



EUROPEAN COMMISSION

Brussels, 10.6.2011  
ELECTRONIC VERSION ONLY  
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Part II

**COMMISSION STAFF WORKING PAPER**

**Accompanying the**

**REPORT FROM THE COMMISSION**

**on Competition Policy 2010**

{COM(2011) 328 final}

ANNEX TO THE COMMISSION STAFF WORKING PAPER  
ACCOMPANYING THE COMMISSION REPORT  
ON COMPETITION POLICY 2010

Brussels, February

2011

**State aid: Overview of national measures adopted as  
a response to the financial/economic crisis**

(See table attached in annex)

This information is compiled from a range of sources and is provided for information only. The European Commission cannot confirm the completeness or accuracy of the information.

## Communications from the Commission to provide guidance to Member States

[Communication from the Commission](#) — The application of **State aid rules** to measures taken in relation to financial institutions in the context of the current global financial crisis, 13 October 2008 (see [IP/08/1495](#)).

[Communication from the Commission](#) — The **recapitalisation of financial institutions** in the current financial crisis: limitation of aid to the minimum necessary and safeguards against undue distortions of competition, 5 December 2008 (see [IP/08/1901](#)).

[Communication from the Commission](#) on the Treatment of **Impaired Assets** in the Community Banking Sector, 25 February 2009 (see [IP/09/322](#)).

[Communication from the Commission](#) – **Temporary framework** for State aid measures **to support access to finance in the current financial and economic crisis**, adopted on 17 December 2008 (see [IP/08/1993](#)), as amended on 25 February 2009.

[Communication from the Commission](#) – The return to **viability** and the assessment of **restructuring** measures in the financial sector in the current crisis under the State aid rules, 23 July 2009 (see [IP/09/1180](#)).

[Communication from the Commission](#) – on the application, from 1 January 2011, of State aid rules to support measures in favour of banks in the context of the financial crisis (see [IP/10/1636](#)).

### State aid cases – situation as of 31 December 2010

#### Decisions adopted by the Commission in 2008/2009/2010<sup>1</sup>

##### AUSTRIA

| Type of measure / Beneficiary                                                                   | Type of Decision                                               | Date of adoption |
|-------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| N557/2008 – Aid scheme for the Austrian financial sector (guarantees, recapitalisation & other) | Decision not to raise objections<br><a href="#">IP/08/1933</a> | 9 December 2008  |
| N352/2009 – Prolongation                                                                        | <a href="#">EXME/09/0630</a>                                   | 30 June 2009     |
| N663/2009 – Second prolongation                                                                 | <a href="#">EXME/09/1217</a>                                   | 17 December 2009 |
| N241/2010 – Extension                                                                           | <a href="#">IP/10/839</a>                                      | 25 June 2010     |
| SA.32018 – Extension                                                                            | <a href="#">EXME/10/16.12</a>                                  | 16 December 2010 |
| N214/2008 – Recapitalisation of Hypo Tirol                                                      | Decision not to raise objections<br><a href="#">IP/09/928</a>  | 17 June 2009     |
| N640/2009 – BAWAG – capital injection and asset guarantee                                       | Decision not to raise objections<br><a href="#">IP/09/1989</a> | 22 December 2009 |
| C16/2009 + N698/2009 – Emergency aid to Hypo Group Alpe Adria                                   | Decision not to raise objections<br><a href="#">IP/09/1998</a> | 23 December 2009 |

<sup>1</sup> As a general rule, aid schemes are reviewable six months after approval. Some individual decisions are subject to a review and possible restructuring plan.

## BELGIUM

### *Belgium/Luxembourg*

|                                                                                                       |                                                                |                  |
|-------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| NN45-49-50/2008 – Guarantee on liabilities of Dexia                                                   | Decision not to raise objections<br><a href="#">IP/08/1745</a> | 19 November 2008 |
| Prolongation                                                                                          | <a href="#">IP/09/1662</a>                                     | 30 October 2009  |
| N255/2009 and N274/2009 – Additional aid measures in favour of Fortis Bank and Fortis Bank Luxembourg | Decision not to raise objections<br><a href="#">IP/09/743</a>  | 12 May 2009      |

### *Belgium/France/Luxembourg*

|                                                                 |                                                                                              |                  |
|-----------------------------------------------------------------|----------------------------------------------------------------------------------------------|------------------|
| C9/2009 – Guarantee in favour of Dexia on certain assets in FSA | Decision not to raise objections<br><a href="#">IP/09/399</a>                                | 13 March 2009    |
| C9/2009 – Approval of restructuring plan for Dexia              | Final conditional decision after formal investigation procedure<br><a href="#">IP/10/201</a> | 26 February 2010 |

### *Belgium/Luxembourg/Netherlands*

|                                                                                |                                                                |                  |
|--------------------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| N574/2008 – Measures in favour of Fortis                                       | Decision not to raise objections<br><a href="#">IP/08/1746</a> | 19 November 2008 |
| NN42-46-53A/2008 – Restructuring aid to Fortis Bank and Fortis Bank Luxembourg | Decision not to raise objections<br><a href="#">IP/08/1884</a> | 3 December 2008  |

