



**COUNCIL OF
THE EUROPEAN UNION**

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PROPOSAL

from: European Commission

dated: 5 June 2012

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Subject: Proposal for a Council Decision on the conclusion of the Protocol agreed between the European Union and the Republic of Guinea-Bissau setting out fishing opportunities and the financial contribution provided for in the Fisheries Partnership Agreement between the two parties currently in force

Delegations will find attached a proposal from the Commission, submitted under a covering letter from Mr Jordi AYET PUIGARNAU, Director, to Mr Uwe CORSEPIUS, Secretary-General of the Council of the European Union.

Encl.: COM(2012) 260 final



EUROPEAN COMMISSION

Brussels, 5.6.2012
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Proposal for a

COUNCIL DECISION

on the conclusion of the Protocol agreed between the European Union and the Republic of Guinea-Bissau setting out fishing opportunities and the financial contribution provided for in the Fisheries Partnership Agreement between the two parties currently in force

EXPLANATORY MEMORANDUM

1. CONTEXT OF THE PROPOSAL

On the basis of a mandate from the Council¹, the European Commission has conducted negotiations with the Republic of Guinea-Bissau with a view to renewing the Protocol to the Fisheries Partnership Agreement between the European Community and the Republic of Guinea-Bissau. At the end of those negotiations, a new Protocol was initialled on 10 February 2012. The new protocol covers a period of three years from the date of its signature.

The main aim of the Protocol to the Agreement is to provide fishing opportunities for the vessels of the European Union in the waters of Guinea-Bissau within the limits of the available surplus. The Commission's negotiating position was based in part on the results of an ex-post evaluation carried out by external experts and on the opinion of the Scientific Committee established under the Agreement.

The general aim is to enhance the cooperation between the European Union and the Republic of Guinea-Bissau, thereby creating a partnership framework within which to develop a sustainable fisheries policy and sound exploitation of fishery resources in the Guinea-Bissau fishing zone, in the interests of both Parties.

More particularly, the Protocol provides for fishing opportunities in the following categories:

- 3 700 GRT for shrimp freezer trawlers
- 3 500 GRT for fin-fish/cephalopod freezer trawlers
- 28 tuna seiners / surface longliners
- 12 pole-and-line tuna vessels.

The Commission proposes, on this basis, that the Council, with the consent of the Parliament, adopt this new Protocol by Decision.

2. RESULTS OF CONSULTATIONS WITH THE INTERESTED PARTIES AND IMPACT ASSESSMENTS

The interested parties were consulted ahead of the negotiations in the framework of the Long Distance Fleet Regional Advisory Council, bringing together the fisheries sector and environmental and development NGOs. Experts from the Member States were also consulted in technical meetings. These consultations concluded that it would be beneficial to maintain a fishing protocol with Guinea-Bissau.

¹ Adopted by the Agriculture and Fisheries Council on 20 October 2011.

3. LEGAL ELEMENTS OF THE PROPOSAL

This procedure is being initiated in parallel with the procedures relating to the Council Decision adopting the provisional application of the Protocol itself, as well as with the Council Regulation concerning the allocation of the fishing opportunities between the Member States of the EU.

4. BUDGETARY IMPLICATIONS

The overall financial contribution of the Protocol of EUR 9 200 000 over the whole period is based on: (a) a maximum of 40 authorisations for tuna vessels and of 7 200 GRT for trawlers, for a financial contribution of EUR 6 200 000 and (b) support for the development of the sectoral fisheries policy of the Republic of Guinea-Bissau amounting to EUR 3 000 000. This support meets the objectives of the national fisheries policy.

5. OPTIONAL ELEMENTS

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on the conclusion of the Protocol agreed between the European Union and the Republic of Guinea-Bissau setting out fishing opportunities and the financial contribution provided for in the Fisheries Partnership Agreement between the two parties currently in force

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(2), in conjunction with Article 218(6)(a) thereof,

Having regard to the proposal from the European Commission,

after transmission of the draft legislative act to the national Parliaments,

Having regard to the approval of the European Parliament²,

Whereas:

- (1) On 17 March 2008, the Council adopted Regulation (EC) No 241/2008 on the conclusion of the Fisheries Partnership Agreement between the European Community and the Republic of Guinea-Bissau³.
- (2) The European Union has negotiated with the Republic of Guinea-Bissau a new protocol granting European Union vessels fishing opportunities in waters in which Guinea-Bissau exercises its sovereignty or jurisdiction as regards fishing.
- (3) At the end of those negotiations, a new Protocol was initialled on 10 February 2012.
- (4) This new Protocol was signed on the basis of Decision No .../2012/EU⁴, and has been applied provisionally from the date of its signature.
- (5) The Protocol should be concluded,

² OJ C , , p. .

