research activities as an alternative to defence-related research, and support for the retraining and alternative employment of scientists and engineers having formerly been employed in weapons-related areas,

support for measures to enhance safety practice related to civilian facilities where sensitive chemical, biological, radiological or nuclear materials or agents are stored, or are handled in the context of civilian research programmes,

support, within the framework of Union cooperation policies and their objectives, for the establishment of civil infrastructure and relevant civilian studies necessary for the dismantlement, remediation or conversion of weapons-related facilities and sites where these are declared as no longer belonging to a defence programme,

strengthening the capacity of the competent civilian authorities involved in the development and enforcement of effective control of the trafficking in chemical, biological, radiological and nuclear materials or agents (including the equipment for their production or delivery), including through the installation of modern logistical evaluation and control equipment,

the development of the legal framework and institutional capacities for the establishment and enforcement of effective export controls on dual-use goods, including measures of regional cooperation,

the development of effective civilian disaster preparedness, emergency planning, crisis response, and capabilities for clean-up measures in relation to possible major environmental incidents in this field.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

### *Legal basis*

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

Item 19 06 02 02 — Preparatory action — Reduce nuclear, biological and chemical weapons and small arms

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—



### Remarks

This appropriation is intended to finance measures which contribute to the reduction of weapons of mass destruction (nuclear, chemical and biological).

It is also intended to finance operations to combat the proliferation of light arms and illicit arms trafficking.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Item 19 06 02 03 — EU policy on combating the proliferation of light arms

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	915 654	p.m.	400 000	0,—	0,—

### Remarks

This appropriation is intended to finance operations to combat the proliferation of light arms and illicit arms trafficking.

### Legal basis

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

### **Article 19 06 03 — Transregional actions in the areas of organised crime, trafficking, protection of critical infrastructure, threats to public health and the fight against terrorism (Instrument for Stability)**

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 000 000	9 538 062	21 000 000	8 756 336	13 000 000,00	8 185 386,00

### Remarks

This appropriation is intended to cover new actions aimed at furthering the cooperation between the EU and third countries in relation to global and regional trans-border challenges affecting the security and fundamental rights of the citizen.

Such measures will cover:

strengthening the capacity of law enforcement and judicial and civil authorities involved in the fight against terrorism and organised crime, including illicit trafficking of people, drugs, firearms and explosive materials, and in the effective control of illegal trade and transit,

support for measures to address threats to international transport, and critical infrastructure, including passenger and freight traffic,

ensuring an adequate response to major threats to public health, such as epidemics with a potential trans-national impact.

Such measures may be adopted under this instrument in the context of stable conditions, where they aim to address specific global and transregional threats having a destabilising effect, and only to the extent that an adequate and effective response cannot be provided under related Union instruments for external assistance. This appropriation is also intended to cover completion of payments for measures funded under former budget line 19 02 11 ‘North-south cooperation schemes in the campaign against drugs and drug addiction’.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the

Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

#### *Legal basis*

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

### **Article 19 06 04 — Assistance in the nuclear sector**

#### Item 19 06 04 01 — Assistance in the nuclear sector

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
49 544 700	42 921 279	54 152 882	45 500 000	64 000 000,00	65 910 758,44

#### *Remarks*

This appropriation is intended to cover measures taken which are intended to cover the financing of:

the promotion of an effective nuclear safety culture at all levels, in particular through:

continuous support for regulatory bodies, technical support organisations, and the reinforcement of the regulatory framework, notably concerning licensing activities,

drawing notably on the experience of the operators, on site and external assistance programmes as well as consulting and related activities aiming at safety improvements of the design, operation and maintenance of nuclear power plants that are currently licensed and other existing nuclear installations so that high safety levels can be achieved,

support for the safe transport, treatment and disposal of spent nuclear fuel and radioactive waste, and

the development and implementation of strategies for decommissioning existing installations and the remediation of former nuclear sites,

the promotion of effective regulatory frameworks, procedures and systems to ensure adequate protection against ionising radiations from radioactive materials, in particular from high activity radioactive sources, and their safe disposal,

the establishment of the necessary regulatory framework and methodologies for the implementation of nuclear safeguards, including for the proper accounting and control of fissile materials at State and operators level,

the establishment of effective arrangements for the prevention of accidents with radiological consequences as well as the mitigation of such consequences should they occur, and for emergency-planning, preparedness and response, civil protection and rehabilitation measures,

measures to promote international cooperation (including in the framework of relevant international organisations, notably IAEA) in the above fields, including the implementation and monitoring of international Conventions and Treaties, exchange of information and training and research.

This appropriation is also intended for monitoring and investigating the consequences of the Chernobyl accident on human health and the environment in the neighbouring countries, especially Ukraine and Belarus, as well as its socio-economic impact.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

### *Legal basis*

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

## **Item 19 06 04 02 — EU contribution to the European Bank for Reconstruction and Development for the Chernobyl Shelter Fund**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 000 000	23 845 155	15 000 000	15 000 000	9 700 000,00	9 700 000,00

### *Remarks*

This appropriation is intended to cover measures taken which are intended to cover the financing of the Commission's further participation in the Chernobyl Shelter Fund.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

### *Legal basis*

Council Decision 2006/908/EC, Euratom of 4 December 2006 on the first instalment of the third Community contribution to the European Bank for Reconstruction and Development for the Chernobyl Shelter Fund (OJ L 346, 9.12.2006, p. 28).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

## **Article 19 06 06 — Consular cooperation**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	15 000,00	0,—

### *Remarks*

This appropriation is intended to cover logistical support operations for Member States' missions within the framework of consular cooperation, particularly in the event of a crisis. These operations are based on Articles 20(2)(c) and 23 of the Treaty on the Functioning of the European Union, concerning the protection of EU citizens not represented in third countries by their Member State, and on Article 35 of the Treaty on the European Union, providing a role for the Commission delegations in the implementation of Article 20(2)(c) of the Treaty on the Functioning of the European Union, in cooperation with the Member States' missions.

### *Legal basis*

Tasks coming under specific powers arising directly from the Treaties, in accordance with Article 49(6)(d) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 19 06 07 — Pilot project — Support for surveillance and protection measures for EU vessels sailing through areas where piracy is a threat**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	340 000	1 000 000	1 000 000	1 000 000,00	0,—

*Remarks*

This appropriation is intended to cover study and analysis of possible means of providing support for the funding, management and coordination of surveillance and protection measures for Union vessels sailing through areas where international piracy is a threat.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 19 06 08 — Emergency response to the financial and economic crisis in developing countries**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	3 000 000	2 000 000	

*Remarks*

This appropriation is intended to cover emergency and short-term actions to mitigate the impact of the global financial and economic crisis on the most vulnerable and least resilient of the countries covered by Regulation (EC) No 1905/2006 establishing a financing instrument for development cooperation.

Activities covered by this appropriation can include measures to mitigate the social consequences of the crisis, including social safety nets, employment-creating initiatives and actions ensuring adequate social service provision.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 19 06 09 — Pilot project — Programme for NGO-led peacebuilding activities**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
1 000 000	p.m.	1 000 000	1 000 000	

*Remarks*

This appropriation, which is complementary to the capacity building activities as provided for by the Peacebuilding Partnership under the Instrument for Stability, is intended to finance a programme of NGO-led peacebuilding activities in order to support the EU's commitments to prevent conflict and build peace outside its borders.

Activities to be financed under this pilot project will include: research on and analysis of specific conflicts; support for peace processes, mediation and dialogue; support to disarmament, demobilisation and reintegration (DDR) processes and broader post-conflict economic development; support to local actor participation in peace settlements; civil society involvement in security sector reform (SSR), justice sector reform and transitional justice processes; promotion of conflict-sensitive development practices; international and national-level advocacy work to encourage peacebuilding and the prevention of violent conflict; the development

and management of early warning systems; the promotion of the role of women in peacebuilding; unarmed civilian peacekeeping; and the deployment of professionals to sustain local actors by reducing and preventing violence, and by supporting dialogue, sustainable peace and a conflict-resilient society.

The pilot project could also serve as a test case for Union support for NGO-led peacebuilding activities and could thus lead to the eventual establishment of a permanent mechanism for short-, medium- and long-term financing in this area.

#### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 19 08 — EUROPEAN NEIGHBOURHOOD POLICY AND RELATIONS WITH RUSSIA

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 08	European Neighbourhood Policy and relations with Russia							
<b>19 08 01</b>	<b>European Neighbourhood and Partnership financial cooperation</b>							
19 08 01 01	European Neighbourhood and Partnership financial cooperation with Mediterranean countries	4	842 950 400	667 664 347	790 604 627	700 000 000	744 804 000,73	684 626 051,72
19 08 01 02	European Neighbourhood and Partnership financial assistance to Palestine, the peace process and UNRWA	4	300 000 000	267 065 739	295 000 000	260 000 000	352 600 000,00	325 486 849,03
19 08 01 03	European Neighbourhood and Partnership financial cooperation with Eastern Europe	4	556 421 000	329 063 142	466 103 000	279 000 000	425 875 020,82	370 961 548,41
19 08 01 04	Pilot project — Preventive and recovery actions for the Baltic seabed	4	p.m.	200 000	p.m.	1 500 000	1 000 000,00	1 325 019,66
19 08 01 05	Minorities in Russia — Developing culture, media and civil society	4	p.m.	1 600 000	2 000 000	2 100 000	2 500 000,00	381 223,45
19 08 01 08	Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs	4	p.m.	500 000	p.m.	500 000	2 000 000,00	0,—
	<i>Article 19 08 01 — Subtotal</i>		1 699 371 400	1 266 093 228	1 553 707 627	1 243 100 000	1 528 779 021,55	1 382 780 692,27
<b>19 08 02</b>	<b>Cross-border cooperation (CBC) — European Neighbourhood and Partnership Instrument (ENPI)</b>							
19 08 02 01	Cross-border cooperation (CBC) contribution from Heading 4	4	83 529 000	55 320 760	78 327 000	78 000 000	69 227 604,00	54 585 937,47
19 08 02 02	Cross-border cooperation (CBC) contribution from Heading 1b (Regional Policy)	1.2	116 234 779	86 000 000	90 632 446	63 100 000	74 662 069,00	29 731 867,76
19 08 02 03	EU Baltic Sea Strategy	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—
	40 02 41				20 000 000 20 000 000	20 000 000 20 000 000		
19 08 02 04	Pilot project — EU Baltic Sea Strategy	4	p.m.	p.m.	p.m.	p.m.		
	<i>Article 19 08 02 — Subtotal</i>		199 763 779	141 320 760	168 959 446	141 100 000	143 889 673,00	84 317 805,23
	40 02 41				20 000 000 188 959 446	20 000 000 161 100 000		
<b>19 08 03</b>	<b>Completion of the financial protocols with Mediterranean countries</b>	4	p.m.	p.m.	p.m.	p.m.	0,—	2 191 042,74
	<b>Chapter 19 08 — Total</b>		1 899 135 179	1 407 413 988	1 722 667 073	1 384 200 000	1 672 668 694,55	1 469 289 540,24
	40 02 41				20 000 000 1 742 667 073	20 000 000 1 404 200 000		

## Remarks

The European Union aims to establish an area of prosperity and friendly neighbourliness involving its Member States and the neighbouring partner countries<sup>1</sup>. To that effect the EU has established agreements with most neighbouring countries as well as European Neighbourhood Policy Action Plans to implement such agreements. This negotiated framework is aimed at developing a stronger and deeper relation based on shared values and mutual interest and involving a significant degree of economic integration and political cooperation. The EU has also engaged with Russia in a genuine strategic partnership, founded on common interests and shared values and based on the creation of four 'common spaces'. The appropriations under this chapter are intended to cover cooperation measures aimed at supporting the implementation of such agreements. Cooperation with those countries with whom such agreements are not yet signed or do not exist — such as for Belarus, Libya or Syria — will be based on EU policy objectives.

## Article 19 08 01 — European Neighbourhood and Partnership financial cooperation

Item 19 08 01 01 — European Neighbourhood and Partnership financial cooperation with Mediterranean countries

## Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
842 950 400	667 664 347	790 604 627	700 000 000	744 804 000,73	684 626 051,72

## Remarks

This appropriation is intended in particular to finance cooperation measures aimed primarily at supporting the implementation of the Multi Annual Indicative Programmes covering periods 2007-2010 and 2011-2013 of the ENP Action Plans concluded with the EU's Mediterranean neighbours. It will also be used to support the implementation of the five-year Euro-Mediterranean Work Plan for 2006 to 2010 agreed at the EuroMed summit held in Barcelona in November 2005 as well as certain measures in the framework of the Union for the Mediterranean which was launched at the Paris summit on 13 July 2008. This will cover, inter alia, the following areas of cooperation:

- promoting political dialogue and reform,
- promoting legislative and regulatory approximation and encouraging the progressive participation of partner countries in the internal market and the intensification of trade,
- strengthening the national institutions responsible for the elaboration and implementation of policies in the areas covered by the association agreements,
- promoting respect for human rights, including women's and children's rights, and good governance,
- promoting sustainable development and contributing to poverty reduction,
- supporting the modernisation of the economy, promoting investments in the region and strengthening small- and medium-sized enterprises,
- establishing better transport and energy interconnections between the EU and neighbouring countries and among neighbouring countries themselves and addressing threats to our common environment,
- promoting actions contributing to conflict resolution,
- fostering the development of civil society, inter alia, to promote social inclusion,
- promoting people-to-people contacts and exchanges in the field of education, research and culture,
- contributing to the financing of the operations of the United Nations Relief and Works Agency for Palestine Refugees (UNRWA) in Lebanon, Syria and Jordan, in particular its health, education and social services programmes,

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<sup>1</sup> This includes 17 countries, of which seven (Armenia, Azerbaijan, Belarus, Georgia, Moldova, the Russian Federation and Ukraine) located to the east of the EU and 10 (Algeria, Egypt, Jordan, Israel, Lebanon, Libya, Morocco, the Palestinian Authority, Syria and Tunisia) located to the south of the EU.

supporting regional integration within the context of the Euro-Mediterranean Partnership and in particular the promotion of regional cooperation, the creation of networks and partnerships of public and private not-for-profit organisations with a view to exchanging knowledge and best practices in all the relevant fields,

supporting actions in the field of migration designed, inter alia, to promote links between migration and development, to combat illegal immigration and to facilitate readmission. These actions will be supplemented by actions financed from the ENPI allocation from Article 19 02 01 01 'Cooperation with third countries in the areas of migration and asylum'.

Horizontal visibility of Union Assistance and information actions and measures directly linked to achievement of the objectives of EU action in Mediterranean third countries.

Should there be a serious deterioration in a given country in the situation in the field of liberty, democracy, respect for fundamental rights and fundamental freedoms and the rule of law, Union assistance may be scaled down and used primarily to support non-state actors for measures aimed at promoting human rights and fundamental freedoms.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private enterprises.

This appropriation is also intended to cover the following expenditure:

studying the effects of climate change on the quality of water in the Mediterranean,

studying pollution along the Mediterranean coastline,

examining the state of the underwater energy infrastructure (gas pipelines, oil pipelines, electricity cables, etc.),

promoting the networking of public and private research centres engaged in monitoring the water of the Mediterranean and the condition of the coastline with a view to exchanging data, sharing research findings, and developing joint proposals for intervention and protection policies.

#### *Legal basis*

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

### Item 19 08 01 02 — European Neighbourhood and Partnership financial assistance to Palestine, the peace process and UNRWA

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
300 000 000	267 065 739	295 000 000	260 000 000	352 600 000,00	325 486 849,03

#### *Remarks*

This appropriation is intended to cover operations for the benefit of the Palestinian people and the occupied Palestinian territories of the West Bank and the Gaza Strip, in the context of the Middle East peace process.

The operations are primarily aimed at:

- supporting State building and institutional development,
- promoting social and economic development,
- mitigating the effects on the Palestinian population of the deteriorating economic, fiscal and humanitarian conditions through the provision of essential services and other support,
- contributing to reconstruction efforts in Gaza,
- contributing to the financing of the operation of the United Nations Relief and Works Agency for Palestine Refugees (UNRWA) and in particular its health, education and social services programmes,
- financing preparatory operations aimed at promoting cooperation between Israel and its neighbours in the context of the peace process, notably with regard to institutions, economic matters, water, the environment and energy,
- financing activities designed to create a public opinion favourable to the peace process,
- financing information, including in Arabic and Hebrew, and disseminating information on Israeli-Palestinian cooperation,
- fostering the development of civil society, inter alia, to promote social inclusion.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

#### *Legal basis*

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

#### Item 19 08 01 03 — European Neighbourhood and Partnership financial cooperation with Eastern Europe

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
556 421 000	329 063 142	466 103 000	279 000 000	425 875 020,82	370 961 548,41

#### *Remarks*

This appropriation is intended in particular to finance cooperation actions aimed primarily at supporting the implementation of the agreements and the ENP Action Plans concluded with the EU's Eastern neighbours as well as bilateral and multilateral actions in the framework of the Eastern Partnership. It will also be used to support the Strategic Partnership between the EU and Russia through implementation of the four common spaces covering economic cooperation; freedom, security and justice; external security; and research and education, including culture. This will entail, inter alia, the following areas of cooperation:

- promoting political dialogue and reform,
- promoting legislative and regulatory approximation and encouraging the progressive participation of partner countries in the internal market and the intensification of trade,
- strengthening the national institutions responsible for the elaboration and implementation of policies in the areas covered by the association agreements,
- promoting respect for human rights and good governance,
- supporting the transition to a market economy and the modernisation of the economy, promoting investments in the region and strengthening small- and medium-sized enterprises,
- promoting sustainable development and contributing to poverty reduction,



establishing better transport and energy interconnections between the EU and neighbouring countries and among neighbouring countries themselves and addressing threats to our common environment,

promoting actions contributing to conflict resolution and conflict prevention in areas of frozen conflict,

fostering the development of civil society, inter alia, to promote social inclusion and encourage underrepresented groups to gain a voice and participate in civil society and the political system,

promoting people-to-people contacts and exchanges in the field of education, research and culture,

supporting regional cooperation, including within the contexts of the 'Black Sea Synergy' and the Eastern Partnership,

supporting actions in the field of migration designed, inter alia, to promote links between migration and development, to combat illegal immigration and to facilitate readmission. These actions will be supplemented by actions financed from allocations under the Article 19 02 01 (Cooperation with third countries in the areas of migration and asylum).

This appropriation is also intended to fund research on human health and the sustainable development of Ukraine and Belarus, especially as regards improving health conditions in the regions affected by the Chernobyl disaster.

This appropriation is also intended to finance confidence building measures in frozen conflict areas in Georgia, Transnistria the breakaway territories of Abkhazia and South Ossetia, as well as local confidence-building and local economic rehabilitation projects in Nagorno-Karabakh.

Appropriations under this item will also be used for actions aimed at informing the general public and the potential beneficiaries of assistance and at increasing the visibility of Union assistance.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under the ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private sector enterprises.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation will be allocated for additional support to Baltic Sea Strategy objectives. The support, committed in 2010 and 2011, is being implemented through support to the Northern Dimension in the framework of Regional East and Interregional Indicative Programmes. Other frameworks for implementing support to the Baltic Sea may include, where appropriate, the Baltic Sea Region Programme, the HELCOM Baltic Sea Action Plan, the BONUS-169 Joint Baltic Sea Research Programme, and others.

The European Commission should provide separate pilot financing devoted to projects aiming particularly at establishing contacts between the EU and Eastern Partnership young people at the high school and university level, by providing financing for exchange programmes, common activities, young people associations cooperation, and other.

#### *Legal basis*

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Item 19 08 01 04 — Pilot project — Preventive and recovery actions for the Baltic seabed

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	200 000	p.m.	1 500 000	1 000 000,00	1 325 019,66

*Remarks*

This appropriation is intended to cover payments to fund a pilot project intended to study prevention of potential pollution from underwater waste landfills and to test methods to revive deep-water layers of the Baltic Sea.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 19 08 01 05 — Minorities in Russia — Developing culture, media and civil society

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 600 000	2 000 000	2 100 000	2 500 000,00	381 223,45

*Remarks*

The objective of this preparatory action is to establish effective partnership with the Russian Federation to promote the culture, education, media and civil society of Russia's numerous ethnic and national minorities. Under this preparatory action, assistance will be provided for activities related to the promotion and development of native culture, education, media and civil society.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 19 08 01 08 — Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	500 000	2 000 000,00	0,—

*Remarks*

The New Enhanced European Neighbourhood Policy, as projected by the Council and the European Parliament in their respective decisions and resolutions, especially in the light of the two major neighbourhood political projects, namely the Union for the Mediterranean and the Eastern Partnership, makes it necessary to prepare future EU contact points, i.e. personnel for EU-ENP-related jobs in the neighbourhood countries from Morocco to Belarus. They should be made fully acquainted in a professional manner with the substance and spirit of EU policies and institutions and the *acquis communautaire*.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 19 08 02 — Cross-border cooperation (CBC) — European Neighbourhood and Partnership Instrument (ENPI)**

### *Remarks*

Cross-border cooperation on the EU's external borders is a key priority both in the European Neighbourhood Policy and in the EU's Strategic Partnership with Russia, as well as within the Black Sea Synergy. The adoption of the European Neighbourhood and Partnership Instrument (ENPI) has considerably enhanced the scope for cross-border cooperation, both qualitatively and quantitatively. Under the new approach, CBC programmes established on the EU's external border will draw on funds from both the external and internal headings of the EU budget and be implemented according to a single set of rules, the one established under the ENPI Regulation. The global amounts of the contributions from the two headings are provided in the two budget Items presented under this article.

This appropriation is intended to fund a number of cross-border cooperation programmes, established along all the land and maritime borders. Such programmes will provide a tangible signal that the EU does not want to establish new dividing lines and they will also strengthen the partnership among border regions of the EU and neighbouring countries and help them to deal with common development problems. They will focus on the following four main objectives:

- promoting economic and social development in regions on both sides of common borders,
- working together to address common challenges, in fields such as the environment, public health and the prevention of and the fight against organised crime,
- ensuring efficient and secure borders,
- promoting local, cross-border 'people-to-people' actions,
- fostering the development of civil society, inter alia, to promote social inclusion.

Appropriations under this article will also be used for actions aimed at informing the general public and the potential beneficiaries of assistance and at increasing the visibility of Union assistance.

### **Item 19 08 02 01 — Cross-border cooperation (CBC) contribution from Heading 4**

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
83 529 000	55 320 760	78 327 000	78 000 000	69 227 604,00	54 585 937,47

### *Remarks*

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

### *Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Item 19 08 02 02 — Cross-border cooperation (CBC) contribution from Heading 1b (Regional Policy)

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
116 234 779	86 000 000	90 632 446	63 100 000	74 662 069,00	29 731 867,76

*Remarks*

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions for the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Item 19 08 02 03 — EU Baltic Sea Strategy

*Figures*

	Budget 2011		Appropriations 2010		Outturn 2009	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
19 08 02 03	p.m.	p.m.	p.m.	p.m.	0,—	0,—
40 02 41			20 000 000	20 000 000		
Total	p.m.	p.m.	20 000 000	20 000 000	0,—	0,—

*Remarks*

This appropriation is intended to cover actions and initiatives in support of the Baltic Sea region, mainly covering economic development, environment, energy policy, regional development and border surveillance.

Item 19 08 02 04 — Pilot project — EU Baltic Sea Strategy

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	p.m.	p.m.	

*Remarks*

This appropriation is intended to fund a pilot project on the Baltic Sea Strategy.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 19 08 03 — Completion of the financial protocols with Mediterranean countries

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	2 191 042,74

### Remarks

This appropriation is intended to cover the completion of the financial protocols with Mediterranean countries. It includes, inter alia, support for the Euro-Mediterranean Investment Facility within the European Investment Bank and covers the execution of non-EIB financial aid provided for in the third and fourth generation of Financial Protocols with the southern Mediterranean countries. The Protocols cover the period 1 November 1986 to 31 October 1991 for the third generation of Financial Protocols and the period 1 November 1991 to 31 October 1996 for the fourth generation of Financial Protocols.

### Legal basis

Council Regulation (EEC) No 2210/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 263, 27.9.1978, p. 1).

Council Regulation (EEC) No 2211/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Kingdom of Morocco (OJ L 264, 27.9.1978, p. 1).

Council Regulation (EEC) No 2212/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Republic of Tunisia (OJ L 265, 27.9.1978, p. 1).

Council Regulation (EEC) No 2213/78 of 26 September 1978 on the conclusion of the Cooperation Agreement between the European Economic Community and the Arab Republic of Egypt (OJ L 266, 27.9.1978, p. 1).

Council Regulation (EEC) No 2214/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Lebanese Republic (OJ L 267, 27.9.1978, p. 1).

Council Regulation (EEC) No 2215/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 268, 27.9.1978, p. 1).

Council Regulation (EEC) No 2216/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Syrian Arab Republic (OJ L 269, 27.9.1978, p. 1).

Council Regulation (EEC) No 3177/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 337, 29.11.1982, p. 1).

Council Regulation (EEC) No 3178/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 337, 29.11.1982, p. 8).

Council Regulation (EEC) No 3179/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 337, 29.11.1982, p. 15).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

Council Regulation (EEC) No 3181/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 337, 29.11.1982, p. 29).

Council Regulation (EEC) No 3182/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 337, 29.11.1982, p. 36).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision 88/30/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 22, 27.1.1988, p. 1).

Council Decision 88/31/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 22, 27.1.1988, p. 9).

Council Decision 88/32/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 22, 27.1.1988, p. 17).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 concerning the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/206/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 94, 8.4.1992, p. 13).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Regulation (EEC) No 1762/92 of 29 June 1992 on the implementation of the Protocols on financial and technical cooperation concluded by the Community with Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 1), repealed by Regulation (EC) No 1638/2006 (OJ L 310, 9.11.2006, p. 1).

Council Decision 92/548/EEC of 16 November 1992 concerning the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Regulation (EC) No 1734/94 of 11 July 1994 on financial and technical cooperation with the Occupied Territories (OJ L 182, 16.7.1994, p. 4), repealed by Regulation (EC) No 1638/2006 (OJ L 310, 9.11.2006, p. 1).

Council Regulation (EC) No 213/96 of 29 January 1996 on the implementation of the European Communities Investment Partners Financial Instrument for the countries of Latin America, Asia, the Mediterranean region and South Africa (OJ L 28, 6.2.1996, p. 2).

## CHAPTER 19 09 — RELATIONS WITH LATIN AMERICA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 09	Relations with Latin America							
19 09 01	<i>Cooperation with developing countries in Latin America</i>	4	377 286 000	295 679 925	356 268 000	306 484 268	353 672 842,17	308 343 018,47
19 09 02	<i>Preparatory action — Cooperation with middle income group countries in Latin America</i>	4	p.m.	2 000 000	p.m.	3 000 000	2 000 000,00	0,—
19 09 03	<i>Cooperation activities other than Official Development Assistance (Latin America)</i>	4	p.m.	p.m.	p.m.	p.m.		
	40 02 41		16 000 000	1 907 612	11 500 000	5 000 000		
			16 000 000	1 907 612	11 500 000	5 000 000		
	<b>Chapter 19 09 — Total</b>		<b>377 286 000</b>	<b>297 679 925</b>	<b>356 268 000</b>	<b>309 484 268</b>	<b>355 672 842,17</b>	<b>308 343 018,47</b>
	40 02 41		16 000 000	1 907 612	11 500 000	5 000 000		
			393 286 000	299 587 537	367 768 000	314 484 268		

## Remarks

The purpose of development cooperation under this heading is primarily its contribution to support the promotion of democracy, good governance, respect for human rights and for the rule of law, and fostering a sustainable development and economic integration, as well as to achieving the millennium development (MDGs) goals.

In accordance with the Commission Declaration concerning Article 5 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41) (the DCI), for countries defined as Official Development Assistance (ODA) recipients by the OECD Development Assistance Committee (DAC), the Commission will continue to report annually on the benchmark, used in the past but now superseded, of 35 % of assistance to developing countries to be allocated to social infrastructure and services, recognising that the EU contribution must be seen as part of the overall donor support to the social sectors and that a degree of flexibility must be the norm. Moreover, also in accordance with that Commission Declaration, the Commission will endeavour to ensure that a benchmark of 20 % of its allocated assistance under country programmes covered by the DCI will be dedicated, by the end of 2009, to basic and secondary education and basic health, through project, programme or budget support linked to these sectors, taking an average across all geographical areas and recognising that a degree of flexibility must be the norm, such as in cases where exceptional assistance is involved.

Before July each year, the Commission will provide to the European Parliament and the Council an annual report on the Union's development policy and external assistance, meeting all the Commission's regulatory reporting requirements and giving full details of development cooperation, especially the extent to which it has met its objectives. In particular, the report will:

- present the strategic objectives of the Union's development policy and its contribution towards the previous 35 % benchmark for social infrastructure and services and the current 20 % benchmark for basic and secondary education and basic health, in the context of the geographical cooperation under the DCI, and assess the efficiency and effectiveness of cooperation, including progress made in coordinating aid, in improving the coherence of the Union's strategy in its external actions, and in integrating the cross-cutting issues such as gender, human rights, conflict prevention and the environment,

- present the main results of evaluation and monitoring reports, as an indication of the extent to which its actions are achieving their objectives,

- summarise the key features and events of cooperation in each geographical region, and

- provide financial information on the support given to each sector, in accordance with OECD reporting criteria.

## Article 19 09 01 — Cooperation with developing countries in Latin America

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
377 286 000	295 679 925	356 268 000	306 484 268	353 672 842,17	308 343 018,47

## Remarks

This appropriation is intended to cover cooperation schemes in developing countries in Latin America in order to:

- assist institutional support to good governance and help consolidate democracy, human rights and the rule of law,

- promote social cohesion, combat poverty and social exclusion, with particular attention being paid to the poverty trap faced by people with disabilities,

- promote an SME-friendly business climate through legal property rights, cutting red tape and improved access to credit as well as improving SME associations,

- support regional integration,

- improve the standard of education and health,

- promote the increased use of information and communications technologies,

- support capacity-building, in particular in the least-developed countries, to help them to integrate better into the multilateral trading system, including improving their ability to participate in the WTO,

- encourage the transfer of know-how and promote contact and collaboration between business players on both sides,

- promote a more favourable climate for economic expansion and hence development in the countries concerned,

promote the sustainable use of natural resources, sustainable energy and the combating of climate change,

support disaster prevention and risk reduction, including climate-change-related hazards,

support trade unions, NGOs and local initiatives to monitor the impact of European investments on the national economy, particularly codes of conduct and sector agreements incorporating respect for labour, environmental, social and human rights standards,

foster the development of civil society, encourage less well represented groups to gain a voice and participate in civil society and the political system, combat all forms of discrimination, and strengthen the rights of women and children and other particularly vulnerable groups, including persons with disabilities and older persons.

With the exception of humanitarian aid, assistance to governments should not be provided if the governments are responsible for clear worsening of the situation in the field of democracy, the rule of law and respect for fundamental rights and freedoms.

This appropriation also covers capacity-building actions to assist agricultural producers in developing countries to meet EU sanitary and phytosanitary standards necessary for access to the EU market.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight and audit capacities in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

Part of this appropriation is intended to support, amongst others, initiatives such as the EU-ALC Foundation (decided at the Summit of EU-ALC Heads of States and Governments) and the Biarritz Forum.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under the ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private sector enterprises.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

With the exception of humanitarian aid, and implementation aid by NGOs or by the United Nations' agencies or by impartial operators, assistance to governments should not be provided if the governments are responsible for clear worsening of the situation in the field of democracy, the rule of law and respect for fundamental rights and freedoms.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

European Parliament resolution of 1 June 2006 on small and medium-sized enterprises in developing countries (OJ C 298 E, 8.12.2006, p. 171).



## **Article 19 09 02 — Preparatory action — Cooperation with middle income group countries in Latin America**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 000 000	p.m.	3 000 000	2 000 000,00	0,—

### *Remarks*

This appropriation is intended to cover cooperation measures for middle income countries and other developing countries in Latin America.

This appropriation is intended to finance cooperation measures which do not fulfil the criteria for Official Development Assistance (ODA) as established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development (OECD/DAC) and which therefore fall outside the scope of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41) (Article 2(4)), in particular measures for cooperation in sectors capable of developing independently, the result being that EU budget investment makes no contribution towards combating poverty in Latin American countries.

### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### *Reference acts*

European Parliament resolution of 15 February 2007 on the Country Strategy Papers and indicative programmes for Malaysia, Brazil and Pakistan (OJ C 287 E, 29.11.2007, p. 374).

European Parliament resolution of 7 June 2007 on the Regional Strategy Papers and Regional Indicative Programmes for Mercosur and Latin America (OJ C 125 E, 22.5.2008, p. 26).

European Parliament resolution of 9 July 2008 on the draft Commission decision establishing Annual Action Programmes for Brazil for 2008 and for Argentina for 2008 (OJ C 294 E, 3.12.2009, p. 19).

## **Article 19 09 03 — Cooperation activities other than Official Development Assistance (Latin America)**

### *Figures*

	Budget 2011		Appropriations 2010		Outturn 2009
	Commitments	Payments	Commitments	Payments	
19 09 03	p.m.	p.m.	p.m.	p.m.	
40 02 41	16 000 000	1 907 612	11 500 000	5 000 000	
Total	16 000 000	1 907 612	11 500 000	5 000 000	

### *Remarks*

This appropriation is intended to cover the support to activities, beyond development cooperation, aimed at engaging further with partners on a bilateral, regional or multilateral basis.

Appropriations for this budget line should be the subject of an agreement between the two arms of the budgetary authority on the multiannual financing of these measures through all means provided for in the 17 May 2006 IIA, particularly points 21, 22, 23 and 27.

### *Reference acts*

Proposal for a Council Regulation, submitted by the Commission on 22 April 2009, amending Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (COM(2009) 197 final).

## CHAPTER 19 10 — RELATIONS WITH ASIA, CENTRAL ASIA AND MIDDLE EAST (IRAQ, IRAN, YEMEN)

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 10	Relations with Asia, Central Asia and Middle East (Iraq, Iran, Yemen)							
<b>19 10 01</b>	<b>Cooperation with developing countries in Asia</b>							
19 10 01 01	Cooperation with developing countries in Asia	4	543 767 740	491 210 198	523 450 000	483 097 103	532 081 200,21	446 561 508,33
19 10 01 02	Aid for the rehabilitation and reconstruction of Afghanistan	4	200 000 000	147 839 962	160 000 000	145 000 000	182 000 000,00	161 875 398,64
19 10 01 03	Preparatory action — Business and scientific exchanges with India	4	—	2 500 000	—	4 500 000	5 000 000,00	540 702,00
19 10 01 04	Preparatory action — Business and scientific exchanges with China	4	—	4 200 000	—	4 500 000	5 000 000,00	1 017 787,49
19 10 01 05	Preparatory action — Cooperation with middle income group countries in Asia	4	—	1 000 000	p.m.	1 300 000	2 000 000,00	339 265,00
19 10 01 06	Preparatory action — EU-Asia — Integration of policy and practice	4	p.m.	650 000	1 000 000	1 000 000		
	<i>Article 19 10 01 — Subtotal</i>		743 767 740	647 400 160	684 450 000	639 397 103	726 081 200,21	610 334 661,46
<b>19 10 02</b>	<b>Cooperation with developing countries in Central Asia</b>	4	107 186 000	76 304 497	124 478 000	70 000 000	93 372 411,99	63 444 053,53
<b>19 10 03</b>	<b>Cooperation with Iraq, Iran and Yemen</b>	4	38 947 000	42 921 279	46 970 000	60 000 000	62 038 000,00	53 395 699,50
<b>19 10 04</b>	<b>Cooperation activities other than Official Development Assistance (Asia, Central Asia, Iraq, Iran and Yemen)</b>	4	p.m.	p.m.	p.m.	p.m.		
40 02 41			28 000 000	4 540 118	23 000 000	4 500 000		
			28 000 000	4 540 118	23 000 000	4 500 000		
	<b>Chapter 19 10 — Total</b>		<b>889 900 740</b>	<b>766 625 936</b>	<b>855 898 000</b>	<b>769 397 103</b>	<b>881 491 612,20</b>	<b>727 174 414,49</b>
40 02 41			28 000 000	4 540 118	23 000 000	4 500 000		
			917 900 740	771 166 054	878 898 000	773 897 103		

### Remarks

The purpose of development cooperation under this heading is primarily its contribution to achieving the millennium development goals (MDGs) as well as the promotion of democracy, good governance, respect for human rights and for the rule of law, and fostering a sustainable development and economic integration. In accordance with the Commission Declaration concerning Article 5 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council establishing a financing instrument for development cooperation (the DCI), for countries defined as Official Development Assistance (ODA) recipients, by the OECD Development Assistance Committee (DAC), the Commission will continue to report annually on the benchmark, used in the past but now superseded, of 35 % of assistance to developing countries to be allocated to social infrastructure and services, recognising that the EU contribution must be seen as a part of the overall donor support to the social sectors and that a degree of flexibility must be the norm.

Moreover, also in accordance with that Commission Declaration, the Commission will endeavour to ensure that a benchmark of 20 % of its allocated assistance under country programmes covered by the DCI will be dedicated, by the end of 2009, to basic and secondary education and basic health, through project, programme or budget support linked to these sectors, taking an average across all geographical areas and recognising that a degree of flexibility must be the norm, such as in cases where exceptional assistance is involved.

Before July each year, the Commission will provide to the European Parliament and the Council an annual report on the Union's development policy and external assistance, meeting all the Commission's regulatory reporting requirements and giving full details of development cooperation, especially the extent to which it has met its objectives. In particular, the report will:

present the strategic objectives of the Union's development policy and its contribution towards the previous 35 % benchmark for social infrastructure and services and the current 20 % benchmark for basic and secondary education and for basic health, in the context of the geographical cooperation under the DCI, and assess the efficiency and effectiveness of cooperation, including progress made in coordinating aid, in improving the coherence of the Union's strategy in its external actions, and in integrating the cross-cutting issues such as gender, human rights, conflict prevention and the environment,

present the main results of evaluation and monitoring reports, as an indication of the extent to which its actions are achieving their objectives,

summarise the key features and events of cooperation in each geographical region, and

provide financial information on the support given to each sector, in accordance with OECD reporting criteria.

### ***Article 19 10 01 — Cooperation with developing countries in Asia***

#### *Remarks*

This appropriation is intended to cover development schemes in Asian developing countries, in particular the poorest of them, aimed at improving human and social development as well as tackling macroeconomic and sectoral problems. Emphasis is placed on operations influencing economic organisation and institutional development, the strengthening of civil society, including operations concerning democratisation, education, vocational training, lifelong learning, academic and cultural exchange, scientific and technological exchange, the environment, tropical forests, anti-drugs campaigns, regional cooperation, disaster prevention and reconstruction measures, as well as the promotion of sustainable energy and information and communications technology.

The Commission produces an annual report covering all its external aid activities.

Also charged to this article is expenditure on operations and other measures of a horizontal nature to enhance the profile or increase awareness of European Union cooperation with Asian developing countries.

It is also intended to cover support for the development of civil society and, in particular, support for the activities of non-governmental organisations promoting and defending the rights of vulnerable groups, such as women, children, ethnic minorities and people with disabilities.

This appropriation is also intended to cover the promotion of an SME-friendly business climate through legal property rights, cutting red tape and improved access to credit as well as improving SME associations.

Utilisation of this appropriation is dependent on observance of the principles underpinning European Union action.

The appropriation is also intended with a view to the mutual interest of the European Union and its partners, to cover various kinds of operation involving technical assistance, training, technology transfer and institutional back-up in the fields of trade promotion, energy (notably renewable energy), environment, management, etc., in order to:

- improve the economic, social, cultural, legal and regulatory context and facilitate economic relations and trade between the European Union and Asia,

- foster regional integration,

- support capacity-building, in particular in the least developed countries, to help them to better integrate into the multilateral trading system, including their abilities to participate in the WTO,

- encourage the transfer of know-how and promote contact and collaboration between business players on both sides,

- promote a more favourable climate for economic expansion and hence development in the countries concerned,

- promote the increased use of information and communications technologies.

This appropriation is also intended to cover future Union initiatives to support and promote a continuous dialogue and cooperation between the private sector and research communities in the EU and India in a wide range of fields, by strengthening and encouraging partnership and exchanges and supporting joint initiatives and by improving the information flow with regard to market access in trade and investment, most notably in the context of a future EU-India free-trade agreement.

It also covers education for children affected by war or natural disasters.

These measures may be financed jointly with non-governmental organisations and international organisations.

This appropriation is also intended to support disaster prevention and risk reduction, including climate-change-related hazards.

This appropriation is intended to also cover Union operations in the framework of the Afghanistan reconstruction process.

The Commission will monitor compliance with the conditions for the Union contribution to this process, notably full implementation of the letter and the spirit of the Bonn-Petersberg Agreement. It will keep the budgetary authority informed about its findings and conclusions.

This appropriation is also intended to support the Afghanistan national drug control strategy, including halting the production of opium in Afghanistan, and the disruption and destruction of the opium networks and illegal export routes to European countries.

Part of this appropriation is intended to be used to improve the situation of women, with priority for actions in the fields of health and education, and to support their active involvement in all areas and at all levels of decision-making, with due regard for the provisions of the Financial Regulation.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private enterprises.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

European Parliament resolution of 1 June 2006 on small and medium-sized enterprises in developing countries (OJ C 298 E, 8.12.2006, p. 171).

### Item 19 10 01 01 — Cooperation with developing countries in Asia

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
543 767 740	491 210 198	523 450 000	483 097 103	532 081 200,21	446 561 508,33

#### *Remarks*

This appropriation is intended to cover development schemes in Asian developing countries, in particular the poorest of them, aimed at improving human and social development as well as tackling macroeconomic and sectoral problems. In accordance with the Commission Declaration concerning Article 5 of Regulation (EC) No 1905/2006 establishing a financing instrument for development cooperation (DCI), the Commission will endeavour to ensure that a benchmark of 20 % of its allocated assistance under country programmes covered by the DCI will be dedicated, by 2009, to basic and secondary education and basic health, through project, programme or budget support linked to these sectors, taking an average across all geographical areas and recognising that a degree of flexibility must be the norm, such as in cases where exceptional assistance is involved.

Emphasis is placed on operations influencing economic organisation and institutional development, the strengthening of civil society, including operations concerning democratisation, universal access for children of both sexes and women as well as children with disabilities to primary and secondary education, the environment, and the sustainable management of natural resources, including tropical forests, regional cooperation, disaster prevention and risk reduction, including climate-change-related hazards, and

reconstruction measures, as well as the promotion of sustainable energy, the fight against climate change and information and communications technology.

Also charged to this item is expenditure on operations and other measures of a horizontal nature to enhance the profile or increase awareness of European Union cooperation with Asian developing countries.

It is also intended to cover support for the development of civil society and, in particular, support for the activities of non-governmental organisations promoting and defending the rights of vulnerable groups, such as women, children, ethnic minorities and people with disabilities.

Support for microcredit schemes will be provided by this appropriation.

This appropriation also covers capacity-building actions to assist agricultural producers in developing countries to meet EU sanitary and phytosanitary standards necessary for access to the EU market.

Utilisation of this appropriation is dependent on observance of the principles underpinning European Union action.

It also covers measures influencing economic organisation and institutional development.

This appropriation will also cover technical assistance, training, technology transfer and institutional back-up in the fields of trade promotion, energy (notably renewable energy), environment, management, etc., in order to:

- foster regional integration,

- support capacity-building, in particular in the least developed countries, to help them to better integrate into the multilateral trading system, including their abilities to participate in the WTO,

- encourage the transfer of know-how and promote contact and collaboration between business players on both sides,

- promote the increased use of information and communications technologies,

- foster the development of civil society, encouraging less well-represented groups to gain a voice and participate in civil society and the political system, combating all forms of discrimination, and strengthen the rights of women and children and other particularly vulnerable groups, including persons with disabilities and older persons.

It also covers education for children affected by war or natural disasters.

These measures may be financed jointly with non-governmental organisations and international organisations.