### *Belgium*

|                                                                                          |                                                                                               |                  |
|------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------|
| N602/2008 – Recapitalisation measure in favour of KBC                                    | Decision not to raise objections<br><a href="#">IP/08/2033</a>                                | 18 December 2008 |
| NN57/2008 – Capital Injection for Ethias Group                                           | Decision not to raise objections<br><a href="#">IP/09/254</a>                                 | 12 February 2009 |
| C18/2009 – Recapitalisation and asset relief for KBC Group                               | Decision not to raise objections<br><a href="#">IP/09/1063</a>                                | 30 June 2009     |
| C18/2009 – Asset relief and restructuring package for KBC                                | Final conditional decision after formal investigation procedure<br><a href="#">IP/09/1730</a> | 18 November 2009 |
| N256/2009 – Restructuring aid for Ethias                                                 | Decision not to raise objections<br><a href="#">IP/10/592</a>                                 | 20 May 2010      |
| SA.29833 – Monitoring of KBC: extension of the target date of certain divestments by KBC | –                                                                                             | 16 December 2010 |

## CYPRUS

|                                                                       |                                                                |                 |
|-----------------------------------------------------------------------|----------------------------------------------------------------|-----------------|
| N511/2009 – Cypriot scheme to support credit institutions (guarantee) | Decision not to raise objections<br><a href="#">IP/09/1569</a> | 22 October 2009 |
|-----------------------------------------------------------------------|----------------------------------------------------------------|-----------------|

## DENMARK

|                                                                             |                                                                    |                 |
|-----------------------------------------------------------------------------|--------------------------------------------------------------------|-----------------|
| NN36/2008 – Rescue aid to Roskilde Bank                                     | Decision not to raise objections<br>( <a href="#">IP/08/1222</a> ) | 31 July 2008    |
| NN39/2008 – Liquidation aid Roskilde bank                                   | Decision not to raise objections<br><a href="#">IP/08/1633</a>     | 5 November 2008 |
| NN51/2008 – Guarantee scheme for banks in Denmark                           | Decision not to raise objections<br><a href="#">IP/08/1483</a>     | 10 October 2008 |
| N31a/2009 – Amendment of the guarantee scheme                               | <a href="#">IP/09/206</a>                                          | 17 August 2009  |
| N20/2010 – Second prolongation                                              | <a href="#">EXME/10/0201</a>                                       | 1 February 2010 |
| N257/2010 – Extension                                                       | <a href="#">IP/10/854</a>                                          | 28 June 2010    |
| N31a/2009 – Recapitalisation scheme (and amendment of the guarantee scheme) | Decision not to raise objections<br><a href="#">IP/09/206</a>      | 3 February 2009 |

|                                                    |                                                                |                   |
|----------------------------------------------------|----------------------------------------------------------------|-------------------|
| NN46/2009 – Prolongation                           | <a href="#">EXME/09/0817</a>                                   | 17 August 2009    |
| N628/2009 – Second prolongation                    | <a href="#">EXME/09/1217</a>                                   | 17 December 2009  |
| NN23/2009 – Rescue aid for Fionia Bank             | Decision not to raise objections<br><a href="#">IP/09/819</a>  | 20 May 2009       |
| N407/2010 – Danish fund for winding up of banks    | Decision not to raise objections<br><a href="#">IP/10/1266</a> | 30 September 2010 |
| SA.31938 (N537/2010) – Prolongation                | <a href="#">EXME/10/1207</a>                                   | 7 December 2010   |
| N560/2009 – Aid for the liquidation of Fionia Bank | Decision not to raise objections<br><a href="#">IP/10/1374</a> | 25 October 2010   |

## FINLAND

|                                                  |                                                                |                   |
|--------------------------------------------------|----------------------------------------------------------------|-------------------|
| N567/2008 – Finnish guarantee scheme             | Decision not to raise objections<br><a href="#">IP/08/1705</a> | 13 November 2008  |
| N44/2009 – Amendment to the guarantee scheme     |                                                                | 5 February 2009   |
| N239/2009 – Prolongation and modification        | <a href="#">IP/09/681</a><br><a href="#">EXME/09/0430</a>      | 30 April 2009     |
| N674/2009 – Second prolongation and modification | <a href="#">EXME/09/1217</a>                                   | 17 December 2009  |
| NN2/2009 – Guarantee for Kaupthing Bank Finland  | Decision not to raise objections<br><a href="#">IP/09/82</a>   | 21 January 2009   |
| N329/2009 – Capital injection scheme             | Decision not to raise objections<br><a href="#">IP/09/1303</a> | 11 September 2009 |
| N110/2010 – Prolongation                         | <a href="#">EXME/10/0414</a>                                   | 14 April 2010     |