³ OJ L 75, 18.3.2008, p. 49.

⁴ OJ C ...

HAS ADOPTED THIS DECISION:

Article 1

The Protocol agreed between the European Union and the Republic of Guinea-Bissau setting out the fishing opportunities and the financial contribution provided for in the Fisheries Partnership Agreement in force between the two parties⁵ is hereby authorised on behalf of the European Union.

Article 2

The President of the Council shall designate the person(s) empowered to proceed, on behalf of the Union, to the notification provided for in Article 19 of the Protocol, in order to express the consent of the Union to be bound by the Protocol⁶.

Article 3

This Decision shall enter into force on the day following that of its publication in *the Official Journal of the European Union*.

Done at Brussels,

For the Council
The President

⁵ The text of the Protocol has been published in OJ ... together with the decision on signature.

⁶ The date of entry into force of the Protocol will be published in the *Official Journal of the European Union* by the General Secretariat of the Council.

PROTOCOL

setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Community and the Republic of Guinea-Bissau

Article 1

Period of application and fishing opportunities

1. For a period of three years, the fishing opportunities granted to vessels of the European Union under Article 5 of the Fisheries Partnership Agreement shall be as follows:

crustaceans and demersal species:

- (a) freezer shrimp trawlers: 3 700 GRT per year;
- (b) freezer, fin-fish and cephalopod trawlers: 3 500 GRT per year;

highly migratory species (species listed in Annex 1 to the 1982 United Nations Convention on the Law of the Sea):

- (a) freezer tuna seiners and longliners: 28 vessels,
- (b) pole-and-line tuna vessels: 12 vessels.

2. Paragraph 1 shall apply subject to Articles 7 and 9 of this Protocol.

Article 2

Financial contribution — Methods of payment

1. For the period referred to in Article 1 of the Protocol, the financial contribution referred to in Article 7 of the Fisheries Partnership Agreement shall be EUR 9 200 000 per year.
2. The financial contribution comprises:
 - (a) an annual sum of EUR 6 200 000 for access to the fishery resources in the Guinea-Bissau exclusive economic zone (EEZ), and
 - (b) a specific amount of EUR 3 000 000 per year for support for the sectoral fisheries policy of Guinea-Bissau.
3. Paragraph 1 shall apply subject to Articles 7, 9, 14, 15 and 17 of this Protocol.
4. Payment of the financial contribution due under Paragraph 2(a) and (b) above shall be made within 30 days of the entry into force of the Protocol for the first year and by the renewal date for subsequent years.
5. The authorities of Guinea-Bissau shall have full discretion regarding the use to which the financial contribution referred to in paragraph 2(a) is put.

6. The payments provided for in this Article shall be paid into a single Public Treasury account opened at Guinea-Bissau's central bank, the references of which shall be notified each year by the State Secretariat for Fisheries.

Article 3

Promotion of responsible and sustainable fishing in the waters of Guinea-Bissau

1. No later than three months after the entry into force of this Protocol, the Parties shall agree, within the Joint Committee provided for in Article 10 of the Fisheries Partnership Agreement, on a multiannual sectoral programme and detailed implementing rules, in particular:
 - (a) annual and multiannual guidelines for using the financial contribution referred to in Article 2(2)(b);
 - (b) the objectives, both annual and multiannual, to be achieved with a view to introducing, over time, responsible and sustainable fishing, taking account of the priorities expressed by Guinea-Bissau in its national fisheries policy and other policies relating to or having an impact on the introduction of responsible and sustainable fishing;
 - (c) criteria and procedures for evaluating the results obtained each year.
2. Any proposed amendments to the multiannual sectoral programme must be approved by both Parties within the Joint Committee.
3. The Joint Committee is responsible for implementing the multiannual sectoral programme. If necessary, the two Parties shall continue this monitoring after this Protocol expires, until the specific financial contribution provided for in Article 2(2)(b) has been used up.

