

EUROPEAN COMMISSION

Brussels, 21.3.2012 SWD(2012) 57 final

Annex - Part 5/11

COMMISSION STAFF WORKING DOCUMENT

IMPACT ASSESSMENT

Accompanying the document

Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

establishing rules on the access of third country goods and services to the European Union's internal market in public procurement and procedures supporting negotiations on access of European Union goods and services to the public procurement markets of third countries

Annex

{COM(2012) 124 final} {SWD(2012) 58 final}

ANNEX 5 - Analysis of public services according to COFOG

Rest of the world

(Classification of the Functions of Government)

				Potentially captive	
	Government	PP	Major industries affected	industries	Remarks
01 - General public services					
01.1 - Executive and legislative organs, financial and fiscal affairs, external					
affairs	YES	YES	IT & Business services	-	General administration
01.2 - Foreign economic aid	YES	YES BUT	Construction, medical equipment	Development consulting	Mostly through grants
01.3 - General services	YES	YES	IT & Business services	-	General administration
01.4 - Basic research	YES	YES	IT & Business services	-	General administration
01.5 - R&D General public services	YES	YES	IT, precision equipment	Universities, research centres & precision equipment, supercomputers	EU univeristies may be bidding for projects abroad
01.6 - General public services n.e.c.	YES	YES	IT & Business services	-	General administration
01.7 - Public debt transactions 01.8 - Transfers of a general character	YES	YES BUT	Financial services		Not an offensive interest in trade agreements - financial flexibility of central banks
between different levels of government	YES	NO			
02 - Defence					
02.1 - Military defence	YES	YES	Defence industry	Defence industry	Not an offensive interest in trade agreements - security exemptions

					Not an offensive interest in
02.2 - Civil defence	YES	YES	Defence industry	Defence industry	trade agreements - security exemptions
02.12 CIVII delettee	123	123	Deferree maastry	Defence industry	Not an offensive interest in
					trade agreements - security
02.3 - Foreign military aid	YES	YES	Defence industry	Defence industry	exemptions
					Aerospace industry also
02.4 - R&D Defence	YES	YES	Defence industry	Defence industry	affected
					Not an offensive interest in
02.5 - Defence n.e.c.	YES	YES	Defence industry	Defence industry	trade agreements - security
02.5 - Defence fi.e.c.	165	165	Defence industry	Defence industry	exemptions
03 - Public order and safety					
					Not an offensive interest in
					trade agreements - security
03.1 - Police services	YES	YES	Defence industry, vehicles	Defence industry	exemptions
02.2 Fire pretection convices	VEC	VEC	Firefighting industry	Firefighting	
03.2 - Fire-protection services	YES YES	YES YES	Firefighting industry	industry	Concept administration
03.3 - Law courts	TES	TES	IT & Business services Defence & security	-	General administration
03.4 - Prisons	YES BUT	YES	services	Defence industry	WORKS CONCESSIONS
03.4 11130113	123 001	165	Defence & security	Defence madacry	WORKS CONCESSIONS
03.5 - R&D Public order and safety	YES	YES	services	Defence industry	
,			Defence & security	,	
03.6 - Public order and safety n.e.c.	YES	YES	services	Defence industry	
04 - Economic affairs					
04.1 - General economic, commercial					
and labour affairs	YES	YES	IT & Business services		General administration
04.2 - Agriculture, forestry, fishing and					
hunting	YES	NO			Mostly through grants
04.2. First and an annual	VEC DUT	YES			Whether purchases are PP
04.3 - Fuel and energy	YES BUT	BUT	-		depends on the country