Part of this appropriation is intended to be used to improve the situation of women, with priority for actions in the fields of health and education, and to support their active involvement in all areas and at all levels of decision-making, with due regard for the provisions of the Financial Regulation.

Part of this appropriation is to be used for actions to control and remove anti-personnel landmines (APL), explosive remnants of war (ERW) and illicit small arms and light weapons (SALW).

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight and audit capacities, in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private enterprises.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## Item 19 10 01 02 — Aid for the rehabilitation and reconstruction of Afghanistan

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000 000	147 839 962	160 000 000	145 000 000	182 000 000,00	161 875 398,64

### Remarks

This appropriation is intended to cover Union operations in the framework of the Afghanistan reconstruction process. It is supplemented by expenditure from other Chapters and Articles, to which different procedures apply.

The Commission will monitor compliance with the conditions for the Union contribution to this process, notably full implementation of the post-Bonn process. It will keep the budgetary authority informed about its findings and conclusions.

This appropriation is intended to support basic social services and economic development in Afghanistan.

This appropriation is also intended to support the Afghanistan national drug control strategy, including halting the production of opium in Afghanistan, and the disruption and destruction of the opium networks and illegal export routes to European countries.

Part of the appropriation is intended to support a programme for phasing out opium cultivation by replacing it with alternative crops.

It is also intended to support the Union contribution to the processes for the return of Afghan refugees and displaced persons to their country and regions of origin in accordance with the commitments entered into by the Community at the Tokyo Conference in January 2002.

This appropriation will also finance activities of women's organisations which have worked for a long time for Afghan women's rights.

The European Union should increase its financial assistance for areas in Afghanistan such as health (construction and renovation of hospitals, prevention of child mortality) and small and medium-sized infrastructure projects (repairing of road network, embankments, etc.), as well as effectively implementing job security and food security schemes.

Part of this appropriation is to be used for mainstreaming of disaster risk reduction, based on ownership and national strategies of disaster-prone countries.

Part of this appropriation is intended to be used to improve the situation of women, with priority for actions in the fields of health and education, and to support their active involvement in all areas and at all levels of decision-making, with due regard for the provisions of the Financial Regulation.

Particular attention will also be paid to the situation of women and girls in all other actions and projects supported by this appropriation.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

### Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## Item 19 10 01 03 — Preparatory action — Business and scientific exchanges with India

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	2 500 000	—	4 500 000	5 000 000,00	540 702,00

### Remarks

This preparatory action is intended to support exchanges between European and Indian businesses and industries, as well as research institutions. This is important in the context of improving links between EU businesses and researchers in fast-growing economies such as India. The action is in line with paragraph 4 of the European Parliament resolution of 18 May 2006 on the 2007 budget: the Commission's Annual Policy Strategy report (APS) (OJ C 297 E, 7.12.2006, p. 357), which noted that 'insufficient attention has been given ... to the vast and very rapid changes underway in the global economy, notably in emerging economies such as India and China'.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Item 19 10 01 04 — Preparatory action — Business and scientific exchanges with China

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	4 200 000	—	4 500 000	5 000 000,00	1 017 787,49

### Remarks

This preparatory action is intended to support exchanges between European and Chinese business executives and scientists in universities and research institutions. This is important in the context of improving links between EU businesses and researchers in fast-growing economies such as China. The action is in line with paragraph 4 of the European Parliament resolution of 18 May 2006 on the 2007 budget: the Commission's Annual Policy Strategy report (APS) (OJ C 297 E, 7.12.2006, p. 357), which noted that 'insufficient attention has been given ... to the vast and very rapid changes underway in the global economy, notably in emerging economies such as India and China'.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Item 19 10 01 05 — Preparatory action — Cooperation with middle income group countries in Asia

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 000 000	p.m.	1 300 000	2 000 000,00	339 265,00

### Remarks

This appropriation is intended to cover cooperation measures, in middle income countries and other developing countries in Asia, which do not fulfil the criteria for Official Development Assistance (ODA) as established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development (OECD/DAC) and which therefore fall outside the scope of Article 2(4) of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41), in particular measures for cooperation in sectors capable of developing independently, the result being that EU budget investment makes no contribution towards combating poverty in Asian countries.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Reference acts

European Parliament resolution of 15 February 2007 on the Country Strategy Papers and indicative programmes for Malaysia, Brazil and Pakistan (OJ C 287 E, 29.11.2007, p. 374).

European Parliament resolution of 21 June 2007 on the Regional strategy and multiannual indicative programme for Asia (OJ C 146 E, 12.6.2008, p. 257).

European Parliament resolution of 25 October 2007 on the draft Commission decision establishing a Special Measure 2007 for Iraq (OJ C 263 E, 16.10.2008, p. 624).

### Item 19 10 01 06 — Preparatory action — EU-Asia — Integration of policy and practice

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
p.m.	650 000	1 000 000	1 000 000	

#### Remarks

The preparatory action is intended to provide an integrative approach to the development and practice of policy for EU-Asia. This holistic approach developed by the European Institute for Asian Studies relates to activities covered by several legal bases but which are compartmentalised across many budget lines.

#### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Article 19 10 02 — Cooperation with developing countries in Central Asia

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
107 186 000	76 304 497	124 478 000	70 000 000	93 372 411,99	63 444 053,53

#### Remarks

This appropriation is intended to cover the financing or part-financing of assistance measures at government, institutional, NGO and private sector level designed to support poverty reduction, promotion and protection of human rights, transition to a market economy and strengthen, democracy and the rule of law in the partner States.

The above measures cover, inter alia, support for institutional, legal and administrative reform, support to the private sector and assistance for economic development, support in addressing the social consequences of transition, including social sectors reforms, the development of infrastructure networks, the promotion of environmental protection and management of natural resources, sustainable energy, the combat against climate change, disaster prevention and risk reduction, including climate-change-related hazards and development of the rural economy.

This appropriation is also intended to cover actions in the areas of basic social services, including basic education, basic health, reproductive health, including HIV/AIDS, combating forced abortion, female genital mutilation and coerced sterilisation, basic drinking water supply and basic sanitation.

Part of this appropriation is to be used, with due regard for the provisions of the Financial Regulation, for the promotion of democracy and the rule of law.

Part of this appropriation is to be used for actions to control and remove anti-personnel landmines (APL), explosive remnants of war (ERW) and illicit small arms and light weapons (SALW).

This appropriation also covers capacity-building actions to assist agricultural producers in developing countries to meet EU sanitary and phytosanitary standards necessary for access to the EU market.



Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight and audit capacities in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations.

These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private enterprises.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

### ***Article 19 10 03 — Cooperation with Iraq, Iran and Yemen***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
38 947 000	42 921 279	46 970 000	60 000 000	62 038 000,00	53 395 699,50

#### *Remarks*

This appropriation is intended to cover actions in Iraq, Yemen and further to the Commission's current analysis into the area, potentially, targeted actions concerning human and social development in Iran. With regard to Iraq, this appropriation is intended to cover Union operations in the framework of the Iraqi reconstruction process. Concerning Yemen, actions will be centred on promoting good governance and the fight against poverty (support to social sectors and the private sector).

This appropriation also covers capacity-building actions to assist agricultural producers in developing countries to meet EU sanitary and phytosanitary standards necessary for access to the EU market.

Possible income, coming from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to provision of additional appropriations. These amounts arise from the contributions according to Article 6 3 3 of the statement of revenue, which constitutes assigned revenue according to Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private enterprises.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

### **Article 19 10 04 — Cooperation activities other than Official Development Assistance (Asia, Central Asia, Iraq, Iran and Yemen)**

#### *Figures*

	Budget 2011		Appropriations 2010		Outturn 2009
	Commitments	Payments	Commitments	Payments	
19 10 04	p.m.	p.m.	p.m.	p.m.	
40 02 41	28 000 000	4 540 118	23 000 000	4 500 000	
<b>Total</b>	<b>28 000 000</b>	<b>4 540 118</b>	<b>23 000 000</b>	<b>4 500 000</b>	

#### *Remarks*

This appropriation shall support activities, beyond development cooperation, aimed at engaging further with partners on a bilateral, regional or multilateral basis.

Appropriations for this budget line should be the subject of an agreement between the two arms of the budgetary authority on the multiannual financing of these measures through all means provided for in the 17 May 2006 IIA, particularly points 21, 22, 23 and 27.

#### *Reference acts*

Proposal for a Council Regulation, submitted by the Commission on 22 April 2009, amending Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (COM(2009) 197 final).

## **CHAPTER 19 11 — POLICY STRATEGY AND COORDINATION FOR ‘EXTERNAL RELATIONS’ POLICY AREA**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 11	Policy strategy and coordination for ‘External relations’ policy area							
<b>19 11 01</b>	<b><i>Evaluation of results of EU aid, follow-up and audit measures</i></b>	4	14 000 000	13 353 287	14 000 000	14 000 000	14 000 000,00	12 299 991,29
<b>19 11 02</b>	<b><i>Information programmes for non-member countries</i></b>	4	12 500 000	12 399 481	12 500 000	14 000 000	23 438 681,72	9 024 283,68
<b>19 11 03</b>	<b><i>The European Union in the world</i></b>	4	4 000 000	2 861 419	5 000 000	4 400 000	3 744 805,78	3 062 762,66
<b>19 11 04</b>	<b><i>Institutes specialising in relations between the European Union and third countries</i></b>	4	—	—	—	p.m.	0,—	0,—
	<b>Chapter 19 11 — Total</b>		<b>30 500 000</b>	<b>28 614 187</b>	<b>31 500 000</b>	<b>32 400 000</b>	<b>41 183 487,50</b>	<b>24 387 037,63</b>

## **Article 19 11 01 — Evaluation of results of EU aid, follow-up and audit measures**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 000 000	13 353 287	14 000 000	14 000 000	14 000 000,00	12 299 991,29

### *Remarks*

This appropriation is intended to cover the financing of evaluations, monitoring and support measures during the programming, preparation, implementation and evaluation stages of development operations, strategies and policies, including the following:

- effectiveness, efficiency, relevance, impact and viability studies,
- monitoring of operations in the course of implementation and after their completion,
- support measures to improve the quality of the monitoring of ongoing operations and the preparation of future operations,
- feedback of and information activities on findings, conclusions and recommendations of evaluations into the decision making cycle,
- methodological advancements to improve the quality and utility of evaluations,
- feedback of and information activities on methodological advancements to improve the quality and utility of evaluations,
- research into possible ways of evaluating programmes based on non-structural measures, such as all measures relating to peace-making, education for peace, reconciliation, etc.

This appropriation also covers the financing of audit activities concerning the management of programmes and projects implemented by the Commission in the external aid field. It will also cover the financing of training activities, based on the special rules governing Union external aid and organised for external auditors.

Furthermore, the appropriation must also support continued efforts to develop further development cooperation impact measurements and indicators.

### *Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 19 11 02 — Information programmes for non-member countries**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 500 000	12 399 481	12 500 000	14 000 000	23 438 681,72	9 024 283,68

### *Remarks*

The information activities to be carried out under this budget line fall into two broad categories: horizontal activities and logistical support from headquarters, and activities carried out by EU delegations in non-member countries and vis-à-vis international organisations.

#### *Measures carried out from headquarters:*

- the European Union visitors programme (EUVP), carried out jointly by the European Parliament and the Commission, provides some 170 participants a year, chosen by the delegations, with the opportunity of experiencing contact with the European Union by visiting the European Parliament and the Commission as part of a made-to-measure individual programme of visits based on a theme,
- the production and distribution of publications on priority themes as part of an annual programme,
- the production and dissemination of audiovisual material,

- the development of information delivered via electronic media (the Internet and electronic message systems),
- the organisation of visits for groups of journalists,
- the support for the information activities of opinion leaders that are consistent with the European Union's priorities,

The Commission will continue to fund news broadcasts in Farsi.

*Decentralised measures carried out by delegations in non-member countries and vis-à-vis international organisations*

In accordance with the communication goals laid down for each region and each country, the delegations propose an annual communication plan, which, once approved by headquarters, is assigned a budgetary allocation covering the following activities:

- websites,
- relations with the media (press conferences, seminars, radio programmes, etc.),
- information products (other publications, graphic material, etc.),
- organisation of events, including cultural activities,
- newsletters,
- information campaigns.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 19 11 03 — The European Union in the world**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 000 000	2 861 419	5 000 000	4 400 000	3 744 805,78	3 062 762,66

*Remarks*

This appropriation is intended to cover the funding of priority information and communication activities directed towards the citizens of the EU and dealing with the EU's external policies as a whole.

The areas which will be covered by information activities include those below, but may embrace other aspects of the EU's external relations, particularly in relation to the future of the EU's external policy:

address a weak public perception of external assistance. The objective is to make clear that external assistance is an integral part of what the EU does and is one of the crucial policies that define the EU and its role in the world, and to raise awareness of the fact that the EU is delivering tangible results on behalf of EU citizens to fight poverty and to provide high-quality sustainable development worldwide,

the 'European Neighbourhood Policy' (ENP). The (ENP) was launched on the basis of a communication from the Commission to the Council and the European Parliament on 11 March 2003 (COM(2003) 104 final), 'Wider Europe — Neighbourhood: A new framework for relations with our eastern and southern neighbours'. The actions which are part of this activity will continue to provide information on the activities of the European Union in the framework of its 'European Neighbourhood Policy',

information activities, to be carried out in cooperation with the Council, on the aims and development of the common foreign and security policy,

the organisation of visits for groups of representatives of civil society.

The Commission has adopted two communications to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions on a new framework for cooperation in activities concerning the information and communication policy of the European Union (COM(2001) 354 final and COM(2002) 350 final). They propose an interinstitutional framework for cooperation between the institutions and with the Member States for the development of a communication and information policy strategy for the European Union.

The Interinstitutional Group on Information (IGI), co-chaired by the European Parliament, the Council and the Commission, lays down common guidelines for interinstitutional cooperation in matters of EU information and communication policy. It coordinates the central and decentralised public information activities on European topics. Each year the IGI gives its opinion on the priorities for the following years on the basis of information provided by the Commission.

Irrespective of the beneficiary of the measure, no administrative expenditure against this article is authorised.

#### *Legal basis*

Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents (OJ L 145, 31.5.2001, p. 43).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### ***Article 19 11 04 — Institutes specialising in relations between the European Union and third countries***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	0,—

#### *Remarks*

This article is intended to cover outstanding payments related to the 2005 subsidy to the budgets of the various centres, institutes or networks which are acknowledged specialists in the analysis and monitoring of relations between the European Union and other regions for the external relations policy.

#### *Legal basis*

Council Decision 2003/911/EC of 22 December 2003 establishing a Community action programme for bodies promoting reciprocal understanding of relations between the European Union and certain regions in the world (OJ L 342, 30.12.2003, p. 53).

Regulation (EC) No 2240/2004 of the European Parliament and of the Council of 15 December 2004 amending Council Regulation (EC) No 975/1999 laying down the requirements for the implementation of development cooperation operations which contribute to the general objective of developing and consolidating democracy and the rule of law and to that of respecting human rights and fundamental freedoms (OJ L 390, 31.12.2004, p. 3) and Council Regulation (EC) No 2242/2004 of 22 December 2004 amending Regulation (EC) No 976/1999 laying down the requirements for the implementation of Community operations, other than those of development cooperation, which, within the framework of Community cooperation policy, contribute to the general objective of developing and consolidating democracy and the rule of law and to that of respecting human rights and fundamental freedoms in third countries (OJ L 390, 31.12.2004, p. 21).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## **CHAPTER 19 49 — EXPENDITURE ON ADMINISTRATIVE MANAGEMENT OF PROGRAMMES COMMITTED IN ACCORDANCE WITH THE FORMER FINANCIAL REGULATION**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
19 49	Expenditure on administrative management of programmes committed in accordance with the former Financial Regulation							

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>19 49 04</b>	<b><i>Support expenditure for operations of 'External relations' policy area</i></b>							
19 49 04 04	Financial and technical cooperation with developing countries in Asia — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—
19 49 04 05	Financial and technical cooperation with developing countries in Latin America — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—
19 49 04 06	Assistance to partner countries in Eastern Europe and Central Asia — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—
19 49 04 12	MEDA (measures to accompany the reforms to the economic and social structures in the Mediterranean non-member countries) — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—
	<i>Article 19 49 04 — Subtotal</i>		—	p.m.	—	p.m.	0,—	0,—
	<b>Chapter 19 49 — Total</b>		—	<b>p.m.</b>	—	<b>p.m.</b>	<b>0,—</b>	<b>0,—</b>

***Article 19 49 04 — Support expenditure for operations of 'External relations' policy area***

Item 19 49 04 04 — Financial and technical cooperation with developing countries in Asia — Expenditure on administrative management

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the liquidation of commitments contracted under Item 19 01 04 04 (former Articles B7-3 0 0 A, B7-3 0 2 A, and B7-3 0 4 A) that previously carried differentiated appropriations.

Item 19 49 04 05 — Financial and technical cooperation with developing countries in Latin America — Expenditure on administrative management

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the liquidation of commitments contracted under Item 19 01 04 05 (former Articles B7-3 1 0 A, B7-3 1 2 A, and B7-3 1 3 A) that previously carried differentiated appropriations.

Item 19 49 04 06 — Assistance to partner countries in Eastern Europe and Central Asia — Expenditure on administrative management

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the liquidation of commitments contracted under Item 19 01 04 07 (former Article B7-5 2 0 A) that previously carried differentiated appropriations.

Item 19 49 04 12 — MEDA (measures to accompany the reforms to the economic and social structures in the Mediterranean non-member countries) — Expenditure on administrative management

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the liquidation of commitments contracted under Item 19 01 04 06 (former Article B7-4 1 0 A) that previously carried differentiated appropriations.

## TITLE 20 — TRADE

### Figures

Title Chapter	Heading	Budget 2011		Appropriations 2010		Outturn 2009	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
20 01	Administrative expenditure of 'Trade' policy area	90 615 367	90 615 367	65 417 119	65 417 119	66 048 176,62	66 048 176,62
	40 01 40			125 941	125 941		
				65 543 060	65 543 060		
20 02	Trade policy	13 500 000	12 876 384	13 500 000	16 500 000	11 700 610,44	12 338 987,59
	<b>Title 20 — Total</b>	<b>104 115 367</b>	<b>103 491 751</b>	<b>78 917 119</b>	<b>81 917 119</b>	<b>77 748 787,06</b>	<b>78 387 164,21</b>
	40 01 40			125 941	125 941		
				79 043 060	82 043 060		

## CHAPTER 20 01 — ADMINISTRATIVE EXPENDITURE OF 'TRADE' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
20 01	Administrative expenditure of 'Trade' policy area				
<b>20 01 01</b>	<b>Expenditure related to staff in active employment of 'Trade' policy area</b>				
20 01 01 01	Expenditure related to staff in active employment of 'Trade' Directorate-General	5	45 067 139	44 871 483	45 047 711,99
	40 01 40			125 941	
				44 997 424	
20 01 01 02	Expenditure related to staff in active employment of 'Trade' delegations of the European Union	5	13 269 942	3 679 704	3 422 785,26
	<i>Article 20 01 01 — Subtotal</i>		58 337 081	48 551 187	48 470 497,25
	40 01 40			125 941	
				48 677 128	
<b>20 01 02</b>	<b>External staff and other management expenditure in support of 'Trade' policy area</b>				
20 01 02 01	External staff of 'Trade' Directorate-General	5	3 566 430	3 590 006	3 430 790,84
20 01 02 02	External staff of 'Trade' delegations of the European Union	5	5 961 844	1 299 206	1 160 480,96
20 01 02 11	Other management expenditure of 'Trade' Directorate-General	5	4 730 324	5 048 866	5 648 453,00
20 01 02 12	Other management expenditure of 'Trade' delegations of the European Union	5	1 756 286	362 188	342 164,50
	<i>Article 20 01 02 — Subtotal</i>		16 014 884	10 300 266	10 581 889,30
<b>20 01 03</b>	<b>Expenditure related to equipment, furniture and services, buildings and related expenditure of 'Trade' policy area</b>				
20 01 03 01	Expenditure related to equipment, furniture and services of 'Trade' Directorate-General	5	3 316 439	3 281 377	3 536 371,59
20 01 03 02	Buildings and related expenditure of 'Trade' delegations of the European Union	5	12 516 963	2 854 289	3 060 816,44
	<i>Article 20 01 03 — Subtotal</i>		15 833 402	6 135 666	6 597 188,03
<b>20 01 04</b>	<b>Support expenditure for operations of 'Trade' policy area</b>				
20 01 04 01	External trade relations, including access to the markets of non-EU countries — Expenditure on administrative management	4	430 000	430 000	398 602,04
	<i>Article 20 01 04 — Subtotal</i>		430 000	430 000	398 602,04
	<b>Chapter 20 01 — Total</b>		<b>90 615 367</b>	<b>65 417 119</b>	<b>66 048 176,62</b>
	40 01 40			125 941	
				65 543 060	



**Article 20 01 01 — Expenditure related to staff in active employment of ‘Trade’ policy area**

Item 20 01 01 01 — Expenditure related to staff in active employment of ‘Trade’ Directorate-General

*Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
20 01 01 01	45 067 139	44 871 483	45 047 711,99
40 01 40		125 941	
Total	45 067 139	44 997 424	45 047 711,99

Item 20 01 01 02 — Expenditure related to staff in active employment of ‘Trade’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
13 269 942	3 679 704	3 422 785,26

**Article 20 01 02 — External staff and other management expenditure in support of ‘Trade’ policy area**

Item 20 01 02 01 — External staff of ‘Trade’ Directorate-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
3 566 430	3 590 006	3 430 790,84

Item 20 01 02 02 — External staff of ‘Trade’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
5 961 844	1 299 206	1 160 480,96

Item 20 01 02 11 — Other management expenditure of ‘Trade’ Directorate-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 730 324	5 048 866	5 648 453,00

Item 20 01 02 12 — Other management expenditure of ‘Trade’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 756 286	362 188	342 164,50

**Article 20 01 03 — Expenditure related to equipment, furniture and services, buildings and related expenditure of ‘Trade’ policy area**

Item 20 01 03 01 — Expenditure related to equipment, furniture and services of ‘Trade’ Directorate-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
3 316 439	3 281 377	3 536 371,59

Item 20 01 03 02 — Buildings and related expenditure of ‘Trade’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
12 516 963	2 854 289	3 060 816,44

**Article 20 01 04 — Support expenditure for operations of ‘Trade’ policy area**

Item 20 01 04 01 — External trade relations, including access to the markets of non-EU countries — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
430 000	430 000	398 602,04

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, such as the maintenance of the DG Trade Internet site.

It covers administrative expenditure under Article 20 02 01.

**CHAPTER 20 02 — TRADE POLICY**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
20 02	Trade policy							
20 02 01	<i>External trade relations, including access to the markets of non-EU countries</i>	4	9 000 000	8 584 256	9 000 000	12 000 000	7 658 981,44	8 567 332,59
20 02 02	<i>Implementation and development of the internal market</i>	1.1	—	—	—	p.m.	0,—	0,—
20 02 03	<i>Aid for trade — Multilateral initiatives</i>	4	4 500 000	4 292 128	4 500 000	4 500 000	4 041 629,00	3 771 655,00
	<b>Chapter 20 02 — Total</b>		<b>13 500 000</b>	<b>12 876 384</b>	<b>13 500 000</b>	<b>16 500 000</b>	<b>11 700 610,44</b>	<b>12 338 987,59</b>

## ***Article 20 02 01 — External trade relations, including access to the markets of non-EU countries***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 000 000	8 584 256	9 000 000	12 000 000	7 658 981,44	8 567 332,59

### *Remarks*

This appropriation is intended to support the following actions:

#### *Activities supporting the conducting of ongoing and new multi- and bilateral trade negotiations*

Actions aim to strengthen the EU negotiating position in ongoing multilateral trade negotiations (in the context of the Doha Development Agenda) as well as ongoing and new bilateral and regional trade negotiations, to ensure that EU policy conception is based on comprehensive and up-to-date expert information and to build coalitions for their successful completion, including:

- expert studies and seminars in connection with the preparation of policy and negotiating positions and with the conducting of ongoing/new trade negotiations,

- development and implementation of a consistent and comprehensive communication and information strategy, promoting the EU's trade policy and raising awareness on the detail and objectives of EU trade policy and ongoing negotiation positions, both within and outside the Union.

#### *Studies, evaluations and impact assessments in relation to trade agreements and policies*

Actions to ensure that the EU trade policy is underpinned by, and take proper account of, ex-ante and ex-post evaluation results, including:

- impact assessments carried out in view of possible new legislative proposals and sustainable impact assessments (SIA) carried out in support of ongoing negotiations and designed to assess the impact of trade negotiations on sustainable development and, where necessary, propose flanking measures to combat any negative outcomes for specific countries or sectors,

- evaluations of DG Trade's policies and practices to be carried out following the DG's multiannual evaluation plan.

#### *Trade-related technical assistance, training and other capacity-building actions towards developing countries*

Actions aiming to strengthen the capacity of developing countries to participate in international, bilateral or bi-regional trade negotiations, to implement international trade agreements and to participate in the world trading system, including:

- projects involving training and capacity-building actions aimed at developing country officials and operators, mainly in the field of Sanitary and Phytosanitary (SPS) measures,

- expenditure incurred by Member State experts advising developing country officials and operators on compliance with SPS and other trade-related measures,

- reimbursement of the expenses of participants in forums and conferences designed to build awareness and expertise in trade affairs among developing country nationals,

- management, further development and promotion of the Exports helpdesk that provides to industry in developing countries information on access to EU markets, and facilitates efforts by such industry to take advantage of market access opportunities offered by the international trading system,

- trade-related technical assistance programmes arranged in the forum of the World Trade Organisation (WTO) and other multilateral organisations, in particular WTO Trust Funds,

- information and promotion activities and seminars in developing countries for State and non-State actors (including civil society and business actors) to explain the state of play on ongoing negotiations and/or implementation of existing agreements,

- research to collect data on the impact of Fair Trade on marginalised producers and workers in the South, share best practices and carry out supply chain analyses and traceability and accountability assessments,

- support for Fair Trade criteria-setting and monitoring systems underpinning consumer confidence in such schemes, as well as studies on extending Fair Trade to new product areas,

- support work to reduce the burden of multiple certification on producers and ensure that certification adds value for producers,

- research to advise policy-makers how best to ensure that the specific interests of marginalised producers and poor workers in the South are reflected across all policy areas,

developing and strengthening associations and cooperatives in developing countries so that they can build institutional and productive capacities in order to be able to develop added-value products and scale up,

the provision of technical assistance and capacity building for marginalised producers and producer associations or cooperatives (for instance to comply with the European SPS standards, rules of origin and the growing number of corporate standards),

support for marginalised producers in developing countries so that they have access to pre-financing to help fund inputs and grants to work towards compliance with standards and regulations,

support for increasing the role of developing country governments in providing an enabling environment for Fair Trade producers.

#### *Market access activities supporting the implementation of the EU's Market Access strategy*

Actions in support of the EU's market access strategy, which aims at removing or lowering barriers to trade, identifying trade restrictions in third countries and, where appropriate, removing obstacles to trade. These actions may include:

maintenance and further development of the market access database, available to economic operators via the Internet, listing trade barriers and other information affecting EU exports and EU exporters; purchase of the necessary information, data and documentation for this database,

specific analysis of the various obstacles to trade in key markets, including analysis of the implementation by third countries of their obligations under international trade agreements in connection with the preparation of negotiations,

conferences, seminars and other information activities (e.g. production and distribution of studies, information packs, publications and leaflets) to inform business, Member States officials and other actors about trade barriers and trade policy instruments aimed at protecting the European Union against unfair trading practices such as dumping or export subsidies,

support to European industry for the organisation of activities specifically geared to market access issues,

research to collect data on the impact of Fair Trade on marginalised producers and workers in the South, share best practices and carry out supply chain analyses and traceability and accountability assessments,

support for Fair Trade criteria-setting and monitoring systems underpinning consumer confidence in such schemes, as well as studies on extending Fair Trade to new product areas,

support work to reduce the burden of multiple certification on producers and ensure that certification adds value for producers.

#### *Activities related to the management of the SIGL system (Système Intégré de Gestion de Licences — Integrated system for the management of licences for imports of textiles, clothing, footwear and steel to the EU)*

Actions relate to the implementation of the action programme for administering quantitative restrictions and surveillance measures, and in particular the financing of measures for monitoring licence management systems and the coordinated development of the use of computerised procedures (SIGL system). This support will take the form of the financing of expenditure on the implementation and operation of common systems and the definition of common guidelines for training and technical assistance for implementation. The operating expenditure also covers contributions to the functioning of systems (hardware, software, maintenance), the financing of information and training measures for system users, the financing of technical assistance as well as the provision of helpdesk facilities, which are mainly used by Member States.

#### *Activities supporting the implementation of existing rules and monitoring of trade obligations*

Actions to support the implementation of existing trade agreements and the enforcement of related systems that enable effective implementation of these agreements as well as the conducting of investigations and inspection visits to ensure third countries are respecting the rules, including:

exchange of information, training, seminars and communication activities to support the implementation of existing EU legislation in the area of dual use export controls and in particular of the new rules introduced in Council Regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items (Dual Use Regulation),

activities to facilitate investigations carried out in the context of the trade defence investigations with the aim of defending EU producers against unfair trade practices by third countries (Anti-dumping, Anti-subsidy and Safeguards instruments) that can be harmful to the EU economy. In particular, activities will focus on the development, the maintenance and the security of IT systems supporting trade defence activities, the production of communications tools, the purchase of legal services in third countries and the conducting of expert studies.

#### *Activities with a view to promoting the EU's external trade policy through a process of structured dialogue with key opinion formers, civil society and other stakeholders (including SMEs)*

Action to support EU trade policy by the organisation of specific forums and meetings with a view to promoting dialogue with key opinion formers, civil society and other stakeholders (including SMEs) on external trade issues.

The Commission support for these actions may involve conference or event-related services, as well as the reimbursement of the travel expenses incurred by individuals taking part in these actions, especially in the context of DG Trade's Civil Society Dialogue as well as seminars and meetings with Member States, third countries, SMEs and business stakeholders to exchange views on trade policy, in particular in the area of trade defence policy.

*Legal and other expert assistance required in implementation of existing trade agreements*

Actions to ensure that the EU's trading partners effectively adhere to and comply with obligations arising under the World Trade Organisation (WTO) and other multilateral and bilateral agreements, including:

expert studies, including inspection visits, as well as specific investigations, and seminars on implementation by third countries of their obligations under international trade agreements,

legal expertise, especially on questions of foreign law, required to facilitate defence by the EU of its position in WTO dispute-settlement cases, other expert studies necessary for the preparation, management and follow-up to WTO dispute settlement cases.

*Legal basis*

Council Decision 98/552/EC of 24 September 1998 on the implementation by the Commission of activities relating to the Community market access strategy (OJ L 265, 30.9.1998, p. 31).

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 20 02 02 — Implementation and development of the internal market**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	0,—

*Remarks*

This article is intended to cover previous commitments relating to the implementation of the action programme for administering quantitative restrictions and surveillance measures, and in particular the financing of measures for monitoring licence management systems and the coordinated development of the use of computerised procedures (SIGL system).

New expenditure is being financed from Article 20 02 01 from 2007 onward.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 20 02 03 — Aid for trade — Multilateral initiatives**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 500 000	4 292 128	4 500 000	4 500 000	4 041 629,00	3 771 655,00

### *Remarks*

This appropriation is intended to fund multilateral programmes and initiatives in the field of trade-related assistance to strengthen the capacity of developing countries to participate effectively in the multilateral trading system and regional trading arrangements and to improve their trade performance.

Multilateral initiatives and programmes to be funded under this appropriation will support the following actions:

#### *Assistance for trade policy, participation in negotiations and implementation of trade agreements*

Actions to strengthen the capacity of developing countries to formulate their trade policy and to strengthen the institutions involved in trade policy, including comprehensive and updated trade reviews and assistance in integrating trade into their respective policies for economic growth and development.

Actions to strengthen the capacity of developing countries to participate effectively in international trade negotiations and to implement international trade agreements.

Research to advise policy-makers how best to ensure that the specific interests of marginalised producers and poor workers in the South are reflected across all policy areas.

Support for increasing the role of developing country governments in providing an enabling environment for Fair Trade producers.

This assistance is primarily targeted at the public sector.

#### *Trade development*

Actions to reduce supply-side constraints which impact directly on the ability of developing countries to exploit their international trading potential, including, in particular, private-sector development.

This appropriation complement the EU's geographical programmes and should only cover multilateral initiatives and programmes that offer real added value to the EU's geographical programmes, in particular the Integrated Framework for Least Developed Countries (LDCs).

The Commission will provide a bi-annual report on implementation and results obtained and on the main outcomes and effects of aid for trade assistance. The Commission will provide information on a total figure for all aid for trade finance coming from within the EU budget, and a total figure for aid for trade from within all 'trade-related assistance' provided.

#### *Legal basis*

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## TITLE 21 — DEVELOPMENT AND RELATIONS WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 01	Administrative expenditure of 'Development and relations with ACP States' policy area		264 523 523	264 523 523	306 661 077	306 661 077	364 398	364 398
	40 01 40				155 025	155 025	619,66	619,66
					306 816 102	306 816 102		
21 02	Food security	4	243 805 700	357 423 522	402 466 452	548 700 000	1 075 857	672 541
							539,09	405,39
21 03	Non-State actors in development	4	230 954 000	185 038 405	218 263 951	170 000 000	218 560	180 847
							442,36	269,98
21 04	Environment and sustainable management of natural resources, including energy	4	199 172 000	146 870 931	203 345 000	154 300 000	173 457	
							956,83	81 299 456,35
21 05	Human and social development	4	169 558 000	153 017 003	156 411 491	148 471 430	143 363	112 675
							849,00	229,57
21 06	Geographical cooperation with African, Caribbean and Pacific (ACP) States	4	334 303 000	293 421 311	307 109 045	230 000 000	301 267	256 411
	40 02 41						554,48	719,83
			44 000 000	28 900 328				
			378 303 000	322 321 639				
21 07	Development cooperation actions and ad-hoc programmes	4	33 816 000	31 570 986	32 779 000	29 900 000	29 921 737,76	29 602 619,56
21 08	Policy strategy and coordination for 'Development and relations with ACP States' policy area	4	19 477 000	18 386 522	19 373 000	19 577 000	18 070 656,01	15 203 837,62
21 49	Expenditure on administrative management of programmes committed in accordance with the former Financial Regulation	4	—	p.m.	—	p.m.	0,—	0,—
	<b>Title 21 — Total</b>		<b>1 495 609 223</b>	<b>1 450 252 203</b>	<b>1 646 409 016</b>	<b>1 607 609 507</b>	<b>2 324 898</b>	<b>1 712 980</b>
	40 01 40				155 025	155 025	355,19	157,96
	40 02 41		44 000 000	28 900 328	1 646 564 041	1 607 764 532		
			1 539 609 223	1 479 152 531				

Remarks

Stresses that Community assistance should not be given to any authority, organization or programme which supports or participates in the management of an action which involves such human rights abuses as coercive abortion, involuntary sterilization or infanticide, especially where such actions apply their priorities through psychological, social, economic or legal pressure, thus finally implementing the specific Cairo ICPD prohibition on coercion or compulsion in sexual and reproductive health matters; calls on the Commission to present a report on the implementation of the EU's external assistance covering this programme.

## CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF 'DEVELOPMENT AND RELATIONS WITH ACP STATES' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
21 01	Administrative expenditure of 'Development and relations with ACP States' policy area				
21 01 01	<i>Expenditure related to staff in active employment of 'Development and relations with ACP States' policy area</i>				
21 01 01 01	Expenditure related to staff in active employment of 'Development' Directorates-General	5	75 367 599	55 233 753	55 082 611,64
	40 01 40			155 025	
				55 388 778	

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
21 01 01 02	Expenditure related to staff in active employment of 'Development' delegations of the European Union	5	82 732 358	85 609 440	79 632 126,67
	<i>Article 21 01 01 — Subtotal</i>		158 099 957	140 843 193	134 714 738,31
	<i>40 01 40</i>			155 025	
				140 998 218	
<b>21 01 02</b>	<b>External staff and other management expenditure in support of 'Development and relations with ACP States' policy area</b>				
21 01 02 01	External staff of 'Development' Directorates-General	5	7 174 704	5 015 421	4 788 765,35
21 01 02 02	External staff of 'Development' delegations of the European Union	5	1 313 628	30 226 416	26 998 795,22
21 01 02 11	Other management expenditure of 'Development' Directorates-General	5	7 189 815	6 002 558	6 259 408,50
21 01 02 12	Other management expenditure of 'Development' delegations of the European Union	5	4 686 370	8 426 424	7 960 566,43
	<i>Article 21 01 02 — Subtotal</i>		20 364 517	49 670 819	46 007 535,50
<b>21 01 03</b>	<b>Expenditure related to equipment, furniture and services, buildings and related expenditure of 'Development and relations with ACP States' policy area</b>				
21 01 03 01	Expenditure related to equipment, furniture and services of 'Development' Directorates-General	5	5 546 215	4 039 153	4 324 263,29
21 01 03 02	Buildings and related expenditure of 'Development' delegations of the European Union	5	33 399 534	66 405 912	71 211 019,68
	<i>Article 21 01 03 — Subtotal</i>		38 945 749	70 445 065	75 535 282,97
<b>21 01 04</b>	<b>Support expenditure for operations of 'Development and relations with ACP States' policy area</b>				
21 01 04 01	Development Cooperation Instrument (DCI) — Expenditure on administrative management	4	44 568 300	35 853 000	35 715 487,31
21 01 04 03	Evaluation of the results of Community/EU aid, follow-up and audit measures — Expenditure on administrative management	4	2 111 000	2 070 000	1 679 999,50
21 01 04 04	Coordination and promotion of awareness on development issues — Expenditure on administrative management	4	204 000	204 000	205 662,59
21 01 04 05	Facility for rapid response to soaring food prices in developing countries — Expenditure on administrative management	4	—	7 300 000	6 758 477,48
21 01 04 10	EDF contribution to common administrative support expenditure	4	p.m.	p.m.	63 576 777,00
21 01 04 20	Administrative support expenditures for 'Development and relations with ACP States' policy area	4	230 000	275 000	204 659,00
	<i>Article 21 01 04 — Subtotal</i>		47 113 300	45 702 000	108 141 062,88
	<b>Chapter 21 01 — Total</b>		<b>264 523 523</b>	<b>306 661 077</b>	<b>364 398 619,66</b>
	<b>40 01 40</b>			155 025	
				306 816 102	

**Article 21 01 01 — Expenditure related to staff in active employment of 'Development and relations with ACP States' policy area**

Item 21 01 01 01 — Expenditure related to staff in active employment of 'Development' Directorates-General

*Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
21 01 01 01	75 367 599	55 233 753	55 082 611,64
40 01 40		155 025	
Total	75 367 599	55 388 778	55 082 611,64



Item 21 01 01 02 — Expenditure related to staff in active employment of ‘Development’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
82 732 358	85 609 440	79 632 126,67

***Article 21 01 02 — External staff and other management expenditure in support of ‘Development and relations with ACP States’ policy area***

Item 21 01 02 01 — External staff of ‘Development’ Directorates-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
7 174 704	5 015 421	4 788 765,35

Item 21 01 02 02 — External staff of ‘Development’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 313 628	30 226 416	26 998 795,22

Item 21 01 02 11 — Other management expenditure of ‘Development’ Directorates-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
7 189 815	6 002 558	6 259 408,50

Item 21 01 02 12 — Other management expenditure of ‘Development’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 686 370	8 426 424	7 960 566,43

***Article 21 01 03 — Expenditure related to equipment, furniture and services, buildings and related expenditure of ‘Development and relations with ACP States’ policy area***

Item 21 01 03 01 — Expenditure related to equipment, furniture and services of ‘Development’ Directorates-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
5 546 215	4 039 153	4 324 263,29

Item 21 01 03 02 — Buildings and related expenditure of ‘Development’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
33 399 534	66 405 912	71 211 019,68

**Article 21 01 04 — Support expenditure for operations of ‘Development and relations with ACP States’ policy area**

Item 21 01 04 01 — Development Cooperation Instrument (DCI) — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
44 568 300	35 853 000	35 715 487,31

*Remarks*

This appropriation is intended to cover:

expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Community/EU law,

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external staff at headquarters is limited to EUR 3 872 498. This estimate is based on a provisional annual unit cost per man-year of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members,

expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the EU delegations in non-member countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations of this item,

expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Community and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa), of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

This appropriation covers administrative expenditure under Chapters 21 02, 21 03, 21 04, 21 05 and 21 06.

Item 21 01 04 03 — Evaluation of the results of Community/EU aid, follow-up and audit measures — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 111 000	2 070 000	1 679 999,50

### Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is also intended to cover capacity-building and training activities for the principal players involved in the design and implementation of external aid programmes.

This appropriation covers administrative expenditure under Article 21 08 01.

### Item 21 01 04 04 — Coordination and promotion of awareness on development issues — Expenditure on administrative management

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
204 000	204 000	205 662,59

### Remarks

This appropriation is intended to cover:

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on printing, translation, studies, meetings of experts, information and the purchase of information material directly linked to the achievement of the objective of the programme.

It also covers the costs of publication, production, storage, distribution and dissemination of information material, in particular via the Publications Office, and other administrative costs related to coordination.

This appropriation is intended to cover administrative expenditure under Article 21 08 02.

### Item 21 01 04 05 — Facility for rapid response to soaring food prices in developing countries — Expenditure on administrative management

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
—	7 300 000	6 758 477,48

### Remarks

This item is intended to cover:

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the EU delegations in non-member countries, as well as for the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations in this item,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa), of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the

contribution agreement for each operational programme with an average not exceeding 2 % of the contributions for the corresponding programme for each Chapter.

This appropriation covers administrative expenditure under Article 21 02 03.

*Legal basis*

Regulation (EC) No 1337/2008 of the European Parliament and of the Council of 16 December 2008 establishing a facility for rapid response to soaring food prices in developing countries (OJ L 354, 31.12.2008, p. 62).

Item 21 01 04 10 — EDF contribution to common administrative support expenditure

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	63 576 777,00

*Remarks*

This appropriation is intended to cover administrative support expenditure as decided under the ninth and 10th European Development Funds.

Any revenue from the European Development Fund (EDF) contributing to the cost of support measures entered in Article 6 3 2 of the statement of revenue may lead to additional appropriations being made available in accordance with Article 18 of the Financial Regulation. Additional appropriations will be made available under Item 21 01 04 10.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 60 000 000.

*Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 18(1) thereof.

Item 21 01 04 20 — Administrative support expenditures for ‘Development and relations with ACP States’ policy area

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
230 000	275 000	204 659,00

*Remarks*

This appropriation is intended to cover:

expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Community/EU law,

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation is intended to cover administrative expenditure under Article 21 07 02.

## CHAPTER 21 02 — FOOD SECURITY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 02	Food security							
21 02 01	<i>Food security</i>	4	243 805 700	185 992 211	238 766 452	190 000 000	236 457 539,09	199 922 358,29
21 02 02	<i>Completion of the food aid convention</i>	4	p.m.	14 307 093	p.m.	15 000 000	0,—	16 369 047,60
21 02 03	<i>Facility for rapid response to soaring food prices in developing countries</i>	4	—	156 424 218	162 700 000	342 700 000	837 400 000,00	455 999 999,50
21 02 04	<i>Pilot project — Finance for agricultural production</i>	4	p.m.	700 000	1 000 000	1 000 000	2 000 000,00	250 000,00
	<b>Chapter 21 02 — Total</b>		<b>243 805 700</b>	<b>357 423 522</b>	<b>402 466 452</b>	<b>548 700 000</b>	<b>1 075 857 539,09</b>	<b>672 541 405,39</b>

### Article 21 02 01 — Food security

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
243 805 700	185 992 211	238 766 452	190 000 000	236 457 539,09	199 922 358,29

### Remarks

This appropriation is intended to contribute:

- to poverty and food insecurity reduction in chronic food insecure developing countries,
- to mitigate the effects of crisis on the most vulnerable population.