Article 4

Scientific cooperation on responsible fishing

1. The two Parties hereby undertake to promote responsible fishing in Guinea-Bissau's fishing zone, based on the principle of non-discrimination between the different fleets operating in those waters, and based on the principle of sustainable management of fish stocks and marine ecosystems.
2. During the period covered by this Protocol, the European Union and Guinea-Bissau shall cooperate to monitor the evolution of stocks and fisheries in the Guinea-Bissau EEZ.
3. The two Parties undertake to promote compliance with the recommendations of the International Commission for the Conservation of Atlantic Tunas (ICCAT) and of the Fishery Committee for the Eastern Central Atlantic (CECAF), as well as cooperation at subregional level regarding the sustainable management of fisheries, particularly within the Subregional Fisheries Commission (CSRP).

4. The two Parties shall consult each other within the Joint Committee to adopt, where necessary and by mutual agreement, new measures to ensure the sustainable management of fish stocks.

Article 5

Joint Scientific Committee

1. The Joint Scientific Committee is composed of scientists, who are appointed in equal number by each of the two Parties. If both Parties agree, participation in the Joint Scientific Committee can be extended to include observers – particularly representatives of regional fisheries organisations, such as the CECAF.
2. The Joint Scientific Committee shall meet at least once a year, in accordance with Article 4(1) of the Fisheries Partnership Agreement. In principle, the meetings should be held alternately in Guinea-Bissau and in the European Union. At the request of one of the Parties, other meetings may also be convened. The meetings shall be chaired alternately by the two Parties.
3. The functions of the Joint Scientific Committee shall cover in particular the following activities:
 - (a) compiling the data on the fishing efforts and catches of national and foreign fleets operating in the Guinea-Bissau EEZ and fishing for species covered by this Protocol;
 - (b) proposing, monitoring or analysing the annual surveys contributing to the stock assessment process and allowing fishing opportunities to be determined and the exploitation options which guarantee the conservation of resources and their ecosystem;
 - (c) drawing up, on this basis, an annual scientific report on the fisheries that are the subject of this Agreement;
 - (d) formulating, on its own initiative or in response to a request from the Joint Committee or from one of the Parties, any scientific opinion relating to the management measures which shall be judged necessary for the sustainable exploitation of the stocks and fisheries covered by this Protocol.

Article 6

Closure of a fishery by Guinea-Bissau

1. In the event that Guinea-Bissau, on the basis of an opinion from the Joint Scientific Committee, decides to close a fishery as part of a measure for conserving resources, the Joint Committee shall meet to analyse the basis for that decision, evaluate the impact of this closure on the activity of the EU vessels in the context of this Agreement and to decide on potential corrective measures.
2. In the cases provided for in paragraph 1, the Joint Committee shall agree on a proportional reduction in the financial contribution of the Agreement paid by the EU and, if necessary, on compensation offered to the vessel owners.

3. Any closure of a fishery decided by Guinea-Bissau following a scientific opinion will be applied in a non-discriminatory manner to all the vessels concerned by this fishery, including the national vessels and those flying the flag of a third country.

Article 7

Adjustment of fishing opportunities by mutual agreement

The fishing opportunities provided for in Article 1 may be adjusted by mutual agreement in the Joint Committee and on the basis of a recommendation from the Joint Scientific Committee. In this case, the financial contribution referred to in Article 2(2)(a) shall be adjusted proportionately and *pro rata temporis* and the necessary amendments shall be made to this Protocol and to its Annex.

Article 8

Exploratory fishing

1. The aim of the exploratory fishing trips is to test the technical feasibility and the economic viability of new fisheries.
2. The European Commission shall communicate to the authorities of Guinea-Bissau the applications for exploratory fishing licences on the basis of a technical file indicating:
 - the technical characteristics of the vessel;
 - the vessel's officers' level of expertise in the relevant fishery;
 - the proposal for the technical parameters of the trip (length, gear, exploration regions, etc.).
3. The exploratory fishing trips shall have a maximum duration of six months. They shall be subject to the payment of a fee set by Guinea-Bissau.
4. A scientific observer from the flag State and an observer chosen by Guinea-Bissau shall be present on board throughout the duration of the trip.
5. The authorities of Guinea-Bissau shall determine the allowable catches for the exploratory fishing trip. The catches consistent with and obtained during the exploratory trip remain the property of the vessel owner. Fish of a non-prescribed size or fish whose capture is not allowed under the relevant legislation of Guinea-Bissau may not be held on board or sold.
6. The detailed results of the trip shall be sent to the Joint Committee and the Joint Scientific Committee for analysis.