## FRANCE

|                                                                                             |                                                                |                 |
|---------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----------------|
| N548/2008 – Financial support measures to the banking industry in France (Refinancing)      | Decision not to raise objections<br><a href="#">IP/08/1609</a> | 30 October 2008 |
| N251/2009 – Extension of the scheme                                                         | <a href="#">IP/09/750</a>                                      | 12 May 2009     |
| N613/2008 – Financial support measures to the banking industry in France (Recapitalisation) | Decision not to raise objections<br><a href="#">IP/08/1900</a> | 8 December 2008 |
| N29/2009 – Amendment to the Decision                                                        | <a href="#">IP/09/158</a>                                      | 28 January 2009 |
| N164/2009 – Amendment to the Decision                                                       | <a href="#">IP/09/461</a>                                      | 23 March 2009   |
| N249/2009 – Capital injection for Caisse d'Epargne and Banque Populaire                     | Decision not to raise objections<br><a href="#">IP/09/722</a>  | 8 May 2009      |

## GERMANY

|                                                                                                      |                                                                                           |                   |
|------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-------------------|
| C9/2008 – Restructuring aid to Sachsen LB                                                            | Conditional decision (after formal investigation procedure)<br><a href="#">IP/08/849</a>  | 4 June 2008       |
| C10/2008 – Restructuring aid to IKB                                                                  | Conditional decision (after formal investigation procedure)<br><a href="#">IP/08/1557</a> | 21 October 2008   |
| NN44/2008 – Rescue aid to Hypo Real Estate Holding                                                   | Decision not to raise objections<br><a href="#">IP/08/1453</a>                            | 2 October 2008    |
| N512/2008 – Aid scheme for financial institutions in Germany (guarantees, recapitalisations & other) | Decision not to raise objections<br><a href="#">IP/08/1589</a>                            | 27 October 2008   |
| N625/2008 – Amendment to the Decision                                                                |                                                                                           | 12 December 2008  |
| N330/2009 – Prolongation                                                                             | <a href="#">EXME09/22.06</a>                                                              | 22 June 2009      |
| N665/2009 – Second prolongation                                                                      | <a href="#">EXME/09/1217</a>                                                              | 17 December 2009  |
| N222/2010 – Extension                                                                                | <a href="#">IP/10/789</a>                                                                 | 23 June 2010      |
| N615/2008 – Guarantee and recapitalisation for Bayern LB                                             | Decision not to raise objections<br><a href="#">IP/08/2034</a>                            | 18 December 2008  |
| N655/2008 – Guarantee for NordLB                                                                     | Decision not to raise objections<br><a href="#">IP/08/2056</a>                            | 22 December 2008  |
| N412/2009 – Prolongation                                                                             | <a href="#">EXME/09/0910</a>                                                              | 10 September 2009 |

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|-------------------|
| N639/2008 – Guarantee for IKB                                                                                                                                                                                     | Decision not to raise objections<br><a href="#">IP/08/2055</a>                              | 22 December 2008  |
| N17/2009 – Guarantee for SdB –<br>Sicherungseinrichtungsgesellschaft deutscher<br>Banken mbH                                                                                                                      | Decision not to raise objections<br><a href="#">IP/09/114</a>                               | 22 January 2009   |
| N244/2009 – Commerzbank capital injection                                                                                                                                                                         | Decision not to raise objections<br><a href="#">IP/09/711</a>                               | 7 May 2009        |
| C43/2008 – Aid for the restructuring of West LB                                                                                                                                                                   | Conditional decision (after<br>formal investigation procedure)<br><a href="#">IP/09/741</a> | 12 May 2009       |
| N531/2009 – Temporary additional aid to West<br>LB                                                                                                                                                                | Decision not to raise objections<br><a href="#">IP/09/1434</a>                              | 7 October 2009    |
| N264/2009 – Recapitalisation of HSH Nordbank                                                                                                                                                                      | Decision not to raise objections<br><a href="#">IP/09/854</a>                               | 29 May 2009       |
| C17/2009 – Recapitalisation and asset relief for<br>LBBW (Landesbank Baden Württemberg)                                                                                                                           | Decision not to raise objections<br><a href="#">IP/09/1058</a>                              | 30 June 2009      |
| N314/2009 – German asset relief scheme                                                                                                                                                                            | Decision not to raise objections<br><a href="#">IP/09/1216</a>                              | 31 July 2009      |
| N400/2009 – Additional aid (guarantees) for IKB                                                                                                                                                                   | Decision not to raise objections<br>IP/09/1235                                              | 17 August 2009    |
| N456 /2009 – Scheme to facilitate the<br>refinancing of export credits                                                                                                                                            | Decision not to raise objections<br><a href="#">IP/09/1319</a>                              | 15 September 2009 |
| N48/2010 – Prolongation                                                                                                                                                                                           | <a href="#">EXME/10/0309</a>                                                                | 9 March 2010      |
| C17/2009 – Landesbank Baden Württemberg<br>"LBBW" – restructuring plan and impaired<br>assets relief measure                                                                                                      | Conditional decision (after<br>formal investigation procedure<br><a href="#">IP/09/1927</a> | 15 December 2009  |
| N694/2009 – State guarantees for Hypo Real<br>Estate                                                                                                                                                              | Decision not to raise objections<br><a href="#">IP/09/1985</a>                              | 21 December 2009  |
| N555/2009 – Rescue aid for WestLB; in-depth<br>investigation into bad bank                                                                                                                                        | Decision not to raise objections<br><a href="#">IP/09/1996</a>                              | 22 December 2009  |
| N161/2010 – Recapitalisation of Hypo Real<br>Estate                                                                                                                                                               | Decision not to raise objections                                                            | 19 May 2010       |
| N380/2010 – Temporary authorisation of<br>additional State support (guarantees) for Hypo<br>Real Estate and creation of a bad bank<br>structure, and extension of the ongoing<br>investigation procedure C15/2009 | Decision not to raise objections<br><a href="#">IP/10/1172</a>                              | 24 September 2010 |
| C32/2009 – Restructuring of savings bank<br>Sparkasse KölnBonn                                                                                                                                                    | Decision not to raise objections<br><a href="#">IP/10/1192</a>                              | 29 September 2010 |
| SA.29510 – WestLB divestments                                                                                                                                                                                     |                                                                                             | 21 December 2010  |