As a bridging instrument between the short-term (crisis situations) and the long-term (development), the scope of this Article includes protracted crises, rehabilitation and tackling structural food insecurity as a first step towards long-term poverty reduction.

More specifically, this appropriation is intended to contribute to the strategic priorities of the food security thematic programme including:

- research , technology and innovation related to food security,
- research to collect data on the impact of Fair Trade for marginalised producers and workers in the South, share best practices and carry out supply chain analyses, traceability and accountability assessments,
- research to advise policy-makers how best to ensure that the specific interests of marginalised producers and poor workers in the South are reflected across all policy areas,
- food security governance at global, continental and regional levels, including linking information and decision making to improve food security response strategies,
- addressing food security in exceptional situations of transition, and in fragile and failed states.
- support marginalised producers in developing countries to have access to prefinances to help fund inputs and grants to work towards compliance with standards and regulations.

This programme is intended to benefit primarily the countries covered by the Development Cooperation Instrument (DCI).

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Community and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this Article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

In order to ensure full financial transparency under Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make every effort to commit to sending all their internal and external audits to the European Court of Auditors and to the Internal Auditor of the Commission regarding the use of Community Funds.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

Commission Communication of 3 August 2005 on External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013 (COM(2005) 324 final).

Commission Communication of 25 January 2006 on External Actions: A thematic strategy for food security – Advancing the food security agenda to achieve the MDGs (COM(2006) 21 final).

Commission Communication of 31 March 2010 on an EU policy framework to assist developing countries in addressing food security challenges (COM(2010) 127 final).

### ***Article 21 02 02 — Completion of the food aid convention***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	14 307 093	p.m.	15 000 000	0,—	16 369 047,60

#### *Remarks*

This appropriation is intended to contribute:

- to reducing poverty and food insecurity in chronically food insecure developing countries,
- to mitigating the effects of crisis on the most vulnerable population.

As a bridging instrument between the short-term (crisis situations) and the long-term (development), the scope of this Article includes protracted crises, rehabilitation and tackling structural food insecurity as a first step towards long-term poverty reduction.

More specifically, this appropriation is intended to finalise payments for ongoing food aid programmes and for ongoing budget support programmes establishing a foreign currency facility.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

Commission Communication of 3 August 2005 on External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013 (COM(2005) 324 final).

Commission Communication of 25 January 2006 on a thematic strategy for food security — Advancing the food security agenda to achieve the MDGs (COM(2006) 21 final).

## ***Article 21 02 03 — Facility for rapid response to soaring food prices in developing countries***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	156 424 218	162 700 000	342 700 000	837 400 000,00	455 999 999,50

### *Remarks*

This appropriation is intended to support a rapid and direct response to the increased food prices in developing countries, addressing the period between emergency aid and medium- to long-term development cooperation. The primary objectives of the assistance and cooperation shall be to encourage a positive supply response of the agricultural sector in targeted countries and regions, to support activities to respond rapidly and directly to mitigate the negative effects of high food prices in line with global food security objectives and to strengthen the productive capacities and the governance of the agricultural sector to enhance the sustainability of interventions.

Taking into account the specific country-level conditions, the eligible support measures are:

measures to improve access to agricultural inputs and services, including fertilisers and seeds, paying special attention to local facilities and availability,

safety net measures aiming at maintaining or improving the agricultural productive capacity, and at addressing the basic food needs of the most vulnerable populations, including children,

other small-scale measures aiming at increasing production based on country needs: microcredit, investment, equipment, infrastructure and storage; developing and strengthening associations and cooperatives so that they can build institutional and productive capacities; support for marginalised producers in developing countries so that they have access to prefinancing to help fund inputs and grants to work towards compliance with standards and regulations; as well as vocational training and support to professional groups in the agricultural sector.

The assistance shall benefit developing countries and their populations. Resources are concentrated on a limited list of 50 high-priority target countries.

### *Legal basis*

Regulation (EC) No 1337/2008 of the European Parliament and of the Council of 16 December 2008 establishing a facility for rapid response to soaring food prices in developing countries (OJ L 354, 31.12.2008, p. 62).

## ***Article 21 02 04 — Pilot project — Finance for agricultural production***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	700 000	1 000 000	1 000 000	2 000 000,00	250 000,00

### *Remarks*

This pilot project is intended to facilitate access to smallholder farmers to financial resources which should be used to stimulate agricultural production in developing countries. These appropriations should be channelled through organisations specialised in microfinance, including local banks and associations, which conform to recognised international standards of transparency, accountability and financial probity.

Taking into account the worldwide economic crisis, microfinance is needed more than ever before. The European Union should take responsibility and attach importance to facilitating microfinance in developing countries.

### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 21 03 — NON-STATE ACTORS IN DEVELOPMENT

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 03	Non-State actors in development							
21 03 01	<i>Non-State actors in development</i>	4	193 725 000	171 685 118	182 663 951	160 000 000	186 560 442,36	168 872 242,77
21 03 02	<i>Local authorities in development</i>	4	37 229 000	13 353 287	35 600 000	10 000 000	32 000 000,00	11 975 027,21
	<b>Chapter 21 03 — Total</b>		<b>230 954 000</b>	<b>185 038 405</b>	<b>218 263 951</b>	<b>170 000 000</b>	<b>218 560 442,36</b>	<b>180 847 269,98</b>

### Article 21 03 01 — Non-State actors in development

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
193 725 000	171 685 118	182 663 951	160 000 000	186 560 442,36	168 872 242,77

### Remarks

This appropriation is intended to support initiatives in developing countries carried out by civil society organisations originating from the EU and partner countries in the area of development as well as to strengthen their capacity in contributing to the policymaking process, so as to:

promote an inclusive and empowered society in order to (i) favour populations out of reach of mainstream services and resources and excluded from policymaking processes, (ii) strengthen the capacity of civil society organisations in partner countries, with a view to facilitating their participation in defining and implementing sustainable development strategies, (iii) facilitate interaction between state and non-state actors in different contexts,

increase Europeans' awareness of development issues and mobilise active public support in the EU and acceding countries for poverty reduction and sustainable development strategies in partner countries and for fairer relations between developed and developing countries, and reinforce civil society's role as a factor of progress and transformation,

achieve more efficient cooperation, foster synergies and ensuring a structured dialogue between civil society networks, within their organisations and with EU institutions.

These initiatives may also include:

support to advocacy activities to inform policy-makers at all levels on policies that best benefit marginalised producers and workers in developing countries,

developing and strengthening associations and cooperatives in developing countries so that they can build institutional and productive capacities to be able to develop added value products and scale up,

support to Southern Fair Trade producer networks which represent the voice of marginalised Fair Trade producers.

This programme is intended to benefit primarily the countries covered by the Development Cooperation Instrument (DCI). However, in line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the ENPI countries under the thematic programmes, an envelope equivalent to 3,9 % of this programme is foreseen for such countries.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this Article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.



In order to ensure full financial transparency under Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make all efforts that they commit to sending all their internal and external audits to the European Court of Auditors and to the Internal Auditor of the Commission regarding the use of Community Funds.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

Commission Communication of 3 August 2005 on External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013 (COM(2005) 324 final).

Commission Communication of 25 January 2006 on the thematic programme 'Non-state Actors and Local Authorities in Development' (COM(2006) 19 final).

### **Article 21 03 02 — Local authorities in development**

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 229 000	13 353 287	35 600 000	10 000 000	32 000 000,00	11 975 027,21

#### *Remarks*

This appropriation is intended to support initiatives in developing countries carried out by local authorities originating from the EU and partner countries in the area of development as well as to strengthen their capacity in the policymaking process, so as to:

promote an inclusive and empowered society in order to: (i) favour populations out of reach of mainstream services and resources and excluded from policymaking processes; (ii) strengthen the capacity of local and regional authorities in partner countries, with a view to facilitating their participation in defining and implementing sustainable development strategies; and (iii) facilitate interaction between state and local and regional authorities in different contexts, and support an increased role for local authorities in decentralisation processes,

increase Europeans' awareness of development issues and mobilise active public support in the EU and acceding countries for poverty reduction and sustainable development strategies in partner countries and for fairer relations between developed and developing countries, including raising awareness of North-South trading relations and EU consumers' purchasing decisions and their impact on sustainable development and poverty reduction, and reinforce the roles of local and regional authorities for these purposes,

achieve more efficient cooperation, foster synergies and ensure structured dialogue among local and regional authorities associations and with civil society, within their organisations and with EU institutions.

This programme is intended to benefit primarily the countries covered by the Development Cooperation Instrument (DCI). However, in line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the ENPI countries under the thematic programmes, an envelope equivalent to 3,9 % of this programme is foreseen for such countries.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this Article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

## Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## Reference acts

Commission Communication of 3 August 2005 on External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013 (COM(2005) 324 final).

Commission Communication of 25 January 2006 on External Actions through the thematic programme 'Non-State Actors and Local Authorities in Development' (COM(2006) 19 final).

Commission Communication of 6 October 2008 on Local authorities: actors for development (COM(2008) 626 final).

## CHAPTER 21 04 — ENVIRONMENT AND SUSTAINABLE MANAGEMENT OF NATURAL RESOURCES, INCLUDING ENERGY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 04	Environment and sustainable management of natural resources, including energy							
<b>21 04 01</b>	<b>Environment and sustainable management of natural resources, including energy</b>	4	199 172 000	143 070 931	200 345 000	147 800 000	167 457 956,83	80 875 451,35
<b>21 04 05</b>	<b>Global Energy Efficiency and Renewable Energy Fund (GEEREF)</b>	4	p.m.	500 000	p.m.	2 200 000	0,—	0,—
<b>21 04 06</b>	<b>Preparatory action — Water management in developing countries</b>	4	p.m.	3 300 000	3 000 000	4 300 000	6 000 000,00	424 005,00
<b>Chapter 21 04 — Total</b>			<b>199 172 000</b>	<b>146 870 931</b>	<b>203 345 000</b>	<b>154 300 000</b>	<b>173 457 956,83</b>	<b>81 299 456,35</b>

### Remarks

### Article 21 04 01 — Environment and sustainable management of natural resources, including energy

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
199 172 000	143 070 931	200 345 000	147 800 000	167 457 956,83	80 875 451,35

### Remarks

This appropriation is intended to promote and implement the policy on the environment and on the sustainable management of natural resources, including energy, as it applies to the EU's relations with developing countries and with Europe's neighbours.

Financial support is provided to actions in five priority areas: (1) working upstream on MDG7: promoting environmental sustainability; (2) promoting implementation of EU initiatives and helping developing countries to meet internationally agreed commitments; (3) improving expertise for integration and coherence; (4) strengthening environmental governance and EU leadership; and (5) supporting sustainable energy options in partner countries and regions.

Part of this appropriation is to be used for the mainstreaming of disaster risk reduction, based on ownership and national strategies of disaster-prone countries.

Support for sustainable energy options in partner countries and regions also includes appropriations to cover the EU contribution to the Global Energy Efficiency and Renewable Energy Fund (GEEREF). The objective of GEEREF is to mobilise public and private finance to help solve the financing gridlock for economic renewable energy projects and businesses, in developing countries and in (non-EU) economies in transition.

Support for climate change adaptation in partner countries and regions includes a contribution to further strengthen the implementation of the EU's Action Plan on Climate Change and Development through the Global Climate Change Alliance (GCCA). The GCCA is instrumental to enhance the cooperation between the European Union and developing countries on climate change, particularly in the area of adaptation towards the impacts of climate change, which is most acute for many poor developing countries.

This programme is intended to benefit primarily the countries covered by the Development Cooperation Instrument (DCI). However, in line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the ENPI countries under the thematic programmes, an envelope of EUR 63 000 000 is foreseen for such countries. This appropriation is also intended to cover the completion of payments for actions funded under the former Article 21 02 05 'Environment in Developing Countries'.

Part of these appropriations are placed in reserve pending the presentation by the European Commission of a politically binding document demonstrating that the 'fast start' climate finance package is truly additional, allocates EU resources to partner regions in a geographically balanced way and does not come at the expense of existing development cooperation programmes, as well as clear presentation of Member States' financial contributions to the fast start mechanism and information on criteria for selection of the beneficiaries and details of agreements with the developing countries.

In order to ensure full financial transparency under Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make all efforts that they commit to sending all their internal and external audits to the European Court of Auditors and to the Internal Auditor of the Commission regarding the use of Community Funds.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this Article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

Commission Communication of 3 August 2005 on External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013 (COM(2005) 324 final).

Commission Communication of 9 March 2010 on International climate policy post-Copenhagen: Acting now to reinvigorate global action on climate change (COM(2010) 86 final).

### ***Article 21 04 05 — Global Energy Efficiency and Renewable Energy Fund (GEEREF)***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	2 200 000	0,—	0,—

#### *Remarks*

This appropriation will be used to support the creation of the Global Energy Efficiency and Renewable Energy Fund (GEEREF), which aims at providing risk capital to different types of renewable energy and energy efficiency investment projects in developing countries, Europe and its neighbouring countries.

In the context of climate change, the European Union needs to take the lead as regards measures to reduce the effects and causes of climate change. The exchange of good practices between the Member States in the area of energy efficiency will enable the European Union to act in a coordinated fashion, thus helping to lay down joint energy policy strategies and actions. The European Union should

consider promoting energy efficiency as an instrument to combat the consequences of climate change and also stimulate the use of renewable energy resources in developing countries so as to make them less energy-dependent.

Appropriations under this Article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 21 04 06 — Preparatory action — Water management in developing countries**

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 300 000	3 000 000	4 300 000	6 000 000,00	424 005,00

#### *Remarks*

This appropriation is intended to cover the promotion of water management in the developing countries and, in particular, to enhance cooperation between developing countries sharing transboundary waters.

It is intended to cover the development and improvement of tools for water management and, in particular, to enhance cooperation between developing countries.

Part of this appropriation may be used to give technical assistance for the implementation of agreements on water management between developing countries.

It is also intended to cover multi-stakeholder dialogue and coordination to improve efficiency and effectiveness of water management and, in particular, with a view to cooperation between developing countries.

Measures include support to African regions suffering from acute water shortage.

This appropriation is also intended to cover measures to support the dissemination and exchange of results and best practices in developing countries.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### *Reference acts*

Communication from the Commission to the Council and the European Parliament of 12 March 2002 on Water management in developing countries policy and priorities for EU development cooperation (COM(2002) 132 final).

Council resolution of 30 May 2006 on Water management in developing countries: Policy and priorities for EU Development Cooperation (document DEVGEN 83 ENV 309, 9696/02).

## **CHAPTER 21 05 — HUMAN AND SOCIAL DEVELOPMENT**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 05	Human and social development							
<b>21 05 01</b>	<b>Human and social development</b>							
21 05 01 01	Health	4	32 558 000	22 310 972	45 885 491	16 271 430	30 895 806,00	2 181 238,07

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 05 01 02	Education	4	35 000 000	21 540 677	15 000 000	11 000 000	14 450 000,00	8 048 684,85
21 05 01 03	Other aspects of human and social development	4	p.m.	22 982 051	33 226 000	21 000 000	28 000 000,00	11 344 650,59
21 05 01 04	Gender equality	4	37 000 000	6 546 128	3 500 000	7 000 000	10 000 000,00	4 410 978,71
21 05 01 05	Pilot project — Qualitative and quantitative monitoring of health and education expenditure	4	p.m.	116 538	p.m.	200 000	0,—	99 890,40
21 05 01 06	Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries	4	p.m.	2 805 000	3 300 000	3 000 000	5 000 000,00	925 441,00
21 05 01 07	Preparatory action — Research and development on poverty-related, tropical and neglected diseases	4	p.m.	2 760 172	3 000 000	2 500 000	5 000 000,00	2 628 273,00
21 05 01 08	Pilot project — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)	4	p.m.	2 420 000	2 500 000	2 500 000		
	<i>Article 21 05 01 — Subtotal</i>		104 558 000	81 481 538	106 411 491	63 471 430	93 345 806,00	29 639 156,62
21 05 02	<i>Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM)</i>	4	65 000 000	47 690 310	50 000 000	50 000 000	50 000 000,00	50 000 000,00
21 05 03	<i>Human and social development — Completion of former cooperation</i>	4	p.m.	23 845 155	p.m.	35 000 000	18 043,00	33 036 072,95
	<b>Chapter 21 05 — Total</b>		<b>169 558 000</b>	<b>153 017 003</b>	<b>156 411 491</b>	<b>148 471 430</b>	<b>143 363 849,00</b>	<b>112 675 229,57</b>

## Article 21 05 01 — Human and social development

### Item 21 05 01 01 — Health

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
32 558 000	22 310 972	45 885 491	16 271 430	30 895 806,00	2 181 238,07

#### Remarks

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Good health for all' component of the thematic programme 'Investing in people'.

Financial support is provided to actions in four priority areas: (1) combating poverty and neglected diseases, targeting communicable diseases and diseases that can be prevented by vaccination; (2) improving reproductive and sexual health in developing countries; (3) improving the capacity of health systems to ensure equitable access to health providers, commodities and health services; and (4) keeping a balanced approach between prevention, treatment and care, with prevention as a key priority.

Increased investments in programmes that place maternal health within a continuum of care, including life-skills education (including on gender equality), counselling, services and access to supplies as well as treatment for mother and child, pre and ante- natal care and emergency obstetric services will reduce maternal and child mortality which contributes to lower vulnerability to communicable diseases are cost effective, have a proven track record of success, will contribute to meeting all the MDGs and should therefore be implemented in the framework of this budget line.

This appropriation may not be made available to the Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM). Part of the appropriation may provide for technical aid in recipient countries.

This programme is intended to benefit the poorest and least developed and the most disadvantaged sections of the population in countries covered by Article 1(2) of the Development Cooperation Instrument (DCI). In line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the ENPI countries under the thematic programmes, a specific indicative envelope is foreseen for such countries over the period 2007-2013.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional

appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

Commission Communication of 25 January 2006 on Investing in people. Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013 (COM(2006) 18 final).

### Item 21 05 01 02 — Education

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
35 000 000	21 540 677	15 000 000	11 000 000	14 450 000,00	8 048 684,85

#### *Remarks*

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Education, knowledge and skills' component of the thematic programme 'Investing in people'.

Financial support is provided to actions in seven priority areas: (1) the MDG of achieving universal primary education by 2015 and the Dakar Framework for Action on Education for All; (2) basic, secondary and higher education plus vocational education and training, to improve access to education for all children and, increasingly, for women and men of all ages; (3) promotion of high-quality basic education, with particular focus on access for girls, children in conflict-affected areas and children from marginalised and more vulnerable social groups including children with disabilities; (4) developing ways to measure learning outcomes in order to better assess the quality of education; (5) promoting donor harmonisation and alignment to promote universal, compulsory, free and high quality education through international or multi-country initiatives; (6) supporting an inclusive knowledge-based society and contributing to bridging the digital divide, and knowledge and information gaps; and (7) improving knowledge and innovation through science and technology together with the development of, and access to, electronic communication networks.

The measures should take into consideration the fact that migration is reduced as a result of improved education and hence life prospects in countries of origin.

This programme is intended to benefit primarily the poorest and least developed countries and the most disadvantaged sections of the population in countries covered by Article 1(2) of the Development Cooperation Instrument (DCI).

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

Commission Communication of 3 August 2005 on External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013 (COM(2005) 324 final).

Commission Communication of 25 January 2006 on Investing in people. Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013 (COM(2006) 18 final).

#### Item 21 05 01 03 — Other aspects of human and social development

##### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	22 982 051	33 226 000	21 000 000	28 000 000,00	11 344 650,59

##### Remarks

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Other aspects of human and social development' component of the thematic programme 'Investing in people', which focuses on the core themes of good health for all, knowledge and skills, culture, employment and social cohesion, gender equality, children and youth. Action in the four areas should take into account cross-cutting issues such as gender equality, needs of people with disabilities and environmental protection.

Financial support is provided for actions in three priority areas: (1) culture; (2) employment and social cohesion; and (3) children and youth.

This appropriation is also intended to support Tibetan civil society in China and in exile and the revitalisation of Tibetan communities in exile.

This programme is intended to benefit the poorest and least developed and the most disadvantaged sections of the population in countries covered by Article 1(2) of the Development Cooperation Instrument (DCI). In line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the ENPI countries under the thematic programmes, a specific indicative envelope is foreseen under priorities (2) and (3) for such countries over the period 2007-2013.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

##### Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

##### Reference acts

Commission Communication of 3 August 2005 on External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013 (COM(2005) 324 final).

Commission Communication of 25 January 2006 on Investing in people. Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013 (COM(2006) 18 final).

#### Item 21 05 01 04 — Gender equality

##### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 000 000	6 546 128	3 500 000	7 000 000	10 000 000,00	4 410 978,71

### Remarks

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Gender equality' component of the thematic programme 'Investing in people', which focuses on the core themes of good health for all, knowledge and skills, culture, employment and social cohesion, gender equality, children and youth.

Financial support is provided for actions aiming at promoting gender equality and women's rights and implementing global commitments as detailed in EU Gender Action Plan, the Beijing Declaration and Platform for Action and the UN Convention on the Elimination of All Forms of Discrimination Against Women.

This programme is intended to benefit the poorest and least developed and the most disadvantaged sections of the population in countries covered by Article 1(2) of the Development Cooperation Instrument (DCI). In line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the ENPI countries under the thematic programmes, a specific indicative envelope is foreseen for such countries over the period 2007-2013.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

### Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

### Reference acts

Commission Communication of 3 August 2005 on External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013 (COM(2005) 324 final).

Commission Communication of 25 January 2006 on Investing in people. Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013 (COM(2006) 18 final).

## Item 21 05 01 05 — Pilot project — Qualitative and quantitative monitoring of health and education expenditure

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	116 538	p.m.	200 000	0,—	99 890,40

### Remarks

This appropriation is intended to promote qualitative and quantitative monitoring of health and education expenditure and debate on health and education measures carried out by the Commission.

Implementation of specific projects is to be debated and monitored by experts and stakeholder groups so as to increase public awareness and knowledge of health and education measures.

Funding is also to cover measures to support the dissemination and exchange of results and best practices in developing countries.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).



Item 21 05 01 06 — Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 805 000	3 300 000	3 000 000	5 000 000,00	925 441,00

*Remarks*

This preparatory action was launched in 2008 with the objective of establishing an action programme to:

support the improvement of pharmaceutical research, development, and production capacity in developing countries,

provide concrete financial support for pharmaceutical-related transfer of technology and capacity-building for developing countries and local production of pharmaceuticals in all developing countries, especially LDCs, in line with the obligations established by Article 66(2) of the TRIPS Agreement.

A study was launched in 2009 and a specific project was financed in 2010 to improve access to technology, develop capacity building and increase local production capacity (including in the area of traditional medicine).

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

*Reference acts*

European Parliament Resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 445).

Item 21 05 01 07 — Preparatory action — Research and development on poverty-related, tropical and neglected diseases

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 760 172	3 000 000	2 500 000	5 000 000,00	2 628 273,00

*Remarks*

This preparatory action was launched in 2008 and intended to create an action programme to support research and development on poverty-related, tropical and neglected diseases and to support research institutes willing to cooperate with public health initiatives dedicated to these efforts. The research should primarily take place in developing countries in order to help them to develop local knowledge and locally adapted solutions to the lack of access to medicines.

A study was launched in 2009 involving a large consultation of stakeholders on priority-setting, with particular emphasis on the active participation and pivotal roles of developing country scientists, decision makers and institutions in this process.

In addition, the appropriations have been dedicated in 2009 and 2010 to financing specific actions, such as support to research networks and capacity building in developing countries. In all these projects, the consultation of developing countries' stakeholders (regional, national and local experts, scientists and institutions) and their leading role should be ensured.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

*Reference acts*

European Parliament Resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 445).

Item 21 05 01 08 — Pilot project — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
p.m.	2 420 000	2 500 000	2 500 000	

*Remarks*

This appropriation is intended to train Congolese physicians in both general gynaecological surgery and in critical procedures such as repairing fistulas and pelvic reconstruction.

It should support a surgical exchange and training programme open to staff in various hospitals in DRC, with a special focus on the eastern part of the country.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 21 05 02 — Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM)**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
65 000 000	47 690 310	50 000 000	50 000 000	50 000 000,00	50 000 000,00

*Remarks*

This appropriation is intended to provide financial support for actions under the Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM).

Appropriations under this Article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

**Article 21 05 03 — Human and social development — Completion of former cooperation**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	23 845 155	p.m.	35 000 000	18 043,00	33 036 072,95

*Remarks*

This appropriation is intended to cover completion of former cooperation under a number of programmes including health, basic education, cultural cooperation, additional contribution for the UN Millennium Development Goals, decentralised cooperation, information and communication technologies and sustainable energy, gender equality.

*Health*

This appropriation is intended to finance actions to improve reproductive and sexual health in developing countries and to secure respect for the rights related thereto.

Financial assistance shall be provided with a view to promoting the recognition of reproductive and sexual rights, safe motherhood and universal access to a comprehensive range of safe and reliable reproductive and sexual health services.

In the allocation of such funding and expertise, priority shall be given to the poorest and least developed countries and the most disadvantaged sections of the population within developing countries and to action that complements and reinforces both the policies and capacities of developing countries and the assistance provided through other instruments of development cooperation.

The purposes of the activities carried out shall be to:

- secure the right of women, men and adolescents to good reproductive and sexual health,
- enable women, men and adolescents to have access to a comprehensive range of safe and reliable reproductive and sexual healthcare services and products,
- reduce maternal mortality rates, with particular reference to the countries and populations where these are highest,
- combat female genital mutilation.

Financial support shall be given to projects or programmes specifically designed to attain the objectives described above.

This appropriation is intended to finance a preparatory action to combat poverty-related diseases other than HIV/AIDS, malaria and tuberculosis. It is in particular intended to contribute to immunisation programmes against illnesses such as measles, diphtheria, tetanus, pertussis, hepatitis B, haemophilus influenzae B, yellow fever, meningitis and pneumococcus-induced diseases by complementing vaccination efforts under way in some developing countries.

The preparatory actions aim through targeted and innovative measures to identify and provide the conditions for better coordination between the EU, the Member States and the main international public-private partnerships involved in the field of public health and immunisation as well as to secure more effective investment in health systems (prevention, education, capacity-building) in developing countries.

This appropriation is intended to cover a Community/EU contribution to the implementation of the European Community action programme for targeting the three major communicable diseases, namely HIV/AIDS, malaria and tuberculosis, in developing countries.

Under that programme, the Community/EU shall provide financial assistance and appropriate expertise with a view to promoting investment in health, poverty reduction and equitable economic growth in developing countries.

In the allocation of such funding and expertise, priority shall be given to the poorest and least developed countries and the most disadvantaged sections of the population, especially women and girls, within developing countries, and also to action that complements and reinforces both the policies and capacities of developing countries and the assistance provided through other instruments of development cooperation. These activities ought to contribute to innovative solutions which will improve the performance of current practices related to the fight against poverty-related diseases.

The purpose of all the activities carried out shall be to:

- optimise the impact of existing interventions, services, commodities and information targeted at the major communicable diseases affecting the poorest populations,
- increase the affordability of key pharmaceuticals,
- increase research and development, including vaccines and clinical trials, microbiocides and innovative treatments,
- increase activities in the area of disease prevention, including VCCT testing, targeted information campaigns and counselling among high-risk groups,
- promote awareness-raising campaigns, education, information and communication efforts aimed at reducing risk-taking behaviour,
- integrate gender sensitivity in HIV/AIDS programming and the development of female-initiated and female-controlled prevention methods as well as to integrate men into programmes focusing on the impact on women and girls,
- promote leadership awareness training.

This appropriation is also intended to fund malaria vaccination programmes.

Community/EU financial support shall be given to specific projects designed to further the objectives described above, including support for global initiatives targeting the major communicable diseases in the context of poverty reduction, notably the Global Fund to fight HIV/AIDS, tuberculosis and malaria which came into operation on 29 January 2002.

### *Basic education*

This appropriation is intended as a pilot project to support national programmes of aid for basic education in developing countries through relevant action and analyses.

### *Cultural cooperation*

It is intended to promote cultural diversity by supporting cooperation in the field of culture, including:

- activities supporting mutual understanding between different cultures within partner countries,
- exchanges allowing for greater cultural understanding between developing countries and the European Union.

### *Additional contribution for the UN Millennium Development Goals*

This appropriation is intended to finance sectoral budget support targeted at ending school and uniform fees in primary education, especially for girls. The limited number of beneficiary countries will be chosen from the list of countries identified by the UN Millennium Project as potential candidates for MDG fast-tracking and in accordance with the rules applied by the Commission for the countries benefiting from budget support, with particular reference to their ability to fulfil criteria of good governance and sound financial management.

Part of this appropriation is intended to finance preparation of the beneficiary countries to offset the costs of ending the user fees by other means of public financing after the end of this temporary EU action.

This appropriation is intended to finance sectoral budget support targeted at school meals for children in primary schools. This measure complements the Quick Win action to end school and uniform fees and will be conducted with the same countries, chosen from the list of countries identified by the UN Millennium Project as potential candidates for MDG fast-tracking and in accordance with the rules applied by the Commission for the countries benefiting from budget support, in particular their ability to fulfil criteria of good governance and sound financial management.

Part of this appropriation is intended to finance preparation of the beneficiary countries to organise public financing for school meals after the end of this temporary EU action.

This appropriation is intended to finance aid to smallholder farmers for a massive replenishment of soil nutrients on land with nutrient-depleted soils, through free or subsidised distribution of chemical fertilisers and through agroforestry.

The countries benefiting from this action will be chosen from the list of countries identified by the UN Millennium Project as potential candidates for MDG fast-tracking.

### *Decentralised cooperation*

This appropriation is intended to increase the capacity of civil society players and local authorities to act, mobilise and organise, to promote dialogue between government and non-governmental players. It is intended to cover the financing of social and economic development operations to benefit the poorest sections of the population in developing countries, in particular vulnerable groups. It supports sustainable development initiatives taken by the local public authorities, community-based organisations and associations or groupings in developing countries, possibly in association with their European Union counterparts.

It is intended, in this context, mainly to cover information, educational, capitalisation and communication schemes so as to enable potential agents to better assimilate the decentralised cooperation approach and to take a more active role in consultations regarding Community/EU programmes and in the implementation of decentralised cooperation.

### *Information and communication technologies and sustainable energy*

This appropriation will fund information and communication technologies that can assist with the process of decentralised cooperation.

This appropriation was intended to enable the Commission to establish in 2002 a mechanism to support capacity-building in developing countries for information and communication technologies (ICT) and sustainable energy. The programme should be well coordinated with other donor initiatives on ICT and sustainable energy.

The sustainable energy component is addressed through Article 06 04 02, whereas the ICT component is addressed through national/regional programmes whenever relevant.

The Commission should also use this appropriation to work with the United Nations Development programme on joint pilot projects and ensure that the full benefits of ICT and sustainable energy technologies are explored and properly highlighted.

### *Gender equality*

This appropriation is intended:

- to implement a catalyst role in promoting gender equality in Community/EU development cooperation,

to provide financial assistance and appropriate expertise, while at the same time reinforcing the gender mainstreaming strategy by supporting specific actions for the empowerment of women.

#### *Legal basis*

Council Regulation (EEC) No 443/92 of 25 February 1992 on financial and technical assistance to, and economic cooperation with, the developing countries in Asia and Latin America (OJ L 52, 27.2.1992, p. 1).

Council Regulation (EC) No 1292/96 of 27 June 1996 on food aid policy and food aid management and special operations in support of food security (OJ L 166, 5.7.1996, p. 1).

Council Regulation (EC) No 1484/97 of 22 July 1997 on aid for population policies and programmes in the developing countries (OJ L 202, 30.7.1997, p. 1).

Council Regulation (EC) No 1659/98 of 17 July 1998 on decentralised cooperation (OJ L 213, 30.7.1998, p. 6).

Regulation (EC) No 955/2002 of the European Parliament and of the Council of 13 May 2002 extending and amending Council Regulation (EC) No 1659/98 on decentralised cooperation (OJ L 148, 6.6.2002, p. 1).

Regulation (EC) No 1567/2003 of the European Parliament and of the Council of 15 July 2003 on aid for policies and actions on reproductive and sexual health and rights in developing countries (OJ L 224, 6.9.2003, p. 1).

Regulation (EC) No 1568/2003 of the European Parliament and of the Council of 15 July 2003 on aid to fight poverty diseases (HIV/AIDS, tuberculosis and malaria) in developing countries (OJ L 224, 6.9.2003, p. 7).

Regulation (EC) No 625/2004 of the European Parliament and of the Council of 31 March 2004 extending and amending Regulation (EC) No 1659/98 on decentralised cooperation (OJ L 99, 3.4.2004, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

#### *Reference acts*

Pilot projects within the meaning of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

Preparatory action, within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

European Parliament resolution of 12 April 2005 on the role of the European Union in the achievement of the Millennium Development Goals (OJ C 227 E, 21.9.2006, p. 582).

Conclusions of the General Affairs and External Relations Council meeting of 23 and 24 May 2005 on the Millennium Goals.

Presidency conclusions of the European Council meeting of 16 and 17 June 2005 in Brussels.

Conclusions of the General Affairs and External Relations Council meeting of 18 July 2005 on the UN summit.

Commission Communication of 12 April 2005 on Policy Coherence for Development Accelerating progress towards achieving the Millennium Development Goals (COM(2005) 134 final).

## **CHAPTER 21 06 — GEOGRAPHICAL COOPERATION WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 06	Geographical cooperation with African, Caribbean and Pacific (ACP) States							
<b>21 06 02</b>	<b><i>Relations with South Africa</i></b>	4	137 632 000	131 274 256	131 352 259	110 000 000	137 680 000,00	105 796 206,68
<b>21 06 03</b>	<b><i>Adjustment support for sugar protocol countries</i></b>	4	196 671 000	123 994 807	175 756 786	80 000 000	163 559 000,00	94 200 938,75
<b>21 06 04</b>	<b><i>Rehabilitation and reconstruction action in the developing countries, particularly ACP States</i></b>	4	p.m.	p.m.	p.m.	p.m.	0,—	63 061,25

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>21 06 05</b>	<b>Assistance to ACP banana producers</b>	4	p.m.	38 152 248	p.m.	40 000 000	28 554,48	56 351 513,15
<b>21 06 06</b>	<b>Cooperation activities other than Official Development Assistance (South Africa)</b>	4	p.m.	p.m.	p.m.	p.m.		
	40 02 41		1 000 000	286 142				
			1 000 000	286 142				
<b>21 06 07</b>	<b>Banana accompanying measures</b>	4	p.m.	p.m.	p.m.	p.m.		
	40 02 41		43 000 000	28 614 186				
			43 000 000	28 614 186				
<b>Chapter 21 06 — Total</b>			<b>334 303 000</b>	<b>293 421 311</b>	<b>307 109 045</b>	<b>230 000 000</b>	<b>301 267 554,48</b>	<b>256 411 719,83</b>
	<b>40 02 41</b>		<b>44 000 000</b>	<b>28 900 328</b>				
			<b>378 303 000</b>	<b>322 321 639</b>				

### Remarks

For countries defined as Official Development Assistance (ODA) recipients by the OECD Development Assistance Committee (DAC), a benchmark of 35 % of annual commitments has in the past been allocated to social infrastructure, mainly education and health, but also including macroeconomic assistance with social sector conditionality, recognising that the EU contribution must be seen as part of the overall donor support to the social sectors and that a degree of flexibility should be the norm. The Commission will continue to report on this benchmark.

Moreover, in parallel with the Commission Declaration concerning Article 5 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L L 378, 27.12.2006, p. 41), the Commission will endeavour to ensure that a benchmark of 20 % of its allocated assistance under country programmes covered by the Development Cooperation Instrument (DCI) will be dedicated, by 2009, to basic education and basic health, through project, programme or budget support linked to these sectors, taking an average across all geographical areas and recognising that a degree of flexibility must be the norm, such as in cases where exceptional assistance is involved.

Before July each year, the Commission will provide the European Parliament and the Council with an annual report on the EU's development policy and external assistance, meeting all the Commission's regulatory reporting requirements and giving full details of development cooperation, especially the extent to which it has met its objectives. In particular, the report will:

present the strategic objectives of the Union's development policy and its contribution towards the previous 35 % benchmark for social infrastructure and services and the current 20 % benchmark for basic and secondary education and for basic health, in the context of the geographical cooperation under the DCI, and assess the efficiency and effectiveness of cooperation, including progress made in coordinating aid, in improving the coherence of the Union's strategy in its external actions, and in integrating the cross-cutting issues such as gender, human rights, conflict prevention and the environment,

present the main results of evaluation and monitoring reports, as an indication of the extent to which its actions are achieving their objectives,

summarise the key features and events of cooperation in each geographical region, and

provide financial information on the support given to each sector, in accordance with OECD reporting criteria.

The report should also contain information on how budget support has contributed to the MDGs. Budget support will depend on prior demonstration of sufficient institutional capacity and compliance with detailed criteria for the custody and use of funds in the recipient country. The criteria must be stated in the annual report, and compliance therewith must be assessed in the report.

After the report has been presented the European Parliament, the Council and the Commission will enter into a dialogue on the results and on how further progress can be made towards achieving the objectives.

## Article 21 06 02 — Relations with South Africa

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
137 632 000	131 274 256	131 352 259	110 000 000	137 680 000,00	105 796 206,68

### *Remarks*

This appropriation is in accordance with the Trade, Development and Cooperation Agreement (TDCA) between the European Union and South Africa and Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation.

The programme is implemented through Country Strategy Papers (CSPs) and Multiannual Indicative Programmes (MIPs) under the TDCA in 2007-2013 and is financed from the EU budget.

This appropriation is intended primarily to cover the financing of development cooperation projects and programmes with South Africa, which contribute:

- to South Africa's harmonious and sustainable economic and social development through programmes and measures designed to reduce poverty and encourage economic growth which benefits the poor,
- to its continued integration into the world economy,
- to consolidate the foundations laid for a democratic society and a State governed by the rule of law in which human rights and fundamental freedoms are fully respected,
- to improving social services and helping to achieve the Millennium Development Goals.

Programmes shall focus on the fight against poverty and achieving the Millennium Development Goals, taking into account the needs of the previously disadvantaged communities and integrate gender and environmental dimensions of development. Special attention shall be paid to the strengthening of institutional capacities.

Development cooperation focuses mainly on:

- strengthening capacity for and support to service delivery to the poor (including health, HIV/AIDS, education, housing, infrastructures such as water and sanitation, sustainable energy, communication, as well as Public Finance Management reforms at all levels of government, capacity building and strengthened monitoring and evaluation),
- support to sustainable growth, with a specific view to employment generation (addressing both labour demand and supply issues, such as skills development), notably in the field of climate change adaptation and mitigation and, in general, in the green economy,
- support to governance (both in the public and non-public domains),
- regional and Pan-African support (including contributions to actions promoting peace and security, to intra-ACP programmes for science and technology and academic mobility, as well as support to regional interconnectivity through infrastructure and trade development).

Given South Africa's environmental challenges and the country's role as the 12th largest green house gas emitter in the world, development cooperation will include an increased focus on environmental protection and climate-change-related measures.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 633 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this Article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight and audit capacities in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## ***Article 21 06 03 — Adjustment support for sugar protocol countries***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
196 671 000	123 994 807	175 756 786	80 000 000	163 559 000,00	94 200 938,75

### *Remarks*

This appropriation is intended to cover measures to support the adjustment in ACP countries affected by the reform of the common organisation of the market in sugar.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

Appropriations under this Article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## ***Article 21 06 04 — Rehabilitation and reconstruction action in the developing countries, particularly ACP States***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	63 061,25

### *Remarks*

This appropriation is intended to cover measures to initiate the return to a normal life of people in developing countries, in particular the ACP States, in the aftermath of critical situations arising out of natural disasters, violent conflicts or other crises.

It also covers the collateral effects of those critical situations in neighbouring ACP countries, e.g. the Dominican Republic in the case of the earthquake in Haiti.

It covers, in particular, the following operations:

- the relaunch of production on a lasting basis,
- the physical and operational rehabilitation of basic infrastructure, including mine clearance,
- civil reconciliation through non-structural measures in societies which have been prey to a violent conflict,
- social reintegration, in particular of refugees, displaced persons and demobilised troops,
- the restoration of the institutional capacities needed in the rehabilitation period, especially at local level,
- assisting with the needs of children, particularly rehabilitation of children affected by war, including child soldiers,
- raising awareness of the dangers posed by natural disasters and of measures designed to avoid them or to avoid or reduce their consequences,



supporting people with disabilities and such people's organisations to further their human rights to ensure that older people benefit from disaster relief and reconstruction interventions and that adequate attention is paid to research and the collection of age-desegregated data to support programming and policies.

This appropriation also covers education for children affected by war or natural disasters.

In particular, operations should cover programmes and projects implemented by non-governmental development organisations and other civil society players favouring the participation of the beneficiary population at every level of the decision-making and implementation process.

Part of this appropriation is to be used for actions to control and remove anti-personnel landmines (APL), explosive remnants of war (ERW) and illicit small arms and light weapons (SALW).

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the EU and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each Chapter.

#### *Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

### ***Article 21 06 05 — Assistance to ACP banana producers***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	38 152 248	p.m.	40 000 000	28 554,48	56 351 513,15

#### *Remarks*

This appropriation is intended to enable the meeting of commitments which have been entered into in the context of technical assistance and income support for ACP producers following the introduction of the common organisation of the market in bananas.

It is also intended to support capacity-building in ACP producer States to help them to integrate better into the multilateral trading system, including improving their ability to participate in the WTO.

Also charged to this Article from 1 January 1999 is a programme of assistance intended to help ACP banana producers adapt to the new market conditions brought about by changes in the common organisation of the market in bananas.

Appropriations under this Article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

#### *Legal basis*

Council Regulation (EC) No 856/1999 of 22 April 1999 establishing a special framework of assistance for traditional ACP suppliers of bananas (OJ L 108, 27.4.1999, p. 2).

## **Article 21 06 06 — Cooperation activities other than Official Development Assistance (South Africa)**

### *Figures*

	Budget 2011		Appropriations 2010		Outturn 2009
	Commitments	Payments	Commitments	Payments	
21 06 06	p.m.	p.m.	p.m.	p.m.	
40 02 41	1 000 000	286 142			
Total	1 000 000	286 142	p.m.	p.m.	

### *Remarks*

Cooperation with DCI countries aimed at engaging with partners which share similar political, economic and institutional values to the Community and which are important bilateral partners and players in multilateral fora and in global governance.

Specifically, this allocation includes funding for EU academic mobility towards South Africa.

Appropriations for this budget line should be the subject of an agreement between the two arms of the budgetary authority on the multiannual financing of these measures through all means provided for in the 17 May 2006 IIA, particularly points 21, 22, 23 and 27.

### *Reference acts*

Proposal for a Council Regulation, submitted by the Commission on 22 April 2009, amending Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (COM(2009) 197 final).

## **Article 21 06 07 — Banana accompanying measures**

### *Figures*

	Budget 2011		Appropriations 2010		Outturn 2009
	Commitments	Payments	Commitments	Payments	
21 06 07	p.m.	p.m.	p.m.	p.m.	
40 02 41	43 000 000	28 614 186			
Total	43 000 000	28 614 186	p.m.	p.m.	

### *Remarks*

This appropriation would intend to cover measures to support the adjustment in main ACP banana-exporting countries in the context of changing trade arrangements, notably as a consequence of Most Favoured Nation (MFN) liberalisation in the framework of the World Trade Organisation (WTO), pending a final agreement between the two arms of the budgetary authority on the multiannual financing of these measures through all means provided for in the 17 May 2006 IIA, particularly points 21, 22, 23 and 27.

### *Reference acts*

Commission Communication of 17 March 2010 on Banana Accompanying Measures: Supporting the Sustainable Adjustment of the Main ACP Banana-Exporting Countries to New Trade Realities (COM(2010) 101 final).

