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COVER NOTE

from: Secretary-General of the European Commission,
signed by Mr Jordi AYET PUIGARNAU, Director

date of receipt: 20 February 2012

to: Mr Uwe CORSEPIUS, Secretary-General of the Council of the European
Union

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Subject: Commission staff working document accompanying the document "Report
from the Commission to the European Parliament and the Council on the
evaluation of the Union's finances based on the results achieved"

Delegations will find attached Commission document SWD(2012) 4 final.

Encl.: SWD(2012) 4 final



EUROPEAN COMMISSION

Brussels, 17.2.2012
SWD(2012) 4 final

COMMISSION STAFF WORKING DOCUMENT
Accompanying the document

**REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND
THE COUNCIL**

on the evaluation of the Union's finances based on the results achieved

{COM(2012) 40 final}

COMMISSION STAFF WORKING DOCUMENT
Accompanying the document

**REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND
THE COUNCIL**

on the evaluation of the Union's finances based on the results achieved

*This document is a European Commission staff working document for information purposes.
It does not represent an official position of the Commission on this issue, nor does it anticipate
such a position.*

Reference documentation

1. EDUCATION AND CULTURE

- Interim Evaluation of the Lifelong Learning Programme 2007-2013 (February 2010)

http://ec.europa.eu/dgs/education_culture/evalreports/education/2011/llpreport_en.pdf

- Evaluation of the European Institute of Innovation and Technology (May 2011)

http://ec.europa.eu/dgs/education_culture/evalreports/education/2011/eitreport_en.pdf

- Youth in Action Interim Evaluation (February 2011)

http://ec.europa.eu/dgs/education_culture/evalreports/youth/2011/interimreport_en.pdf

- Interim Evaluation of the Culture Programme 2007-13 (June 2010)

http://ec.europa.eu/dgs/education_culture/evalreports/culture/2010/progreport_en.pdf

- Interim evaluation of MEDIA 2007 (June 2010)

http://ec.europa.eu/dgs/education_culture/evalreports/culture/2010/media_en.pdf

2. RESEARCH

- Interim evaluation of the Seventh Framework Programme (FP7). Report of the expert group, (2010) available from:

http://ec.europa.eu/research/evaluations/pdf/archive/other_reports_studies_and_documents/fp7_interim_evaluation_expert_group_report.pdf#view=fit&pagemode=none

- Evidence base interim evaluation of the Seventh Framework Programme: Studies and reports available on

[http://ec.europa.eu/research/evaluations/index_en.cfm?pg=fp7-evidence,](http://ec.europa.eu/research/evaluations/index_en.cfm?pg=fp7-evidence)

including:

- Mid-Term Evaluation of the Risk-Sharing Financial Facility (RSFF) (2010)
- Interim Evaluation of FP7 Space Research (2010)
- First Interim Evaluation of the ARTEMIS and ENIAC Joint Technology Initiatives (2010)
- Interim Evaluation of EU FP7 Transport Research (2010)
- International Cooperation Activities of the FP7 - Interim Evaluation Report (2010)
- Study on the Longer-Term Impact of EU Funding of Research - Environment and Health (2010)
- Fourth FP7 Monitoring Report - Monitoring Report 2010
- Half Time – Highway – Interim Evaluation of FP7 from different perspectives

More studies and reports in relation to the interim evaluation of FP 7 are available on:
http://ec.europa.eu/research/evaluations/index_en.cfm?pg=fp7-evidence

- Ex-Post evaluation FP6. Report of the expert group (2009) available from:
http://ec.europa.eu/research/evaluations/pdf/archive/other_reports_studies_and_documents/fp6_ex-post_evaluation_expert_group_report.pdf#view=fit&pagemode=none

Evidence base ex post evaluation of the Sixth Framework Programme: Studies and reports available on

http://ec.europa.eu/research/evaluations/index_en.cfm?pg=fp6-evidence

including:

- Assessing the Behavioural Additionality of the Sixth Framework Programme (2009)
- Assessment of Internet-Based Communication and Dissemination by Projects Funded Under the 6th Framework Programme (2009)
- Assessment of the Impact of the 6th Framework Programme on New Member States (2009)
- Assessment of the Impact of the New Instruments Introduced in FP6 (2009)
- Assessment of the International Standing of the 6th Framework Programme (2009)
- Bibliometric Profiling of Framework Programme Participants (2009)
- Participation Survey and Assessment of the Impact of the Actions Completed Under the 6th Framework Programme (2009)
- Structuring Effects of Community Research - the Impact of the Framework Programme for RTD on Network Formation (2009)
- The study European Added Value of Community Research Activities. Expert Analysis in support of the Ex Post Evaluation of FP6 (2008)
- Tools and Indicators for Community Research Evaluation and Monitoring (2009)
- NetPact: Structuring effects of Community research. The impact of the RTD Framework Programme on network formation. Final report to the European Commission.

More studies and reports in relation to the ex post evaluation of FP 6 are available here:
http://ec.europa.eu/research/evaluations/index_en.cfm?pg=fp6-evidence

3. GENERAL REFERENCES

- SEC(2011)867: Commission Staff Working Paper - The added value of the EU budget -accompanying the document COM(2011)500: A budget for Europe 2020:
http://ec.europa.eu/budget/library/biblio/documents/fin_fwk1420/working_paper_added_value_EU_budget_SEC-867_en.pdf
- Annual Activity 2010 report DG RTD:
http://ec.europa.eu/atwork/synthesis/aar/doc/rtd_aar.pdf
- Annual Activity 2010 report DG EAC:
http://ec.europa.eu/atwork/synthesis/aar/doc/eac_aar.pdf
- Synthesis of the Commission's management achievements in 2010:
http://ec.europa.eu/atwork/synthesis/doc/synthesis_report_2010_en.pdf
- Activity statements to the Budget 2012, Policy areas 08-15:
http://ec.europa.eu/budget/library/biblio/documents/2012/DB2012/DB2012_activity_statements_en.pdf
- Evaluation website of the Secretariat-General:
http://ec.europa.eu/dgs/secretariat_general/evaluation/evaluation_results_en.htm
- Evaluation website of DG Education and Culture:
http://ec.europa.eu/dgs/education_culture/evalreports/index_en.htm#educationHeader
- Evaluation websites of DG Research:
http://ec.europa.eu/research/evaluations/index_en.cfm?pg=home
- Impact Assessment website of the Secretariat-General:
http://ec.europa.eu/governance/impact/ia_carried_out/cia_2011_en.htm