## GREECE

|                                                                                                                             |                                                               |                   |
|-----------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|-------------------|
| N560/2008 – Aid scheme to the banking<br>industry in Greece (guarantees, recapitalisation<br>& other)                       | Decision not to raise objections<br><a href="#">IP/081742</a> | 19 November 2008  |
| Prolongation and modification                                                                                               | <a href="#">EXME/09/0918</a>                                  | 18 September 2009 |
| N690/2009 – Prolongation                                                                                                    | <a href="#">EXME/10/0125</a>                                  | 25 January 2010   |
| N163/2010 – Amendment                                                                                                       |                                                               | 12 May 2010       |
| N260/2010 – Extension and amendment                                                                                         | <a href="#">IP/10/864</a>                                     | 30 June 2010      |
| SA. 31998 – Fourth extension                                                                                                | -                                                             | 21 December 2010  |
| N328/2010 – Scheme for the recapitalisation of<br>credit institutions in Greece under the financial<br>Stability Fund (FSF) | <a href="#">IP/10/1092</a>                                    | 3 September 2010  |
| SA. 31999 – Prolongation                                                                                                    | <a href="#">EXME/10/14.12</a>                                 | 14 December 2010  |

## HUNGARY

|                                                                                                                         |                                                                |                  |
|-------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| N664/2008 – Financial support measures to Hungarian financial industry in form of recapitalisation and guarantee scheme | Decision not to raise objections<br><a href="#">IP/09/253</a>  | 12 February 2009 |
| N355/2009 – Prolongation and modification                                                                               | <a href="#">EXME/09/0903</a>                                   | 3 September 2009 |
| N662/2009 – Second prolongation                                                                                         | <a href="#">EXME/09/1217</a>                                   | 17 December 2009 |
| N224/2010 – Third prolongation of Hungarian recapitalisation scheme                                                     | <a href="#">IP/10/789</a>                                      | 23 June 2010     |
| SA.31928 (N536/2010) – Fourth prolongation                                                                              | <a href="#">EXME/10/07.12</a>                                  | 7 December 2010  |
| N 358/2009 – Hungarian Mortgage Support Scheme                                                                          | Decision not to raise objections<br><a href="#">IP/09/1123</a> | 13 July 2009     |
| N603/2009 – Prolongation                                                                                                | <a href="#">EXME/09/1124</a>                                   | 24 November 2009 |
| SA.31922 – Prolongation                                                                                                 | <a href="#">EXME/10/08.12</a>                                  | 8 December 2010  |
| NN68/2009 – Hungarian liquidity support scheme                                                                          | Decision not to raise objections<br><a href="#">IP/10/19</a>   | 14 January 2010  |
| N225/2010 – Extension                                                                                                   | <a href="#">IP/10/854</a>                                      | 28 June 2010     |
| SA.31927 (N 535/2010) – Prolongation                                                                                    | <a href="#">EXME/10/07.12</a>                                  | 7 December 2010  |