Proposal of a Council Decision, submitted by the Commission on 17 March 2010, on the conclusion of a Geneva Agreement on Trade in Bananas between the European Union and Brazil, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Peru and Venezuela and of an Agreement on Trade in Bananas between the European Union and the United States (COM(2010) 98 final).

Commission Proposal of 17 March 2010 on amending Regulation (EC) No 1905/2006 establishing a financing instrument for development cooperation (COM(2010) 102 final).

## CHAPTER 21 07 — DEVELOPMENT COOPERATION ACTIONS AND AD-HOC PROGRAMMES

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 07	Development cooperation actions and ad-hoc programmes							
<b>21 07 01</b>	<b>Association Agreements with the Overseas Countries and Territories</b>	4	—	p.m.	—	p.m.	0,—	0,—
<b>21 07 02</b>	<b>Cooperation with Greenland</b>	4	28 442 000	26 706 574	27 879 000	25 000 000	27 327 000,00	27 007 881,80
<b>21 07 03</b>	<b>Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies</b>	4	574 000	286 142	300 000	300 000	264 002,50	264 002,50
<b>21 07 04</b>	<b>Commodities Agreements</b>	4	4 800 000	4 578 270	4 600 000	4 600 000	2 330 735,26	2 330 735,26
	<b>Chapter 21 07 — Total</b>		<b>33 816 000</b>	<b>31 570 986</b>	<b>32 779 000</b>	<b>29 900 000</b>	<b>29 921 737,76</b>	<b>29 602 619,56</b>

### Remarks

### Article 21 07 01 — Association Agreements with the Overseas Countries and Territories

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

### Remarks

This appropriation is intended to cover the financing of the association of the overseas countries and territories with the European Community/Union.

To date, this expenditure is financed from the sixth, seventh, eighth, ninth and 10th European Development Fund (i.e. outside the general budget).

### Legal basis

Council Decision 86/283/EEC of 30 June 1986 on the association of the Overseas Countries and Territories with the European Economic Community (OJ L 175, 1.7.1986, p. 1).

Council Decision 91/482/EEC of 25 July 1991 on the association of the Overseas Countries and Territories with the European Economic Community (OJ L 263, 19.9.1991, p. 1).

Council Decision 2001/822/EC of 27 November 2001 on the association of the overseas countries and territories with the European Community ('Overseas Association Decision') (OJ L 314, 30.11.2001, p. 1).

### Article 21 07 02 — Cooperation with Greenland

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
28 442 000	26 706 574	27 879 000	25 000 000	27 327 000,00	27 007 881,80

### Remarks

This appropriation is intended to fund cooperation for the sustainable development of Greenland in the framework of the partnership between the European Community and Greenland. Cooperation shall support sector policies and strategies that facilitate access to productive activities and resources, in particular: (a) education and training; (b) mineral resources; (c) energy; (d) tourism and culture; (e) research; (f) food safety.

### *Legal basis*

Council Decision 2006/526/EC of 17 July 2006 on relations between the European Community on the one hand, and Greenland and the Kingdom of Denmark on the other (OJ L 208, 29.7.2006, p. 28).

### *Reference acts*

Joint Declaration by the European Community, on the one hand, and the Home Rule Government of Greenland and the Government of Denmark, on the other, on partnership between the European Community and Greenland, signed in Luxembourg on 27 June 2006 (OJ L 208, 29.7.2006, p. 32).

## ***Article 21 07 03 — Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
574 000	286 142	300 000	300 000	264 002,50	264 002,50

### *Remarks*

This appropriation is intended to cover the Community's/EU's annual contribution to the FAO following its accession, as well as to the ITPGRFA (International Treaty on Plant Generic Resources for Food and Agriculture), following its ratification.

### *Legal basis*

Council Decision of 25 November 1991 on the accession of the Community to the United Nations Food and Agriculture Organisation (FAO) (OJ C 326, 16.12.1991, p. 238).

Council Decision 2004/869/EC of 24 February 2004 concerning the conclusion on behalf of the European Community, of the ITPGRFA (OJ L 378, 23.12.2004, p. 1).

## ***Article 21 07 04 — Commodities Agreements***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 800 000	4 578 270	4 600 000	4 600 000	2 330 735,26	2 330 735,26

### *Remarks*

This appropriation is intended to cover the annual membership fees which the Community/EU must pay for its participation on the grounds of its exclusive competence in the field.

This appropriation currently covers the payment of:

- annual fee for membership of the International Coffee Organisation,
- annual fee for membership of the International Cocoa Organisation,
- annual fee for membership of the International Jute Organisation,
- annual fee for membership of the International Tropical Timber Agreement, after final approval.

Agreements on other tropical products are likely in the future depending on political and legal expediency.

### *Legal basis*

Council Decision 2001/877/EC of 24 September 2001 on the signing and conclusion on behalf of the European Community of the International Coffee Agreement 2001 (OJ L 326, 11.12.2001, p. 22).

Council Decision 2002/312/EC of 15 April 2002 concerning the acceptance, on behalf of the European Community, of the Agreement establishing the Terms of Reference of the International Jute Study Group, 2001 (OJ L 112, 27.4.2002, p. 34).

Council Decision 2002/970/EC of 18 November 2002 concerning the conclusion on behalf of the European Community of the International Cocoa Agreement 2001 (OJ L 342, 17.12.2002, p. 1).

Council Decision 2007/648/EC of 26 September 2007 on the signing, on behalf of the European Community, and provisional application of the International Tropical Timber Agreement, 2006 (OJ L 262, 9.10.2007, p. 6)

#### *Reference acts*

Treaty establishing the European Community, and in particular Article 133 thereof, and Treaty on the Functioning of the European Union, in particular Article 207 thereof.

International Coffee Agreement, renegotiated in 2000 and 2001: period of application from 1 October 2001 to 30 September 2007 with the possibility of extension for an additional period of no more than six years. The current 2001 Coffee Agreement has been extended for one year, until 1 October 2009, and a new Agreement replacing it was renegotiated in 2007. According to the number of signatures and ratifications, the latter may enter into force on 1 October 2009; otherwise an additional extension will be introduced.

International Cocoa Agreement, renegotiated in 2000 and 2001. The obligation entered into force as from 1 October 2003 for a period of five years, with the possibility of extension for an additional period of no more than four years. The Agreement has been extended for one period of two years, until 30 September 2010.

International Jute Agreement negotiated in 2001, setting up a new International Jute Organisation. Duration: eight years, with the possibility of extension for an additional period of no more than four years.

International Tropical Timber Agreement negotiated in 2006: Council Decision 2007/648/EC of 26 September 2007 on the signing, on behalf of the European Community, and provisional application of the International Tropical Timber Agreement, 2006 (OJ L 262, 9.10.2007, p. 6); European Community Declaration in accordance with Article 36(3) of the Agreement (OJ L 262, 9.10.2007, p. 26).

## **CHAPTER 21 08 — POLICY STRATEGY AND COORDINATION FOR ‘DEVELOPMENT AND RELATIONS WITH ACP STATES’ POLICY AREA**

#### *Figures*

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 08	Policy strategy and coordination for ‘Development and relations with ACP States’ policy area							
<b>21 08 01</b>	<b><i>Evaluation of the results of Community/EU aid and follow-up and audit measures</i></b>	4	9 577 000	9 134 602	9 577 000	9 577 000	9 577 000,00	5 657 839,25
<b>21 08 02</b>	<b><i>Coordination and promotion of awareness on development issues</i></b>	4	9 900 000	9 251 920	9 796 000	10 000 000	8 493 656,01	9 545 998,37
	<b>Chapter 21 08 — Total</b>		<b>19 477 000</b>	<b>18 386 522</b>	<b>19 373 000</b>	<b>19 577 000</b>	<b>18 070 656,01</b>	<b>15 203 837,62</b>

### ***Article 21 08 01 — Evaluation of the results of Community/EU aid and follow-up and audit measures***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 577 000	9 134 602	9 577 000	9 577 000	9 577 000,00	5 657 839,25

#### *Remarks*

This appropriation is intended to cover the financing of evaluations, monitoring and support measures during the programming, preparation, implementation and evaluation stages of development operations, strategies and policies, including the following:

- effectiveness, efficiency, relevance, impact and viability studies,
- monitoring of operations in the course of implementation and after their completion,

support measures to improve the quality of the monitoring of ongoing operations and the preparation of future operations,  
 feedback of information and information activities on findings, conclusions and recommendations of evaluations into the decision-making cycle,  
 methodological advancements to improve the quality and utility of evaluations,  
 feedback of information and information activities on methodological advancements to improve the quality and utility of evaluations,  
 research into possible ways of evaluating programmes based on non-structural measures, such as all measures relating to peace-making, education for peace, reconciliation, etc.

This appropriation also covers the financing of audit activities concerning the management of programmes and projects implemented by the Commission in the external aid field. It will also cover the financing of training activities, based on the special rules governing Community/EU external aid and organised for external auditors.

Furthermore, the appropriation must also support continued efforts to develop further development cooperation impact measurements and indicators.

#### *Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### ***Article 21 08 02 — Coordination and promotion of awareness on development issues***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 900 000	9 251 920	9 796 000	10 000 000	8 493 656,01	9 545 998,37

#### *Remarks*

##### *Action A: coordination*

Budget financing provides the Commission with the means of support it needs to prepare, formulate and follow up coordination measures under its development policy. Policy coordination is crucial to the consistency, complementarity and effectiveness of aid.

Coordination measures are essential in defining and shaping European development policy at a strategic and programming level. The specific nature of European development policy is directly enshrined in the Treaties (Articles 208 and 210 of the Treaty on the Functioning of the European Union). Community/EU aid is complementary to the national policies of the Member States in the sphere of development cooperation and complementarity cannot work without coordination. Article 210 of the Treaty on the Functioning of the European Union requires the Commission to act as coordinator of national policies and coordinator of EU objectives with national objectives in the area of development cooperation and assistance.

Coordination is not only a major factor in the Commission's value added vis-à-vis the policies of the Member States but also a priority in the work schedule at the point where the agendas of the European Union and the international community intersect. It therefore reflects a steadily growing demand from the other European institutions, as recognised by the Member States and by the European Parliament at the European Council in Barcelona in March 2002.

This appropriation covers various forms of action:

effectiveness, efficiency, relevance, impact and viability studies in the area of coordination,  
 meetings of experts and exchanges between the Commission and Member States,  
 monitoring of operations in the course of implementation,  
 support measures to improve the quality of the monitoring of ongoing operations and the preparation of future operations,  
 research to advise policy-makers how best to ensure that the specific interests of marginalised producers and poor workers in the South are reflected across all policy areas,  
 measures to support external initiatives in the sphere of coordination,

the preparation of common positions, statements and initiatives,  
the organisation of events relating to coordination,  
dissemination of information through the production of publications and the development of information systems.

*Action B: raising awareness*

This appropriation covers the financing of activities designed to draw attention to action by the EU and the Member States in the development field and to raise public awareness of development issues. Every activity financed under this Article must include the following two components, which are complementary in the Commission's view:

an 'information' component, designed to promote the various activities which the EU undertakes in the development aid field and the activities which it conducts in partnership with the Member States and other international institutions,

an 'awareness-raising' component, covering public opinion in the EU and in the ACP States (78 countries). These activities are targeted primarily at the young. An immediate priority of this component is also to raise public awareness in the Member States about the EU's action in the development cooperation field.

Part of these funds is intended for campaigns to raise public awareness of North-South trading relations and their impact on sustainable development and poverty reduction, linking in with EU consumers' purchasing decisions.

These activities consist mainly, though not exclusively, of financial support for schemes in the audiovisual, publications, seminars and events fields as applied to development, the production of information material, the development of information systems, and also the Lorenzo Natali prize for journalism in the development field.

These activities are directed at public and private sector partners, and at the EU's representations and delegations in the Member States, the accession countries and the ACP States.

Moreover, the appropriation will cover the financing of actions within the initiative 'Mobilising European Research on Development Policies'. The main output of this initiative is the publication on an annual basis of a 'European Report on Development' (ERD). This process will enhance synergies between European research and policymaking by bringing them together in one common project. The ERD report, together with other intermediary products (background papers, seminars and workshops), will play a catalytic role in strengthening and refining the European vision on development and its influence in the international development agenda. This initiative is financed jointly by the Commission and by Member States through contributions on voluntary basis.

Irrespective of the beneficiary of the measure, no administrative expenditure against this Article is authorised.

*Legal basis*

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Tasks resulting from specific powers directly conferred on the Commission pursuant to Article 210 of the Treaty on the Functioning of the European Union.

## CHAPTER 21 49 — EXPENDITURE ON ADMINISTRATIVE MANAGEMENT OF PROGRAMMES COMMITTED IN ACCORDANCE WITH THE FORMER FINANCIAL REGULATION

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 49	Expenditure on administrative management of programmes committed in accordance with the former Financial Regulation							
<b>21 49 04</b>	<b><i>Support expenditure for operations of 'Development and relations with ACP States' policy area</i></b>							
21 49 04 01	Other aid in the form of products, support operations and transport, distribution, flanking measures and measures to monitor implementation — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 49 04 02	Other cooperation measures and sectoral strategies — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—
21 49 04 05	European programme for reconstruction and development (EPRD) — Expenditure on administrative management	4	—	p.m.	—	p.m.	0,—	0,—
	<i>Article 21 49 04 — Subtotal</i>		—	p.m.	—	p.m.	0,—	0,—
	<b>Chapter 21 49 — Total</b>		—	p.m.	—	p.m.	0,—	0,—

***Article 21 49 04 — Support expenditure for operations of ‘Development and relations with ACP States’ policy area***

Item 21 49 04 01 — Other aid in the form of products, support operations and transport, distribution, flanking measures and measures to monitor implementation — Expenditure on administrative management

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the liquidation of commitments contracted under Item 21 01 04 01 that previously carried differentiated appropriations.

Item 21 49 04 02 — Other cooperation measures and sectoral strategies — Expenditure on administrative management

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the liquidation of commitments contracted under Item 21 01 04 02 that previously carried differentiated appropriations.

Item 21 49 04 05 — European programme for reconstruction and development (EPRD) — Expenditure on administrative management

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the liquidation of commitments contracted under Item 21 01 04 05 that previously carried differentiated appropriations.



## TITLE 22 — ENLARGEMENT

Figures

Title Chapter	Heading	Budget 2011		Appropriations 2010		Outturn 2009	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
22 01	Administrative expenditure of 'Enlargement' policy area	91 969 062	91 969 062	92 498 436	92 498 436	88 635 196,39	88 635 196,39
	40 01 40			62 971 92 561 407	62 971 92 561 407		
22 02	Enlargement process and strategy	1 030 855 856	921 567 807	929 860 671	1 110 999 150	1 031 227 388,88	1 217 699 627,69
	<b>Title 22 — Total</b>	<b>1 122 824 918</b>	<b>1 013 536 869</b>	<b>1 022 359 107</b>	<b>1 203 497 586</b>	<b>1 119 862 585,27</b>	<b>1 306 334 824,08</b>
	40 01 40			62 971 1 022 422 078	62 971 1 203 560 557		

## CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF 'ENLARGEMENT' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
22 01	Administrative expenditure of 'Enlargement' policy area				
<b>22 01 01</b>	<b>Expenditure related to staff in active employment of 'Enlargement' policy area</b>				
22 01 01 01	Expenditure related to staff in active employment of 'Enlargement' Directorate-General	5	23 013 007	22 435 741	23 180 747,57
	40 01 40			62 971 22 498 712	
22 01 01 02	Expenditure related to staff in active employment of 'Enlargement' delegations of the European Union	5	8 682 802	8 636 040	8 033 065,17
	<i>Article 22 01 01 — Subtotal</i>		31 695 809	31 071 781	31 213 812,74
	40 01 40			62 971 31 134 752	
<b>22 01 02</b>	<b>External staff and other management expenditure in support of 'Enlargement' policy area</b>				
22 01 02 01	External staff of 'Enlargement' Directorate-General	5	3 291 492	3 353 038	2 157 635,43
22 01 02 02	External staff of 'Enlargement' delegations of the European Union	5	1 414 676	3 049 156	2 723 572,56
22 01 02 11	Other management expenditure of 'Enlargement' Directorate-General	5	2 004 869	2 141 897	1 355 576,39
22 01 02 12	Other management expenditure of 'Enlargement' delegations of the European Union	5	714 870	850 034	803 038,83
	<i>Article 22 01 02 — Subtotal</i>		7 425 907	9 394 125	7 039 823,21
<b>22 01 03</b>	<b>Expenditure related to equipment, furniture and services, buildings and related expenditure of 'Enlargement' policy area</b>				
22 01 03 01	Expenditure related to equipment, furniture and services of 'Enlargement' Directorate-General	5	1 693 501	1 640 688	1 819 761,06
22 01 03 02	Buildings and related expenditure of 'Enlargement' delegations of the European Union	5	5 094 845	6 698 842	7 183 606,70
	<i>Article 22 01 03 — Subtotal</i>		6 788 346	8 339 530	9 003 367,76
<b>22 01 04</b>	<b>Support expenditure for operations of 'Enlargement' policy area</b>				
22 01 04 01	Pre-accession assistance — Expenditure on administrative management	4	40 800 000	38 050 000	35 839 046,28
22 01 04 02	Phasing-out of accession assistance for new Member States — Expenditure on administrative management	4	1 040 000	1 300 000	1 300 000,00

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
22 01 04 04	Technical Assistance and Information Exchange (TAIEX) facility for pre-accession — Expenditure on administrative management	4	3 000 000	3 000 000	2 995 976,40
22 01 04 30	Education, Audiovisual and Culture Executive Agency — Contribution from programmes under heading 4 in the 'Enlargement' policy area	4	1 219 000	1 343 000	1 243 170,00
	<i>Article 22 01 04 — Subtotal</i>		46 059 000	43 693 000	41 378 192,68
	<b>Chapter 22 01 — Total</b>		<b>91 969 062</b>	<b>92 498 436</b>	<b>88 635 196,39</b>
	<b>40 01 40</b>			<b>62 971</b>	
				<b>92 561 407</b>	

**Article 22 01 01 — Expenditure related to staff in active employment of 'Enlargement' policy area**

Item 22 01 01 01 — Expenditure related to staff in active employment of 'Enlargement' Directorate-General

*Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
22 01 01 01	23 013 007	22 435 741	23 180 747,57
40 01 40		62 971	
Total	23 013 007	22 498 712	23 180 747,57

Item 22 01 01 02 — Expenditure related to staff in active employment of 'Enlargement' delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
8 682 802	8 636 040	8 033 065,17

**Article 22 01 02 — External staff and other management expenditure in support of 'Enlargement' policy area**

Item 22 01 02 01 — External staff of 'Enlargement' Directorate-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
3 291 492	3 353 038	2 157 635,43

Item 22 01 02 02 — External staff of 'Enlargement' delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 414 676	3 049 156	2 723 572,56

Item 22 01 02 11 — Other management expenditure of ‘Enlargement’ Directorate-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 004 869	2 141 897	1 355 576,39

Item 22 01 02 12 — Other management expenditure of ‘Enlargement’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
714 870	850 034	803 038,83

***Article 22 01 03 — Expenditure related to equipment, furniture and services, buildings and related expenditure of ‘Enlargement’ policy area***

Item 22 01 03 01 — Expenditure related to equipment, furniture and services of ‘Enlargement’ Directorate-General

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 693 501	1 640 688	1 819 761,06

Item 22 01 03 02 — Buildings and related expenditure of ‘Enlargement’ delegations of the European Union

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
5 094 845	6 698 842	7 183 606,70

***Article 22 01 04 — Support expenditure for operations of ‘Enlargement’ policy area***

Item 22 01 04 01 — Pre-accession assistance — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
40 800 000	38 050 000	35 839 046,28

*Remarks*

This appropriation is intended to cover administrative costs directly linked to the implementation of the Instrument for Pre-Accession Assistance (IPA), in particular:

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,

expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external staff at headquarters is limited to EUR 1 800 000. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members,

expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the delegations of the European Union in non-member countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as the cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations in this item,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers expenditure on administrative management under Articles 22 02 01, 22 02 02, 22 02 03, 22 02 04, 22 02 05 and 22 02 07, 22 02 08 and 22 04 02.

#### Item 22 01 04 02 — Phasing-out of accession assistance for new Member States — Expenditure on administrative management

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 040 000	1 300 000	1 300 000,00

##### Remarks

After enlargement, the Commission remains responsible for ensuring that all legal and financial requirements are met, in particular with regard to sound and efficient financial management. In line with their Acts of Accession, all implementing agencies in the 12 new Member States that joined the EU in 2004 and 2007 must operate under the extended decentralised implementation system (EDIS). In Bulgaria and Romania, EDIS was introduced in 2007.

The remaining tasks carried out at headquarters relating to the completion of pre-accession programmes notably concern project follow-up in the context of both monitoring of outcomes and financial management, including payment requests, supervision of implementation under EDIS, and follow-up to post-accession transitional financial aid. Requests to extend programmes and modify project fiches and/or financial allocations must also be duly assessed and an appropriate decision submitted to the Commission.

Due to the large volume of funds still under implementation in Bulgaria and Romania sufficient capacities in headquarters are still required. Implementation in Bulgaria was highly problematic in 2008. This issue has created high political and public interest. This resulted in extra workload in terms of reporting, monitoring and missions, but also in a postponement of many transactions in 2009.

This appropriation is intended to cover the administrative costs of this remaining part of the phasing-out of the accession assistance programmes in the new Member States, namely:

expenditure on short-term technical assistance, linked to the achievement of the objective of the programme (or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts),

expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) working on tasks directly related to the completion of the accession programmes; expenditure on external staff at headquarters is limited to EUR 980 000. This estimate is based on a provisional annual unit cost per man/year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members.

This appropriation covers expenditure on administrative management under Items 22 02 05 01, 22 02 05 04 and Article 22 03 01.

#### Item 22 01 04 04 — Technical Assistance and Information Exchange (TAIEX) facility for pre-accession — Expenditure on administrative management

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
3 000 000	3 000 000	2 995 976,40

## Remarks

This appropriation is intended to cover:

expenditure on technical administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiary countries and the Commission,

expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external staff at headquarters is limited to EUR 2 945 600. This estimate is based on a provisional annual unit cost per man/year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, IT and telecommunications relating to those staff members,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers expenditure on administrative management under Article 22 02 06.

Item 22 01 04 30 — Education, Audiovisual and Culture Executive Agency — Contribution from programmes under heading 4 in the ‘Enlargement’ policy area

## Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 219 000	1 343 000	1 243 170,00

## Remarks

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency relating to the management of programmes in the Enlargement policy area. The mandate of the agency was extended to all the Youth, Tempus and Erasmus Mundus programmes in which IPA beneficiaries are involved.

## Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Commission Decision 2007/114/EC of 8 February 2007 amending Commission Decision 2005/56/EC setting up the Education, Audio-visual and Culture Executive Agency for the management of Community action in the fields of education, audio-visual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 49, 17.2.2007, p. 21).

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

# CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY

## Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 02	Enlargement process and strategy							
22 02 01	<i>Transition and institution-building assistance to candidate countries</i>	4	297 383 457	259 777 705	287 113 190	219 155 000	286 212 740,00	250 253 319,56
22 02 02	<i>Transition and institution-building assistance to potential candidate countries</i>	4	486 612 206	318 056 219	463 329 616	409 000 000	452 943 822,00	164 222 948,44
22 02 03	<i>Interim civilian administrations in the western Balkans</i>	4	p.m.	283 280	p.m.	903 000	5 927 536,00	6 181 159,20

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>22 02 04</b>	<b>Cross-border and regional cooperation</b>							
22 02 04 01	Cross-border cooperation (CBC) between IPA countries and participation in ERDF transnational / interregional programmes and ENPI sea basins programmes	4	26 898 255	8 621 454	25 057 113	8 845 000	17 557 333,00	2 158 233,42
22 02 04 02	Cross-border cooperation (CBC) with Member States	4	7 131 841	716 308	6 992 002	p.m.	3 093 008,00	0,—
	<i>Article 22 02 04 — Subtotal</i>		34 030 096	9 337 762	32 049 115	8 845 000	20 650 341,00	2 158 233,42
<b>22 02 05</b>	<b>Completion of former assistance</b>							
22 02 05 01	Completion of Phare pre-accession assistance	4	—	p.m.	—	81 200 000	5 448,75	200 615 431,30
22 02 05 02	Completion of CARDS assistance	4	p.m.	32 599 189	p.m.	92 967 000	2 651 570,08	203 354 187,41
22 02 05 03	Completion of former cooperation with Turkey	4	p.m.	1 907 612	p.m.	p.m.	105 022,87	105 323 816,26
22 02 05 04	Completion of cooperation with Malta and Cyprus	4	—	—	—	—	57 058,25	57 058,25
22 02 05 05	Completion of preparatory actions concerning the impact of enlargement in EU border regions	3.2	—	p.m.	—	p.m.	0,—	72 987,31
22 02 05 09	Completion of transition facility for new Member States	3.2	—	6 383 000	—	48 000 000	0,—	40 882 786,53
22 02 05 10	Completion of technical assistance and information exchange (TAIEX) actions in connection with the transition facility	3.2	—	p.m.	—	1 900 000	0,—	2 400 000,00
	<i>Article 22 02 05 — Subtotal</i>		p.m.	40 889 801	p.m.	224 067 000	2 819 099,95	552 706 267,06
<b>22 02 06</b>	<b>Technical Assistance and Information Exchange (TAIEX) facility for pre-accession</b>							
22 02 07	<b>Regional, horizontal and ad-hoc programmes</b>							
22 02 07 01	Regional and horizontal programmes	4	12 000 000	17 645 415	9 000 000	15 637 000	9 004 815,39	14 794 856,25
22 02 07 02	Evaluation of results of EU aid, follow-up and audit measures	4	151 883 097	182 909 509	114 118 750	138 483 150	221 968 801,88	172 184 962,81
22 02 07 03	Financial support for encouraging the economic development of the Turkish Cypriot Community	4	4 447 000	4 796 691	4 000 000	3 870 000	9 200 000,00	4 192 700,10
	<i>Article 22 02 07 — Subtotal</i>		28 000 000	74 402 130	3 000 000	76 353 000	2 500 000,00	42 030 563,76
22 02 08	<b>Pilot project — Preserving and restoring cultural heritage in conflict areas</b>		184 330 097	262 108 330	121 118 750	218 706 150	233 668 801,88	218 408 226,67
22 02 09	<b>Preparatory action — Preserving and restoring cultural heritage in conflict areas</b>	4	p.m.	2 334 000	p.m.	2 250 000	6 000 000,00	100 977,60
22 02 10	<b>Information and communication</b>							
22 02 10 01	Prince — Information and communication	4	500 000	900 000	2 250 000	2 250 000		
22 02 10 02	Information and communication for non-member countries	4	5 000 000	4 043 185	5 000 000	4 088 000	5 000 232,66	4 180 319,59
	<i>Article 22 02 10 — Subtotal</i>		11 000 000	6 192 110	10 000 000	6 098 000	9 000 000,00	4 693 319,90
	<b>Chapter 22 02 — Total</b>		16 000 000	10 235 295	15 000 000	10 186 000	14 000 232,66	8 873 639,49
			<b>1 030 855 856</b>	<b>921 567 807</b>	<b>929 860 671</b>	<b>1 110 999 150</b>	<b>1 031 227 388,88</b>	<b>1 217 699 627,69</b>

### *Article 22 02 01 — Transition and institution-building assistance to candidate countries*

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
297 383 457	259 777 705	287 113 190	219 155 000	286 212 740,00	250 253 319,56

### Remarks

Under the IPA, this appropriation is intended to cover the component ‘transition and institution building’ for candidate countries. The main objective is to develop an effective capacity to implement the *acquis*, in particular by means of:

- strengthening democratic institutions, as well as the rule of law, including its enforcement,
- the promotion and the protection of human rights and fundamental freedoms and enhanced respect for minority rights, the promotion of gender equality and non-discrimination,
- public administration reform, including the establishment of a system enabling decentralisation of assistance management to the beneficiary country in accordance with the rules set down in the Financial Regulation,
- economic reform,
- the development of civil society and social inclusion, encouraging less well represented groups to gain a voice and participate in civil society, combating all forms of discrimination, and strengthening the rights of women and children and other particularly vulnerable groups, including persons with disabilities and older persons,
- reconciliation, confidence-building measures and reconstruction.

This appropriation may cover any eligible cooperation measures that are not expressly covered by other components of Regulation (EC) No 1085/2006 and for cooperation between the components.

### Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## Article 22 02 02 — Transition and institution-building assistance to potential candidate countries

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
486 612 206	318 056 219	463 329 616	409 000 000	452 943 822,00	164 222 948,44

### Remarks

Under the IPA, pre-accession financial assistance is available not only for candidate countries but is also extended to potential candidate countries. This appropriation is intended to cover the component ‘transition and institution building’ for potential candidate countries. The main objective is to support the participation of the potential candidates in the stabilisation and association process and their progression towards candidate status given their enhanced European perspective following the Thessaloniki European Council (19 to 20 June 2003). This will involve supporting the gradual introduction of the *acquis* for all countries and the implementation of obligations under the Interim Agreement / Stabilisation and Association Agreements, in particular by means of:

- strengthening democratic institutions, as well as the rule of law, including its enforcement,
- the promotion and the protection of human rights and fundamental freedoms and enhanced respect for minority rights, the promotion of gender equality and non-discrimination,
- public administration reform, including the establishment of a system enabling decentralisation of assistance management to the beneficiary country in accordance with the rules set down in the Financial Regulation,
- economic reform,
- the development of civil society and social inclusion, encouraging less well represented groups to gain a voice and participate in civil society, combating all forms of discrimination, and strengthening the rights of women and children and other particularly vulnerable groups, including persons with disabilities and older persons,
- reconciliation, confidence-building measures and reconstruction.

### Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## **Article 22 02 03 — Interim civilian administrations in the western Balkans**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	283 280	p.m.	903 000	5 927 536,00	6 181 159,20

### *Remarks*

The Union is to finance part of the operation of the Office of the High Representative (OHR) in Bosnia and Herzegovina. The financing is in the form of a contribution to its budgets.

The OHR was expected to close down after Bosnia and Herzegovina had fulfilled some important benchmarks, but due to political uncertainty the closure was postponed.

The OHR will report to the European Parliament on the political situation in Bosnia and Herzegovina, in particular as regards its impact on the implementation of EU financial assistance.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under the ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private sector enterprises.

### *Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## **Article 22 02 04 — Cross-border and regional cooperation**

### *Remarks*

The cross-border cooperation (CBC) component of IPA will support CBC programmes at both terrestrial and maritime borders between candidate or potential candidate countries and adjacent Member States and at borders among candidate or potential candidate countries through two budgetary Items: 'CBC with Member States' and 'CBC between IPA countries and participation in ERDF transnational / interregional programmes and ENPI sea basins programmes'.

Item 22 02 04 01 — Cross-border cooperation (CBC) between IPA countries and participation in ERDF transnational / interregional programmes and ENPI sea basins programmes

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
26 898 255	8 621 454	25 057 113	8 845 000	17 557 333,00	2 158 233,42

### *Remarks*

This appropriation is intended to support CBC programmes at borders between IPA beneficiaries.

It may also support, as appropriate, the participation of the eligible IPA beneficiaries in the relevant transnational and interregional programmes under the Structural Funds' European territorial cooperation objective and in the relevant multilateral sea basins programmes under the European Neighbourhood and Partnership Instrument (ENPI).

### *Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).



## Item 22 02 04 02 — Cross-border cooperation (CBC) with Member States

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 131 841	716 308	6 992 002	p.m.	3 093 008,00	0,—

### Remarks

This appropriation is intended to support CBC programmes at borders between IPA countries and Member States.

For these programmes involving Member States, IPA funds are complemented by a contribution from heading 1b (ERDF) which appears under Item 13 05 03 01: Regional policy.

### Legal basis

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## Article 22 02 05 — Completion of former assistance

### Remarks

With the introduction of the IPA on 1 January 2007 as well as the accession of Bulgaria and Romania on the same date, this appropriation is intended to cover the clearance of commitments contracted previously under the pre-accession assistance and the CARDS assistance.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under the ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private sector enterprises.

## Item 22 02 05 01 — Completion of Phare pre-accession assistance

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	81 200 000	5 448,75	200 615 431,30

### Remarks

With the introduction of the IPA on 1 January 2007 as well as the accession of Bulgaria and Romania on the same date, this appropriation is intended to cover the clearance of commitments contracted previously under the Phare pre-accession assistance for those countries, new Member States and existing candidate countries.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under the ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private sector enterprises.

### Legal basis

Council Regulation (EEC) No 3906/89 of 18 December 1989 on economic aid to the Republic of Hungary and the Polish People's Republic (OJ L 375, 23.12.1989, p. 11).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## Item 22 02 05 02 — Completion of CARDS assistance

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	32 599 189	p.m.	92 967 000	2 651 570,08	203 354 187,41

### Remarks

With the introduction of the IPA on 1 January 2007, this appropriation is intended to cover the clearance of commitments contracted previously under the CARDS assistance for the western Balkans.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the European Union who come under the ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private sector enterprises.

### Legal basis

Council Regulation (EC) No 2666/2000 of 5 December 2000 on assistance for Albania, Bosnia and Herzegovina, Croatia, the former Yugoslav Republic of Macedonia and the Federal Republic of Yugoslavia and repealing Regulation (EC) No 1628/96 and amending Regulations (EEC) No 3906/89 and (EEC) No 1360/90 and Decisions 97/256/EC and 1999/311/EC (OJ L 306, 7.12.2000, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## Item 22 02 05 03 — Completion of former cooperation with Turkey

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 907 612	p.m.	p.m.	105 022,87	105 323 816,26

### Remarks

With the introduction of the IPA on 1 January 2007, this appropriation is intended to cover the clearance of commitments contracted previously under pre-accession assistance for Turkey.

Any revenue generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

### Legal basis

Council Regulation (EC) No 1488/96 of 23 July 1996 on financial and technical measures (MEDA) to accompany the reform of economic and social structures in the framework of the Euro-Mediterranean partnership (OJ L 189, 30.7.1996, p. 1).

Council Regulation (EC) No 764/2000 of 10 April 2000 regarding the implementation of measures to intensify the EC-Turkey customs union (OJ L 94, 14.4.2000, p. 6).

Council Regulation (EC) No 2500/2001 of 17 December 2001 concerning pre-accession financial assistance for Turkey and amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 555/2000 (OJ L 342, 27.12.2001, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## Item 22 02 05 04 — Completion of cooperation with Malta and Cyprus

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	57 058,25	57 058,25

### Remarks

With the accession of Cyprus and Malta to the European Union in 2004, this item is intended to cover the clearance of commitments contracted previously against Articles B7-0 4 0, B7-0 4 1, B7-4 1 0 (in part) and against Items B7-4 0 1 0 and B7-4 0 1 1 for those countries.

Any revenue generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

### Legal basis

Council Regulation (EC) No 555/2000 of 13 March 2000 on the implementation of operations within the framework of a pre-accession strategy for the Republic of Cyprus and the Republic of Malta (OJ L 68, 16.3.2000, p. 3).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## Item 22 02 05 05 — Completion of preparatory actions concerning the impact of enlargement in EU border regions

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	72 987,31

### Remarks

This appropriation is intended to cover the clearance of commitments contracted previously under the ‘impact of enlargement in EU border regions’ Article. These appropriations may give rise to commitments stemming from legal obligations related to the closure of the projects (such as legal settlements, penalties for late payments, regularisations, etc.).

### Legal basis

Preparatory actions within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Item 22 02 05 09 — Completion of transition facility for new Member States

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	6 383 000	—	48 000 000	0,—	40 882 786,53

### Remarks

### Former Article 22 03 01

This appropriation is intended to cover the clearance of commitments contracted previously under the transition facility assistance for new Member States.

The purpose of the transition facility is to continue to assist the new Member States in their efforts to strengthen their administrative capacity to implement Union legislation and to foster the exchange of best practice.

The transition facility for the 10 new Member States which joined the Union on 1 May 2004 ran from 2004 to 2006. Another transition facility for Bulgaria and Romania was provided for in the Act of Accession (2005), lasting one year following their accession to the EU.

#### *Legal basis*

Tasks resulting from the specific powers assigned directly to the Commission by Article 34 of the Act of Accession of 16 April 2003 and Article 31 of Title III of the Act of Accession of 25 April 2005 (part of the Treaty concerning the accession of the Republic of Bulgaria and Romania to the European Union).

Item 22 02 05 10 — Completion of technical assistance and information exchange (TAIEX) actions in connection with the transition facility

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	1 900 000	0,—	2 400 000,00

#### *Remarks*

#### *Former Article 22 03 02*

This appropriation is intended to cover technical assistance in the field of approximation of legislation for the entire *acquis communautaire*, helping all bodies involved in the implementation and enforcement of the *acquis*, including non-governmental organisations, to achieve their objectives and to monitor their rate of achievement.

The objective is to provide as rapidly as possible short-term assistance by means of seminars, workshops, study visits and expert visits, training arrangements, provision of tools and products, notably for information gathering and dissemination, translation/interpretation, as well as any other forms of technical assistance in the context of the approximation to the European Union *acquis*.

The range of beneficiaries comprises representatives from all public and semi-public-sector bodies such as national administrations, parliaments, legislative councils, regional governments, regulatory and supervisory authorities, as well as those from social partners and representatives of commercial, professional and economic groupings which are involved in the transposition, implementation and enforcement of the *acquis communautaire*.

#### *Legal basis*

Tasks resulting from the specific powers assigned directly to the Commission by Article 34 of the Act of Accession of 16 April 2003 and Article 31 of Title III of the Act of Accession of 25 April 2005 (part of the Treaty concerning the accession of the Republic of Bulgaria and Romania to the European Union).

### ***Article 22 02 06 — Technical Assistance and Information Exchange (TAIEX) facility for pre-accession***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 000 000	17 645 415	9 000 000	15 637 000	9 004 815,39	14 794 856,25

#### *Remarks*

This appropriation is intended to cover technical assistance in the field of approximation of legislation for the entire *acquis communautaire*, helping all bodies involved in the implementation and enforcement of the *acquis*, including non-governmental organisations, to achieve their objectives and to monitor their rate of achievement.

The objective is to provide as rapidly as possible short-term assistance by means of seminars, workshops, study visits and expert visits, training arrangements, provision of tools and products, notably for information gathering and dissemination, translation/interpretation, as well as any other forms of technical assistance in the context of the approximation of the *acquis communautaire*.

The range of beneficiaries comprises representatives from all public and semi-public-sector bodies such as national administrations, parliaments, legislative councils, regional governments, regulatory and supervisory authorities, as well as those from social partners and representatives of commercial, professional and economic groupings and other civil society actors which are involved or have to play a role in the transposition, implementation and enforcement of the *acquis communautaire*.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

**Article 22 02 07 — Regional, horizontal and ad-hoc programmes**

Item 22 02 07 01 — Regional and horizontal programmes

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
151 883 097	182 909 509	114 118 750	138 483 150	221 968 801,88	172 184 962,81

*Remarks*

This appropriation is intended to cover the financing of pre-accession regional and multi-beneficiary programmes for all candidate and potential candidate countries.

Any revenue generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Item 22 02 07 02 — Evaluation of results of EU aid, follow-up and audit measures

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 447 000	4 796 691	4 000 000	3 870 000	9 200 000,00	4 192 700,10

*Remarks*

This appropriation is intended to cover the financing of evaluations, audit and monitoring during the programming, implementation, evaluation and closure stages of the projects for both IPA and previous pre-accession financial instruments.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

## Item 22 02 07 03 — Financial support for encouraging the economic development of the Turkish Cypriot Community

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
28 000 000	74 402 130	3 000 000	76 353 000	2 500 000,00	42 030 563,76

### Remarks

This appropriation is intended to cover financial support for encouraging the economic development of the Turkish Cypriot Community. The assistance will focus particularly on the economic integration of the island and on improving contacts between the two communities and with the European Union in order to facilitate the reunification of Cyprus. The assistance may be used to support:

- the promotion of social and economic development, in particular concerning rural development, human resources development and regional development,
- the development and restructuring of infrastructure, in particular in the areas of energy and transport, the environment, telecommunications and water supply,
- reconciliation, confidence building measures, and support to civil society,
- bringing the Turkish Cypriot community closer to the Union, through, inter alia, information on the European Union's political and legal order, promotion of youth exchanges and scholarships,
- progressive alignment with, and preparation for, implementation of the *acquis communautaire*.
- the implementation of the decisions of the bicomunal Technical Committee on Cultural Heritage.
- the continuation of the EU financial support and acceleration of the work of the Committee on Missing Persons.

Part of this appropriation is also intended to cover the support administrative expenditure necessary for implementation of the programme such as:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation is foreseen to continue the support to the Turkish Cypriot community in order to bring it closer to the European Union and to prepare for reunification of the island. The funds proposed in the budget are to be used, in particular, for grant schemes addressed to a large variety of beneficiaries within the civil society of the community: NGOs, students and teachers, schools, farmers, small villages, SMEs. These activities are reunification driven. Priority should be given, where possible, to reconciliation projects which create bridges between the two communities and build confidence. These measures underline the strong desire and commitment of the EU to a Cyprus settlement and reunification.

### Legal basis

Council Regulation (EC) No 389/2006 of 27 February 2006 establishing an instrument of financial support for encouraging the economic development of the Turkish Cypriot community and amending Council Regulation (EC) No 2667/2000 on the European Agency for Reconstruction (OJ L 65, 7.3.2006, p. 5).

## Article 22 02 08 — Pilot project — Preserving and restoring cultural heritage in conflict areas

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 334 000	p.m.	2 250 000	6 000 000,00	100 977,60

### Remarks

The appropriation will be used for supporting non-profit organisations (such as civil society organisations at a local and international level and public institutions) in conducting sustainable preservation, restoration and development of sites concerning valuable cultural objects (churches, mosques, libraries, museums, monuments, etc.) in candidate and potential candidate countries.

Whenever possible, particular attention should be given to projects that support confidence-building processes by involving different ethnic and religious groups in joint projects, as well as competence building and awareness creation on a local and national level.

In the future, experience gained under this pilot project could also serve to develop a permanent legal basis and a wider approach for preserving and restoring cultural heritage in conflict areas in other geographical regions.

The European Parliament resolution of 29 March 2007 on the future of Kosovo and the role of the EU (OJ C 27 E, 31.1.2008, p. 207) stated that a Kosovo settlement should cover 'protection of all cultural and religious sites'.

A huge number of damaged buildings in the Balkans stand as physical reminders of earlier conflicts, creating mistrust. Different ethnic groups and local authorities are today engaged by NGOs in joint restoration projects, thereby promoting respect for the cultural values of others, but no EU funding is available. The Instrument for Pre-Accession (IPA) broadly covers 'reconstruction' and 'cooperation between communities' but does not make specific reference to restoring cultural heritage.

### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 22 02 09 — Preparatory action — Preserving and restoring cultural heritage in conflict areas

### Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
500 000	900 000	2 250 000	2 250 000	

### Remarks

This appropriation will be used for supporting preservation and restoration projects for valuable cultural and religious objects (churches, mosques, libraries, museums, monuments, etc.) damaged or destroyed by war or other political conflicts, in the Western Balkans. Appropriations may be used to support public organisations as well as NGOs conducting projects in this field. In this context, however, it is important to recognise the key role that NGOs often play in these preservation and restoration projects.

Particular attention should be given to projects that support the confidence-building process by involving different ethnic and religious groups to take part in joint projects and that support local education and development of restoration knowledge and skills of high cultural quality.

Best restoration practice shall serve as a guideline when selecting objects and, in the selection and evaluation of proposals and contractors, restoration expertise shall be allowed to participate.

Experiences from the 2008-2009 pilot project and the 2010 preparatory action should serve to develop a wider approach for preserving and restoring cultural heritage in conflict areas both in the Western Balkans, through the IPA programme, and in other geographical areas.

The purpose of this preparatory action is also to serve as a basis for integrating the preservation and restoration of cultural heritage in conflict areas into the IPA programme in the Western Balkans and Turkey.

### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 22 02 10 — Information and communication

### Item 22 02 10 01 — Prince — Information and communication

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	4 043 185	5 000 000	4 088 000	5 000 232,66	4 180 319,59

#### Remarks

#### Former Article 22 04 01

This appropriation is intended to cover the funding of priority information and communication activities on enlargement, mainly regarding Member States, including evaluation actions.

The amount of the proposed appropriations reflects the information and communication priorities under the enlargement timetable. The aim of the information and communication strategy is to promote support for accession and to raise public awareness across Europe about the enlargement of the Union, but especially in those target countries where public opinion is more sensitive to future enlargement.

The appropriations will finance information and communication actions on priority EU policies such as: an effective dialogue on enlargement and pre-accession between European citizens and the Union's institutions, taking into account each country's specificity and information needs; an informed debate on enlargement and pre-accession among civil society organisations and citizens in the Union and those of candidate countries and potential candidates; informing journalists and other multipliers on the enlargement process; commissioning of studies and opinion polls; developing and updating dedicated websites; preparing printed and audiovisual material; organising of public events as well as conferences and seminars; and evaluating the information programme.

The Commission, when implementing this budget line, should take duly into account the outcomes of the meetings of the Interinstitutional Group on Information (IGI).

#### Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Item 22 02 10 02 — Information and communication for non-member countries

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
11 000 000	6 192 110	10 000 000	6 098 000	9 000 000,00	4 693 319,90

#### Remarks

#### Former Article 22 04 02

This appropriation is intended to cover the funding of priority information and communication activities on enlargement mainly regarding candidate and potential candidate countries, including evaluation actions.

The bulk of this appropriation will be allocated to cover information and communication activities devolved to liaison offices/delegations in candidate and potential candidate countries.

Information and communication actions will be directed at the general public, relevant specific audiences, especially youth, media and civil society organisations, and will seek to enhance support among opinion leaders for enlargement as well as the association and stabilisation process. The aim is also to strengthen the Union's profile and political leverage in those countries and generate public support for the reform process during the accession and pre-accession periods.



*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## TITLE 23 — HUMANITARIAN AID

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 01	Administrative expenditure of 'Humanitarian aid' policy area 40 01 40	4	34 575 391	34 575 391	29 495 155 44 026 29 539 181	29 495 155 44 026 29 539 181	28 666 319,37	28 666 319,37
23 02	Humanitarian aid including aid to uprooted people, food aid and disaster preparedness		816 293 000	778 131 526	791 318 000	791 318 000	884 549 225,37	771 832 481,24
23 03	Civil Protection Financial Instrument		27 000 000	26 815 225	33 500 000	27 250 000	21 812 794,48	15 737 649,98
	<b>Title 23 — Total</b> 40 01 40		<b>877 868 391</b>	<b>839 522 142</b>	<b>854 313 155</b> 44 026 854 357 181	<b>848 063 155</b> 44 026 848 107 181	<b>935 028 339,22</b>	<b>816 236 450,59</b>

## CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF 'HUMANITARIAN AID' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
23 01 23 01 01	Administrative expenditure of 'Humanitarian aid' policy area <i>Expenditure related to staff in active employment of 'Humanitarian aid' policy area</i> 40 01 40	5	19 273 393	15 686 006 44 026 15 730 032	15 674 323,84
23 01 02	<i>External staff and other management expenditure in support of 'Humanitarian aid' policy area</i>				
23 01 02 01	External staff	5	2 072 469	1 273 565	1 328 642,21
23 01 02 11	Other management expenditure	5	2 061 222	1 738 492	1 670 216,39
	<i>Article 23 01 02 — Subtotal</i>		4 133 691	3 012 057	2 998 858,60
23 01 03	<i>Expenditure related to equipment, furniture and services of 'Humanitarian aid' policy area</i>	5	1 418 307	1 147 092	1 230 446,67
23 01 04	<i>Support expenditure for operations of 'Humanitarian aid' policy area</i>				
23 01 04 01	Humanitarian aid — Expenditure on administrative management	4	9 400 000	9 200 000	8 575 485,26
23 01 04 02	Civil Protection — Expenditure on administrative management	3.2	350 000	450 000	187 205,00
	<i>Article 23 01 04 — Subtotal</i>		9 750 000	9 650 000	8 762 690,26
	<b>Chapter 23 01 — Total</b> 40 01 40		<b>34 575 391</b>	<b>29 495 155</b> 44 026 29 539 181	<b>28 666 319,37</b>

### Article 23 01 01 — Expenditure related to staff in active employment of 'Humanitarian aid' policy area

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
23 01 01	19 273 393	15 686 006	15 674 323,84
40 01 40		44 026	
Total	19 273 393	15 730 032	15 674 323,84

***Article 23 01 02 — External staff and other management expenditure in support of ‘Humanitarian aid’ policy area***

Item 23 01 02 01 — External staff

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 072 469	1 273 565	1 328 642,21

Item 23 01 02 11 — Other management expenditure

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 061 222	1 738 492	1 670 216,39

***Article 23 01 03 — Expenditure related to equipment, furniture and services of ‘Humanitarian aid’ policy area***

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 418 307	1 147 092	1 230 446,67

***Article 23 01 04 — Support expenditure for operations of ‘Humanitarian aid’ policy area***

Item 23 01 04 01 — Humanitarian aid — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
9 400 000	9 200 000	8 575 485,26

*Remarks*

This appropriation is intended to cover support expenditure directly linked to the achievement of the objectives of the humanitarian aid policy. This covers, inter alia:

expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts,

fees and reimbursable expenses incurred through service contracts to undertake audits and evaluations of DG Humanitarian Aid partners and actions,

expenditure on studies, information and publications; on public awareness and information campaigns; and on any other measure highlighting the Union character of the aid,

expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff), limited to EUR 1 800 000. This staff is intended to take over the tasks that were entrusted to external contractors handling the administration of individual experts and to manage programmes in third countries. The amount, based on an estimated annual cost per person/year, is meant to cover the remuneration of the external staff in question plus training, meetings, missions, IT expenditure and telecommunications expenditure related to their tasks,

expenditure on the development and operation of information systems, accessible via the Europa website or via a secured website at the Data Centre, intended to improve coordination between the Commission and other institutions, national administrations, agencies, non-governmental organisations, other partners in humanitarian aid, and DG Humanitarian Aid experts in the field.

This appropriation covers administrative expenditure under Articles 23 02 01, 23 02 02 and 23 02 03.

#### Item 23 01 04 02 — Civil Protection — Expenditure on administrative management

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
350 000	450 000	187 205,00

##### Remarks

##### Former Item 07 01 04 02

This appropriation is intended to cover:

expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit, supervision and evaluation of the Civil Protection Financial Instrument and the Community Civil Protection Mechanism,

expenditure relating to the purchase and maintenance of security, IT and communication tools necessary to make the Monitoring and Information Centre (MIC), installed on Commission premises, fully operational (advanced information systems, including geographic information systems, and communication tools, linking the MIC to all existing disaster alert systems), and hosting of CECIS (Common Emergency Communication and Information System), including on dedicated infrastructure,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, pursuant to Article 18(1)(d) of the Financial Regulation.

##### Legal basis

See Article 23 03 01.

## CHAPTER 23 02 — HUMANITARIAN AID INCLUDING AID TO UPROOTED PEOPLE, FOOD AID AND DISASTER PREPAREDNESS

##### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 02	Humanitarian aid including aid to uprooted people, food aid and disaster preparedness							
<b>23 02 01</b>	<b>Humanitarian aid</b>	4	536 708 000	511 915 423	521 018 000	521 018 000	571 066 465,16	521 580 417,90
<b>23 02 02</b>	<b>Food aid</b>	4	244 168 000	232 888 955	237 005 000	237 005 000	280 046 290,37	224 615 786,47
<b>23 02 03</b>	<b>Disaster preparedness</b>	4	34 417 000	32 827 148	33 295 000	33 295 000	33 436 469,84	25 636 276,87

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>23 02 04</b>	<b>European Voluntary Humanitarian Aid Corps</b>	4	1 000 000	500 000				
	<b>Chapter 23 02 — Total</b>		<b>816 293 000</b>	<b>778 131 526</b>	<b>791 318 000</b>	<b>791 318 000</b>	<b>884 549 225,37</b>	<b>771 832 481,24</b>

## Article 23 02 01 — Humanitarian aid

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
536 708 000	511 915 423	521 018 000	521 018 000	571 066 465,16	521 580 417,90

### Remarks

This appropriation is intended to cover the financing of humanitarian assistance to help people in countries outside the European Union who are the victims of conflicts or disasters, both natural and man-made (wars, outbreaks of fighting, etc.), or comparable emergencies, for as long as is necessary to meet the humanitarian needs that such situations give rise to.

The aid is granted to victims without discrimination on the grounds of race, ethnic origin, religion, disability, sex, age, nationality or political affiliation.

Also charged to this article are the purchase and delivery of any product or equipment needed for the implementation of such operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work, notably of infrastructure and facilities, the costs associated with external, expatriate or local staff, storage, international or national shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

This appropriation may also cover any other costs directly linked to the implementation of humanitarian aid operations.

It covers, inter alia:

- feasibility studies on humanitarian operations, evaluations of humanitarian aid projects and plans, and visibility operations and information campaigns linked to humanitarian operations,

- the monitoring of humanitarian aid projects and plans, promotion and development of initiatives intended to increase coordination and cooperation so as to make aid more effective and improve the monitoring of projects and plans,

- the supervision and coordination of the implementation of aid operations forming part of the aid concerned,

- measures to strengthen Union coordination with the Member States, other donor countries, international organisations and institutions (in particular those forming part of the United Nations), non-governmental organisations and organisations representing the latter,

- the technical assistance necessary for the preparation and implementation of humanitarian projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of DG Humanitarian Aid units deployed throughout the world,

- the financing of technical assistance contracts to facilitate the exchange of technical know-how and expertise between European humanitarian organisations and agencies or between such bodies and those of non-member countries,

- studies and training linked to the achievement of the objectives of the policy area,

- action grants and running cost grants in favour of humanitarian networks,

- humanitarian mine-clearance operations including public awareness campaigns for local communities on anti-personnel mines,

- expenditure incurred by the network on humanitarian assistance (NOHA), pursuant to Article 4 of Council Regulation (EC) No 1257/96. This is a one-year multidisciplinary postgraduate diploma in the humanitarian field designed to promote greater professionalism amongst humanitarian workers and involving several participating universities.

### Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

## Article 23 02 02 — Food aid

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
244 168 000	232 888 955	237 005 000	237 005 000	280 046 290,37	224 615 786,47

### Remarks

This appropriation is intended to cover food aid operations of a humanitarian nature and will be carried out in accordance with the rules on humanitarian aid under Council Regulation (EC) No 1257/96.

The Union's humanitarian aid provides assistance and relief on a non-discriminatory basis to help people outside the European Union, particularly the most vulnerable among them, and as a priority those in developing countries who are the victims of natural disasters, man-made crises, such as wars and outbreaks of fighting, or exceptional circumstances/circumstances comparable to natural or man-made disasters. It does so for as long as is necessary to meet the humanitarian needs to which such situations give rise.

This appropriation may be used to finance the purchase and delivery of food, seeds, livestock or any product or equipment needed for the implementation of the humanitarian food aid operations.

This appropriation is intended to cover the cost of the measures that are essential for implementing food aid of a humanitarian nature within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency. This includes:

transport and distribution of aid, including any related operations such as insurance, loading, unloading, coordination, etc.,

back-up measures that are essential for the programming, coordination and optimum implementation of the aid, the cost of which is not covered by other appropriations, e.g. exceptional transport and storage operations, processing or preparation of foodstuffs on the spot, disinfection, consultants' services, technical assistance and equipment directly involved in providing the aid (tools, utensils, fuel, etc.),

supervision and coordination of food aid operations, notably the terms for the supply, delivery, distribution and use of the products involved, including the use of counterpart funds,

pilot schemes concerning new forms of transport, packaging or storage, studies of food aid operations, visibility operations linked to the humanitarian operations, and information campaigns to increase public awareness,

storage of food (including administrative costs, future operations, with or without options, training of technicians, purchase of packaging and mobile storage units, cost of maintaining and repairing stores, etc.),

the technical assistance necessary for the preparation and implementation of humanitarian food aid projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of DG Humanitarian Aid units deployed throughout the world.

In order to ensure full financial transparency under Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make all efforts so that they commit to sending all their internal and external audits regarding the use of Union funds to the European Court of Auditors and to the Internal Auditor of the Commission.

### Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

## Article 23 02 03 — Disaster preparedness

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
34 417 000	32 827 148	33 295 000	33 295 000	33 436 469,84	25 636 276,87

### Remarks

This appropriation is intended to cover the financing of operations to prepare for or prevent disasters or comparable emergencies and ensure the development of early warning systems for all kind of natural disaster (floods, cyclones, volcanic eruptions, etc.), including the purchase and transport of any equipment required for that purpose.

This appropriation may also cover any other costs directly linked to the implementation of disaster preparedness operations, such as:

the financing of scientific studies on the prevention of disasters,

the constitution of emergency stocks of goods and equipments for use in connection with humanitarian aid operations,

the technical assistance necessary for the preparation and implementation of disaster preparedness projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of DG Humanitarian Aid units deployed throughout the world.

### Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

## Article 23 02 04 — European Voluntary Humanitarian Aid Corps

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

### Remarks

### New article

This appropriation is intended to cover a preparatory action on the establishment of a European Voluntary Humanitarian Aid Corps (EVHAC), which is foreseen by Article 214(5) of the Treaty on the Functioning of the European Union.

The creation of EVHAC is to coincide with the European year of Volunteerism (2011) (Item 16 05 03). EVHAC will also respond to the need to have an effective rapid crisis response instrument which draws on regional and national public administrations and all parts of the business and civil society at the European level, as well as individuals of all age groups and background wishing to contribute to improving the welfare of those in distress. It will add visibility to Europe as a global player having solidarity with the most vulnerable sections of the world populations and ensuring involvement of a wide range of actors. In addition to giving a European form to the widely dispersed activities carried out by volunteers, the existing of EVHAC will also lead to efficiencies from the coordination of humanitarian activities, the standardisation of procedures and practices applying to volunteers and of economies of scale.

The appropriations are intended to cover the following measures and items of expenditure:

a review of international organisations, civil society, the private sector and other traditional and non-traditional organisations currently active in the provision of humanitarian assistance through the use of volunteers and voluntary structures. The examination will involve a desk review of existing studies and technical material available on volunteers and volunteerism in general whilst focusing on the provision of primary and short term assistance in the area of external action. The results of the review should provide the basis for a consultation of stakeholders to seek their views on the future direction and structure of European volunteerism in humanitarian aid,

based on the information gathered, the Commission will identify the areas requiring in-depth studies. This will be necessary for each of the options available a full appreciation of the costs and benefits may be taken into account. The studies should also consider the impact of the options and the value added at the European level,

the review work will be followed up by extensive information and consultation process (publications, workshops, experts meetings, conferences) and the coordination and mobilisation of key stakeholders required by the legislative process,

the technical assistance necessary for the preparation and implementation of projects, in particular expenditure incurred covering the cost of contracts of volunteers and individual experts in the field and where appropriate the infrastructure and logistics costs (e.g. security, health, lodgings, insurance, daily and travel allowances),

information and awareness raising activities, including the promotion of volunteerism to organisations which are not traditionally associated with this type of activity.

#### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 23 03 — CIVIL PROTECTION FINANCIAL INSTRUMENT

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 03	Civil Protection Financial Instrument							
23 03 01	<i>Civil Protection within the European Union</i>	3.2	18 000 000	18 000 000	18 000 000	12 000 000	14 539 641,48	8 574 017,14
23 03 02	<i>Pilot project — Cross-border cooperation in the fight against natural disasters</i>	3.2	p.m.	p.m.	—	500 000	0,—	79 347,01
23 03 03	<i>Completion of previous programmes and actions in the field of civil protection and marine pollution</i>	3.2	p.m.	p.m.	—	p.m.	0,—	1 016 195,97
23 03 04	<i>Pilot project — Step up cooperation between Member States on combating forest fires</i>	3.2	p.m.	p.m.	p.m.	1 750 000	0,—	1 750 000,00
23 03 05	<i>Preparatory action — EU rapid response capability</i>	2	p.m.	5 000 000	7 500 000	7 000 000	6 588 185,00	3 588 974,40
23 03 06	<i>Civil protection interventions in third countries</i>	4	9 000 000	3 815 225	8 000 000	6 000 000	684 968,00	729 115,46
	<b>Chapter 23 03 — Total</b>		<b>27 000 000</b>	<b>26 815 225</b>	<b>33 500 000</b>	<b>27 250 000</b>	<b>21 812 794,48</b>	<b>15 737 649,98</b>

### Article 23 03 01 — Civil Protection within the European Union

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 000 000	18 000 000	18 000 000	12 000 000	14 539 641,48	8 574 017,14

#### Remarks

#### Former Article 07 04 01

This appropriation is intended to cover expenditure on actions in the field of civil protection. It is aimed at supporting and complementing the efforts of the Member States, EFTA and candidate countries having signed a memorandum of understanding with the Community/EU on response, preparedness and prevention actions with regard to natural and man-made disasters, acts of terrorism and technological, radiological or environmental accidents. It also aims to facilitate closer cooperation between the Member States in the field of civil protection.

It covers, in particular:

the creation of a 'stand-by' capability for resources and equipment to be made available to a Member State in the event of an emergency,

the identification of intervention experts, modules and other support available in Member States for assistance interventions in case of emergencies,

the mobilisation of experts to assess assistance needs and facilitate European assistance in Member States or third countries in the event of disasters, and the basic logistical support for such experts,

a programme of lessons learnt from civil protection interventions and exercises in the framework of the Community Civil Protection Mechanism,



a training programme for intervention teams, external staff and experts, to provide the knowledge and tools needed to participate effectively in Union interventions and to develop a common European intervention culture,

command post exercises and full-scale exercises to test interoperability, train civil protection officials and create a common intervention culture,

exchanges of experts to enhance understanding of European civil protection and to share information and experience,

information and communication (ICT) systems, in particular CECIS (Common Emergency Communication and Information System), facilitating the exchange of information with Member States during emergencies — to improve efficiency and to enable the exchange of ‘EU classified’ information. This covers the costs of development, maintenance, operation and support (hardware, software and services) of the systems. It also covers the cost of project management, quality control, security, documentation and training linked to the implementation of such systems,

the study and development of civil protection modules within the meaning of Article 3(5) of Council Decision 2007/779/EC, Euratom,

the study and development of disaster detection and early warning systems,

the provision of support to Member States in obtaining access to equipment and transport resources,

the provision of additional transport resources and associated logistics, necessary for ensuring a rapid response to major emergencies and complementing the transport provided by the Member States, under the conditions laid down in Article 4(2)(c) of Council Decision 2007/162/EC, Euratom,

the support to consular assistance to EU citizens in major emergencies in third countries regarding civil protection activities, upon request from the consular authorities of the Member States,

workshops, seminars, projects, studies, surveys, modelling, scenario-building and contingency planning, capacity-building assistance; demonstration projects; technology transfer; awareness-raising, information, communication and monitoring, assessment and evaluation,

other supporting and complementary actions necessary in the framework of the Community Civil Protection Mechanism.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Council Decision 2007/162/EC, Euratom of 5 March 2007 establishing a Civil Protection Financial Instrument (OJ L 71, 10.3.2007, p. 9).

Council Decision 2007/779/EC, Euratom of 8 November 2007 establishing a Community Civil Protection Mechanism (recast) (OJ L 314, 1.12.2007, p. 9).

### ***Article 23 03 02 — Pilot project — Cross-border cooperation in the fight against natural disasters***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	500 000	0,—	79 347,01

#### *Remarks*

*Former Article 07 04 02*

This appropriation is intended to cover payments in respect of commitments resulting from studies and grants supporting actions for cooperation and development of closer cooperation on civil protection measures with a view to preventing or at least minimising the consequences of natural disasters by developing cross-border early warning, coordination and logistical tools.

#### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### ***Article 23 03 03 — Completion of previous programmes and actions in the field of civil protection and marine pollution***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	1 016 195,97

#### *Remarks*

#### *Former Article 07 04 03*

This appropriation is intended to cover payments in respect of commitments resulting from actions in the field of civil protection and from activities undertaken within the framework for the protection of the marine environment, coastlines and human health against the risks of accidental or deliberate marine pollution at sea.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, the EFTA amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### *Legal basis*

Council Decision 1999/847/EC of 9 December 1999 establishing a Community action programme in the field of civil protection (OJ L 327, 21.12.1999, p. 53).

Decision No 2850/2000/EC of the European Parliament and of the Council of 20 December 2000 setting up a Community framework for cooperation in the field of accidental or deliberate marine pollution (OJ L 332, 28.12.2000, p. 1).

Council Decision 2001/792/EC, Euratom of 23 October 2001 establishing a Community mechanism to facilitate reinforced cooperation in civil protection assistance interventions (OJ L 297, 15.11.2001, p. 7).

### ***Article 23 03 04 — Pilot project — Step up cooperation between Member States on combating forest fires***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 750 000	0,—	1 750 000,00

#### *Remarks*

#### *Former Article 07 04 04*

The aim of the pilot project is to improve mobilisation of operational resources and emergency support from Member States to assist a Member State in coping with forest fires too numerous and too intense to be handled by that State using its own logistical capacity and manpower.

The pilot project's objectives are, in particular, to:

draw up a schedule of Member State emergency teams and logistical resources which can be mobilised in a contingency situation, develop standardised communication and information mechanisms in order to make assistance more effective and exchange information on best practices and best equipment and on drawing up operation plans for using both technical and human contingency resources.

#### *Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### ***Article 23 03 05 — Preparatory action — EU rapid response capability***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	5 000 000	7 500 000	7 000 000	6 588 185,00	3 588 974,40

#### *Remarks*

#### *Former Article 07 04 05*

This appropriation is intended to cover a preparatory action on an EU rapid response capability designed to respond immediately to critical needs arising from major disasters, including forest fires. It may consist of dedicated civil protection modules that Member States make available for European civil protection intervention and/or additional complementary capacities made available by the Monitoring and Information Centre (MIC) through standing arrangements with other parties.

The aim of the preparatory action is also to improve the mobilisation of additional operational resources and emergency support from Member States to assist other Member States or third countries in coping with forest fires too numerous and too intense to be handled within the constraints of national logistical capacity and manpower. It is designed to test innovative arrangements for making assistance available to Member States or third countries facing major forest fires. It covers in particular the setting-up by the Member States of a reserve of fire fighting capacities that may be made available in situations where Member States are unable to provide the assistance because they have engaged all their national fire fighting capacities to respond to forest fires or due to high risk of forest fires on their territory.

By ensuring that key resources and essential equipment are on standby during relevant periods, in line with scenarios for responding to major disasters, this preparatory action is intended to enhance Europe's collective preparedness for major disasters and paves the way for the EU Civil Protection Force.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### ***Article 23 03 06 — Civil protection interventions in third countries***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 000 000	3 815 225	8 000 000	6 000 000	684 968,00	729 115,46

#### *Remarks*

#### *Former Article 19 06 05*

This appropriation is intended to cover expenditure related to civil protection intervention in third countries under the Civil Protection Financial Instrument and the EU/Community Mechanism for Civil Protection:

mobilisation of experts to assess assistance needs and facilitate European assistance in Member States or third countries in case of disasters,

transportation of European civil protection assistance — including the provision of relevant information on transportation means — in the event of disaster as well as associated logistics.

Implementing partners may include authorities of the Member States or of beneficiary countries and their agencies, regional and international organisations and their agencies, NGOs, public and private operators and individual organisations or operators (including staff seconded from Member State administrations) with appropriate specialised expertise and experience.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Council Decision 2007/162/EC, Euratom of 5 March 2007 establishing a Civil Protection Financial Instrument (OJ L 71, 10.3.2007, p. 9).

Council Decision 2007/779/EC, Euratom of 8 November 2007 establishing a Community Civil Protection Mechanism (recast) (OJ L 314, 1.12.2007, p. 9).

## TITLE 24 — FIGHT AGAINST FRAUD

### Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
24 01	Administrative expenditure of ‘Fight against fraud’ policy area	5	57 660 000	57 660 000	57 145 000	57 145 000	57 443 431,31	57 443 431,31
24 02	Fight against fraud		23 500 000	16 583 183	20 500 000	16 200 000	19 933 520,44	16 177 435,75
Title 24 — Total			81 160 000	74 243 183	77 645 000	73 345 000	77 376 951,75	73 620 867,06

## CHAPTER 24 01 — ADMINISTRATIVE EXPENDITURE OF 'FIGHT AGAINST FRAUD' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
24 01	Administrative expenditure of ‘Fight against fraud’ policy area	5			
24 01 06	<i>European Anti-fraud Office (OLAF)</i>		57 660 000	57 145 000	57 443 431,31
Chapter 24 01 — Total			57 660 000	57 145 000	57 443 431,31

### Article 24 01 06 — European Anti-fraud Office (OLAF)

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
57 660 000	57 145 000	57 443 431,31

### Remarks

This appropriation is intended to cover expenditure relating to the European Anti-fraud Office (OLAF), the objective of which is to combat fraud within an interinstitutional framework.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 65 000.

At least five additional officials should be made available in Bulgaria and Romania in order to strengthen capacity-building, training measures and knowledge transfer.

### Legal basis

Commission Decision 1999/352/EC, ECSC, Euratom of 28 April 1999 establishing the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 20), in particular Articles 4 and 6(3) thereof.

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8) and in particular Article 11 thereof.

## CHAPTER 24 02 — FIGHT AGAINST FRAUD

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
24 02	Fight against fraud							

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>24 02 01</b>	<b>Operational programmes in the fight against fraud</b>	1.1	15 000 000	10 396 488	14 100 000	11 000 000	13 457 737,56	10 192 362,05
<b>24 02 02</b>	<b>Pericles</b>	1.1	1 000 000	667 664	900 000	700 000	983 516,80	715 681,42
<b>24 02 03</b>	<b>Anti-fraud information system (AFIS)</b>	1.1	6 000 000	4 769 031	5 500 000	4 500 000	5 492 266,08	5 269 392,28
<b>24 02 04</b>	<b>Pilot Project - Developing an EU Evaluation Mechanism in the area of Anti-Corruption with a particular focus on identifying and reducing the costs of corruption in Public Procurement involving EU Funds</b>	5	1 500 000	750 000				
<b>Chapter 24 02 — Total</b>			<b>23 500 000</b>	<b>16 583 183</b>	<b>20 500 000</b>	<b>16 200 000</b>	<b>19 933 520,44</b>	<b>16 177 435,75</b>

### **Article 24 02 01 — Operational programmes in the fight against fraud**

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 000 000	10 396 488	14 100 000	11 000 000	13 457 737,56	10 192 362,05

#### *Remarks*

This appropriation is intended to cover actions or activities organised in the framework of the Hercule II programme in the field of the protection of the Community's financial interests, including in the field of the prevention of and the fight against cigarette smuggling and counterfeiting.

This appropriation covers:

development and improvement of investigative methods and technical resources in the fight against fraud, and improvement in the quality of technical and operational support for investigations more particularly technical assistance to national authorities involved in the fight against fraud, including the fight against cigarette smuggling,

promotion and improvement of cooperation between the Member States and the Union and between practitioners and academics, provision of information and support for activities relating to data access.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p. 2).

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8).

Decision No 804/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote activities in the field of the protection of the Community's financial interests (Hercule programme) (OJ L 143, 30.4.2004, p. 9).

## Article 24 02 02 — *Pericles*

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	667 664	900 000	700 000	983 516,80	715 681,42

### Remarks

This appropriation is intended to finance the Pericles programme for training, exchange and assistance for the protection of the euro against counterfeiting.

### Legal basis

Council Decision 2001/923/EC of 17 December 2001 establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles' programme) (OJ L 339, 21.12.2001, p. 50).

Council Decision 2001/924/EC of 17 December 2001 extending the effects of the Decision establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting ('Pericles' programme) to the Member States which have not adopted the euro as the single currency (OJ L 339, 21.12.2001, p. 55).

### Reference acts

Commission Communication of 22 July 1998 to the Council, the European Parliament and the European Central Bank — 'Protection of the euro — Combating counterfeiting' (COM(1998) 474 final).

Resolution of the European Parliament of 17 November 1998 on the communication from the Commission to the Council, the European Parliament and the European Central Bank, 'Protection of the euro — Combating counterfeiting' (OJ C 379, 7.12.1998, p. 39).

## Article 24 02 03 — *Anti-fraud information system (AFIS)*

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 000 000	4 769 031	5 500 000	4 500 000	5 492 266,08	5 269 392,28

### Remarks

This appropriation is intended to finance the cost of feasibility studies and the development and production of new, dedicated anti-fraud computer applications forming the infrastructure of the Anti-fraud information system (AFIS). AFIS is intended to support competent authorities in preventing and combating fraudulent activities detrimental to the budget of the European Union by ensuring fast and secure exchange of information among relevant authorities in the Member States and between them and the Commission.

### Legal basis

Council Regulation (EC) No 515/97 of 13 March 1997 on mutual assistance between the administrative authorities of the Member States and cooperation between the latter and the Commission to ensure the correct application of the law on customs and agricultural matters (OJ L 82, 22.3.1997, p. 1), and in particular Article 23 thereof.

Regulation (EC) No 766/2008 of the European Parliament and of the Council of 9 July 2008 amending Council Regulation (EC) No 515/97 of March 1997 (OJ L 218, 23.8.2008, p. 48).

**Article 24 02 04 — Pilot Project - Developing an EU Evaluation Mechanism in the area of Anti-Corruption with a particular focus on identifying and reducing the costs of corruption in Public Procurement involving EU Funds**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	750 000				

*Remarks*

*New article*

As foreseen in the Action Plan implementing the Stockholm Program, the European Commission envisages to present a "Communication on a comprehensive policy against corruption in Member States, including the establishment of an evaluation mechanism as well as presenting modalities of cooperation with the Council of Europe's Group of States against Corruption (GRECO) for that purpose".

The anti-corruption policy and evaluation mechanism at the level of EU would answer to (i) the public perception across EU (2007 and 2009 Eurobarometers: more than 75% of the European citizens consider that corruption represents a major problem in their own Member State), and: (ii) the high public expectation for a strengthened EU action (public consultation for Stockholm Programme: 88% of consulted citizens required more EU action in the field of anti-corruption).

A concrete step towards establishing an evaluation mechanism is a pilot project with a particular focus on public procurement involving EU funds that could identify indicators of corruption in the field of public procurement, test them in a limited number of MS and measure the costs of corruption in particular areas. This particular focus in the proposed pilot project is required by the magnitude of EU funds involved across EU and the fact that public procurement is a developed area of the *acquis* in which the Union competence is well established.

Considering that corruption has played an important role in the financial crisis and that it slows down the recovery, the proposed project and the action by the EU become even more urgent.

As a prerequisite, a research component in the pilot project may advance common definitions of corruption in the area of public procurement, so that data in different MS could be compared, and the costs of corruption could be identified based upon common criteria.

The pilot project could be implemented in a limited number of Member States, selected with the view that results are relevant for a follow up at EU level and for future EU policy and legislative action. The findings of the pilot project could then be disseminated throughout the EU.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p.1)



## TITLE 25 — COMMISSION’S POLICY COORDINATION AND LEGAL ADVICE

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
25 01	Administrative expenditure of ‘Commission’s policy coordination and legal advice’ policy area	5	184 629 322	184 629 322	183 340 615	183 340 615	182 401 082,45	182 401 082,45
	40 01 40				374 355 183 714 970	374 355 183 714 970		
25 02	Relations with civil society, openness and information	5	4 439 000	4 439 000	4 183 000	4 183 000	3 999 730,10	3 671 816,44
	<b>Title 25 — Total</b>		<b>189 068 322</b>	<b>189 068 322</b>	<b>187 523 615</b>	<b>187 523 615</b>	<b>186 400 812,55</b>	<b>186 072 898,89</b>
	40 01 40				374 355 187 897 970	374 355 187 897 970		

### CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF ‘COMMISSION’S POLICY COORDINATION AND LEGAL ADVICE’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009
			Commitments	Payments	Commitments	Payments	
25 01	Administrative expenditure of ‘Commission’s policy coordination and legal advice’ policy area						
25 01 01	<i>Expenditure related to staff in active employment in ‘Commission’s policy coordination and legal advice’ policy area</i>						
25 01 01 01	Expenditure related to staff in active employment ‘Commission’s policy coordination and legal advice’ policy area	5	136 064 404	136 064 404	133 378 580	133 378 580	133 240 649,44
	40 01 40				374 355 133 752 935	374 355 133 752 935	
25 01 01 03	Salaries, allowances and payments of members of the institution	5	9 039 000	9 039 000	9 034 000	9 034 000	10 012 415,78
	<i>Article 25 01 01 — Subtotal</i>		145 103 404	145 103 404	142 412 580	142 412 580	143 253 065,22
	40 01 40				374 355 142 786 935	374 355 142 786 935	
25 01 02	<i>External staff and other management expenditure in support of ‘Commission’s policy coordination and legal advice’ policy area</i>						
25 01 02 01	External staff of ‘Commission’s policy coordination and legal advice’ policy area	5	6 255 487	6 255 487	6 022 679	6 022 679	4 528 246,56
25 01 02 03	Special advisers	5	627 000	627 000	649 000	649 000	468 901,08
25 01 02 11	Other management expenditure of ‘Commission’s policy coordination and legal advice’ policy area	5	12 869 896	12 869 896	12 791 601	12 791 601	13 907 112,64
25 01 02 13	Other management expenditure of members of the institution	5	4 631 000	4 631 000	4 631 000	4 631 000	4 542 228,93
	<i>Article 25 01 02 — Subtotal</i>		24 383 383	24 383 383	24 094 280	24 094 280	23 446 489,21
25 01 03	<i>Expenditure related to equipment, furniture and services of ‘Commission policy coordination and legal advice’ policy area</i>	5	10 012 824	10 012 824	9 753 755	9 753 755	10 460 202,82
25 01 06	<i>Better regulation and institutional development</i>						
25 01 06 01	Impact Assessment Board	5	50 000	50 000	80 000	80 000	50 000,00
	<i>Article 25 01 06 — Subtotal</i>		50 000	50 000	80 000	80 000	50 000,00
25 01 07	<i>Quality of legislation</i>						
25 01 07 01	Codification of EU/Community law	5	1 200 000	1 200 000	2 000 000	2 000 000	775 000,00
	<i>Article 25 01 07 — Subtotal</i>		1 200 000	1 200 000	2 000 000	2 000 000	775 000,00

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009
			Commitments	Payments	Commitments	Payments	
<b>25 01 08</b>	<b><i>Legal advice, litigation and infringements</i></b>						
25 01 08 01	Legal expenses	5	3 879 711	3 879 711	4 000 000	4 000 000	4 416 325,20
	<i>Article 25 01 08 — Subtotal</i>		3 879 711	3 879 711	4 000 000	4 000 000	4 416 325,20
<b>25 01 09</b>	<b><i>Pilot Project — Interinstitutional system identifying long-term trends facing the EU</i></b>						
		5	p.m.	p.m.	1 000 000	1 000 000	
	<b>Chapter 25 01 — Total</b>		<b>184 629 322</b>	<b>184 629 322</b>	<b>183 340 615</b>	<b>183 340 615</b>	<b>182 401 082,45</b>
	<b>40 01 40</b>				<b>374 355</b>	<b>374 355</b>	
					<b>183 714 970</b>	<b>183 714 970</b>	

***Article 25 01 01 — Expenditure related to staff in active employment in ‘Commission’s policy coordination and legal advice’ policy area***

Item 25 01 01 01 — Expenditure related to staff in active employment ‘Commission’s policy coordination and legal advice’ policy area

*Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
25 01 01 01	136 064 404	133 378 580	133 240 649,44
40 01 40		374 355	
Total	136 064 404	133 752 935	133 240 649,44

Item 25 01 01 03 — Salaries, allowances and payments of members of the institution

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
9 039 000	9 034 000	10 012 415,78

*Remarks*

This appropriation is intended to cover:

- the basic salaries of members of the Commission,
- the residence allowances of members of the Commission,
- the family allowances of members of the Commission, comprising:
  - household allowance,
  - dependent child allowance,
  - education allowance,
- the representation allowances of members of the Commission,
- the employer’s contribution towards insurance against occupational disease and accident for members of the Commission,
- the employer’s contribution towards sickness insurance for former members of the Commission,
- birth grants,
- in the event of the death of a member of the Commission:
  - the deceased’s full remuneration until the end of the third month following that in which death occurred,
  - the costs of transporting the body to the deceased’s place of origin,
  - the cost of weightings applied to the emoluments and transitional allowances,

the cost of the weighting applied to the part of emoluments transferred to a country other than the country of employment, the cost of any adjustments to remuneration, transitional allowances and pensions approved by the Council during the financial year.

This appropriation is also intended to accommodate any appropriation which may be required to cover:

travel expenses due to members of the Commission (including their families) on taking up duty or leaving the institution, installation and resettlement allowances due to members of the Commission on taking up duty or leaving the institution, removal expenses due to members of the Commission on taking up duty or leaving the institution.

#### *Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 2, 3, 4, 4a, 4b, 5, 11 and 14 thereof.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### ***Article 25 01 02 — External staff and other management expenditure in support of ‘Commission’s policy coordination and legal advice’ policy area***

Item 25 01 02 01 — External staff of ‘Commission’s policy coordination and legal advice’ policy area

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
6 255 487	6 022 679	4 528 246,56

Item 25 01 02 03 — Special advisers

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
627 000	649 000	468 901,08

#### *Remarks*

This appropriation is intended to cover the remuneration of special advisers, their mission expenses and the institution’s accident insurance contributions.

#### *Legal basis*

Conditions of Employment of Other Servants of the European Communities.

Item 25 01 02 11 — Other management expenditure of ‘Commission’s policy coordination and legal advice’ policy area

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
12 869 896	12 791 601	13 907 112,64

Item 25 01 02 13 — Other management expenditure of members of the institution

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 631 000	4 631 000	4 542 228,93

*Remarks*

This appropriation is intended to cover:

travel expenses incurred, daily subsistence allowances and additional or exceptional expenditure incurred on mission, expenditure on the Commission's obligations in respect of entertainment and representation (this expenditure may be incurred individually by the members of the Commission in the fulfilment of their duties and as part of the institution's activities).

Refunds of mission expenses paid for the account of other EU/Community institutions or bodies and for third parties will be available as assigned revenue.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 20 000.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 6 thereof.

Communication of the President of the Commission concerning the Code of conduct for Commissioners (SEC(2004) 1487).

Commission Decision C(2007) 3494 of 18 July 2007 concerning the Regulation on representation and entertainment expenses made by the Commission, the President or the Commissioners.

***Article 25 01 03 — Expenditure related to equipment, furniture and services of 'Commission policy coordination and legal advice' policy area***

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
10 012 824	9 753 755	10 460 202,82

***Article 25 01 06 — Better regulation and institutional development***

Item 25 01 06 01 — Impact Assessment Board

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
50 000	80 000	50 000,00

*Remarks*

This appropriation is intended to cover the financing of specialist studies, consultations, meetings and other activities relating to the Impact Assessment Board.

## Article 25 01 07 — Quality of legislation

### Item 25 01 07 01 — Codification of EU/Community law

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 200 000	2 000 000	775 000,00

#### Remarks

This appropriation is intended to cover expenditure relating to the codification and recasting of EU/Community instruments.

## Article 25 01 08 — Legal advice, litigation and infringements

### Item 25 01 08 01 — Legal expenses

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
3 879 711	4 000 000	4 416 325,20

#### Remarks

This appropriation is intended to cover pre-litigation and mediation costs, and the services of lawyers or other experts called in to advise the Commission.

It also covers costs awarded against the Commission by the Court of Justice of the European Union, or other courts.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 200 000.

## Article 25 01 09 — Pilot Project — Interinstitutional system identifying long-term trends facing the EU

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	1 000 000	1 000 000	

#### Remarks

This pilot project is to set up an interinstitutional system identifying long-term trends on major policy issues facing the EU. This should provide common analyses of probable outcomes on major issues to be available for policy-makers; this is to be co-ordinated between the European Parliament (involving policy departments), the Council and the Commission, using the already well established European Union Institute for Security Studies (EUISS) as a core for the system. This system should be rapidly established to be in full operation by 2012.

## CHAPTER 25 02 — RELATIONS WITH CIVIL SOCIETY, OPENNESS AND INFORMATION

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
25 02	Relations with civil society, openness and information							

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>25 02 01</b>	<b><i>Institutions of European interest</i></b>							
25 02 01 01	Historical archives of the European Union	5	2 176 000	2 176 000	2 020 000	2 020 000	1 786 000,00	1 776 320,00
	<i>Article 25 02 01 — Subtotal</i>		2 176 000	2 176 000	2 020 000	2 020 000	1 786 000,00	1 776 320,00
<b>25 02 04</b>	<b><i>Information and publications</i></b>							
25 02 04 01	Documentary databases	5	800 000	800 000	700 000	700 000	682 093,90	836 100,15
25 02 04 02	Digital publications	5	1 463 000	1 463 000	1 463 000	1 463 000	1 531 636,20	1 059 396,29
	<i>Article 25 02 04 — Subtotal</i>		2 263 000	2 263 000	2 163 000	2 163 000	2 213 730,10	1 895 496,44
	<b>Chapter 25 02 — Total</b>		<b>4 439 000</b>	<b>4 439 000</b>	<b>4 183 000</b>	<b>4 183 000</b>	<b>3 999 730,10</b>	<b>3 671 816,44</b>

### ***Article 25 02 01 — Institutions of European interest***

Item 25 02 01 01 — Historical archives of the European Union

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 176 000	2 176 000	2 020 000	2 020 000	1 786 000,00	1 776 320,00

#### *Remarks*

This appropriation is intended to cover expenditure relating to the management (staff and operating costs) by the European University Institute of the historical archives.

#### *Legal basis*

Council Regulation (EEC, Euratom) No 354/83 of 1 February 1983 concerning the opening to the public of the historical archives of the European Economic Community and the European Atomic Energy Community (OJ L 43, 15.2.1983, p. 1).

Commission Decision No 359/83/ECSC of 8 February 1983 concerning the opening to the public of the historical archives of the European Coal and Steel Community (OJ L 43, 15.2.1983, p. 14).

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### *Reference acts*

Contract between the Commission and the European University Institute, Florence, signed on 17 December 1984.

### ***Article 25 02 04 — Information and publications***

Item 25 02 04 01 — Documentary databases

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
800 000	800 000	700 000	700 000	682 093,90	836 100,15

### Remarks

This appropriation is intended to cover expenditure relating to the Commission's documentary databases on the progress of procedures and official documents, in particular expenditure relating to:

- collecting, preparing, methodically analysing and loading texts and procedures,
- developing, maintaining and operating an integrated system,
- disseminating the information via various electronic media.

It covers expenditure incurred within the territory of the Union.

### Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Item 25 02 04 02 — Digital publications

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 463 000	1 463 000	1 463 000	1 463 000	1 531 636,20	1 059 396,29

### Remarks

This appropriation is intended to cover expenditure on issuing, in whatever medium, the publications provided for in the Treaties and other institutional or reference publications.

Publishing costs cover, among other things, preparation and drafting (including authors' contracts), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the concordance of texts), printing, posting on the Internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of such documents.

### Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Treaty on the Functioning of the European Union, and in particular Article 249(2) thereof.

Treaty establishing the European Atomic Energy Community, and in particular Article 125 thereof.