04.3.1 - Coal and other solid mineral		YES			Whether purchases are PP
fuels (CS)	YES BUT	BUT	Mining equipment	Mining equipment	depends on the country
		YES		Oil & Gas	Whether purchases are PP
04.3.2 - Petroleum and natural gas (CS)	YES BUT	BUT	Oil & Gas equipment	equipment	depends on the country
		YES		Nuclear energy	Whether purchases are PP
04.3.3 - Nuclear fuel (CS)	YES BUT	BUT	Nuclear energy industry	industry	depends on the country
		YES			Whether purchases are PP
04.3.4 - Other fuels (CS)	YES BUT	BUT	-	-	depends on the country
		YES		Electricity	Whether purchases are PP
04.3.5 - Electricity (CS)	YES BUT	BUT	Electricity production equip	production equip	depends on the country
				Nuclear energy	Whether purchases are PP
Production: nuclear	YES BUT	YES BUT	Nuclear energy industry	industry	depends on the country
				Power generation	Whether purchases are PP
Production: hydro-electric	YES BUT	YES BUT	Power generation equipment	equipment	depends on the country
Production: construction of dams	YES BUT	YES BUT	Construction		
			Solar & wind power	Solar & wind power	Whether purchases are PP
Production: solar, wind & geothermal	YES BUT	YES BUT	equipment	equipment	depends on the country
					Whether purchases are PP
Transmission	YES BUT	YES BUT	Electricity equipment	Electricity equipment	depends on the country
					Whether purchases are PP
Distribution	YES BUT	YES BUT	Electricity equipment	Electricity equipment	depends on the country
		YES	Gas distribution	Gas distribution	Whether purchases are PP
04.3.6 - Non-electric energy (CS)	YES BUT	BUT	equipment	equipment	depends on the country
				Public	
04.4 - Mining, manufacturing and		YES		Infrastructure	
construction	YES BUT	BUT	Construction	construction	
_		YES			Not Airlines; other sectors in
04.5 - Transport	YES BUT	BUT	Transport equipment	•	process of liberalisation
		YES	Buses, Road traffic	Buses, Road traffic	Whether purchases are PP
04.5.1 - Road transport (CS)	YES BUT	BUT	equipment	equipment	depends on the country
				Public	
		YES		Infrastructure	
Construction of roads	YES BUT	BUT	Construction	construction	WORKS CONCESSIONS

		YES			Whether purchases are PP
04.5.2 - Water transport (CS)	YES BUT	BUT	Port equipment	Port equipment	depends on the country
		YES		Dredging, port	
Construction of ports & waterways	YES BUT	BUT	Construction	operation	WORKS CONCESSIONS
			High-speed trains, Rolling	High-speed trains,	
			stock, signalling	Rolling stock,	
			equipment, metro &	signalling	
		YES	trams	equipment, metro	Whether purchases are PP
04.5.3 - Railway transport (CS)	YES BUT	BUT	ti di ilis	& trams	depends on the country
				Public	
				Infrastructure	
		YES		construction,	
Construction of railways	YES BUT	BUT	Construction	railway operation	WORKS CONCESSIONS
			Construction, airport		Whether purchases are PP
		YES	operation, ATC equipment	ATC equipment,	depends on the country -
04.5.4 - Air transport (CS)	YES BUT	BUT	operation, and equipment	airport operation	AIRLINES EXCLUDED
				Public	
		YES		Infrastructure	
Construction of airports	YES BUT	BUT	Construction	construction	WORKS CONCESSIONS
		YES		Oil & Gas	Whether purchases are PP
04.5.5 - Pipeline and other transport (CS)	YES BUT	BUT	Oil & Gas equipment	equipment	depends on the country
				Public	
		YES		Infrastructure	
Construction of pipelines & other transport	YES BUT	BUT	Construction	construction	WORKS CONCESSIONS
	V=0 DII=	YES			Whether purchases are PP
04.6 - Communication	YES BUT	BUT			depends on the country
Postal	VEC DUT	VEC DUT	Dootol ogvinment	Dootel equipment	Whether purchases are PP
Postal	YES BUT	YES BUT	Postal equipment	Postal equipment	depends on the country
Telecommunication	YES BUT	YES BUT	Telecom equipment	Telecom equipment	Whether purchases are PP depends on the country
04.7 - Other industries (e.g. hotels, retail	ILS DUI	TES BUT	i elecom equipment	r elecom equipment	depends on the country
` ,	NO	NO			
& tourism)	INU	INO			

04.8 - R&D Economic affairs	YES	YES BUT		Universities, research centres, specialised economic consulting	EU univeristies may be bidding for projects abroad
04.9 - Economic affairs n.e.c.	NO	NO			
05 - Environmental protection					
05.1 - Waste management	YES BUT	YES	Waste & water industry & mgmt	Waste & water industry & mgmt	Whether purchases are PP depends on the country
05.2 - Waste water management	YES BUT	YES	Waste & water industry & mgmt	Waste & water industry & mgmt	Whether purchases are PP depends on the country
05.3 - Pollution abatement	YES	YES	Specialised env. services industry	Specialised env. services industry	
05.4 - Protection of biodiversity and landscape	YES	YES	Specialised env. services industry	Specialised env. services industry	
05.5 - R&D Environmental protection	YES	YES	-	-	-
05.6 - Environmental protection n.e.c.	YES	YES	-	-	-
06 - Housing and community amenities					
06.1 - Housing development	YES BUT	YES BUT	Construction	-	
06.2 - Community development	YES BUT	YES BUT	Construction	-	
06.3 - Water supply	YES BUT	YES	Waste & water industry	Waste & water industry	Whether purchases are PP depends on the country
06.4 - Street lighting	YES	YES	Street lighting	Street lighting	
06.5 - R&D Housing and community amenities	YES	YES	-	-	-
06.6 - Housing and community amenities n.e.c.	YES BUT	YES	-	-	-