## IRELAND

|                                                                                                                                  |                                                                                                                                |                   |
|----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|-------------------|
| NN48/2008 – Guarantee scheme for banks in Ireland                                                                                | Decision not to raise objections<br><a href="#">IP/08/1497</a>                                                                 | 13 October 2008   |
| N9/2009 – Recapitalisation of Anglo Irish Bank                                                                                   | Decision not to raise objections<br><a href="#">IP/09/50</a>                                                                   | 14 January 2009   |
| N356/2009 – Recapitalisation of Anglo Irish Bank                                                                                 | Decision not to raise objections<br><a href="#">IP/09/1045</a>                                                                 | 26 June 2009      |
| N61/2009 – Change of ownership of Anglo Irish Bank                                                                               | Decision not to raise objections<br><a href="#">IP/09/271</a>                                                                  | 17 February 2009  |
| N149/2009 – Recapitalisation of Bank of Ireland                                                                                  | Decision not to raise objections<br><a href="#">IP/09/483</a>                                                                  | 26 March 2009     |
| N241/2009 – Recapitalisation of Allied Irish Bank                                                                                | Decision not to raise objections<br><a href="#">IP/09/744</a>                                                                  | 12 May 2009       |
| N349/2009 – Revised Irish guarantee scheme for financial institutions (Eligible Liabilities Guarantee scheme)                    | Decision not to raise objections<br><a href="#">IP/09/1787</a>                                                                 | 20 November 2009  |
| N198/2010 – Prolongation                                                                                                         | <a href="#">EXME/10/0531</a>                                                                                                   | 31 May 2010       |
| N254/2010 – Extension                                                                                                            | <a href="#">IP/10/854</a>                                                                                                      | 28 June 2010      |
| N487/2010 – Extension (including guarantees on short-term liabilities)                                                           | Decision not to raise objections<br><a href="#">EXME/10/10.11</a>                                                              | 10 November 2010  |
| N725/2009 – Irish impaired asset relief scheme (National Asset Management Agency (NAMA))                                         | Decision not to raise objections<br><a href="#">IP/10/198</a>                                                                  | 26 February 2010  |
| NN11/2010 – Temporary approval of Rescue measure in favour of INBS                                                               | Decision not to raise objections<br><a href="#">IP/10/400</a>                                                                  | 30 March 2010     |
| NN12/2010 and C11/2010 – Temporary approval of second recapitalisation of Anglo Irish Bank and restructuring of Anglo Irish Bank | Decision not to raise objections on recapitalisation, and opening of proceedings on restructuring<br><a href="#">IP/10/400</a> | 31 March 2010     |
| N160/2010 – Temporary approval of recapitalisation of EBS                                                                        | Decision not to raise objections<br><a href="#">IP/10/658</a>                                                                  | 2 June 2010       |
| N564/2009 – Approval of restructuring plan of Bank of Ireland                                                                    | Decision not to raise objections<br><a href="#">IP/10/954</a>                                                                  | 15 July 2010      |
| N331/2010 – Approval of the transfer of the first tranche of impaired assets under the Irish asset relief scheme NAMA            | Decision not to raise objections<br><a href="#">EXME/10/03.08</a>                                                              | 3 August 2010     |
| NN35/2010 – Temporary approval of third recapitalisation of Anglo Irish Bank                                                     | Decision not to raise objections<br><a href="#">IP/10/1046</a>                                                                 | 10 August 2010    |
| N347/2010 – Guarantee scheme for Irish Financial Institutions                                                                    | Decision not to raise objections<br><a href="#">IP/10/1154</a>                                                                 | 21 September 2010 |

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|-----------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|------------------|
| SA.31919 – Approval of the transfer of the second tranche of impaired assets under the Irish asset relief scheme NAMA | Decision not to raise objections<br><a href="#">EXME/10/29.11</a> | 29 November 2010 |
| SA.31891 – Second recapitalisation of Allied Irish Bank                                                               | Decision not to raise objections<br><a href="#">IP/10/1765</a>    | 21 December 2010 |
| SA.32057 – Temporary approval of fourth recapitalisation and certain guarantees in favour of Anglo Irish Bank         | Decision not to raise objections<br><a href="#">IP/10/1765</a>    | 21 December 2010 |
| SA.31714 – Second recapitalisation of Irish Nationwide Building Society (INBS)                                        | Decision not to raise objections<br><a href="#">IP/10/1765</a>    | 21 December 2010 |

## ITALY

|                                                 |                                                                |                  |
|-------------------------------------------------|----------------------------------------------------------------|------------------|
| N520a/2008 – Guarantee scheme for Italian banks | Decision not to raise objections<br><a href="#">IP/08/1706</a> | 14 November 2008 |
| N328/2009 – Prolongation                        | <a href="#">IP/09/929</a>                                      | 16 June 2009     |
| N648/2008 – Recapitalisation scheme             | Decision not to raise objections<br><a href="#">IP/08/2059</a> | 23 December 2008 |
| N97/2009 – Amendment                            |                                                                | 20 February 2009 |
| N466/2009 – Prolongation                        | <a href="#">MEX/09/1006</a>                                    | 6 October 2009   |
| N425/2010 – Prolongation                        | <a href="#">EXME/10/21.10</a>                                  | 21 October 2010  |

## LATVIA

|                                                           |                                                                |                   |
|-----------------------------------------------------------|----------------------------------------------------------------|-------------------|
| NN68/2008 – Public support measures to Parex Banka        | Decision not to raise objections<br><a href="#">IP/08/1766</a> | 24 November 2008  |
| NN3/2009 – Amendment to the Decision                      |                                                                | 11 February 2009  |
| N189/2009 – Amendment to the Decision                     | <a href="#">IP/09/732</a>                                      | 11 May 2009       |
| N638/2008 – Guarantee scheme for banks                    | Decision not to raise objections<br><a href="#">IP/08/2054</a> | 22 December 2008  |
| N326/2009 – Prolongation                                  | <a href="#">EXME/09/0630</a>                                   | 30 June 2009      |
| N664/2009 – Second prolongation                           | <a href="#">EXME/09/1217</a>                                   | 17 December 2009  |
| N223/2010 – Extension                                     | <a href="#">IP/10/839</a>                                      | 24 June 2010      |
| NN60/2009 – Capital injection for Mortgage Bank of Latvia | Decision not to raise objections<br><a href="#">IP/09/1742</a> | 19 November 2009  |
| C26/2009 – Restructuring of Parex Banka                   | Final positive decision<br><a href="#">IP/10/1127</a>          | 15 September 2010 |