## TITLE 26 — COMMISSION'S ADMINISTRATION

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
26 01	Administrative expenditure of 'Commission's administration' policy area	1	975 331 658	975 331 658	965 351 471	965 351 471	1 014 452 530,89	1 014 452 530,89
	40 01 40				8 985 997 974 337 468	8 985 997 974 337 468		
26 02	Multimedia production		14 400 000	13 734 809	14 400 000	14 400 000	13 560 385,82	12 795 171,35
26 03	Services to public administrations, businesses and citizens		24 800 000	23 972 136	2 000 000	19 000 000	19 901 437,05	25 415 642,21
	40 02 41				23 100 000 25 100 000	5 750 000 24 750 000		
26 AWBL-13	Public websites							
	<b>Title 26 — Total</b>		<b>1 014 531 658</b>	<b>1 013 038 603</b>	<b>981 751 471</b>	<b>998 751 471</b>	<b>1 047 914 353,76</b>	<b>1 052 663 344,45</b>
	<b>40 01 40, 40 02 41</b>				32 085 997 1 013 837 468	14 735 997 1 013 487 468		

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF 'COMMISSION'S ADMINISTRATION' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
26 01	Administrative expenditure of 'Commission's administration' policy area				
<b>26 01 01</b>	<b>Expenditure related to staff in active employment in 'Commission's administration' policy area</b>	5	101 544 894	100 865 768	102 417 560,29
	40 01 40			283 102 101 148 870	
<b>26 01 02</b>	<b>External staff and other management expenditure in support of 'Commission's administration' policy area</b>				
26 01 02 01	External staff	5	5 639 441	5 331 770	6 527 359,36
26 01 02 11	Other management expenditure	5	18 948 566	13 073 422	21 298 198,61
	40 01 40			5 602 895 18 676 317	
	<i>Article 26 01 02 — Subtotal</i>		24 588 007	18 405 192	27 825 557,97
	40 01 40			5 602 895 24 008 087	
<b>26 01 03</b>	<b>Expenditure related to equipment, furniture and services of 'Commission's administration' policy area</b>	5	7 472 572	7 376 147	8 039 495,01
<b>26 01 04</b>	<b>Support expenditure for operations of 'Commission's administration' policy area</b>				
26 01 04 01	Interoperability Solutions for European Public Administrations (ISA) — Expenditure on administrative management	1.1	600 000	p.m.	542 394,00
	40 01 40			700 000 700 000	
	<i>Article 26 01 04 — Subtotal</i>		600 000	p.m.	542 394,00
	40 01 40			700 000 700 000	
<b>26 01 09</b>	<b>Administrative support of the Publications Office</b>				
26 01 09 01	Publications Office	5	83 550 000	84 082 000	89 559 304,80
	<i>Article 26 01 09 — Subtotal</i>		83 550 000	84 082 000	89 559 304,80
<b>26 01 10</b>	<b>Consolidation of EU law</b>				



Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
26 01 10 01	Consolidation of EU law	5	1 500 000	2 000 000	2 093 580,98
	<i>Article 26 01 10 — Subtotal</i>		1 500 000	2 000 000	2 093 580,98
<b>26 01 11</b>	<b>Official Journal of the European Union (L and C)</b>				
26 01 11 01	Official Journal of the European Union	5	12 178 000	13 500 000	12 941 132,09
	<i>Article 26 01 11 — Subtotal</i>		12 178 000	13 500 000	12 941 132,09
<b>26 01 20</b>	<b>European Personnel Selection Office</b>	5	26 605 000	30 993 000	27 138 816,29
<b>26 01 21</b>	<b>Office for the Administration and Payment of Individual Entitlements</b>	5	34 740 000	33 728 000	39 423 954,52
<b>26 01 22</b>	<b>Office for Infrastructure and Logistics (Brussels)</b>				
26 01 22 01	Office for Infrastructure and Logistics in Brussels	5	67 981 000	67 343 000	65 401 973,88
26 01 22 02	Acquisition and renting of buildings in Brussels	5	206 758 532	213 658 520	214 415 124,00
26 01 22 03	Expenditure related to buildings in Brussels	5	78 589 871	75 658 229	87 125 700,17
26 01 22 04	Expenditure for equipment in Brussels	5	7 087 432	4 902 115	5 361 075,58
	40 01 40			2 400 000 7 302 115	
26 01 22 05	Services and other operating expenditure in Brussels	5	5 868 045	7 219 091	6 130 825,00
	<i>Article 26 01 22 — Subtotal</i>		366 284 880	368 780 955	378 434 698,63
	40 01 40			2 400 000 371 180 955	
<b>26 01 23</b>	<b>Office for Infrastructure and Logistics (Luxembourg)</b>				
26 01 23 01	Office for Infrastructure and Logistics in Luxembourg	5	24 815 000	24 430 000	23 500 433,83
26 01 23 02	Acquisition and renting of buildings in Luxembourg	5	41 108 000	42 844 000	55 906 993,71
26 01 23 03	Expenditure related to buildings in Luxembourg	5	16 897 000	15 720 000	17 569 652,56
26 01 23 04	Expenditure for equipment in Luxembourg	5	657 000	714 000	594 948,76
26 01 23 05	Services and other operating expenditure in Luxembourg	5	718 000	718 000	688 713,30
26 01 23 06	Guarding of buildings in Luxembourg	5	5 600 000	5 535 000	5 443 414,68
	<i>Article 26 01 23 — Subtotal</i>		89 795 000	89 961 000	103 704 156,84
<b>26 01 40</b>	<b>Security</b>				
26 01 40 01	Security and monitoring	5	7 685 982	6 915 000	6 046 002,42
26 01 40 02	Guarding of buildings in Brussels	5	31 276 369	31 949 185	33 817 617,07
	<i>Article 26 01 40 — Subtotal</i>		38 962 351	38 864 185	39 863 619,49
<b>26 01 49</b>	<b>Administrative appropriations carried over automatically</b>	5	p.m.	p.m.	0,—
<b>26 01 50</b>	<b>Personnel policy and management</b>				
26 01 50 01	Medical service	5	6 348 512	6 682 325	6 906 680,80
26 01 50 02	Competitions, selection and recruitment expenditure	5	1 950 379	2 350 320	1 847 491,81
26 01 50 04	Interinstitutional cooperation in the social sphere	5	8 078 518	8 554 535	14 240 105,99
26 01 50 06	Institution officials temporarily assigned to national civil services, to international organisations or to public or private institutions or undertakings	5	400 000	520 000	361 272,41
26 01 50 07	Damages	5	150 000	200 000	188 300,00
26 01 50 08	Miscellaneous insurances	5	58 000	55 000	60 786,59
26 01 50 09	Language courses	5	4 062 554	4 221 000	4 022 450,01
	<i>Article 26 01 50 — Subtotal</i>		21 047 963	22 583 180	27 627 087,61
<b>26 01 51</b>	<b>European Schools</b>				
26 01 51 01	Office of the Secretary-General of the European Schools (Brussels)	5	8 632 000	8 554 000	8 357 291,00
26 01 51 02	Brussels I (Uccle)	5	25 332 000	24 197 011	23 166 437,00
26 01 51 03	Brussels II (Woluwe)	5	22 729 000	22 314 024	22 443 015,00
26 01 51 04	Brussels III (Ixelles)	5	23 358 000	21 602 655	20 996 834,00
26 01 51 05	Brussels IV (Transition)	5	6 897 000	4 839 706	5 416 904,07
26 01 51 11	Luxembourg I	5	27 289 000	26 418 440	26 092 200,00
26 01 51 12	Luxembourg II	5	4 938 000	4 832 925	4 597 356,00
26 01 51 21	Mol (BE)	5	6 963 000	7 019 115	6 675 350,00
26 01 51 22	Frankfurt am Main (DE)	5	6 554 000	4 366 031	6 528 974,00
26 01 51 23	Karlsruhe (DE)	5	2 530 991	3 133 263	3 885 305,00
26 01 51 24	Munich (DE)	5	371 000	494 527	204 477,30

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
26 01 51 25	Alicante (ES)	5	7 762 000	7 057 719	6 459 740,00
26 01 51 26	Varese (IT)	5	11 128 000	10 163 733	10 459 119,00
26 01 51 27	Bergen (NL)	5	4 981 000	4 946 035	4 522 282,00
26 01 51 28	Culham (UK)	5	4 498 000	4 272 860	5 035 888,00
26 01 51 31	EU contribution to the Type 2 European Schools	5	2 500 000	p.m.	
	<i>Article 26 01 51 — Subtotal</i>		166 462 991	154 212 044	154 841 172,37
	<b>Chapter 26 01 — Total</b>		<b>975 331 658</b>	<b>965 351 471</b>	<b>1 014 452 530,89</b>
	<b>40 01 40</b>			<b>8 985 997</b>	
				<b>974 337 468</b>	

***Article 26 01 01 — Expenditure related to staff in active employment in ‘Commission’s administration’ policy area***

*Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
26 01 01	101 544 894	100 865 768	102 417 560,29
40 01 40		283 102	
Total	101 544 894	101 148 870	102 417 560,29

***Article 26 01 02 — External staff and other management expenditure in support of ‘Commission’s administration’ policy area***

Item 26 01 02 01 — External staff

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
5 639 441	5 331 770	6 527 359,36

Item 26 01 02 11 — Other management expenditure

*Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
26 01 02 11	18 948 566	13 073 422	21 298 198,61
40 01 40		5 602 895	
Total	18 948 566	18 676 317	21 298 198,61

*Remarks*

***Article 26 01 03 — Expenditure related to equipment, furniture and services of ‘Commission’s administration’ policy area***

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
7 472 572	7 376 147	8 039 495,01

## **Article 26 01 04 — Support expenditure for operations of ‘Commission’s administration’ policy area**

Item 26 01 04 01 — Interoperability Solutions for European Public Administrations (ISA) — Expenditure on administrative management

### *Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
26 01 04 01	600 000	p.m.	542 394,00
40 01 40		700 000	
Total	600 000	700 000	542 394,00

### *Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices’ contracts expire during subsequent years.

To the appropriations entered in this Item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Community/EU programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, pursuant to Article 18(1)(d) of the Financial Regulation.

### *Legal basis*

See Item 26 03 01 01.

## **Article 26 01 09 — Administrative support of the Publications Office**

Item 26 01 09 01 — Publications Office

### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
83 550 000	84 082 000	89 559 304,80

### *Remarks*

The amount entered corresponds to the appropriations for the Publications Office set out in detail in a specific annex to this part of the statement of expenditure for this section.

On the basis of the Office’s cost-accounting forecasts, the cost of the services it will perform for each institution is estimated as follows:

Parliament	9 571 823	11,22 %
Council	7 064 701	8,28 %
Commission	55 996 350	65,62 %
Court of Justice	3 309 125	3,88 %
Court of Auditors	1 166 281	1,37 %
European Economic and Social Committee	1 327 313	1,56 %

Committee of the Regions	461 707	0,54 %
Other	6 432 700	7,54 %
Total	85 330 000	100,00 %

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 8 750 700.

#### *Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Articles 171 to 175 thereof.

Decision 2009/496/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

### **Article 26 01 10 — Consolidation of EU law**

#### Item 26 01 10 01 — Consolidation of EU law

##### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 500 000	2 000 000	2 093 580,98

##### *Remarks*

This appropriation is intended to cover expenditure on the consolidation of Community/EU instruments and on making available to the public, in all forms and on all publishing media, consolidated Community/EU instruments in all the official languages of the European Union.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100.

#### *Legal basis*

Conclusions of the Edinburgh European Council, December 1992 (SN/456/92), in particular Annex 3 to Part A, p. 5.

Declaration on the quality of the drafting of Community legislation, annexed to the Final Act of the Treaty of Amsterdam.

Decision 2009/496/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

### **Article 26 01 11 — Official Journal of the European Union (L and C)**

#### Item 26 01 11 01 — Official Journal of the European Union

##### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
12 178 000	13 500 000	12 941 132,09

##### *Remarks*

This appropriation is intended to cover expenditure on the publication, in all forms — including distribution, cataloguing, indexation and archiving — of the *Official Journal of the European Union*.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 462 000.

### *Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 297 thereof.

Council Regulation No 1 of 15 April 1958 determining the languages to be used by the European Economic Community (OJ 17, 6.10.1958, p. 385).

Council Decision of 15 September 1958 creating the *Official Journal of the European Communities* (OJ 17, 6.10.1958, p. 390).

Decision of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (2009/496/EC, OJ L 168, 30.6.2009, p. 41).

## **Article 26 01 20 — European Personnel Selection Office**

### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
26 605 000	30 993 000	27 138 816,29

### *Remarks*

The amount entered corresponds to the appropriations for the European Personnel Selection Office set out in detail in a specific annex to this part of the statement of expenditure for this section.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 359 000.

### *Legal basis*

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

## **Article 26 01 21 — Office for the Administration and Payment of Individual Entitlements**

### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
34 740 000	33 728 000	39 423 954,52

### *Remarks*

The amount entered corresponds to the appropriations for the Office for the Administration and Payment of Individual Entitlements set out in detail in a specific annex to this part of the statement of expenditure for this section.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 4 000 000.

### *Legal basis*

Commission Decision 2003/522/EC of 6 November 2002 establishing an Office for the Administration and Payment of Individual Entitlements (OJ L 183, 22.7.2003, p. 30).

## **Article 26 01 22 — Office for Infrastructure and Logistics (Brussels)**

### **Item 26 01 22 01 — Office for Infrastructure and Logistics in Brussels**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
67 981 000	67 343 000	65 401 973,88

#### *Remarks*

The amount entered corresponds to the appropriations for the Office for Infrastructure and Logistics in Brussels set out in detail in a specific annex to this part of the statement of expenditure for this section.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 5 965 000.

#### *Legal basis*

Commission Decision 2003/523/EC of 6 November 2002 establishing an Office for Infrastructure and Logistics in Brussels (OJ L 183, 22.7.2003, p. 35).

### **Item 26 01 22 02 — Acquisition and renting of buildings in Brussels**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
206 758 532	213 658 520	214 415 124,00

#### *Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- rent and ground rent relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 554 704.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 13 976 453.

#### *Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Item 26 01 22 03 — Expenditure related to buildings in Brussels

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
78 589 871	75 658 229	87 125 700,17

### Remarks

This appropriation is intended to cover the following expenditure incurred within Union territory:

the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,

water, gas, electricity and heating charges,

maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

expenditure on the selective treatment, storage and removal of waste,

the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

expenses relating to conducting the audit of accessibility of buildings to persons with disabilities and/or reduced mobility and the introduction of necessary adaptations pursuant to such an audit so as to make buildings fully accessible to all visitors,

the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,

other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),

technical assistance fees relating to major fitting-out operations for premises.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 207 326.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 3 815 000.

### Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 26 01 22 04 — Expenditure for equipment in Brussels

*Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
26 01 22 04	7 087 432	4 902 115	5 361 075,58
40 01 40		2 400 000	
Total	7 087 432	7 302 115	5 361 075,58

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:

equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),

audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpreting facilities, etc.),

kitchen fittings and restaurant equipment,

various tools for building-maintenance shops,

facilities required for officials with disabilities,

as well as studies, documentation and training relating to such equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

the purchase, hire, maintenance and repair of vehicles, and in particular:

new purchases of vehicles, including at least one vehicle adapted for transporting persons with reduced mobility,

the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,

the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet, or when the vehicle fleet does not cater for needs of passengers with reduced mobility,

the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),

various types of insurance (in particular third-party liability and insurance against theft) and the insurance costs referred to in Article 75 of the Financial Regulation,

purchase of tickets (one-way ticket and business pass), free access to public transport routes to facilitate mobility between Commission buildings or between Commission buildings and public buildings (e.g. airport), service bicycles and any other means encouraging the use of public transporting and Commission staff mobility, with the exception of service vehicles,

expenditure on inputs for protocol restaurant services.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 19 034.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 2 830 000.



The creation of a specific appropriation for reimbursing public transport season tickets is a modest but crucial measure to confirm the EU institutions' commitment to reducing their CO<sub>2</sub> emissions in line with their Eco-Management and Audit Scheme (EMAS) policy and the agreed climate change objectives.

#### *Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

### Item 26 01 22 05 — Services and other operating expenditure in Brussels

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
5 868 045	7 219 091	6 130 825,00

#### *Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,

expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,

expenditure relating to the provision of protocol restaurant services.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 15 776.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 367 000.

#### *Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## **Article 26 01 23 — Office for Infrastructure and Logistics (Luxembourg)**

### **Item 26 01 23 01 — Office for Infrastructure and Logistics in Luxembourg**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
24 815 000	24 430 000	23 500 433,83

#### *Remarks*

The amount entered corresponds to the appropriations for the Office for Infrastructure and Logistics in Luxembourg set out in detail in a specific annex to this part of the statement of expenditure for this section.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 375 000.

#### *Legal basis*

Commission Decision 2003/524/EC of 6 November 2002 establishing an Office for Infrastructure and Logistics in Luxembourg (OJ L 183, 22.7.2003, p. 40).

### **Item 26 01 23 02 — Acquisition and renting of buildings in Luxembourg**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
41 108 000	42 844 000	55 906 993,71

#### *Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- rent and ground rent relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 105 710.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 557 000.

#### *Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Item 26 01 23 03 — Expenditure related to buildings in Luxembourg

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
16 897 000	15 720 000	17 569 652,56

### Remarks

This appropriation is intended to cover the following expenditure incurred within the Union territory:

the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,

water, gas, electricity and heating charges,

maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

expenditure on the selective treatment, storage and removal of waste,

the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets, training courses and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

expenses relating to conducting the audit of accessibility of buildings to persons with disabilities and/or reduced mobility and the introduction of necessary adaptations pursuant to such an audit so as to make buildings fully accessible to all visitors,

the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,

other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),

technical assistance fees relating to major fitting-out operations for premises.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 43 450.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 260 000.

### Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 26 01 23 04 — Expenditure for equipment in Luxembourg

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
657 000	714 000	594 948,76

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union territory:

the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:

equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),

audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpreting facilities, etc.),

kitchen fittings and restaurant equipment,

various tools for building-maintenance shops,

facilities required for officials with disabilities,

as well as studies, documentation and training relating to such equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

the purchase, hire, maintenance and repair of vehicles, and in particular:

new purchases of vehicles, including at least one vehicle adapted for transporting persons with reduced mobility,

the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,

the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet, or when the vehicle fleet does not cater for needs of passengers with reduced mobility,

the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),

various types of insurance (in particular third-party liability and insurance against theft) and the insurance costs referred to in Article 75 of the Financial Regulation.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

#### Item 26 01 23 05 — Services and other operating expenditure in Luxembourg

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
718 000	718 000	688 713,30

##### Remarks

This appropriation is intended to cover the following expenditure incurred within the Union territory:

departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,

expenditures on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

##### Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### Item 26 01 23 06 — Guarding of buildings in Luxembourg

##### Figures

Budget 2011	Appropriations 2010	Outturn 2009
5 600 000	5 535 000	5 443 414,68

##### Remarks

This appropriation is intended to cover the following expenditure incurred within Union territory:

expenses concerned with the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations, training courses and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets, training courses and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract).

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

#### *Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 26 01 40 — Security**

#### Item 26 01 40 01 — Security and monitoring

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
7 685 982	6 915 000	6 046 002,42

#### *Remarks*

This appropriation is intended to cover expenses concerned with:

the physical and material security of persons and property, in particular the purchase, hiring or leasing, maintenance, repair, installation and replacement of technical security equipment,

the health and safety of individuals at work, in particular statutory inspection costs (inspection of technical installations in buildings, safety coordinator and health and hygiene inspections of foodstuffs), the purchase, hire and maintenance of fire-fighting equipment and expenditure on training and equipment for leading fire fighters (ECI) and fire pickets (EPI), whose presence in the buildings is required by law.

Before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 744 000.

#### *Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Item 26 01 40 02 — Guarding of buildings in Brussels

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
31 276 369	31 949 185	33 817 617,07

### Remarks

This appropriation is intended to cover expenditure for the guarding surveillance, access control and other related services for buildings occupied by the Commission (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract).

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 4 027 000.

### Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 26 01 49 — Administrative appropriations carried over automatically

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

### Remarks

This Article is intended to accommodate the administrative appropriations carried over automatically in accordance with Article 9(4) of the Financial Regulation which correspond to headings broken down by policy area in the budget.

## Article 26 01 50 — Personnel policy and management

### Item 26 01 50 01 — Medical service

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
6 348 512	6 682 325	6 906 680,80

### Remarks

This appropriation is intended to cover:

the cost of annual check-ups and pre-recruitment medical examinations, equipment and pharmaceutical products, working tools and special furniture required on medical grounds and the administrative costs of the Invalidity Committee,

the cost of medical, paramedical and psycho-social personnel employed under local law contracts or as occasional replacements, and the cost of external services by medical specialists considered necessary by the medical officers,

the cost of pre-recruitment medical examinations for assistants at the childminding centres,

the cost of health checks for staff exposed to radiation,

the purchase or reimbursement of equipment in connection with the application of Directives 89/391/EEC and 90/270/EEC.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 891 000.

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union (for which expenditure is entered in Item 16 01 03 03).

#### *Legal basis*

Staff Regulations of Officials of the European Communities.

Treaty establishing the European Atomic Energy Community, in particular Chapter III thereof.

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

National legislation on basic standards.

### Item 26 01 50 02 — Competitions, selection and recruitment expenditure

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 950 379	2 350 320	1 847 491,81

#### *Remarks*

This appropriation is intended to cover:

- costs linked to recruitment and selection for management posts,
- expenditure on inviting successful candidates to employment interviews,
- expenditure on inviting officials and other staff in delegations to take part in competitions and selection procedures,
- the cost of organising the competitions and selection procedures provided for in Article 3 of Decision 2002/620/EC.

In cases duly substantiated on grounds of functional requirements and after the European Communities Personnel Selection Office has been consulted, this appropriation can be used for competitions organised by the institution itself.

This appropriation does not cover expenditure on the personnel for whom appropriations are entered under Articles 01 04 and 01 05 of the titles concerned.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 140 000.

#### *Legal basis*

Staff Regulations of Officials of the European Communities.

Conditions of Employment of Other Servants of the European Communities.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).



## Item 26 01 50 04 — Interinstitutional cooperation in the social sphere

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
8 078 518	8 554 535	14 240 105,99

### Remarks

This appropriation is intended to cover:

expenditure on producing and developing the Commission's intranet site (*IntraComm*) and the in-house weekly, *Commission en direct*,

other expenditure on internal information and communication, including promotion campaigns,

temporary staff working in the after-school childminding centres, holiday centres and open-air centres run by Commission departments,

reproduction work which cannot be handled in-house and has to be sent out,

expenditure on private-law contracts with persons replacing the regular nurses and children's nurses at the crèches,

some of the costs of the recreation centre, cultural activities, subsidies to staff clubs, and the management of, and extra equipment for, sports centres,

projects to promote social contact between staff of different nationalities and the integration of staff and their families and preventative projects to meet the needs of staff in service and their families,

a contribution towards the expenses incurred by members of staff for activities such as home helps, legal advice, open-air centres for children, and courses in languages and the arts,

the cost of reception facilities for new officials and other staff and their families and assistance in accommodation matters for staff,

expenditure on assistance in kind which may be provided to an official, a former official or survivors of a deceased official who are in particularly difficult circumstances,

certain expenditure on the early childhood centres and other crèches and childcare facilities; the revenue from the parental contribution will be available for re-use,

expenditure on recognition events for officials, and in particular the cost of medals for 20 years' service and the retirement gift,

specific payments to recipients of Community pensions and those entitled under them and to any surviving dependents who are in particularly difficult circumstances,

financing preventative projects to meet the specific needs of former staff in the various countries of the European Union and contributions to associations of former staff.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

In respect of the policy to assist disabled persons in the following categories:

officials and other staff in active employment,

spouses of officials and temporary staff in active employment,

all dependent children within the meaning of the Staff Regulations of Officials of the European Communities,

within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, this appropriation covers any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from their disability.

This appropriation is intended to cover some of the expenditure on schooling children who for unavoidable educational reasons are not or are no longer admitted to European Schools, or cannot attend a European School because of the place of work of either parent (external offices).

This appropriation covers expenditure incurred within the territory of the Union, excluding representation offices in the Union (for which expenditure is entered in Item 16 01 03 03).

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 6 695 000.

*Legal basis*

Staff Regulations of Officials of the European Communities.

Item 26 01 50 06 — Institution officials temporarily assigned to national civil services, to international organisations or to public or private institutions or undertakings

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
400 000	520 000	361 272,41

*Remarks*

This appropriation is intended to cover supplementary expenses arising from the secondment of Union officials, i.e. to pay the allowances and reimburse the expenditure to which secondment entitles them.

It is also intended to cover costs relating to special training schemes with authorities and bodies in Member States and non-member countries.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Communities.

Item 26 01 50 07 — Damages

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
150 000	200 000	188 300,00

*Remarks*

This appropriation is intended to cover:

damages and the cost of settling claims against the Commission (third-party liability) in connection with the institution's own staff and administrative processes,

expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim whatsoever arising therefrom.

Item 26 01 50 08 — Miscellaneous insurances

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
58 000	55 000	60 786,59

*Remarks*

This appropriation is intended to cover expenditure on civil liability for operations and other contracts managed by the Office for the Administration and Payment of Individual Entitlements on behalf of the Commission, agencies, the Joint Research Committee, Delegations and Representations, and Indirect Research.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 000.

### Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Item 26 01 50 09 — Language courses

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
4 062 554	4 221 000	4 022 450,01

#### Remarks

This appropriation is intended to cover:

- the cost of organising language courses for officials and other staff,
- the cost of organising language courses for the spouses of officials and other staff, with due regard for integration policy,
- the purchase of material and documentation,
- the consultation of experts.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 842 000.

### Legal basis

Staff Regulations of Officials of the European Communities.

### Article 26 01 51 — European Schools

### Item 26 01 51 01 — Office of the Secretary-General of the European Schools (Brussels)

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
8 632 000	8 554 000	8 357 291,00

#### Remarks

This appropriation is intended to contribute to the financing of the Office of the Secretary-General of the European Schools (Brussels).

European Schools must comply with the principles of non-discrimination and equal opportunities.

#### Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

### Item 26 01 51 02 — Brussels I (Uccle)

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
25 332 000	24 197 011	23 166 437,00

#### Remarks

This appropriation is intended to contribute to the budget of the European School in Brussels-Uccle (Brussels I).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 03 — Brussels II (Woluwe)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
22 729 000	22 314 024	22 443 015,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Woluwe (Brussels II).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 04 — Brussels III (Ixelles)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
23 358 000	21 602 655	20 996 834,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Ixelles (Brussels III).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 05 — Brussels IV (Transition)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
6 897 000	4 839 706	5 416 904,07

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels IV (transition).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 11 — Luxembourg I***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
27 289 000	26 418 440	26 092 200,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Luxembourg I.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 12 — Luxembourg II***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 938 000	4 832 925	4 597 356,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Luxembourg II.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 21 — Mol (BE)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
6 963 000	7 019 115	6 675 350,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Mol.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 22 — Frankfurt am Main (DE)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
6 554 000	4 366 031	6 528 974,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Frankfurt am Main.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 23 — Karlsruhe (DE)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 530 991	3 133 263	3 885 305,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Karlsruhe.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 24 — Munich (DE)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
371 000	494 527	204 477,30

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Munich.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 25 — Alicante (ES)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
7 762 000	7 057 719	6 459 740,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Alicante.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 26 — Varese (IT)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
11 128 000	10 163 733	10 459 119,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Varese.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

**Item 26 01 51 27 — Bergen (NL)***Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 981 000	4 946 035	4 522 282,00

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Bergen.

### Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

### Item 26 01 51 28 — Culham (UK)

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
4 498 000	4 272 860	5 035 888,00

#### Remarks

This appropriation is intended to contribute to the budget of the European School in Culham.

### Reference acts

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

### Item 26 01 51 31 — EU contribution to the Type 2 European Schools

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
2 500 000	p.m.	

#### Remarks

#### New item

This appropriation is intended to cover the Commission contribution to the Type 2 European Schools accredited by the Board of Governors of the European Schools and who have signed the financial agreement with the Commission.

### Reference acts

Commission Decision C(2009) 7719 of 14 October 2009.

## CHAPTER 26 02 — MULTIMEDIA PRODUCTION

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
26 02	Multimedia production							
26 02 01	<i>Procedures for awarding and advertising public supply, works and service contracts</i>	1.1	14 400 000	13 734 809	14 400 000	14 400 000	13 560 385,82	12 795 171,35
	<b>Chapter 26 02 — Total</b>		<b>14 400 000</b>	<b>13 734 809</b>	<b>14 400 000</b>	<b>14 400 000</b>	<b>13 560 385,82</b>	<b>12 795 171,35</b>

### Article 26 02 01 — Procedures for awarding and advertising public supply, works and service contracts

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 400 000	13 734 809	14 400 000	14 400 000	13 560 385,82	12 795 171,35

## Remarks

This appropriation is intended to cover the costs of:

collecting, processing, publishing and disseminating notices of public contracts put up for tender in the Union and non-member countries on various media, and of incorporating them in the eProcurement services offered by the institutions to firms and contracting authorities. This includes the cost of translating the notices of public contracts published by the institutions, promoting the use of new techniques for collecting and disseminating notices of public contracts by electronic means, developing and exploiting eProcurement services for the various contract award stages.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 480 000.

## Legal basis

Council Regulation No 1 of 15 April 1958 determining the languages to be used by the European Economic Community (OJ 17, 6.10.1958, p. 385).

Council Decision of 15 September 1958 to create the Official Journal of the European Communities (OJ 17, 6.10.1958, p. 390).

Council Regulation (EEC) No 2137/85 of 25 July 1985 on the European Economic Interest Grouping (EEIG) (OJ L 199, 31.7.1985, p. 1).

Council Directive 89/665/EEC of 21 December 1989 on the coordination of the laws, regulations and administrative provisions relating to the application of review procedures to the award of public supply and public works contracts (OJ L 395, 30.12.1989, p. 33).

Council Directive 92/13/EEC of 25 February 1992 coordinating the laws, regulations and administrative provisions relating to the application of Community rules on the procurement procedures of entities operating in the water, energy, transport and telecommunications sectors (OJ L 76, 23.3.1992, p. 14).

Decision 94/1/ECSC, EC of the Council and of the Commission of 13 December 1993 on the conclusion of the Agreement on the European Economic Area between the European Communities, their Member States and the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation (OJ L 1, 3.1.1994, p. 1).

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986 to 1994) (OJ L 336, 23.12.1994, p. 1).

Directive 2001/17/EC of the European Parliament and the Council of 19 March 2001 on the reorganisation and winding-up of insurance undertakings (OJ L 110, 20.4.2001, p. 28).

Council Regulation (EC) No 2157/2001 of 8 October 2001 on the Statute for a European company (SE) (OJ L 294, 10.11.2001, p. 1).

Decision 2002/309/EC, Euratom of the Council and of the Commission as regards the Agreement on Scientific and Technological Cooperation, of 4 April 2002 on the conclusion of seven Agreements with the Swiss Confederation (OJ L 114, 30.4.2002, p. 1), and in particular the Agreement between the European Community and the Swiss Confederation on Certain Aspects of Government Procurement (OJ L 114, 30.4.2002, p. 430).

Regulation (EC) No 2195/2002 of the European Parliament and of the Council of 5 November 2002 on the Common Procurement Vocabulary (CPV) (OJ L 340, 16.12.2002, p. 1).

Council Regulation (EC) No 1435/2003 of 22 July 2003 on the Statute for a European Cooperative Society (SCE) (OJ L 207, 18.8.2003, p. 1).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Decision 2005/15/EC of the Commission of 7 January 2005 on the detailed rules for the application of the procedure provided for in Article 30 of Directive 2004/17/EC of the European Parliament and of the Council coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 7, 11.1.2005, p. 7).

Commission Regulation (EC) No 1564/2005 of 7 September 2005 establishing standard forms for the publication of notices in the field of public procurement (OJ L 257, 1.10.2005, p. 1).



Commission Regulation (EC) No 2083/2005 of 19 December 2005 amending Directives 2004/17/EC and 2004/18/EC of the European Parliament and of the Council in respect of their application thresholds for the procedures for the award of contracts (OJ L 333, 20.12.2005, p. 28).

Regulation (EC) No 1082/2006 of the European Parliament and of the Council of 5 July 2006 on a European grouping of territorial cooperation (EGTC) (OJ L 210, 31.7.2006, p. 19).

Decision 2007/497/EC of the European Central Bank of 3 July 2007 laying down the Rules on Procurement (ECB/2007/5) (OJ L 184, 14.7.2007, p. 34).

Regulation (EC) No 1370/2007 of the European Parliament and of the Council of 23 October 2007 on public passenger transport services by rail and by road and repealing Council Regulations (EEC) Nos 1191/69 and 1107/70 (OJ L 315, 3.12.2007, p. 1).

Directive 2009/81/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of procedures for the award of certain works contracts, supply contracts and service contracts by contracting authorities or entities in the fields of defence and security, and amending Directives 2004/17/EC and 2004/18/EC (OJ L 216, 20.8.2009, p. 76).

## CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
26 03	Services to public administrations, businesses and citizens							
<b>26 03 01</b>	<b>Networks for the interchange of data between administrations</b>							
26 03 01 01	Interoperability Solutions for European Public Administrations (ISA)	1.1	24 800 000	12 924 074	p.m.	p.m.		
	40 02 41				23 100 000	5 750 000		
					23 100 000	5 750 000		
26 03 01 02	Completion of previous IDA and IDABC programmes	1.1	p.m.	9 538 062	p.m.	17 250 000	18 901 437,05	24 805 466,47
	<i>Article 26 03 01 — Subtotal</i>		24 800 000	22 462 136	p.m.	17 250 000	18 901 437,05	24 805 466,47
	40 02 41				23 100 000	5 750 000		
					23 100 000	23 000 000		
<b>26 03 02</b>	<b>Erasmus public administration programme</b>	5	—	510 000	—	750 000	1 000 000,00	610 175,74
<b>26 03 03</b>	<b>Erasmus public administration programme</b>	5	p.m.	1 000 000	2 000 000	1 000 000		
	<b>Chapter 26 03 — Total</b>		<b>24 800 000</b>	<b>23 972 136</b>	<b>2 000 000</b>	<b>19 000 000</b>	<b>19 901 437,05</b>	<b>25 415 642,21</b>
	40 02 41				23 100 000	5 750 000		
					25 100 000	24 750 000		

### Article 26 03 01 — Networks for the interchange of data between administrations

Item 26 03 01 01 — Interoperability Solutions for European Public Administrations (ISA)

### Figures

	Budget 2011		Appropriations 2010		Outturn 2009
	Commitments	Payments	Commitments	Payments	
26 03 01 01	24 800 000	12 924 074	p.m.	p.m.	
40 02 41			23 100 000	5 750 000	
Total	24 800 000	12 924 074	23 100 000	5 750 000	

### Remarks

On 29 September 2008 the Commission proposed a programme on ‘Interoperability Solutions for European Public Administrations’ (ISA) as a successor to the IDABC programme, which came to an end in December 2009.

The ISA programme aims at facilitating the efficient and effective electronic cross-border and cross-sector interaction between European public administrations in support of the delivery of electronic public services.

In order to do so, the ISA programme must contribute to establishing the related organisational, financial and operational framework by ensuring the availability of common frameworks, common services and generic tools and by increasing the awareness of ICT aspects of Union legislation.

The ISA programme will thus contribute to the reinforcement and implementation of Union policies and legislation.

The programme is implemented in close cooperation and coordination with Member States and sectors, via studies, projects and accompanying measures.

To the appropriations entered in this Item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Community/EU programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

#### *Legal basis*

Decision No 922/2009/EC of the European Parliament and of the Council of 16 September 2009 on interoperability solutions for European public administrations (ISA) (OJ L 260, 3.10.2009, p. 20).

### Item 26 03 01 02 — Completion of previous IDA and IDABC programmes

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	9 538 062	p.m.	17 250 000	18 901 437,05	24 805 466,47

#### *Remarks*

This appropriation is intended to cover the clearance of commitments made under the previous IDABC programme.

To the appropriations entered in this Item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### *Legal basis*

Decision 2004/387/EC of the European Parliament and of the Council of 21 April 2004 on interoperable delivery of pan-European eGovernment services to public administrations, businesses and citizens (IDABC) (OJ L 181, 18.5.2004, p. 25).

### **Article 26 03 02 — Erasmus public administration programme**

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	510 000	—	750 000	1 000 000,00	610 175,74

#### Remarks

This appropriation is intended to cover completion costs of the pilot project 'Erasmus public administration programme'.

#### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Article 26 03 03 — Erasmus public administration programme

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
p.m.	1 000 000	2 000 000	1 000 000	

#### Remarks

This preparatory action is intended to ensure continued implementation of the measures funded under the Erasmus public administration programme pilot project and to explore how those measures might be taken further.

#### Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## CHAPTER 26 AWBL-13 — PUBLIC WEBSITES

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments

## TITLE 27 — BUDGET

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
27 01	Administrative expenditure of 'Budget' policy area 40 01 40	5	68 778 677	68 778 677	68 135 786 111 533 68 247 319	68 135 786 111 533 68 247 319	62 595 305,94	62 595 305,94
27 02	Budget implementation, control and discharge		p.m.	p.m.	p.m.	p.m.	209 112 912,00	209 112 912,00
	<b>Title 27 — Total</b> <b>40 01 40</b>		<b>68 778 677</b>	<b>68 778 677</b>	<b>68 135 786</b> 111 533 68 247 319	<b>68 135 786</b> 111 533 68 247 319	<b>271 708 217,94</b>	<b>271 708 217,94</b>

## CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF 'BUDGET' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
27 01	Administrative expenditure of 'Budget' policy area				
<b>27 01 01</b>	<b>Expenditure related to staff in active employment of 'Budget' policy area</b> 40 01 40	5	40 080 987	39 737 880 111 533 39 849 413	40 175 481,25
<b>27 01 02</b>	<b>External staff and other management expenditure in support of 'Budget' policy area</b>				
27 01 02 01	External staff of the Directorate-General for the Budget	5	4 390 430	4 451 735	6 058 298,61
27 01 02 09	External staff — Non-decentralised management	5	2 588 598	4 586 547	
27 01 02 11	Other management expenditure of the Directorate-General for the Budget	5	8 237 642	7 780 024	11 669 175,72
27 01 02 19	Other management expenditure — Non-decentralised management	5	9 519 506	7 096 635	
	<i>Article 27 01 02 — Subtotal</i>		24 736 176	23 914 941	17 727 474,33
<b>27 01 03</b>	<b>Expenditure related to equipment, furniture and services of 'Budget' policy area</b>	5	2 949 514	2 905 965	3 153 716,86
<b>27 01 04</b>	<b>Support expenditure for operations of 'Budget' policy area</b>	5	227 000	227 000	281 176,00
<b>27 01 11</b>	<b>Exceptional crisis expenditure</b>	5	p.m.	p.m.	0,—
<b>27 01 12</b>	<b>Accountancy</b>				
27 01 12 01	Financial charges	5	580 000	1 100 000	1 095 834,34
27 01 12 02	Coverage of expenditure incurred in connection with treasury management	5	p.m.	p.m.	0,—
27 01 12 03	Purchase of financial information on the solvency of beneficiaries of European budget funds and of Commission debtors	5	205 000	250 000	161 623,16
	<i>Article 27 01 12 — Subtotal</i>		785 000	1 350 000	1 257 457,50
	<b>Chapter 27 01 — Total</b> <b>40 01 40</b>		<b>68 778 677</b>	<b>68 135 786</b> 111 533 68 247 319	<b>62 595 305,94</b>

### **Article 27 01 01 — Expenditure related to staff in active employment of ‘Budget’ policy area**

#### *Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
27 01 01	40 080 987	39 737 880	40 175 481,25
40 01 40		111 533	
Total	40 080 987	39 849 413	40 175 481,25

### **Article 27 01 02 — External staff and other management expenditure in support of ‘Budget’ policy area**

#### **Item 27 01 02 01 — External staff of the Directorate-General for the Budget**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 390 430	4 451 735	6 058 298,61

#### **Item 27 01 02 09 — External staff — Non-decentralised management**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 588 598	4 586 547	

#### *Remarks*

This appropriation is not allocated to a specific policy area at the start of the financial year and may be used to cover the requirements of all Commission departments. It will be transferred in the course of the year, in accordance with the provisions of the Financial Regulation, to the corresponding budget items of the policy areas for which it will be implemented. Alternatively, it can also be implemented directly from this budget item, without a transfer, to cover any adjustment need which may occur within the corresponding item (01 02 01) of any policy area.

#### **Item 27 01 02 11 — Other management expenditure of the Directorate-General for the Budget**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
8 237 642	7 780 024	11 669 175,72

#### **Item 27 01 02 19 — Other management expenditure — Non-decentralised management**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
9 519 506	7 096 635	

#### *Remarks*

This appropriation is not allocated to a specific policy area at the start of the financial year and may be used to cover the requirements of all Commission departments. It will not be used from this item but will be transferred in the course of the year, in accordance with the provisions of the Financial Regulation, to the corresponding budget item of the policy areas for which it will be implemented.

### ***Article 27 01 03 — Expenditure related to equipment, furniture and services of ‘Budget’ policy area***

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 949 514	2 905 965	3 153 716,86

### ***Article 27 01 04 — Support expenditure for operations of ‘Budget’ policy area***

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
227 000	227 000	281 176,00

#### *Remarks*

This appropriation is intended to cover reproduction work on documents related to the budget of the European Union which has to be sent out, in particular the annual brochure on the budget, the financial report on the implementation of the previous year's budget, the summary of the annual accounts and one-off publications concerning different aspects of budget implementation.

It is also intended to cover expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail.

Appropriations to cover the equivalent expenditure in respect of research are entered under Article 01 05 of the titles concerned.

### ***Article 27 01 11 — Exceptional crisis expenditure***

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

#### *Remarks*

This appropriation is intended to cover any expenditure, incurred during a declared crisis which has activated one or more business continuity plans, and the nature and/or the amount of which has not made it possible to post it on the other administrative budget lines of the Commission.

The budgetary authority will be informed of the incurred expenditure at the latest three weeks after the crisis is ended.

Activities with no budget line:

- promotion of good financial management,
- administrative support and management of the Directorate-General for the Budget,
- financial framework and budgetary procedure,
- policy strategy and coordination for Directorate-General for the Budget.

### ***Article 27 01 12 — Accountancy***

#### **Item 27 01 12 01 — Financial charges**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
580 000	1 100 000	1 095 834,34

### Remarks

This appropriation is intended to cover bank charges (commission, agios and miscellaneous expenditure) and the cost of connection to the Society for Worldwide Interbank Financial Telecommunication (SWIFT) network.

This item is also intended to accommodate, if necessary, an appropriation to cover any losses resulting from the liquidation or cessation of activities of a bank with which the Commission has accounts for the purposes of imprests.

### Item 27 01 12 02 — Coverage of expenditure incurred in connection with treasury management

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

### Remarks

This appropriation is intended to cover budgetary adjustments:

for imprest accounts, where all appropriate measures have been taken by the authorising officer to deal with the situation and where the adjustment expenditure cannot be booked to another specific budget line,

in situations where a claim is cancelled in full or in part after it has been booked to the accounts as revenue (in particular in the event of offsetting against a debt),

in cases where VAT has not been recovered and where it is no longer possible to book the amount to the line that covered the principal expenditure,

for any interest relating to the above cases, where it cannot be booked to another specific budget line.

This item is also intended to accommodate, if necessary, an appropriation to cover any losses resulting from the liquidation or cessation of activities of a bank with which the Commission has accounts for the purposes of imprests.

### Item 27 01 12 03 — Purchase of financial information on the solvency of beneficiaries of European budget funds and of Commission debtors

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
205 000	250 000	161 623,16

### Remarks

This appropriation is intended to cover the cost of subscriptions and access to electronic information services and external databases providing financial information on the solvency of beneficiaries of European budget funds and of Commission debtors, in order to protect the Commission's financial interests at various levels of financial and accounting procedures.

It is also intended to ascertain information on the group structure, ownership and the management of beneficiaries of European budget funds and of Commission debtors.