07 - Health					
					By COFOG definition -
07.1 - Medical products, appliances and					obtained by prescriptions -
equipment	NO	NO	-	-	outside of scope
		YES	Pharma & Medical	Pharma & Medical	Public hospitals / depends
07.2 - Outpatient services	YES BUT	BUT	equipment	equipment	from MS
		YES	Pharma & Medical	Pharma & Medical	Public hospitals / depends
07.3 - Hospital services	YES BUT	BUT	equipment	equipment	from MS
		YES	Pharma & Medical	Pharma & Medical	Public hospitals / depends
07.4 - Public health services	YES BUT	BUT	equipment	equipment	from MS
				Universities,	
		VEC	DI 0 M I: I	research centres &	EU univeristies may be
07.5 000 11 111	VEC BUT	YES	Pharma & Medical	precision	bidding for projects abroad
07.5 - R&D Health	YES BUT	BUT	equipment	equipment	
07.6 Haalib a a a	VEC BUT	YES			Public hospitals / depends
07.6 - Health n.e.c.	YES BUT	BUT	<u>-</u>	-	from MS
08 - Recreation, culture and religion					
		YES			If public yes / depends from
08.1 - Recreational and sporting services	YES BUT	BUT	Sport equipment	-	MS
		YES			
Construction of sport facilities	YES BUT	BUT	Construction	-	
		YES			If public yes / depends from
08.2 - Cultural services	YES BUT	BUT	-	-	MS
08.3 - Broadcasting and publishing		YES		Radio & TV	If public yes / depends from
services	YES BUT	BUT	Radio & TV equipment	equipment	MS
08.4 - Religious and other community		YES			If public yes / depends from
services	YES BUT	BUT	-	-	MS
08.5 - R&D Recreation, culture and		YES			If public yes / depends from
religion	YES BUT	BUT	-	-	MS
08.6 - Recreation, culture and religion		YES			If public yes / depends from
n.e.c.	YES BUT	BUT	-	-	MS

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09 - Education					
09.1 - Pre-primary and primary		YES			If public yes / depends from
education	YES BUT	BUT	IT equipment	-	MS
		YES			If public yes / depends from
09.2 - Secondary education	YES BUT	BUT	IT equipment	-	MS
09.3 - Post-secondary non-tertiary		YES			If public yes / depends from
education	YES BUT	BUT	IT equipment	-	MS
		YES			If public yes / depends from
09.4 - Tertiary education	YES BUT	BUT	IT, precision equipment	-	MS
		YES			If public yes / depends from
09.5 - Education not definable by level	YES BUT	BUT	-	-	MS
		YES			If public yes / depends from
09.6 - Subsidiary services to education	YES BUT	BUT	-	-	MS
		YES			If public yes / depends from
09.7 - R&D Education	YES BUT	BUT	-	-	MS
		YES			If public yes / depends from
09.8 - Education n.e.c.	YES BUT	BUT	-	-	MS
40. 0					
10 - Social protection			, ,		1
					Grants not PP; Grants
10.1 - Sickness and disability	YES	NO	-	-	authorities PP is covered
					Grants not PP; Grants
10.2 - Old age	YES	NO	-	-	authorities PP is covered
					Grants not PP; Grants
10.3 - Survivors	YES	NO	-	-	authorities PP is covered
					Grants not PP; Grants
10.4 - Family and children	YES	NO	-	_	authorities PP is covered
					Grants not PP; Grants
10.5 - Unemployment	YES	NO	-		authorities PP is covered
					Grants not PP; Grants
10.6 - Housing	YES	NO	-	-	authorities PP is covered
10.7 - Social exclusion n.e.c.	YES	NO	-	-	Grants not PP; Grants

					authorities PP is covered
					Grants not PP; Grants
10.8 - R&D Social protection	YES	NO	-	-	authorities PP is covered
					Grants not PP; Grants
10.9 - Social protection n.e.c.	YES	NO	-	-	authorities PP is covered