## LITHUANIA

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|---------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------|
| N200/2009 and N47/2010 – Support Package for Lithuanian Financial Institutions (guarantees, recapitalisations and asset relief) | Decision not to raise objections<br><a href="#">IP/10/1032</a> | 5 August 2010 |
|---------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------|

## LUXEMBOURG

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|-----------------------------------------------------------------------|----------------------------------------------------------------|-------------|
| N344/2009&N380/2009 – Restructuring aid for Kaupthing Bank Luxembourg | Decision not to raise objections<br><a href="#">IP/09/1107</a> | 9 July 2009 |
|-----------------------------------------------------------------------|----------------------------------------------------------------|-------------|

## NETHERLANDS

|                                                               |                                                                |                  |
|---------------------------------------------------------------|----------------------------------------------------------------|------------------|
| N524/2008 – Guarantee scheme for Dutch financial institutions | Decision not to raise objections<br><a href="#">IP/08/1610</a> | 30 October 2008  |
| N379/2009 – Prolongation and modification                     | <a href="#">EXME/09/0707</a>                                   | 7 July 2009      |
| N669/2009 – Second prolongation                               | <a href="#">EXME/09/1217</a>                                   | 17 December 2009 |
| N238/2010 – Extension                                         | <a href="#">IP/10/864</a>                                      | 29 June 2010     |
| N528/2008 – Measure in favour of ING                          | Decision not to raise objections<br><a href="#">IP/08/1699</a> | 13 November 2008 |
| N569/2008 – Measure in favour of Aegon                        | Decision not to raise objections<br><a href="#">IP/08/1822</a> | 27 November 2008 |



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|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| N611/2008 – SNS REAAL/New capital injection by Dutch authorities                                                                         | Decision not to raise objections<br><a href="#">IP/08/1951</a> | 10 December 2008 |
| C10/2009 – ING Illiquid asset facility                                                                                                   | Decision not to raise objections<br><a href="#">IP/09/514</a>  | 31 March 2009    |
| C10/2009 – ING restructuring plan and illiquid asset back-up facility                                                                    | Final positive decision<br><a href="#">IP/09/1729</a>          | 18 November 2009 |
| N371/2009 – Approval of recapitalisation of SNS REAAL                                                                                    | Decision not to raise objections<br><a href="#">IP/10/82</a>   | 28 January 2010  |
| N19/2010, NN2/2010, C11/2009 – Temporary approval of additional recapitalisation package in favour of ABN AMRO and Fortis Bank Nederland | Decision not to raise objections<br><a href="#">IP/10/138</a>  | 4 February 2010  |
| N372/2009 – Approval of recapitalisation of AEGON                                                                                        | Decision not to raise objections<br><a href="#">IP/10/1053</a> | 17 August 2010   |
| SA.29832 – ING call of hybrid instruments                                                                                                | <a href="#">IP/10/1619</a>                                     | 30 November 2010 |

## POLAND

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|------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------|
| N208/2009 – Polish support scheme for financial institutions (guarantee and liquidity support) | Decision not to raise objections<br><a href="#">IP/09/1360</a> | 25 September 2009 |
| N658/2009 – Prolongation                                                                       | <a href="#">EXME/10/0209</a>                                   | 9 February 2010   |
| N236/2010 – Second Extension                                                                   | <a href="#">IP/10/864</a>                                      | 29 June 2010      |
| SA.31923 – Third Prolongation                                                                  | <a href="#">EXME/10/16.12</a>                                  | 16 December 2010  |
| N302/2009 – Polish bank recapitalisation scheme                                                | Decision not to raise objections<br><a href="#">IP/09/1979</a> | 21 December 2009  |
| N262/2010 – Prolongation                                                                       |                                                                | 5 July 2010       |
| SA.31924 – Second extension                                                                    | <a href="#">EXME/10/16.12</a>                                  | 16 December 2010  |

## PORTUGAL

|                                                                    |                                                                |                  |
|--------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| NN60/2008 – Guarantee scheme for credit institutions in Portugal   | Decision not to raise objections<br><a href="#">IP/08/1601</a> | 29 October 2008  |
| N51/2010 – Prolongation                                            | <a href="#">EXME/10/22.02</a>                                  | 22 February 2010 |
| N315/2010 – Extension                                              | <a href="#">IP/10/997</a>                                      | 23 July 2010     |
| NN71/2008 – State guarantee for Banco Privado Português            | Decision not to raise objections<br><a href="#">IP/09/400</a>  | 13 March 2009    |
| N556/2008 – Bank recapitalisation scheme                           | Decision not to raise objections<br><a href="#">IP/09/818</a>  | 20 May 2009      |
| N80/2010 – Prolongation                                            | <a href="#">EXME/10/0317</a>                                   | 17 March 2010    |
| N314/2010 – Second prolongation                                    | <a href="#">IP/10/997</a>                                      | 23 July 2010     |
| C33/2009 – Banco Privado Português – recovery of illegal State aid | Negative decision with recovery<br><a href="#">IP/10/972</a>   | 20 July 2010     |