## CHAPTER 27 02 — BUDGET IMPLEMENTATION, CONTROL AND DISCHARGE

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
27 02	Budget implementation, control and discharge							
27 02 01	<b>Deficit carried over from the previous financial year</b>	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>27 02 02</b>	<b>Temporary and lump-sum compensation for the new Member States</b>	6	p.m.	p.m.	p.m.	p.m.	209 112 912,00	209 112 912,00
	<b>Chapter 27 02 — Total</b>		<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>209 112 912,00</b>	<b>209 112 912,00</b>

### **Article 27 02 01 — Deficit carried over from the previous financial year**

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

#### *Remarks*

In accordance with Article 15 of the Financial Regulation, the balance from each financial year is to be entered in the budget for the following financial year as revenue in the case of a surplus or as a payment appropriation in the case of a deficit.

The estimates of such revenue or payment appropriations are entered in the budget during the budgetary procedure and in a letter of amendment submitted pursuant to Article 34 of the Financial Regulation. They are drawn up in accordance with Council Regulation (EC, Euratom) No 1150/2000.

After the close of accounts for each financial year, any discrepancy with the estimates is entered in the budget for the following financial year through an amending budget.

A surplus is entered in Article 3 0 0 of the statement of revenue.

#### *Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 27 02 02 — Temporary and lump-sum compensation for the new Member States**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	209 112 912,00

#### *Remarks*

This appropriation is intended to cover compensation for the new Member States as from the date of entry into force of any Act of Accession that foresees it in the provisions of the act.

Member State	Financial year 2010	Financial year 2009	Financial year 2008
Bulgaria	—	64 699 140	63 971 736
Romania	—	144 413 772	142 664 556
Total	—	209 112 912	206 636 292

#### *Legal basis*

Treaty of Accession: Act concerning the conditions of accession of the Republic of Bulgaria and Romania and the adjustments to the Treaties on which the European Union is founded (OJ L 157, 21.6.2005, p. 203), and in particular Article 32 thereof. The compensation thereby created was a temporary instrument to help Bulgaria and Romania between the date of accession and the end of 2009.



## TITLE 28 — AUDIT

### Figures

Title Chapter	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
28 01	Administrative expenditure of 'Audit' policy area	5	11 244 702	10 593 209	10 541 496,35
	40 01 40			23 214	
				10 616 423	
	<b>Title 28 — Total</b>		<b>11 244 702</b>	<b>10 593 209</b>	<b>10 541 496,35</b>
	40 01 40			23 214	
				10 616 423	

## CHAPTER 28 01 — ADMINISTRATIVE EXPENDITURE OF 'AUDIT' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
28 01	Administrative expenditure of 'Audit' policy area				
<b>28 01 01</b>	<b>Expenditure related to staff in active employment of 'Audit' policy area</b>	5	9 205 203	8 270 803	8 261 817,54
	40 01 40			23 214	
				8 294 017	
<b>28 01 02</b>	<b>External staff and other management expenditure in support of 'Audit' policy area</b>				
28 01 02 01	External staff	5	875 029	1 095 547	1 132 965,32
28 01 02 11	Other management expenditure	5	487 069	622 029	498 215,17
	<i>Article 28 01 02 — Subtotal</i>		1 362 098	1 717 576	1 631 180,49
<b>28 01 03</b>	<b>Expenditure related to equipment, furniture and services of 'Audit' policy area</b>	5	677 401	604 830	648 498,32
	<b>Chapter 28 01 — Total</b>		<b>11 244 702</b>	<b>10 593 209</b>	<b>10 541 496,35</b>
	40 01 40			23 214	
				10 616 423	

### Article 28 01 01 — Expenditure related to staff in active employment of 'Audit' policy area

### Figures

	Budget 2011	Appropriations 2010	Outturn 2009
28 01 01	9 205 203	8 270 803	8 261 817,54
40 01 40		23 214	
Total	9 205 203	8 294 017	8 261 817,54

### Article 28 01 02 — External staff and other management expenditure in support of 'Audit' policy area

#### Item 28 01 02 01 — External staff

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
875 029	1 095 547	1 132 965,32

Item 28 01 02 11 — Other management expenditure

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
487 069	622 029	498 215,17

*Article 28 01 03 — Expenditure related to equipment, furniture and services of ‘Audit’ policy area*

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
677 401	604 830	648 498,32

## TITLE 29 — STATISTICS

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
29 01	Administrative expenditure of 'Statistics' policy area	1	79 535 443	79 535 443	79 323 470	79 323 470	78 905 782,12	78 905 782,12
	40 01 40				170 501	170 501		
					79 493 971	79 493 971		
29 02	Production of statistical information		64 570 000	43 875 086	61 424 000	41 000 000	54 085 594,77	42 038 247,03
	<b>Title 29 — Total</b>		<b>144 105 443</b>	<b>123 410 529</b>	<b>140 747 470</b>	<b>120 323 470</b>	<b>132 991 376,89</b>	<b>120 944 029,15</b>
	40 01 40				170 501	170 501		
					140 917 971	120 493 971		

## CHAPTER 29 01 — ADMINISTRATIVE EXPENDITURE OF 'STATISTICS' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
29 01	Administrative expenditure of 'Statistics' policy area	5	61 463 906	60 747 621	60 165 004,95
29 01 01	<i>Expenditure related to staff in active employment of 'Statistics' policy area</i>				
	40 01 40				
29 01 02	<i>External staff and other management expenditure in support of 'Statistics' policy area</i>				
29 01 02 01	External staff	5	5 445 283	5 457 053	6 603 615,52
29 01 02 11	Other management expenditure	5	4 723 195	4 926 423	4 769 913,33
	<i>Article 29 01 02 — Subtotal</i>		10 168 478	10 383 476	11 373 528,85
29 01 03	<i>Expenditure related to equipment, furniture and services of 'Statistics' policy area</i>	5	4 523 059	4 442 373	4 722 968,32
29 01 04	<i>Support expenditure for operations of 'Statistics' policy area</i>	1.1	2 900 000	3 300 000	2 350 000,00
29 01 04 01	EU statistical programme 2008-2012 — Expenditure on administrative management				
29 01 04 04	Modernisation of European Enterprise and Trade Statistics (MEETS) — Expenditure on administrative management				
	<i>Article 29 01 04 — Subtotal</i>				
	<b>Chapter 29 01 — Total</b>		<b>79 535 443</b>	<b>79 323 470</b>	<b>78 905 782,12</b>
	40 01 40			170 501	
				79 493 971	

### Article 29 01 01 — Expenditure related to staff in active employment of 'Statistics' policy area

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
29 01 01	61 463 906	60 747 621	60 165 004,95
40 01 40		170 501	
Total	61 463 906	60 918 122	60 165 004,95

## ***Article 29 01 02 — External staff and other management expenditure in support of ‘Statistics’ policy area***

### **Item 29 01 02 01 — External staff**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
5 445 283	5 457 053	6 603 615,52

### **Item 29 01 02 11 — Other management expenditure**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 723 195	4 926 423	4 769 913,33

## ***Article 29 01 03 — Expenditure related to equipment, furniture and services of ‘Statistics’ policy area***

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 523 059	4 442 373	4 722 968,32

## ***Article 29 01 04 — Support expenditure for operations of ‘Statistics’ policy area***

### **Item 29 01 04 01 — EU statistical programme 2008-2012 — Expenditure on administrative management**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 900 000	3 300 000	2 350 000,00

#### *Remarks*

This appropriation is intended to cover:

expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects,

expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff), up to EUR 2 300 000. This amount is calculated on the basis of a unit annual cost per person/year, 97 % of the total comprising the remuneration for the staff in question and 3 % the costs of training, meetings, missions, IT and telecommunications in connection with this staff,

expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contribution of the Swiss Confederation participating in EU/Community programmes, entered under Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

#### Legal basis

See Article 29 02 03.

### Item 29 01 04 04 — Modernisation of European Enterprise and Trade Statistics (MEETS) — Expenditure on administrative management

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
480 000	450 000	294 280,00

#### Remarks

This appropriation is intended to cover:

expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects,

expenditure on studies, meetings of experts, missions, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation's contribution for participation in EU/Community programmes, entered under Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

#### Legal basis

See Article 29 02 04.

## CHAPTER 29 02 — PRODUCTION OF STATISTICAL INFORMATION

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
29 02	Production of statistical information							
29 02 01	<i>Completion of statistical information policy</i>	1.1	p.m.	2 861 419	p.m.	8 000 000	29 673,47	19 741 307,22
29 02 02	<i>Completion of networks for intra-Community statistics (Edicom)</i>	1.1	—	p.m.	—	p.m.	23 423,28	211 829,64
29 02 03	<i>EU statistical programme 2008-2012</i>	1.1	54 000 000	38 152 248	51 219 000	30 000 000	49 670 409,76	22 065 795,43
29 02 04	<i>Modernisation of European Enterprise and Trade Statistics (MEETS)</i>	1.1	10 570 000	2 861 419	10 205 000	3 000 000	4 362 088,26	19 314,74
	<b>Chapter 29 02 — Total</b>		<b>64 570 000</b>	<b>43 875 086</b>	<b>61 424 000</b>	<b>41 000 000</b>	<b>54 085 594,77</b>	<b>42 038 247,03</b>

## ***Article 29 02 01 — Completion of statistical information policy***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 861 419	p.m.	8 000 000	29 673,47	19 741 307,22

### *Remarks*

This appropriation is intended to cover the completion of ongoing work under the budget lines indicated ‘Statistical information policy’.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation’s contributions for participation in EU/Community programmes, entered in Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

### *Legal basis*

Council Regulation (EC) No 322/97 of 17 February 1997 on Community statistics (OJ L 52, 22.2.1997, p. 1).

Decision No 2367/2002/EC of the European Parliament and of the Council of 16 December 2002 on the Community statistical programme 2003 to 2007 (OJ L 358, 31.12.2002, p. 1).

## ***Article 29 02 02 — Completion of networks for intra-Community statistics (Edicom)***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	23 423,28	211 829,64

### *Remarks*

This appropriation is intended to cover the completion of ongoing work under the budget lines indicating ‘Edicom (Electronic data interchange on commerce) operation’.

### *Legal basis*

Decision No 507/2001/EC of the European Parliament and of the Council of 12 March 2001 concerning a set of actions relating to the trans-European network for the collection, production and dissemination of statistics on the trading of goods within the Community and between the Community and non-member countries (Edicom) (OJ L 76, 16.3.2001, p. 1).

## ***Article 29 02 03 — EU statistical programme 2008-2012***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
54 000 000	38 152 248	51 219 000	30 000 000	49 670 409,76	22 065 795,43

### *Remarks*

This appropriation is intended to cover the following:

- statistical surveys, studies and development of indicators/benchmarks,
- quality studies and activities designed to improve the quality of statistics,
- subsidies to national statistical authorities,
- processing, dissemination, promotion and marketing of statistical information,
- equipment, processing infrastructure and maintenance essential for statistical information systems,
- magnetically recorded statistical analysis and documentation,
- services rendered by external experts,
- joint-financing revenue for the public and private sectors,
- financing of surveys by commercial undertakings,
- organisation of advanced statistical technology training courses for statisticians,
- the cost of purchasing documentation,
- subsidies for the International Statistical Institute and subscriptions to other international statistical associations.

It is also intended to ensure the gathering of the information necessary to draw up annually a summary report on the economic and social state of the European Union on the basis of economic data and structural indicators/benchmarks.

This appropriation is also intended to cover costs incurred in connection with the training of national statisticians and the policy of cooperation with developing countries, the countries of central and eastern Europe and southern Mediterranean countries; expenditure relating to exchanges of officials, the costs of information meetings, subsidies, and expenditure on payment for services rendered in connection with the adjustment of the remuneration of EU officials and other staff.

Also charged to this article is expenditure on the purchase of data and access for Commission departments to outside databanks. In addition, funding should be used to develop new, modular techniques.

It is also intended to cover the provision of the necessary statistical information, at the request of the Commission or of the other EU institutions, for the assessment, monitoring and evaluation of EU expenditure. This will improve the implementation of financial and budgetary policy (drawing-up of the budget and periodic review of the financial perspective) and make it possible to compile medium and long-term data for EU financing.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation's contributions for participation in EU/Community programmes, entered in Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

### *Legal basis*

Council Regulation (EC) No 322/97 of 17 February 1997 on Community statistics (OJ L 52, 22.2.1997, p. 1).

Decision No 1578/2007/EC of the European Parliament and of the Council of 11 December 2007 on the Community Statistical Programme 2008 to 2012 (OJ L 344, 28.12.2007 p. 15).

## ***Article 29 02 04 — Modernisation of European Enterprise and Trade Statistics (MEETS)***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 570 000	2 861 419	10 205 000	3 000 000	4 362 088,26	19 314,74

### *Remarks*

This appropriation is intended to cover expenditure relating to the implementation of the Programme for the Modernisation of European Enterprise and Trade Statistics (MEETS), the objectives of which are to develop target sets of indicators and a review of priorities, to streamline the framework of business-related statistics, to implement a smarter way of collecting data, and to modernise and simplify Intrastat.

This programme will cover the following actions:

- define priority areas and define target sets of indicators,
- identify areas of lesser importance,
- integrate concepts and methods within the legal framework,
- develop statistics on enterprise groups,
- conduct European surveys to minimise the burden on business,
- make better use of data that already exist in the statistical system including the possibility of estimations,
- make better use of data that already exist in the economy,
- develop tools for a more efficient extraction, transmission and treatment of data,
- harmonise methods to improve quality under a simplified Intrastat system,
- make better use of administrative data, and
- improve and facilitate the data exchange for Intrastat.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### *Legal basis*

Decision No 1297/2008/EC of the European Parliament and of the Council of 16 December 2008 on a Programme for the Modernisation of European Enterprise and Trade Statistics (MEETS) (OJ L 340, 19.12.2008, p. 76).



## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

### Figures

Title Chapter	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
30 01	Administrative expenditure of 'Pensions and related expenditure' policy area	5	1 250 209 000	1 214 092 000	1 117 268 365,16
	<b>Title 30 — Total</b>		<b>1 250 209 000</b>	<b>1 214 092 000</b>	<b>1 117 268 365,16</b>

## CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
30 01	Administrative expenditure of 'Pensions and related expenditure' policy area				
<b>30 01 13</b>	<b><i>Allowances and pensions of former members and surviving dependants</i></b>				
30 01 13 01	Temporary allowances	5	2 622 000	3 872 000	562 682,84
30 01 13 02	Pensions of former members and surviving dependants	5	4 760 000	4 699 000	4 400 064,20
30 01 13 03	Weightings and adjustments to pensions and various allowances	5	455 000	638 000	264 280,93
	<i>Article 30 01 13 — Subtotal</i>		7 837 000	9 209 000	5 227 027,97
<b>30 01 14</b>	<b><i>Allowances for staff assigned non-active status, retired in the interests of the service or dismissed</i></b>				
30 01 14 01	Allowances for staff assigned non-active status, retired in the interests of the service or dismissed	5	7 912 000	11 230 000	12 529 019,30
30 01 14 02	Insurance against sickness	5	269 000	382 000	331 269,29
30 01 14 03	Weightings and adjustments to allowances	5	247 000	482 000	350 914,13
	<i>Article 30 01 14 — Subtotal</i>		8 428 000	12 094 000	13 211 202,72
<b>30 01 15</b>	<b><i>Pensions and allowances</i></b>				
30 01 15 01	Pensions, invalidity allowances and severance grants	5	1 156 421 000	1 111 168 000	1 035 021 335,57
30 01 15 02	Insurance against sickness	5	38 306 000	36 724 000	33 755 513,45
30 01 15 03	Weightings and adjustments to pensions and allowances	5	39 217 000	44 897 000	30 053 285,45
	<i>Article 30 01 15 — Subtotal</i>		1 233 944 000	1 192 789 000	1 098 830 134,47
	<b>Chapter 30 01 — Total</b>		<b>1 250 209 000</b>	<b>1 214 092 000</b>	<b>1 117 268 365,16</b>

### Article 30 01 13 — Allowances and pensions of former members and surviving dependants

#### Item 30 01 13 01 — Temporary allowances

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
2 622 000	3 872 000	562 682,84

### Remarks

This appropriation is intended to cover:  
transitional allowances,

family allowances,  
of members of the Commission after termination of service.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, and of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Item 30 01 13 02 — Pensions of former members and surviving dependants

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
4 760 000	4 699 000	4 400 064,20

*Remarks*

This appropriation is intended to cover:

- retirement pensions of former members of the Commission,
- invalidity pensions of former members of the Commission,
- survivors' pensions for surviving spouses and/or orphans of former members of the Commission.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Item 30 01 13 03 — Weightings and adjustments to pensions and various allowances

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
455 000	638 000	264 280,93

*Remarks*

This appropriation is intended to cover the cost of weightings applied to the temporary allowances, retirement pensions, invalidity pensions and survivors' pensions of former members of the Commission and other persons entitled to such payments.

Part of this appropriation is intended to cover the cost of any adjustments to pensions approved by the Council during the financial year. It is purely provisional and can only be used after its transfer to other items in this chapter in accordance with the Financial Regulation.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 30 01 14 — Allowances for staff assigned non-active status, retired in the interests of the service or dismissed**

*Remarks*

Item 30 01 14 01 — Allowances for staff assigned non-active status, retired in the interests of the service or dismissed

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
7 912 000	11 230 000	12 529 019,30

*Remarks*

This appropriation is intended to cover allowances for officials:

assigned non-active status in connection with action to reduce the number of posts in the institution,

holding an AD 16, AD 15 or AD 14 grade post who are retired in the interests of the service.

It also covers expenditure arising from the application of the Council Regulations on special and/or temporary measures to terminate the service of officials and/or temporary staff.

*Conditions for releasing the reserve*

These amounts have been placed in the reserve pending the decision of the Court of Justice on the 1.85% salary and pension increase.

*Legal basis*

Staff Regulations of Officials of the European Communities.

Council Regulation (EC, Euratom) No 1746/2002 of 30 September 2002 introducing, in the context of the reform of the Commission, special measures to terminate the service of officials of the European Communities appointed to an established post in the Commission of the European Communities (OJ L 264, 2.10.2002, p. 1).

Item 30 01 14 02 — Insurance against sickness

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
269 000	382 000	331 269,29

*Remarks*

This appropriation is intended to cover the employer's contribution towards sickness insurance for pensioners and recipients of allowances for assignment of non-active status, retirement in the interests of the service and dismissal.

*Conditions for releasing the reserve*

These amounts have been placed in the reserve pending the decision of the Court of Justice on the 1.85% salary and pension increase.

*Legal basis*

Staff Regulations of Officials of the European Communities.

## Item 30 01 14 03 — Weightings and adjustments to allowances

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
247 000	482 000	350 914,13

### Remarks

This appropriation is intended to cover the effect of weightings applicable to pensions and allowances in the event of assignment of non-active status, retirement in the interests of the service or dismissal.

Part of this appropriation is intended to cover the cost of any adjustments to allowances approved by the Council during the financial year. It is purely provisional and can only be used after its transfer to other items in this chapter in accordance with the Financial Regulation.

### Conditions for releasing the reserve

These amounts have been placed in the reserve pending the decision of the Court of Justice on the 1.85% salary and pension increase.

### Legal basis

Staff Regulations of Officials of the European Communities.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 30 01 15 — Pensions and allowances

### Remarks

## Item 30 01 15 01 — Pensions, invalidity allowances and severance grants

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 156 421 000	1 111 168 000	1 035 021 335,57

### Remarks

This appropriation is intended to cover:

retirement pensions of officials, temporary and contractual staff of all the institutions and agencies of the European Union, including those paid from research and technological development appropriations,

invalidity pensions of officials and temporary staff of all the institutions and agencies of the European Union, including those paid from research and technological development appropriations,

invalidity allowances of officials, temporary and contractual staff of all the institutions and agencies of the European Union, including those paid from research and technological development appropriations,

pensions of entitled survivors of former officials, temporary and contractual staff of all the institutions and agencies of the European Union, including those paid from research and technological development appropriations,

severance grants of officials, temporary and contractual staff of all the institutions and agencies of the European Union, including those paid from research and technological development appropriations,

payments of the actuarial equivalent of retirement pensions,

payments (pension bonus) to former members of the Resistance (or to their survivors) who were deported or interned,

payments of a financial aid to a surviving spouse who has a serious or protracted illness or who is disabled, for the duration of the illness or disability on the basis of an examination of the social and medical circumstances of the person concerned.

*Conditions for releasing the reserve*

These amounts have been placed in the reserve pending the decision of the Court of Justice on the 1.85% salary and pension increase.

*Legal basis*

Staff Regulations of Officials of the European Communities.

Conditions of Employment of Other Servants of the European Communities.

Item 30 01 15 02 — Insurance against sickness

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
38 306 000	36 724 000	33 755 513,45

*Remarks*

This appropriation is intended to cover the employer's contribution towards sickness insurance for pensioners.

It also covers supplementary payments for reimbursement of medical expenses for former members of the Resistance who were deported or interned.

*Conditions for releasing the reserve*

These amounts have been placed in the reserve pending the decision of the Court of Justice on the 1.85% salary and pension increase.

*Legal basis*

Staff Regulations of Officials of the European Communities.

Item 30 01 15 03 — Weightings and adjustments to pensions and allowances

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
39 217 000	44 897 000	30 053 285,45

*Remarks*

This appropriation is intended to cover the effect of weightings applicable to pensions.

Part of this appropriation is intended to cover the cost of any adjustments to pensions approved by the Council during the financial year. It is purely provisional and may be used only after its transfer to other items in this chapter in accordance with the Financial Regulation.

*Conditions for releasing the reserve*

These amounts have been placed in the reserve pending the decision of the Court of Justice on the 1.85% salary and pension increase.

*Legal basis*

Staff Regulations of Officials of the European Communities.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## TITLE 31 — LANGUAGE SERVICES

### Figures

Title Chapter	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
31 01	Administrative expenditure of 'Language services' policy area	5	388 000 417	387 288 152	423 957 901,12
	40 01 40			1 628 841	
				388 916 993	
	<b>Title 31 — Total</b>		<b>388 000 417</b>	<b>387 288 152</b>	<b>423 957 901,12</b>
	<b>40 01 40</b>			<b>1 628 841</b>	
				<b>388 916 993</b>	

## CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF 'LANGUAGE SERVICES' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
31 01	Administrative expenditure of 'Language services' policy area				
<b>31 01 01</b>	<b>Expenditure related to staff in active employment of 'Language services' policy area</b>	5	306 264 772	304 213 437	301 521 429,22
	40 01 40			853 841	
				305 067 278	
<b>31 01 02</b>	<b>External staff and other management expenditure in support of 'Language services' policy area</b>				
31 01 02 01	External staff	5	9 765 343	9 510 679	9 236 555,10
31 01 02 11	Other management expenditure	5	5 545 630	5 586 410	7 851 818,44
	<i>Article 31 01 02 — Subtotal</i>		15 310 973	15 097 089	17 088 373,54
<b>31 01 03</b>	<b>Expenditure related to equipment, furniture and services and other working expenditure of 'Language services' policy area</b>				
31 01 03 01	Expenditure related to equipment, furniture and services of 'Language services' policy area	5	22 537 672	22 246 626	23 670 554,66
31 01 03 04	Technical equipment and services for the Commission conference rooms	5	1 350 000	1 658 000	3 410 410,55
	<i>Article 31 01 03 — Subtotal</i>		23 887 672	23 904 626	27 080 965,21
<b>31 01 06</b>	<b>Interpreting expenditure</b>				
31 01 06 01	Interpretation expenditure	5	24 000 000	25 292 000	58 630 000,00
31 01 06 02	Training and further training of conference interpreters	5	508 000	574 000	1 366 938,19
31 01 06 03	Information technology expenditure of the 'Interpretation' Directorate-General	5	1 308 000	1 282 000	3 027 794,92
	<i>Article 31 01 06 — Subtotal</i>		25 816 000	27 148 000	63 024 733,11
<b>31 01 07</b>	<b>Translation expenditure</b>				
31 01 07 01	Translation expenditure	5	14 250 000	14 725 000	12 885 422,48
	40 01 40			775 000	
				15 500 000	
31 01 07 02	Support expenditure for operations of the 'Translation' Directorate-General	5	1 778 000	1 625 000	1 655 767,20
	<i>Article 31 01 07 — Subtotal</i>		16 028 000	16 350 000	14 541 189,68
	40 01 40			775 000	
				17 125 000	
<b>31 01 08</b>	<b>Interinstitutional cooperation activities</b>				
31 01 08 01	Interinstitutional cooperation activities in the language field	5	693 000	575 000	701 210,36
	<i>Article 31 01 08 — Subtotal</i>		693 000	575 000	701 210,36
<b>31 01 09</b>	<b>Translation Centre for the Bodies of the European Union</b>				
31 01 09 01	Translation Centre for the Bodies of the European Union — Contribution to Titles 1 and 2	5	p.m.	p.m.	0,—

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
31 01 09 02	Translation Centre for the Bodies of the European Union — Contribution to Title 3	5			
			p.m.	p.m.	0,—
	<i>Article 31 01 09 — Subtotal</i>		p.m.	p.m.	0,—
	<b>Chapter 31 01 — Total</b> <b>40 01 40</b>		<b>388 000 417</b>	<b>387 288 152</b> <b>1 628 841</b> <b>388 916 993</b>	<b>423 957 901,12</b>

***Article 31 01 01 — Expenditure related to staff in active employment of ‘Language services’ policy area***

*Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
31 01 01	306 264 772	304 213 437	301 521 429,22
40 01 40		853 841	
Total	306 264 772	305 067 278	301 521 429,22

***Article 31 01 02 — External staff and other management expenditure in support of ‘Language services’ policy area***

Item 31 01 02 01 — External staff

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
9 765 343	9 510 679	9 236 555,10

Item 31 01 02 11 — Other management expenditure

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
5 545 630	5 586 410	7 851 818,44

***Article 31 01 03 — Expenditure related to equipment, furniture and services and other working expenditure of ‘Language services’ policy area***

Item 31 01 03 01 — Expenditure related to equipment, furniture and services of ‘Language services’ policy area

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
22 537 672	22 246 626	23 670 554,66

Item 31 01 03 04 — Technical equipment and services for the Commission conference rooms

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 350 000	1 658 000	3 410 410,55

### Remarks

This appropriation covers expenditure for:

- equipment needed for the operation of the Commission's conference rooms with interpretation booth,
- technical services related to the operation of Commission's meetings and conferences in Brussels.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding offices in the Union.

### Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 31 01 06 — Interpreting expenditure

### Item 31 01 06 01 — Interpretation expenditure

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
24 000 000	25 292 000	58 630 000,00

### Remarks

This appropriation covers:

- remuneration of freelance interpreters (Auxiliary Conference Interpreters — ACIs) employed by DG Interpretation (SCIC), under Article 90 of the Conditions of Employment of Other Servants of the European Communities, to allow SCIC to make available to the institutions for which it provides interpreting services a sufficient number of qualified conference interpreters,
- remuneration comprises, besides fees, the contributions to an old-age and life provident scheme and to sickness and accident insurance together, for interpreters whose professional domicile is other than the place of assignment, with the reimbursement of travel expenses and the payment of flat-rate travel and subsistence allowances,
- services to the Commission provided by European Parliament interpreters (both officials and temporary staff),
- costs relating to the services provided by interpreters for the preparation of meetings and for training,
- contracts for interpreting services concluded by SCIC through the Commission's delegations in connection with meetings organised by the Commission in non-member countries.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 34 580 000.

### Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of Employment of Other Servants of the European Communities.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).



## Item 31 01 06 02 — Training and further training of conference interpreters

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
508 000	574 000	1 366 938,19

### Remarks

This appropriation covers expenditure relating to activities intended to enable DG Interpretation to employ a sufficient number of qualified conference interpreters, particularly for certain language combinations, and to specific training for conference interpreters.

This includes, in particular, grants to universities, training for trainers and educational support programmes, together with student scholarships.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 942 000.

### Legal basis

Staff Regulations of Officials of the European Communities.

## Item 31 01 06 03 — Information technology expenditure of the ‘Interpretation’ Directorate-General

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 308 000	1 282 000	3 027 794,92

### Remarks

This appropriation covers all IT expenditure of DG Interpretation, including:

the purchase or rental of PCs, servers and microcomputers, the costs of back-up facilities, terminals, peripherals, connection devices, photocopiers, fax machines, all electronic equipment in use in SCIC's offices or interpreting booths, the software needed for the operation of such equipment, installation, configuration, maintenance, studies, documentation and associated supplies,

the development and maintenance of information and message distribution systems for SCIC, including documentation, specific training for these systems, studies and the acquisition of knowledge and expertise in the field of IT: quality, security, technology, Internet, development methodology, data management,

technical and logistical support, training and documentation in connection with IT equipment and software, general-interest IT training and literature, external staff for operating and administering databases, office services and subscriptions,

the purchase or rental, maintenance of and support for transmission and communication equipment and software as well as the associated training and charges.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 924 412.

## Article 31 01 07 — Translation expenditure

### Item 31 01 07 01 — Translation expenditure

### Figures

	Budget 2011	Appropriations 2010	Outturn 2009
31 01 07 01	14 250 000	14 725 000	12 885 422,48
40 01 40		775 000	
Total	14 250 000	15 500 000	12 885 422,48

### Remarks

This appropriation is intended to cover expenditure related to the services of freelance translators and expenditure related to the services of specialists and computational linguists and typing as well as other work outsourced by DG Translation.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 2 000 000.

### Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Item 31 01 07 02 — Support expenditure for operations of the ‘Translation’ Directorate-General

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 778 000	1 625 000	1 655 767,20

### Remarks

In respect of terminology and linguistic databases, translation tools and documentary and library expenditure for DG Translation, this appropriation is intended to cover:

expenditure on the acquisition, development and adaptation of software, translation tools and other multilingual tools or aids to translation and the acquisition, consolidation and extension of the contents of linguistic and terminological databases, translation memories and automatic translation dictionaries, particularly with a view to the more efficient treatment of multilingualism and enhanced interinstitutional cooperation,

documentation and library expenditure to meet the needs of translators, in particular:

- to supply the libraries with monolingual books and subscriptions to selected newspapers and periodicals,
- to allocate individual endowments for acquisition of a stock of dictionaries and language guides for new translators,
- to acquire dictionaries, encyclopaedias and vocabularies in electronic form or via web access to documentary databases,
- to constitute and keep up the basic stock of multilingual libraries by purchasing reference books.

It covers expenditure incurred within the territory of the Union, excluding Joint Research Centre sites (for which expenditure is entered in Article 01 05 of the titles concerned).

## Article 31 01 08 — Interinstitutional cooperation activities

### Item 31 01 08 01 — Interinstitutional cooperation activities in the language field

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
693 000	575 000	701 210,36

### Remarks

This appropriation covers expenditure on activities associated with the cooperation organised by the Interinstitutional Committee for Translation and Interpretation (ICTI) to promote interinstitutional cooperation in the field of languages.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 252 000.

## ***Article 31 01 09 — Translation Centre for the Bodies of the European Union***

### *Remarks*

This article covers the Translation Centre for the Bodies of the European Union.

### **Item 31 01 09 01 — Translation Centre for the Bodies of the European Union — Contribution to Titles 1 and 2**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

### *Remarks*

This appropriation is intended to cover the Translation Centre's staff and administrative expenditure (Titles 1 and 2).

The budgetary resources of the Translation Centre for the bodies of the European Union consist of financial contributions from the agencies for which it works and the institutions and bodies with which it cooperates, without prejudice to other revenue.

During the budgetary procedure and even during the financial year, when a letter of amendment or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the agencies' budget, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 72) constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Translation Centre is shown in Part 'Establishment plan staff' of Section III — Commission (Volume 3).

### *Legal basis*

Council Regulation (EC) No 2965/94 of 28 November 1994 setting up a Translation Centre for bodies of the European Union (OJ L 314, 7.12.1994, p. 1).

### *Reference acts*

Declaration of the representatives of the Governments of the Member States meeting in Brussels on 29 October 1993 at Head of State or Government level.

### **Item 31 01 09 02 — Translation Centre for the Bodies of the European Union — Contribution to Title 3**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

### *Remarks*

This appropriation is intended to cover the Translation Centre's operational expenditure (Title 3).

The budgetary resources of the Translation Centre for the Bodies of the European Union consist of financial contributions from the agencies for which it works and the institutions and bodies with which it cooperates, without prejudice to other revenue.

During the budgetary procedure and even during the financial year, when a letter of amendment or an amending budget is submitted, the Commission shall inform the budgetary authority beforehand of any changes in the agencies' budget, in particular concerning the establishment plans published in the budget. Such a procedure is in accordance with the provisions on transparency set out in the

Interinstitutional Declaration of 17 November 1995 and implemented in the form of a code of conduct agreed by the European Parliament, the Commission and the agencies.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 72) constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

*Legal basis*

Council Regulation (EC) No 2965/94 of 28 November 1994 setting up a Translation Centre for bodies of the European Union (OJ L 314, 7.12.1994, p. 1).

*Reference acts*

Declaration of the representatives of the Governments of the Member States meeting in Brussels on 29 October 1993 at Head of State or Government level.

## TITLE 32 — ENERGY

### Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 01	Administrative expenditure of 'Energy' policy area 40 01 40	1	76 178 693	76 178 693	74 359 931 138 968 74 498 899	74 359 931 138 968 74 498 899	12 991 366,38	12 991 366,38
32 03	Trans-European networks		24 150 000	20 506 833	20 760 000	17 500 000	26 048 000,00	15 825 498,22
32 04	Conventional and renewable energies 40 02 41		125 688 003	1 082 817 226	2 093 049 950 2 000 000 2 095 049 950	1 100 990 250 2 000 000 1 102 990 250	2 100 153 264,90	67 136 790,23
32 05	Nuclear energy 40 02 41	1	280 578 000	209 837 366	202 500 000 75 000 000 277 500 000	241 450 000	276 978 349,17	128 602 417,17
32 06	Research related to energy	1	192 155 000	147 382 136	142 492 000	124 094 220	139 605 626,22	91 477 708,23
<b>Title 32 — Total</b> <b>40 01 40, 40 02 41</b>			<b>698 749 696</b>	<b>1 536 722 254</b>	<b>2 533 161 881</b> 77 138 968 2 610 300 849	<b>1 558 394 401</b> 2 138 968 1 560 533 369	<b>2 555 776 606,67</b>	<b>316 033 780,23</b>

## CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE OF 'ENERGY' POLICY AREA

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
32 01	Administrative expenditure of 'Energy' policy area	5	53 505 241	49 512 666 138 968 49 651 634	
32 01 01	<i>Expenditure related to staff in active employment of 'Energy' policy area</i> 40 01 40				
32 01 02	<i>External staff and other management expenditure in support of 'Energy' policy area</i>				
32 01 02 01	External staff	5	2 393 807	2 574 314	
32 01 02 11	Other management expenditure	5	2 121 256	3 344 768	
<i>Article 32 01 02 — Subtotal</i>			4 515 063	5 919 082	
32 01 03	<i>Expenditure related to equipment, furniture and services of 'Energy' policy area</i>	5	3 937 389	3 620 780	
32 01 04	<i>Support expenditure for operations of 'Energy' policy area</i>	1.1	900 000	500 000	898 048,74
32 01 04 01	Conventional energy — Expenditure on administrative management				
32 01 04 02	Financial support for projects of common interest in the trans-European energy network — Expenditure on administrative management				
32 01 04 03	Nuclear energy — Expenditure on administrative management				
32 01 04 04	Safety and protection of energy users — Expenditure on administrative management				
32 01 04 05	Information and communication — Expenditure on administrative management				
32 01 04 06	Competitiveness and Innovation Framework Programme — 'Intelligent Energy' — Europe' programme — Expenditure on administrative management				
32 01 04 07	Energy projects to aid economic recovery — Expenditure on administrative management	1.1	p.m.	600 000	432 027,84

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
32 01 04 30	Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — 'Intelligent Energy — Europe' programme	1.1	6 601 000	6 633 300	6 001 000,00
	<i>Article 32 01 04 — Subtotal</i>		9 711 000	10 358 300	9 322 112,47
<b>32 01 05</b>	<b>Support expenditure for research activities of 'Energy' policy area</b>				
32 01 05 01	Expenditure related to research staff	1.1	2 550 000	2 605 583	1 921 483,20
32 01 05 02	External staff for research	1.1	1 000 000	1 256 715	820 747,44
32 01 05 03	Other management expenditure for research	1.1	960 000	1 086 805	927 023,27
	<i>Article 32 01 05 — Subtotal</i>		4 510 000	4 949 103	3 669 253,91
<b>32 01 06</b>	<b>Euratom Contribution for operation of the Supply Agency</b>	5	—	—	0,—
	<b>Chapter 32 01 — Total</b>		<b>76 178 693</b>	<b>74 359 931</b>	<b>12 991 366,38</b>
	<b>40 01 40</b>			<b>138 968</b>	
				<b>74 498 899</b>	

### ***Article 32 01 01 — Expenditure related to staff in active employment of 'Energy' policy area***

#### *Figures*

	Budget 2011	Appropriations 2010	Outturn 2009
32 01 01	53 505 241	49 512 666	
40 01 40		138 968	
Total	53 505 241	49 651 634	

#### *Remarks*

*Former Article 06 01 01 (in part)*

### ***Article 32 01 02 — External staff and other management expenditure in support of 'Energy' policy area***

Item 32 01 02 01 — External staff

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 393 807	2 574 314	

#### *Remarks*

*Former Item 06 01 02 01 (in part)*

Item 32 01 02 11 — Other management expenditure

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 121 256	3 344 768	

#### *Remarks*

*Former Item 06 01 02 11 (in part)*

**Article 32 01 03 — Expenditure related to equipment, furniture and services of 'Energy' policy area**

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
3 937 389	3 620 780	

*Remarks*

*Former Article 06 01 03 (in part)*

**Article 32 01 04 — Support expenditure for operations of 'Energy' policy area**

**Item 32 01 04 01 — Conventional energy — Expenditure on administrative management**

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
900 000	500 000	898 048,74

*Remarks*

*Former Item 06 01 04 03*

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

*Legal basis*

See Article 32 04 03.

**Item 32 01 04 02 — Financial support for projects of common interest in the trans-European energy network — Expenditure on administrative management**

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
600 000	700 000	521 875,85

*Remarks*

*Former Item 06 01 04 05*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

*Legal basis*

See Articles 32 03 01 and 32 03 02.

Item 32 01 04 03 — Nuclear energy — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
200 000	300 000	170 384,48

*Remarks*

*Former Item 06 01 04 06*

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

*Legal basis*

See Articles 32 05 01, 32 05 02 and 32 05 03.

Item 32 01 04 04 — Safety and protection of energy users — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
10 000	25 000	0,—

*Remarks*

*Former Item 06 01 04 07 (in part)*

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

*Legal basis*

See Article 32 04 16.

Item 32 01 04 05 — Information and communication — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
500 000	600 000	500 000,00

*Remarks*

*Former Item 06 01 04 09 (in part)*

This appropriation is intended to cover expenditure on information and publications directly linked to the achievement of the objective of transport, energy and nuclear policies as well as safety and protection of energy and transport users.

*Legal basis*

See Articles 32 04 03, 32 05 01, 32 05 02, 32 05 03 and 32 04 16.



Item 32 01 04 06 — Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
900 000	1 000 000	798 775,56

*Remarks*

*Former Item 06 01 04 10*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

*Legal basis*

See Article 32 04 06.

Item 32 01 04 07 — Energy projects to aid economic recovery — Expenditure on administrative management

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	600 000	432 027,84

*Remarks*

*Former Item 06 01 04 13*

This appropriation is intended to cover expenditure on evaluations, meetings of experts, information and publications directly linked to the energy projects to aid economic recovery or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

*Legal basis*

See Article 32 04 14.

Item 32 01 04 30 — Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme

*Figures*

Budget 2011	Appropriations 2010	Outturn 2009
6 601 000	6 633 300	6 001 000,00

*Remarks*

*Former Item 06 01 04 30*

This appropriation is intended to cover the staff and operating expenditure incurred by the Executive Agency for Competitiveness and Innovation as a result of its participation in the management of measures under the Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

The establishment plan of the Executive Agency for Competitiveness and Innovation is set out in Part ‘Establishment plan staff’ of Section III — Commission (Volume 3).

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: ‘Intelligent Energy — Europe’ (2003-2006) (OJ L 176, 15.7.2003, p. 29).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007-2013) (OJ L 310, 9.11.2006, p. 15).

Commission Regulation (EC) No 651/2008 of 9 July 2008 amending Regulation (EC) No 1653/2004 on a standard financial regulation for the executive agencies pursuant to Council Regulation (EC) No 58/2003 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 181, 10.7.2008, p. 15).

*Reference acts*

Commission Decision 2004/20/EC of 23 December 2003 setting up an executive agency, the ‘Intelligent Energy Executive Agency’, to manage Community action in the field of energy in application of Council Regulation (EC) No 58/2003 (OJ L 5, 9.1.2004, p. 85).

Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC in order to transform the ‘Intelligent Energy Executive Agency’ into the Executive Agency for Competitiveness and Innovation (OJ L 140, 1.6.2007, p. 52).

Commission Decision C(2007) 3198 of 9 July 2007 delegating powers to the ‘Executive Agency for Competitiveness and Innovation’ with a view to performance of tasks linked to implementation of the Intelligent Energy-Europe Programme 2003-2006, the Marco Polo Programme 2003-2006, the Competitiveness and Innovation Framework Programme 2007-2013 and the Marco Polo Programme 2007-2013 comprising in particular implementation of appropriations entered in the Community budget.

## ***Article 32 01 05 — Support expenditure for research activities of 'Energy' policy area***

### **Item 32 01 05 01 — Expenditure related to research staff**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
2 550 000	2 605 583	1 921 483,20

#### *Remarks*

#### *Former Item 06 01 05 01 (in part)*

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### **Item 32 01 05 02 — External staff for research**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
1 000 000	1 256 715	820 747,44

#### *Remarks*

#### *Former Item 06 01 05 02 (in part)*

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### **Item 32 01 05 03 — Other management expenditure for research**

#### *Figures*

Budget 2011	Appropriations 2010	Outturn 2009
960 000	1 086 805	927 023,27

#### *Remarks*

#### *Former Item 06 01 05 03 (in part)*

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

## Article 32 01 06 — Euratom Contribution for operation of the Supply Agency

### Figures

Budget 2011	Appropriations 2010	Outturn 2009
—	—	0,—

### Remarks

#### Former Article 06 01 06

Since expenditure on staff and buildings is included in the appropriations entered in Items XX 01 01 01 and XX 01 03 01, the Commission subsidy, together with the Agency's own revenue, is intended to cover expenditure incurred by the Agency in its activities.

At its 23rd session on 1 and 2 February 1960, the Euratom Council proposed unanimously that the Commission postpone not only the levying of the charge (intended to cover the operating expenditure of the Euratom Supply Agency) but also the actual introduction of the said charge. Since then a subsidy has been included in the budget to balance the revenue side against the Agency expenditure figures shown in the statement of estimates.

### Legal basis

Treaty establishing the European Atomic Energy Community, and in particular Articles 52 to 54 thereof.

### Reference acts

Statute of the Euratom Supply Agency, and in particular Article VI thereof.

## CHAPTER 32 03 — TRANS-EUROPEAN NETWORKS

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 03	Trans-European networks							
32 03 01	<i>Completion of financial support for projects of common interest in the trans-European energy network</i>	1.1	—	10 014 965	—	11 000 000	0,—	12 899 079,57
32 03 02	<i>Financial support for projects of common interest in the trans-European energy network</i>	1.1	24 150 000	10 491 868	20 760 000	6 500 000	26 048 000,00	2 926 418,65
	<b>Chapter 32 03 — Total</b>		<b>24 150 000</b>	<b>20 506 833</b>	<b>20 760 000</b>	<b>17 500 000</b>	<b>26 048 000,00</b>	<b>15 825 498,22</b>

## Article 32 03 01 — Completion of financial support for projects of common interest in the trans-European energy network

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	10 014 965	—	11 000 000	0,—	12 899 079,57

### Remarks

#### Former Article 06 03 02

This appropriation is intended to cover earlier commitments under the TEN-E programme.

### Legal basis

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 1364/2006/EC of the European Parliament and of the Council of 6 September 2006 laying down guidelines for trans-European energy networks and repealing Decision 96/391/EC and Decision No 1229/2003/EC (OJ L 262, 22.9.2006, p. 1).

## Article 32 03 02 — Financial support for projects of common interest in the trans-European energy network

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
24 150 000	10 491 868	20 760 000	6 500 000	26 048 000,00	2 926 418,65

### Remarks

### Former Article 06 03 04

This appropriation is intended to cover the costs of economic and technical feasibility studies, preparatory and evaluation studies and interest-rate subsidies, loan guarantees or direct subsidies, in duly justified cases, for projects of common interest identified under the guidelines laid down in Decision No 1364/2006/EC.

The objective of this measure is to contribute towards the competitive operation of the internal energy market and greater security of energy supply through the establishment of the network infrastructure required, and in particular through the establishment and development of trans-European energy networks, promoting the interconnection and interoperability of the national networks and access to such networks and extending them beyond the Union.

### Legal basis

Decision No 1364/2006/EC of the European Parliament and of the Council of 6 September 2006 laying down guidelines for trans-European energy networks and repealing Decision 96/391/EC and Decision No 1229/2003/EC (OJ L 262, 22.9.2006, p. 1).

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

## CHAPTER 32 04 — CONVENTIONAL AND RENEWABLE ENERGIES

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 04	Conventional and renewable energies							
32 04 01	Completion of the 'Intelligent Energy — Europe' programme (2003 to 2006)	1.1	—	4 578 270	—	15 000 000	14 171,99	31 780 212,98
32 04 02	Completion of the 'Intelligent Energy — Europe' programme (2003 to 2006): external strand — Coopener	4	—	95 381	—	1 000 000	0,—	1 698 939,57
32 04 03	Support activities to the European energy policy and internal energy market	1.1	3 000 000	3 338 322	4 000 000	4 300 000	3 315 271,15	1 430 341,00
32 04 04	Completion of the Energy framework programme (1999 to 2002) — Conventional and renewable energy	1.1	—	p.m.	—	p.m.	0,—	0,—
32 04 05	European Strategic Energy Technology Plan (SET-Plan)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
32 04 06	Competitiveness and Innovation Framework Programme — 'Intelligent Energy — Europe' programme	1.1	114 499 000	39 106 055	103 561 700	43 270 000	94 182 271,76	26 947 296,68
32 04 07	Pilot project — Energy security — Biofuels	1.1	p.m.	1 500 000	p.m.	1 500 000	0,—	1 500 000,00
32 04 08	Pilot project — Portplus — Sustainable energy plan for ports	1.1	p.m.	p.m.	1 500 000	1 500 000		
32 04 09	Investment fund for renewable energy and biorefineries from waste and residues	1.1	p.m.	p.m.	p.m.	1 500 000	0,—	0,—

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>32 04 10</b>	<b>European Agency for the cooperation of Energy Regulators</b>							
32 04 10 01	European Agency for the cooperation of Energy Regulators — Contribution to Titles 1 and 2	1.1	4 017 000	4 017 000	p.m.	p.m.	0,—	0,—
	40 02 41				2 000 000 2 000 000	2 000 000 2 000 000		
32 04 10 02	European Agency for the cooperation of Energy Regulators — Contribution to Title 3	1.1	983 000	983 000	p.m.	p.m.	0,—	0,—
	Article 32 04 10 — Subtotal		5 000 000	5 000 000	p.m.	p.m.	0,—	0,—
	40 02 41				2 000 000 2 000 000	2 000 000 2 000 000		
<b>32 04 11</b>	<b>Energy Community</b>	4	2 939 003	2 803 239	3 188 250	3 188 250	2 940 000,00	2 940 000,00
<b>32 04 12</b>	<b>Pilot project — European Framework programme for the development and exchange of experience on sustainable urban development</b>	1.1	p.m.	300 000	p.m.	450 000	0,—	750 000,00
<b>32 04 13</b>	<b>Preparatory action — European islands for a common energy policy</b>	1.1	p.m.	500 000	p.m.	500 000	0,—	0,—
<b>32 04 14</b>	<b>Energy projects to aid economic recovery</b>							
32 04 14 01	Energy projects to aid economic recovery — Energy networks	1.1	p.m.	734 208 163	1 175 300 000	524 146 000	1 188 815 000,00	0,—
32 04 14 02	Energy projects to aid economic recovery — Carbon Capture and Storage (CCS)	1.1	p.m.	247 989 614	523 200 000	249 153 000	526 585 000,00	0,—
32 04 14 03	Energy projects to aid economic recovery — European offshore wind grid system	1.1	p.m.	42 921 279	280 900 000	253 583 000	284 100 000,00	0,—
32 04 14 04	Energy projects to aid economic recovery - Energy efficiency and renewable initiatives	1.1	p.m.	p.m.	p.m.	p.m.		
	Article 32 04 14 — Subtotal		p.m.	1 025 119 056	1 979 400 000	1 026 882 000	1 999 500 000,00	0,—
<b>32 04 15</b>	<b>Pilot projects in the field of waste recuperation and its valorisation for clean energy</b>	1.1	p.m.	p.m.	1 000 000	1 000 000		
<b>32 04 16</b>	<b>Security of energy installations and infrastructures</b>	1.1	250 000	476 903	400 000	900 000	201 550,00	90 000,00
	<b>Chapter 32 04 — Total</b>		<b>125 688 003</b>	<b>1 082 817 226</b>	<b>2 093 049 950</b>	<b>1 100 990 250</b>	<b>2 100 153 264,90</b>	<b>67 136 790,23</b>
	40 02 41				2 000 000 2 095 049 950	2 000 000 1 102 990 250		

### Article 32 04 01 — Completion of the ‘Intelligent Energy — Europe’ programme (2003 to 2006)

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	4 578 270	—	15 000 000	14 171,99	31 780 212,98

#### Remarks

#### Former Article 06 04 01

This appropriation is intended to cover earlier commitments of the ‘Intelligent Energy — Europe’ programme (2003 to 2006).

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

### *Legal basis*

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: 'Intelligent Energy — Europe' (2003-2006) (OJ L 176, 15.7.2003, p. 29).

## ***Article 32 04 02 — Completion of the 'Intelligent Energy — Europe' programme (2003 to 2006): external strand — Coopener***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	95 381	—	1 000 000	0,—	1 698 939,57

### *Remarks*

#### *Former Article 06 04 02*

This appropriation is intended to cover earlier commitments under Coopener, the external strand of the 'Intelligent Energy — Europe' programme.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

### *Legal basis*

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: 'Intelligent Energy — Europe' (2003-2006) (OJ L 176, 15.7.2003, p. 29).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## ***Article 32 04 03 — Support activities to the European energy policy and internal energy market***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 000 000	3 338 322	4 000 000	4 300 000	3 315 271,15	1 430 341,00

### *Remarks*

#### *Former Article 06 04 03*

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of a European competitive, safe and sustainable energy policy, of the internal energy market and its extension to third countries, of the energy supply security for all aspects in a European and global perspective as well as the strengthening of the rights and protection of energy users by supplying quality services at transparent and comparable prices.

The principal endorsed objectives are to set up a progressive common European policy ensuring continuous energy supply security, good functioning of the internal energy market and access to transport networks of energy, observation of energy market, analysis of

modelling including scenarios on the impacts of considered policies and the strengthening of the rights and protection of energy users, based on general and particular knowledge of global and European energy markets for all energy types.

This appropriation is also intended to cover experts' expenditure directly linked to the collection, validation and analysis of necessary information on energy market observation (peer review).

#### *Legal basis*

Council Directive 2004/67/EC of 26 April 2004 concerning measures to safeguard security of natural gas supply (OJ L 127, 29.4.2004, p. 92).

Decision No 1673/2006/EC of the European Parliament and of the Council of 24 October 2006 on the financing of European standardisation (OJ L 315, 15.11.2006, p. 9).

Regulation (EC) No 714/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the network for cross-border exchanges in electricity and repealing Regulation (EC) No 1228/2003 (OJ L 211, 14.8.2009, p. 15).

Regulation (EC) No 715/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the natural gas transmission networks and repealing Regulation (EC) No 1775/2005 (OJ L 211, 14.8.2009, p. 36).

Directive 2009/72/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in electricity and repealing Directive 2003/54/EC (OJ L 211, 14.8.2009, p. 55).

Directive 2009/73/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in natural gas and repealing Directive 2003/55/EC (OJ L 211, 14.8.2009, p. 94).

#### *Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 16 July 2009, concerning measures to safeguard the security of gas supply and repealing Directive 2004/67/EC (COM(2009) 363 final).

### ***Article 32 04 04 — Completion of the Energy framework programme (1999 to 2002) — Conventional and renewable energy***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

#### *Remarks*

#### *Former Article 06 04 04*

This appropriation is intended to cover the settlement of commitments entered into under the regulations and decisions adopted.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### *Legal basis*

Council Decision 91/565/EEC of 29 October 1991 concerning the promotion of energy efficiency in the Community (SAVE programme) (OJ L 307, 8.11.1991, p. 34).

Council Decision 1999/21/EC, Euratom of 14 December 1998 adopting a multiannual framework programme for actions in the energy sector (1998 to 2002) and connected measures (OJ L 7, 13.1.1999, p. 16).

Council Decision 1999/22/EC of 14 December 1998 adopting a multiannual programme of studies, analyses, forecasts and other related work in the energy sector (1998 to 2002) (OJ L 7, 13.1.1999, p. 20).



Council Decision 1999/23/EC of 14 December 1998 adopting a multiannual programme to promote international cooperation in the energy sector (1998 to 2002) (OJ L 7, 13.1.1999, p. 23).

Council Decision 1999/24/EC of 14 December 1998 adopting a multiannual programme of technological actions promoting the clean and efficient use of solid fuels (1998 to 2002) (OJ L 7, 13.1.1999, p. 28).

Decision No 646/2000/EC of the European Parliament and of the Council of 28 February 2000 adopting a multiannual programme for the promotion of renewable energy sources in the Community (Altener) (1998 to 2002) (OJ L 79, 30.3.2000, p. 1).

Decision No 647/2000/EC of the European Parliament and of the Council of 28 February 2000 adopting a multiannual programme for the promotion of energy efficiency (SAVE) (1998 to 2002) (OJ L 79, 30.3.2000, p. 6).

Council Decision 2001/353/EC of 9 April 2001 laying down the new guidelines applicable to actions and measures to be taken under the multiannual programme to promote international cooperation in the energy sector (1998 to 2002) under the multiannual framework programme for actions in the energy sector and connected measures (OJ L 125, 5.5.2001, p. 24).

## **Article 32 04 05 — European Strategic Energy Technology Plan (SET-Plan)**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

### *Remarks*

### *Former Article 06 04 05*

This appropriation is intended to provide funding for the European Strategic Energy Technology Plan (SET-Plan), as announced in the Commission Communication entitled ‘A European Strategic Energy Technology Plan (SET-Plan): Towards a low carbon future’ (COM(2007) 723 final). It aims to finance in particular support for low-carbon energy technologies in the research, development, demonstration and commercialisation phases.

### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

### *Reference acts*

Communication from the Commission to the Council, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 22 November 2007 — A European strategic energy technology plan (SET-Plan): ‘Towards a low carbon future’ (COM(2007) 723 final).

Communication from the Commission to the Council, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 7 October 2009 — Investing in the Development of Low Carbon Technologies (SET-Plan) (COM(2009) 519. final).

## **Article 32 04 06 — Competitiveness and Innovation Framework Programme — ‘Intelligent Energy — Europe’ programme**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
114 499 000	39 106 055	103 561 700	43 270 000	94 182 271,76	26 947 296,68

## Remarks

### Former Article 06 04 06

This appropriation is intended to finance actions or measures concerning:

promotion and dissemination projects:

strategic studies on the basis of shared analysis and regular monitoring of market developments and energy trends for the preparation of future legislative measures or for the review of existing legislation, including as regards the functioning of the internal energy market, for the implementation of the medium and long-term strategy in the energy field to promote sustainable development, as well as for the preparation of long-term voluntary commitments with industry and other stakeholders and for the development of standards, labelling and certification systems, where appropriate also in cooperation with third countries and international organisations,

creation, enlargement or reorganisation of structures and instruments for sustainable energy development, including local and regional energy management and saving measures, and the development of adequate financial products and market instruments; building on the experience from past and present networks,

promotion of sustainable energy systems and equipment in order to further accelerate their penetration of the market and stimulate investment to facilitate the transition from demonstration to marketing of more efficient technologies, awareness campaigns and the creation of institutional capabilities,

development of information, education and training structures, the utilisation of results, the promotion and dissemination of know-how and best practices involving all consumers, dissemination of results of the actions and projects and cooperation with the Member States through operational networks,

monitoring of the implementation and the impact of Union legislative and support measures,

market replication projects:

support to projects concerned with the market replication of innovative techniques, processes, products or practices of Union relevance which have already been technically demonstrated with success. These will be designed to promote their broader utilisation within the participating countries and facilitate their market uptake.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

## Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

## Article 32 04 07 — Pilot project — Energy security — Biofuels

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 500 000	p.m.	1 500 000	0,—	1 500 000,00

## Remarks

### Former Article 06 04 07

This appropriation is intended to finance actions or measures in the field of energy security to promote the EU's self-sufficiency in renewable energy sources, inter alia, biofuels derived from waste and residues.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 32 04 08 — Pilot project — Portplus — Sustainable energy plan for ports**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	1 500 000	1 500 000	

*Remarks*

*Former Article 06 04 08*

The purpose of the pilot project is to:

- promote the use of renewable energy sources, particularly with regard to own final-consumption needs,
- increase energy efficiency through actions targeting port buildings and structures,
- provide private port operators with a practical means of investing in technology guaranteeing energy savings.

Port authorities are the primary target groups for the project, which will enable them to take action in this field, including through private port operators.

The project includes:

- definition of the regulatory framework and the links with port planning tools,
- the environmental framework and footprint,
- a framework for energy savings in buildings (recording of direct readings from buildings, superstructure and installations),
- guidelines on generating energy from renewable sources and improving energy efficiency,
- making port area operators aware of the major economic and environmental benefits deriving from intelligent energy management and how this may be achieved.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 32 04 09 — Investment fund for renewable energy and biorefineries from waste and residues**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 500 000	0,—	0,—

*Remarks*

*Former Article 06 04 09*

This appropriation is intended to cover preparatory measures for:

- the financing of innovative renewable energy and chemicals projects. Companies of all sizes should be eligible for receiving funding from this financing,

the goal of the financing will be to stimulate business investments in cutting-edge renewable energy projects, thereby (i) helping the EU economy to meet the targets of the Kyoto Protocol, (ii) making the EU less dependent on imports from third countries, (iii) bringing the EU in the frontline of developments in this sector (in line with the objectives of the Lisbon Strategy), and (iv) creating a remunerative market for agricultural products,

providing funding to support research, development and commercialisation in connection with innovative renewable energy and chemicals projects in the EU, to be operated by the European Investment Fund (EIF) or the European Investment Bank (EIB) using its usual investment products, such as:

- equity investments (investing alongside other equity investments such as venture capital),
- technology transfer fund (bringing research into the commercial world),
- and/or technical assistance, or a combination of the above.

#### *Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### **Article 32 04 10 — European Agency for the cooperation of Energy Regulators**

Item 32 04 10 01 — European Agency for the cooperation of Energy Regulators — Contribution to Titles 1 and 2

#### *Figures*

	Budget 2011		Appropriations 2010		Outturn 2009	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
32 04 10 01	4 017 000	4 017 000	p.m.	p.m.	0,—	0,—
40 02 41			2 000 000	2 000 000		
Total	4 017 000	4 017 000	2 000 000	2 000 000	0,—	0,—

#### *Remarks*

#### *Former Item 06 04 10 01*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Commission, if requested by the Agency, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency for the cooperation of Energy Regulators is set out in Part 'Establishment plan staff' of Section III — Commission (Volume 3).

#### *Legal basis*

Regulation (EC) No 713/2009 of the European Parliament and of the Council of 13 July 2009, establishing an Agency for the Cooperation of Energy regulators (OJ L 211, 14.8.2009, p. 1).

## Item 32 04 10 02 — European Agency for the cooperation of Energy Regulators — Contribution to Title 3

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
983 000	983 000	p.m.	p.m.	0,—	0,—

### Remarks

#### Former Item 06 04 10 02

This appropriation is intended only to cover the Agency's operational expenditure relating to the work programme (Title 3).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Commission, if requested by the Agency, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The European Union contribution for 2011 amounts to a total of EUR 5 000 000.

### Legal basis

Regulation (EC) No 713/2009 of the European Parliament and of the Council of 13 July 2009 establishing an Agency for the Cooperation of Energy Regulators (OJ L 211, 14.8.2009, p. 1).

## Article 32 04 11 — Energy Community

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 939 003	2 803 239	3 188 250	3 188 250	2 940 000,00	2 940 000,00

### Remarks

#### Former Article 06 04 11

This appropriation is intended to cover the contribution of the European Union to the Energy Community budget. This budget relates to staff administrative and operational expenditure.

The European Union contribution for 2011 amounts to a total of EUR 3 315 780. An amount of EUR 376 777, coming from the recovery of surplus, is added to the amount of EUR 2 939 003 entered in the budget.

### Legal basis

Council Decision 2006/500/EC of 29 May 2006 on the conclusion by the European Community of the Energy Community Treaty (OJ L 198, 20.7.2006, p. 15).

**Article 32 04 12 — Pilot project — European Framework programme for the development and exchange of experience on sustainable urban development**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	p.m.	450 000	0,—	750 000,00

*Remarks*

*Former Article 06 04 12*

This appropriation is intended to provide co-financing, inter alia, for:

- the European Knowledge Platform,
- exchange of experience and best practice on sustainable urban transport plans,
- the development and exchange of best practice on sustainable urban management plans, including measures to limit environmental risks and combat climate change,
- the exchange of experience and best practice on sustainable construction, the promotion of sustainable urban design, biodiversity, awareness raising actions.

*Legal basis*

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**Article 32 04 13 — Preparatory action — European islands for a common energy policy**

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	500 000	0,—	0,—

*Remarks*

*Former Article 06 04 13*

To achieve the 20 % target put forward by the Commission, in its Communication of 10 January 2007 entitled ‘An energy policy for Europe’ (COM(2007) 1 final), for renewables in the EU’s overall energy mix by 2020 — the current target is 12 % for 2010 — this preparatory action is intended to finance:

- projects to develop integrated renewable energy systems (marine, wind, solar, biomass and biogas) adapted to the climatic and specific socioeconomic conditions of island communities, including hybrid systems and desalination systems,
- projects to assess the social and economic impact of increased penetration and utilisation of renewable energies and energy efficiency measures on local island communities (economic development, employment, keeping young people in island communities, etc.),
- energy technology initiatives suitable for island communities, promoting research projects in the areas of renewable energy and energy efficiency technologies to maximise renewable energy use and to adapt to local circumstances,
- projects to develop efficient and environmentally friendly ways to transport this energy to the mainland, including the interconnection of peripheral island electricity networks to mainland grids,
- the exchange of best practices between island regions in Europe.

*Legal basis*

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## Article 32 04 14 — Energy projects to aid economic recovery

### Item 32 04 14 01 — Energy projects to aid economic recovery — Energy networks

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	734 208 163	1 175 300 000	524 146 000	1 188 815 000,00	0,—

#### Remarks

#### Former Item 06 04 14 01

This appropriation is intended to cover the costs of gas and electricity infrastructure projects having the highest Union added value.

It should serve to adapt and develop energy networks of particular importance to the Union in support of the operation of the internal energy market and, in particular, to increase interconnection capacity, security and diversification of supply and to overcome environmental, technical and financial obstacles. Special Union support is necessary to develop energy networks more intensively and to accelerate their construction, notably where the diversity of routes and sources of supply is low.

The appropriations should also serve to promote connection and integration of renewable energy resources and to strengthen economic and social cohesion with less-favoured and island regions of the Union.

It is intended to cover the financing of the second step of the economic recovery plan as agreed between the two arms of the budget authority on 2 April 2009. Its financing is conditional on an agreement by the budgetary authority and should be made available as provided for in points 21 to 23 of the IIA of 17 May 2006, without prejudice to the financial envelopes of the codecision programmes and Parliament's priorities.

If the Commission's annual report to Parliament and the Council on the implementation of the Economic Recovery Plan identifies serious risks for implementing the priority projects, the Commission shall recommend measures to offset those risks, and shall where appropriate and consistent with the Recovery Plan, make additional proposals for the projects already referred to in Regulation (EC) No 663/2009.

#### Legal basis

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

#### Reference acts

Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 663/2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (COM (2010) 283 final).

### Item 32 04 14 02 — Energy projects to aid economic recovery — Carbon Capture and Storage (CCS)

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	247 989 614	523 200 000	249 153 000	526 585 000,00	0,—

#### Remarks

#### Former Item 06 04 14 02

This appropriation is intended to cover the promotion of projects for the capture of carbon dioxide (CO<sub>2</sub>) from industrial installations, its transport to a storage site and its injection into a suitable underground geological formation for the purposes of permanent storage.

This appropriation is intended to cover the financing of the second step of the economic recovery plan as agreed between the two arms of the budget authority on 2 April 2009. Its financing is conditional on an agreement by the budgetary authority and should be

made available as provided for in points 21 to 23 of the IIA of 17 May 2006, without prejudice to the financial envelopes of the codecision programmes and Parliament's priorities.

If the Commission's annual report to Parliament and the Council on the implementation of the Economic Recovery Plan identifies serious risks for implementing the priority projects, the Commission shall recommend measures to offset those risks, and shall where appropriate and consistent with the Recovery Plan, make additional proposals for the projects already referred to in Regulation (EC) No 663/2009.

#### *Legal basis*

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

#### *Reference acts*

Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 663/2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (COM (2010) 283 final).

### Item 32 04 14 03 — Energy projects to aid economic recovery — European offshore wind grid system

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	42 921 279	280 900 000	253 583 000	284 100 000,00	0,—

#### *Remarks*

#### *Former Item 06 04 14 03*

This appropriation is intended to cover the promotion of projects aiming at the Union's offshore wind grid system development, with a particular focus on:

- balancing the variability of wind electricity through integrative systems,
- large-scale storage systems,
- management of wind farms as virtual power plants (more than 1 GW),
- turbines placed further from the shore or in deeper waters (20 to 50 m) than is currently standard,
- novel sub-structure designs, or
- innovative features and the demonstration of its implementation,
- processes for assembly, installation, operation and decommissioning and testing of these processes in life-size projects.

This appropriation is intended to cover the financing of the second step of the economic recovery plan as agreed between the two arms of the budget authority on 2 April 2009. Its financing is conditional on an agreement by the budgetary authority and should be made available as provided for in points 21 to 23 of the IIA of 17 May 2006, without prejudice to the financial envelopes of the codecision programmes and Parliament's priorities.

If the Commission's annual report to Parliament and the Council on the implementation of the Economic Recovery Plan identifies serious risks for implementing the priority projects, the Commission shall recommend measures to offset those risks, and shall where appropriate and consistent with the Recovery Plan, make additional proposals for the projects already referred to in Regulation (EC) No 663/2009.

#### *Legal basis*

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).



#### Reference acts

Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 663/2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (COM (2010) 283 final).

#### Item 32 04 14 04 — Energy projects to aid economic recovery - Energy efficiency and renewable initiatives

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.		

#### Remarks

#### Legal basis

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

#### Reference acts

Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 663/2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (COM (2010) 283 final).

#### Article 32 04 15 — Pilot projects in the field of waste recuperation and its valorisation for clean energy

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
p.m.	p.m.	1 000 000	1 000 000	

#### Remarks

#### Former Article 06 04 15

The pilot projects will involve recycling household waste dumped/stored on waste sites.

The stored waste can be dug up and, by means of new technology, be recycled and reused. The remaining, non-reusable part will be used for the production of sustainable energy (electricity and heat).

As a result of this clean-up, former waste sites can be reused for other purposes (e.g. returned to natural state, economic activities).

#### Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

#### Article 32 04 16 — Security of energy installations and infrastructures

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
250 000	476 903	400 000	900 000	201 550,00	90 000,00

## Remarks

### Former Article 06 07 04

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the rules and measures required to improve the security of the energy sector, technical assistance and specific training.

The main objectives are to develop and apply security rules in the field of energy and in particular:

measures to prevent malicious acts in the energy sector, with particular reference to the installations and infrastructures of the European system of energy generation and transmission,

approximation of legislation, technical standards and administrative monitoring practices relating to energy security,

definition of common indicators, methods and security objectives for the energy sector and collection of the data necessary for such definition,

monitoring of measures taken on energy security by national authorities, operators and other key actors in the field,

international coordination on energy security, including neighbouring supplier and transit countries and other world partners,

promoting technology development in energy security.

## Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of the Financial Regulation.

## CHAPTER 32 05 — NUCLEAR ENERGY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 05	Nuclear energy							
32 05 01	<i>Nuclear safeguards</i>	1.1	20 378 000	17 168 512	20 500 000	19 500 000	19 610 012,40	17 477 114,10
32 05 02	<i>Nuclear safety and protection against radiation</i>	1.1	2 200 000	1 907 612	2 000 000	1 950 000	2 368 336,77	1 142 193,40
32 05 03	<i>Nuclear safety — Transitional measures (decommissioning)</i>	1.1	258 000 000	190 761 242	180 000 000	220 000 000	255 000 000,00	109 983 109,67
	40 02 41				75 000 000 255 000 000			
	<b>Chapter 32 05 — Total</b>		<b>280 578 000</b>	<b>209 837 366</b>	<b>202 500 000</b>	<b>241 450 000</b>	<b>276 978 349,17</b>	<b>128 602 417,17</b>
	40 02 41				75 000 000 277 500 000			

### Article 32 05 01 — Nuclear safeguards

### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 378 000	17 168 512	20 500 000	19 500 000	19 610 012,40	17 477 114,10

## Remarks

### Former Article 06 05 01

This appropriation is intended to finance, in particular:

expenses for missions by inspectors carried out in accordance with pre-established six-monthly programmes (daily subsistence allowances and travel expenses),

training of inspectors,

purchases of equipment for use in inspections, particularly purchases of monitoring equipment such as digital video systems, gamma, neutron and infrared measurement devices, electronic seals and seal reader systems,

procurement and renewal of IT hardware for inspections,

specific IT projects for inspections (development and maintenance),

replacement of monitoring and measurement devices which have reached the end of their service life,

maintenance of equipment, including insurance (specific equipment at the Canberra, Ametek, Fork and GBNS sites),

technical infrastructure measures, including waste management and sample transport,

on-site analyses (labour costs and mission expenses for analysts),

agreements on working premises on site (laboratories, offices, etc.),

day-to-day management of on-site installations and central laboratories (repairs, maintenance, IT hardware, purchases of supplies and consumables, etc.),

IT support and tests for applications for inspections.

It also covers an amount of the order of EUR 91 000 advanced to the International Atomic Energy Agency. Revenue arising from the repayment of this sum by the Agency, entered in Article 6 1 6 of the statement of revenue, will give rise to the provision of additional appropriations in accordance with Article 18(1)(g) of the Financial Regulation.

The following will also give rise to the provision of additional appropriations in accordance with Article 18(1)(e) to (j) of the Financial Regulation:

paid insurance claims,

repayment of sums unduly paid by the Commission for goods, work or services.

#### *Legal basis*

Commission Regulation (Euratom) No 302/2005 of 8 February 2005 on the application of Euratom safeguards (OJ L 54, 28.2.2005, p. 1).

Task resulting from specific powers directly conferred on the Commission by the Euratom Treaty under Chapter VII and Article 174.

#### *Reference acts*

Tripartite agreement between the Community, the non-nuclear-weapon Member States and the International Atomic Energy Agency.

Tripartite agreement between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite agreement between the Community, France and the International Atomic Energy Agency.

Cooperation agreements between the Community and non-Community countries such as the United States of America, Canada and Australia.

Commission communication of 24 March 1992 to the European Parliament and to the Council concerning a Commission decision on the implementation of on-site laboratories for verification analysis of safeguards samples (SEC(92) 515 final).

### ***Article 32 05 02 — Nuclear safety and protection against radiation***

#### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 200 000	1 907 612	2 000 000	1 950 000	2 368 336,77	1 142 193,40

#### *Remarks*

*Former Article 06 05 02*

This appropriation is intended to cover:

expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the common policy on nuclear safety and security, particularly in the new Member States and of the rules and measures in the field of radiation protection,

expenditure on measures and actions relating to monitoring and protection against the effects of ionising radiation and is aimed at ensuring the health protection of the population and the protection of the environment against the dangers of radiation and radioactive substances. These actions relate to specific tasks provided for under the Euratom Treaty,

expenditure on the establishment and operation of a corps of inspectors to check protection against ionising radiation in the Member States. This expenditure includes, in addition to subsistence allowances and travel (mission) expenses, costs for training, for preparatory meetings and for purchases of equipment to be used for inspections.

#### *Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Chapter III and Article 174.

Treaty establishing the European Atomic Energy Community, in particular Article 31 thereof (collection of information and preparation of new legislation complementing the basic safety standards).

Treaty establishing the European Atomic Energy Community, in particular Article 33 thereof (implementation of directives, including in particular in the medical field (field C): Council Directives 96/29/Euratom of 13 May 1996 laying down basic safety standards for the protection of the health of workers and the general public against the dangers arising from ionising radiation (OJ L 159, 29.6.1996, p. 1) and 97/43/Euratom of 30 June 1997 on health protection of individuals against the dangers of ionising radiations in relation to medical exposure, and repealing Directive 84/466/Euratom (OJ L 180, 9.7.1997, p. 22) and of Council Directive 2003/122/Euratom of 22 December 2003 on the control of high-activity sealed radioactive sources and orphan sources (OJ L 346, 31.12.2003, p. 57) (control of radioactivity, particularly of high-activity sealed sources)).

Council Directive 2009/71/Euratom of 25 June 2009 establishing a Community framework for the nuclear safety of nuclear installations (OJ L 172, 2.7.2009, p. 18).

Implementation of the obligations placed on the Commission by the following specific legislation:

Council Decision 87/600/Euratom of 14 December 1987 on Community arrangements for the early exchange of information in the event of a radiological emergency (OJ L 371, 30.12.1987, p. 76) (early exchange of information in the event of a radiological emergency),

Council Regulation (EEC) No 737/90 of 22 March 1990 on the conditions governing imports of agricultural products originating in third countries following the accident at the Chernobyl nuclear power-station (OJ L 82, 29.3.1990, p. 1),

implementation of Article 35(2) of the Euratom Treaty: verification of monitoring of environmental radioactivity.

### ***Article 32 05 03 — Nuclear safety — Transitional measures (decommissioning)***

#### *Figures*

	Budget 2011		Appropriations 2010		Outturn 2009	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
32 05 03	258 000 000	190 761 242	180 000 000	220 000 000	255 000 000,00	109 983 109,67
40 02 41			75 000 000			
Total	258 000 000	190 761 242	255 000 000	220 000 000	255 000 000,00	109 983 109,67

#### *Remarks*

#### *Former Article 06 05 05*

This appropriation is intended to finance the Ignalina (Lithuania), Bohunice (Slovakia) and the Kozloduy (Bulgaria) nuclear power plant decommissioning funds, in accordance with the agreements signed with the Member States concerned.

This expenditure also relates to the collection and processing of information of all kinds needed for the analysis, definition, promotion, monitoring and evaluation of the rules and measures in the field of decommissioning.

The Commission must submit, each year, a report on the implementation of funds committed under this article, as well as updated estimates of the costs and time schedules for operations to decommission the nuclear reactors concerned.

#### Legal basis

Task resulting from specific powers directly conferred on the Commission by the Accession Treaty (Protocol 4 on the Ignalina nuclear power plant in Lithuania and Protocol 9 on Unit 1 and Unit 2 of the Bohunice V1 nuclear power plant in Slovakia, both annexed to the Accession Treaty).

Task resulting from specific powers directly conferred on the Commission by the Euratom Treaty under Article 203.

The task for Bulgaria is similarly directly conferred upon the Commission by Article 30 of the Act of Accession.

Council Regulation (EC) No 1990/2006 of 21 December 2006 on the implementation of Protocol No 4 on the Ignalina nuclear power plant in Lithuania to the Act of Accession of the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland, Slovenia and Slovakia 'Ignalina Programme' (OJ L 411, 30.12.2006, p. 10).

Council Regulation (Euratom) No 549/2007 of 14 May 2007 on the implementation of Protocol No 9 on Unit 1 and Unit 2 of the Bohunice V1 nuclear power plant in Slovakia to the Act concerning the conditions of accession to the European Union of the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland, Slovenia and Slovakia (OJ L 131, 23.5.2007, p. 1).

Regulation (Euratom) No 647/2010 of the Council of 13 July 2010 on financial assistance of the Union with respect to the decommissioning of Units 1 to 4 of the Kozloduy Nuclear Power Plant in Bulgaria (Kozloduy Programme) (OJ L 189, 22.7.2010, p. 9).

## CHAPTER 32 06 — RESEARCH RELATED TO ENERGY

#### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 06	Research related to energy							
32 06 01	<i>Research related to energy</i>	1.1	167 645 000	104 918 683	123 292 000	86 000 000	114 681 032,91	51 335 019,87
32 06 02	<i>Research related to energy — Fuel Cells and Hydrogen (FCH) Joint Undertaking</i>	1.1	24 510 000	10 987 848	19 200 000	11 520 000	20 643 840,00	0,—
32 06 03	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>	1.1	p.m.	p.m.	p.m.	p.m.	4 121 373,42	4 649 192,03
32 06 04	<i>Completion of previous programmes</i>							
32 06 04 01	Completion of programmes (prior to 2003)	1.1	—	p.m.	—	1 819 220	59 680,86	5 931 515,19
32 06 04 02	Completion of the sixth EC framework programme (2003 to 2006)	1.1	p.m.	31 475 605	—	24 755 000	99 699,03	29 561 981,14
	<i>Article 32 06 04 — Subtotal</i>		p.m.	31 475 605	—	26 574 220	159 379,89	35 493 496,33
	<b>Chapter 32 06 — Total</b>		<b>192 155 000</b>	<b>147 382 136</b>	<b>142 492 000</b>	<b>124 094 220</b>	<b>139 605 626,22</b>	<b>91 477 708,23</b>

#### Remarks

These remarks are applicable to all the budget headings in this chapter.

These appropriations will be used for the Seventh Framework Programme of the European Community for research, technological development and demonstration activities, which covers the period 2007 to 2013.

The programme will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the EU, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context

of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations also cover administrative expenditure, including expenditure on staff, information, publications, administrative and technical operation, and certain other expenditure items relating to internal infrastructure for achievement of the objective of the measure of which they form an integral part, including the action and measures necessary for preparation and monitoring of the Union's RTD strategy.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may lead to additional appropriations being made available in accordance with Article 18 of the Financial Regulation.

Revenue from States taking part in the European Cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may lead to additional appropriations being made available in accordance with Article 18 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may lead to additional appropriations being made available in accordance with Article 18 of the Financial Regulation.

Additional appropriations will be made available under Article 32 06 03.

## ***Article 32 06 01 — Research related to energy***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
167 645 000	104 918 683	123 292 000	86 000 000	114 681 032,91	51 335 019,87

### *Remarks*

#### *Former Item 06 06 01 01*

This appropriation is intended to support the European Strategic Energy Technology Plan (SET-Plan) objectives and initiatives. These are primarily: wind, solar, bioenergy, carbon capture and storage (CCS) and electricity grids.

Efforts will focus on the following actions:

- renewable energies and energy efficiency (continuity from FP 6),
- activities for the new priority concerning clean coal technologies.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning a Specific Programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

## **Article 32 06 02 — Research related to energy — Fuel Cells and Hydrogen (FCH) Joint Undertaking**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
24 510 000	10 987 848	19 200 000	11 520 000	20 643 840,00	0,—

### *Remarks*

### *Former Item 06 06 01 02*

The FCH Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme of the European Community for research, technology development and demonstration activities (2007-2013) and in particular the specific programme ‘Cooperation’ themes for ‘Energy’, ‘Nanosciences, Nanotechnologies, Materials and New Production Technologies’, ‘Environment (including Climate Change)’, and ‘Transport (including Aeronautics)’. It shall, in particular: aim at placing Europe at the forefront of fuel cell and hydrogen technologies worldwide and at enabling the market breakthrough of fuel cell and hydrogen technologies, thereby allowing commercial market forces to drive the substantial potential public benefits; support research, technological development and demonstration (RTD) in the Member States and countries associated to the Seventh Framework Programme (Associated countries) in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards a rapid deployment of fuel cells and hydrogen technologies; support the implementation of the RTD priorities of the Fuel Cells and Hydrogen Joint Technology Initiatives, notably by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and Associated countries.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under Annex ‘European Economic Area’ to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

### *Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

## **Article 32 06 03 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	4 121 373,42	4 649 192,03

## Remarks

### Former Article 06 06 04 (in part)

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

## Article 32 06 04 — Completion of previous programmes

### Item 32 06 04 01 — Completion of programmes (prior to 2003)

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	1 819 220	59 680,86	5 931 515,19

## Remarks

### Former Item 06 06 05 01 (in part)

This appropriation is intended to cover earlier commitments.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

#### Legal basis

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).



Item 32 06 04 02 — Completion of the sixth EC framework programme (2003 to 2006)

*Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	31 475 605	—	24 755 000	99 699,03	29 561 981,14

*Remarks*

*Former Item 06 06 05 02 (in part)*

This appropriation is intended to cover earlier commitments.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002 to 2006) (OJ L 294, 29.10.2002, p. 1).

## TITLE 40 — RESERVES

### Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 01	Reserves for administrative expenditure	5	p.m.	p.m.	14 843 995	14 843 995		
40 02	Reserves for financial interventions		915 994 000	209 366 189	1 346 202 000	643 852 000	0,—	0,—
Title 40 — Total			915 994 000	209 366 189	1 361 045 995	658 695 995	0,—	0,—

## CHAPTER 40 01 — RESERVES FOR ADMINISTRATIVE EXPENDITURE

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010		Outturn 2009
				Commitments	Payments	
40 01	Reserves for administrative expenditure	5				
40 01 40	Administrative reserve		p.m.	14 843 995	14 843 995	
40 01 42	Contingency reserve		p.m.	p.m.	p.m.	
Chapter 40 01 — Total			p.m.	14 843 995	14 843 995	

### Article 40 01 40 — Administrative reserve

#### Figures

Budget 2011	Appropriations 2010		Outturn 2009
	Commitments	Payments	
p.m.	14 843 995	14 843 995	

#### Remarks

The appropriations entered in this article are purely provisional and may only be used after their transfer to other budget headings in accordance with the Financial Regulation.

**Total**

#### Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Article 40 01 42 — Contingency reserve

#### Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	

## CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS

### Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 02	Reserves for financial interventions							
40 02 40	<i>Non-differentiated appropriations</i>				300 000 000	300 000 000		
40 02 41	<i>Differentiated appropriations</i>		162 134 000	109 366 189	297 320 000	94 970 000		
40 02 42	<i>Emergency aid reserve</i>		253 860 000	100 000 000	248 882 000	248 882 000	0,—	0,—
40 02 43	<i>Reserve for the European Globalisation Adjustment Fund</i>							
		4						
		1.1	500 000 000	p.m.	500 000 000	p.m.		
	<b>Chapter 40 02 — Total</b>		<b>915 994 000</b>	<b>209 366 189</b>	<b>1 346 202 000</b>	<b>643 852 000</b>	<b>0,—</b>	<b>0,—</b>

### Article 40 02 40 — Non-differentiated appropriations

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
		300 000 000	300 000 000	

#### Remarks

Former Items 40 02 40 01 and 40 02 40 02

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consonant with sound financial management, the appropriations entered in the headings concerned. The appropriations entered in this item may be used only after transfer in accordance with the procedure laid down in Article 24 of the Financial Regulation.

**Total**

#### Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

### Article 40 02 41 — Differentiated appropriations

#### Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
162 134 000	109 366 189	297 320 000	94 970 000	

#### Remarks

Former Items 40 02 41 01 and 40 02 41 02

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consistent with sound financial management, the appropriations entered in the headings concerned. The appropriations entered in this item may be used only after transfer in accordance with the procedure laid down in Article 24 of the Financial Regulation.

The breakdown is as follows (commitments, payments):

1.	Article	11 03 01	International fisheries agreements	52 000 000	52 000 000
2.	Item	12 04 02 01	European Banking Authority — Contribution to Titles 1 and 2	3 956 600	3 956 600

3.	Item	12 04 02 02	European Banking Authority — Contribution to Title 3	1 116 400	1 116 400
4.	Item	12 04 03 01	European Insurance and Occupational Pensions Authority — Contribution to Titles 1 and 2	3 098 600	3 098 600
5.	Item	12 04 03 02	European Insurance and Occupational Pensions Authority — Contribution to Title 3	1 168 400	1 168 400
6.	Item	12 04 04 01	European Securities and Markets Authority — Contribution to Titles 1 and 2	5 264 000	5 264 000
7.	Item	12 04 04 02	European Securities and Markets Authority — Contribution to Title 3	1 520 000	1 520 000
8.	Article	18 02 07	Schengen evaluation	560 000	534 131
9.	Item	18 02 11 01	Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Titles 1 and 2	5 150 000	5 150 000
10.	Item	18 02 11 02	Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Title 3	300 000	210 000
11.	Article	19 09 03	Cooperation activities other than Official Development Assistance (Latin America)	16 000 000	1 907 612
12.	Article	19 10 04	Cooperation activities other than Official Development Assistance (Asia, Central Asia, Iraq, Iran and Yemen)	28 000 000	4 540 118
13.	Article	21 06 06	Cooperation activities other than Official Development Assistance (South Africa)	1 000 000	286 142
14.	Article	21 06 07	Banana accompanying measures	43 000 000	28 614 186
<b>Total</b>				<b>162 134 000</b>	<b>109 366 189</b>

### *Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## ***Article 40 02 42 — Emergency aid reserve***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
253 860 000	100 000 000	248 882 000	248 882 000	0,—	0,—

### *Remarks*

The aim of this reserve, in accordance with Point 25 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management, is to allow a rapid response to the specific aid requirements of third countries following events which could not be foreseen when the budget was established, first and foremost for humanitarian operations, but also for civil crisis management and protection where circumstances so require. The annual amount of the Reserve is fixed at EUR 221 000 000 for the duration of the financial framework, in constant prices. This amount is exceptionally increased to EUR 479 218 000 for the year 2008 in current prices.

The Reserve is entered in the general budget of the European Union as a provision. The corresponding commitment appropriations will be entered in the budget, if necessary, over and above the ceilings.

When the Commission considers that the Reserve needs to be called on, it will present to the two arms of the budgetary authority a proposal for a transfer from the Reserve to the relevant budget lines.

At the same time as it presents its proposal for a transfer, the Commission will initiate a dialogue procedure, if necessary in a simplified form, to secure agreement of the two arms of the budgetary authority on the need to use the Reserve and on the amount required.

### *Reference acts*

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

## ***Article 40 02 43 — Reserve for the European Globalisation Adjustment Fund***

### *Figures*

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
500 000 000	p.m.	500 000 000	p.m.	

### *Remarks*

The aim of this reserve, in accordance with Point 28 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management, is to provide additional support for workers who suffer from the consequences of major structural changes in world trade patterns and to assist them with their reintegration into the labour market.

The methods for entering the appropriations in this reserve and for mobilising the Fund are laid down in Point 28 of the abovementioned Interinstitutional Agreement and in Article 12 of Regulation (EC) No 1927/2006 of the European Parliament and of the Council of 20 December 2006 establishing the European Globalisation Adjustment Fund.

### *Legal basis*

Regulation (EC) No 546/2009 of the European Parliament and of the Council of 18 June 2009 amending Regulation (EC) No 1927/2006 on establishing the European Globalisation Adjustment Fund (OJ L 167, 29.6.2009, p. 26).

### *Reference acts*

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).