## SLOVAKIA

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|---------------------------------------------------------------------------|----------------------------------------------------------------|-----------------|
| N392/2009 – Slovak bank support scheme (guarantees and recapitalisations) | Decision not to raise objections<br><a href="#">IP/09/1889</a> | 8 December 2009 |
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## SLOVENIA

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|------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| N531/2008 – Guarantee scheme for credit institutions in Slovenia | Decision not to raise objections<br><a href="#">IP/08/1964</a> | 12 December 2008 |
| N331/2009 – Prolongation                                         | <a href="#">EXME/09/22.06</a>                                  | 22 June 2009     |
| N651/2009 – Second prolongation                                  | <a href="#">EXME/09/1217</a>                                   | 17 December 2009 |
| N245/2010 – Extension                                            | <a href="#">IP/10/864</a>                                      | 29 June 2010     |
| N637/2008 – Liquidity scheme for financial sector                | Decision not to raise objections<br><a href="#">IP/09/452</a>  | 20 March 2009    |
| N510/2009 – Prolongation                                         | <a href="#">EXME/09/19.10</a>                                  | 19 October 2009  |
| N113/2010 – Second Prolongation                                  | <a href="#">EXME/10/0415</a>                                   | 15 April 2010    |

|                       |                            |                |
|-----------------------|----------------------------|----------------|
| N321/2010 – Extension | <a href="#">IP/10/1066</a> | 24 August 2010 |
|-----------------------|----------------------------|----------------|

## SPAIN

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|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| NN54a/2008 – Fund for the Acquisition of Financial Assets in Spain                                                                | Decision not to raise objections<br><a href="#">IP/08/1630</a> | 4 November 2008  |
| Modification                                                                                                                      | Decision not to raise objections                               | 8 April 2009     |
| N337/2009 – Prolongation                                                                                                          | <a href="#">EXME/09/0807</a>                                   | 7 August 2009    |
| NN54b/2008 – Spanish guarantee scheme for credit institutions                                                                     | Decision not to raise objections<br><a href="#">IP/08/2049</a> | 22 December 2008 |
| Prolongation                                                                                                                      | <a href="#">EXME/09/0625</a>                                   | 25 June 2009     |
| N588/2009 – Second prolongation                                                                                                   | <a href="#">EXME/09/1201</a>                                   | 1 December 2009  |
| N263/2010 – Extension                                                                                                             | <a href="#">IP/10/854</a>                                      | 28 June 2010     |
| SA.32921 – Prolongation                                                                                                           | <a href="#">EXME/10/29.11</a>                                  | 29 November 2010 |
| N28/2010 – Spanish recapitalisation scheme for credit institutions ( <i>Fondo de Reestructuración Ordenada Bancaria</i> " (FROB)) | Decision not to raise objections<br><a href="#">IP/10/70</a>   | 28 January 2010  |
| N317/2010 – Prolongation                                                                                                          | <a href="#">IP/10/997</a>                                      | 23 July 2010     |
| NN61/2009 – Restructuring aid for Caja Castilla La Mancha                                                                         | Decision not to raise objections<br><a href="#">IP/10/855</a>  | 29 June 2010     |
| N392/2010 – Restructuring aid for Spanish saving bank Caja Sur                                                                    | Decision not to raise objections<br><a href="#">IP/10/1479</a> | 8 November 2010  |

## SWEDEN

|                                                                              |                                                                |                  |
|------------------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| N533/2008 – Support measures for the banking industry in Sweden (guarantees) | Decision not to raise objections<br><a href="#">IP/08/1600</a> | 29 October 2008  |
| N26/2009 – Amendment to the decision                                         | <a href="#">IP/09/186</a>                                      | 28 January 2009  |
| N154/2009 – Amendment and prolongation                                       | <a href="#">IP/09/652</a>                                      | 28 April 2009    |
| N544/2009 – Prolongation                                                     | <a href="#">EXME/09/1026</a>                                   | 26 October 2009  |
| N127/2010 – Second Prolongation                                              | <a href="#">EXME/10/0422</a>                                   | 22 April 2010    |
| N207/2010 – Extension of Swedish guarantee scheme                            | <a href="#">EXME/10/0615</a>                                   | 15 June 2010     |
| SA.31949 – Prolongation                                                      | <a href="#">EXME/10/01.12</a>                                  | 1 December 2010  |
| NN64/2008 – Emergency rescue measures regarding Carnegie Investment Bank     | Decision not to raise objections<br><a href="#">IP/08/1977</a> | 15 December 2008 |
| N69/2009 – Recapitalisation scheme                                           | Decision not to raise objections<br><a href="#">IP/09/241</a>  | 11 February 2009 |
| N436/2009 – Prolongation                                                     | <a href="#">EXME/09/0805</a>                                   | 5 August 2009    |
| NN18/2010 – Clearance of restructuring aid for Carnegie Investment Bank      | Decision not to raise objections<br><a href="#">IP/10/558</a>  | 12 May 2010      |

## UNITED KINGDOM

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|-------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------|
| NN41/2008 – Rescue aid to Bradford and Bingley                                                  | Decision not to raise objections<br><a href="#">IP/08/1437</a> | 1 October 2008   |
| N507/2008 – Aid scheme to the banking industry in the UK (guarantees, recapitalisation & other) | Decision not to raise objections<br><a href="#">IP/08/1496</a> | 13 October 2008  |
| N650/2008 – Amendment to the Decision                                                           |                                                                | 23 December 2008 |
| N193/2009 – Prolongation                                                                        | <a href="#">IP/09/586</a>                                      | 15 April 2009    |
| N537/2009 – Prolongation                                                                        | <a href="#">EXME/09/13.10</a>                                  | 13 October 2009  |
| N677/2009 – Prolongation                                                                        | <a href="#">EXME/09/17.12</a>                                  | 17 December 2009 |
| N111/2009 – Working capital guarantee scheme                                                    | Decision not to raise objections<br><a href="#">IP/09/471</a>  | 24 March 2009    |
| UK Asset backed Securities guarantee scheme                                                     | Decision not to raise objections<br><a href="#">IP/09/613</a>  | 21 April 2009    |
| Prolongation                                                                                    | <a href="#">EXME/09/27.10</a>                                  | 27 October 2009  |

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|--------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------|
| C14/2008 – Restructuring package for Northern Rock                                                     | Final conditional decision after formal investigation procedure<br><a href="#">IP/09/1600</a> | 28 October 2009  |
| N428/2009 – Restructuring plan of Lloyds Banking Group                                                 | Decision not to raise objections<br><a href="#">IP/09/1728</a>                                | 18 November 2009 |
| N422/2009 and N621/2009 – Royal Bank of Scotland, impaired asset relief measure and restructuring plan | Decision not to raise objections<br><a href="#">IP/09/1915</a>                                | 14 December 2009 |
| N194/2009 – Liquidation aid to Bradford & Bingley                                                      | Decision not to raise objections<br><a href="#">IP/10/47</a>                                  | 25 January 2010  |
| NN19/2009 – Restructuring of Dunfermline Building Society                                              | Decision not to raise objections<br><a href="#">IP/10/48</a>                                  | 25 January 2010  |

**Cases currently under formal investigation procedure  
(in-depth investigation under the EC Treaty's rules on state aid)**

| Country          | Type of measure / Beneficiary                                                                                                                                                                            | Date of decision regarding the opening of formal investigation                                                                                       |                                              |
|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|
| Germany          | C15/2009 – Aid package for Hypo Real Estate (restructuring)<br><br>Extension and temporary approval of capital injections                                                                                | 7 May 2009<br><a href="#">IP/09/712</a><br><br>13 November 2009<br><a href="#">IP/09/1708</a>                                                        | <i>Case under assessment</i>                 |
| Germany, Austria | C16/2009 – Aid package for Bayern LB and its Austrian subsidiary Hypo Group Alpe Adria<br><br>Extension<br><br>C16/2009 – Extension of temporary approval of restructuring aid for Hypo Group Alpe Adria | 12 May 2009<br><a href="#">IP/09/742</a><br><br>23 December 2009<br><a href="#">IP/09/1998</a><br><br>22 June 2010<br><a href="#">IP/10/774</a>      | <i>Case under assessment</i>                 |
| Germany          | C29/2009 – Aid package for HSH Nordbank AG                                                                                                                                                               | 22 October 2009<br><a href="#">IP/09/1577</a>                                                                                                        | <i>Case under assessment</i>                 |
| Germany          | C43/2009 – WestLB: in-depth investigation into bad bank<br><br>C43/2009 – Prolongation of temporary approval of aid to WestLB<br><br>C43/2009 – Extension                                                | 22 December 2009<br><a href="#">IP/09/1996</a><br><br>22 June 2010<br><a href="#">IP/10/774</a><br><br>5 November 2010<br><a href="#">IP/10/1472</a> | <i>Case under assessment</i>                 |
| Ireland          | C11/2010 – Temporary approval of Second recapitalisation of Anglo Irish Bank and restructuring of Anglo Irish Bank                                                                                       | 31 March 2010<br><a href="#">IP/10/400</a>                                                                                                           | <i>Case under assessment</i>                 |
| Ireland          | C25/2010 – Restructuring of EBS                                                                                                                                                                          | 11 October 2010<br><a href="#">IP/10/1310</a>                                                                                                        | <i>Case under assessment</i>                 |
| Netherlands      | C11/B/2008 – State measures in favour of Fortis Bank Nederland (FBN) and the activities of ABN Amro<br><br>Extension                                                                                     | 8 April 2009<br><a href="#">IP/09/565</a><br><br>4 February 2010<br><a href="#">IP/10/138</a>                                                        | <i>Case under assessment</i>                 |
| Hungary          | SA.29608 – Recapitalisation of FHB*                                                                                                                                                                      | 16 December 2010<br><a href="#">IP/10/1731</a>                                                                                                       | <i>*Decision replaced on 24 January 2011</i> |