Article 9

New fishing opportunities

Should European fishing vessels be interested in fishing activities which are not indicated in Article 1 of this Protocol, the Parties shall consult the Joint Scientific Committee. The Parties

shall agree on the conditions applicable to these new fishing opportunities and, if necessary, make amendments to this Protocol and to the Annex hereto.

Article 10

Economic integration of European Union operators into the Guinea-Bissau fisheries sector

1. The two Parties undertake to promote the economic integration of European operators into the fishing industry in Guinea-Bissau as a whole, in particular the chartering of European vessels and the establishment of joint ventures.
2. The two Parties shall cooperate in order to raise European private operators' awareness of commercial and industrial opportunities, particularly with regard to direct investments in the whole fishing sector in Guinea-Bissau.
3. For the same reason, Guinea-Bissau may give incentives to operators who undertake such investments.
4. The Parties shall, between now and the end of 2012, establish a reflection group to which the economic operators will be invited in order to identify the obstacles to operators investing directly in the fisheries sector and measures to overcome those obstacles. The group will seek to propose possible forms of financing to implement the actions identified.

Article 11

Electronic communication

1. Guinea-Bissau and the EU undertake to install as soon as possible the computer systems required for the electronic exchange of all the information and documents related to the implementation of the Agreement.
2. The electronic form of a document will be considered equivalent to the paper version in every respect.
3. Guinea-Bissau and the EU shall inform each other of any malfunction of a computer system as soon as possible. The information and documents related to the implementation of the Agreement shall then be automatically replaced by their paper version as specified in the Annex.

Article 12

Confidentiality of data

Guinea-Bissau shall undertake that all nominative data relating to EU vessels and their fishing activities obtained within the framework of the Agreement will, at all times, be processed strictly in accordance with the principles of confidentiality and data protection.

Article 13

Applicable provisions of national law

1. The activities of European Union vessels operating in the waters of Guinea-Bissau shall be governed by the applicable law in Guinea-Bissau, unless otherwise provided

for in the Fisheries Partnership Agreement, this Protocol and the Annex and appendices hereto.

2. The authorities of Guinea-Bissau shall inform the European Commission of any change or any new legislation relating to the fishing sector.

Article 14

Suspension and review of the payment of the financial contribution

1. The financial contribution as referred to in Article 2(2)(a) and (b) may be revised or suspended after consultation within the Joint Committee if one or more of the following conditions are met:
 - (a) unusual circumstances, other than natural phenomena, prevent fishing activities in the Guinea-Bissau EEZ;
 - (b) following significant changes in the policy objectives which led to the conclusion of this Protocol, one of the two Parties requests a review of the provisions with a view to their possible amendment;
 - (c) the European Union notes that there has been a violation in Guinea-Bissau of the essential and fundamental elements of human rights and democratic principles as provided for in Article 9 of the Cotonou Agreement.
2. The European Union reserves the right to revise or suspend, partially or totally, the payment of the specific financial contribution provided for in Article 2(2)(b) of this Protocol:
 - (a) if the results obtained are inconsistent with the programming, following an evaluation carried out by the Joint Committee, and/or
 - (b) in the event of failure to implement this financial contribution.
3. Payment of the financial contribution shall resume after consultation and agreement of the two Parties as soon as the situation prior to the events referred to in paragraph 1 has been re-established, and/or if the results of the financial implementation referred to in paragraph 2 so warrant. Nevertheless, the specific financial contribution provided for in Article 2(2)(b) may not be paid out beyond a period of six months after the Protocol expires.
4. The fishing authorisations granted to European vessels may be suspended at the same time as the suspension of the payment of the financial contribution under Article 2(2)(a). If resumed, the validity of these fishing authorisations shall be extended for a period equal to the period of suspension of fishing activities.

Article 15

Suspension of the implementation of the Protocol

1. The implementation of this Protocol may be suspended at the initiative of one of the two Parties after consultation within the Joint Committee, if one or more of the following conditions apply:

- (a) unusual circumstances, other than natural phenomena, prevent fishing activities in the Guinea-Bissau EEZ;
 - (b) following significant changes in the policy objectives which led to the conclusion of this Protocol, one of the two Parties requests a review of the provisions with a view to their possible amendment;
 - (c) one of the two Parties violates one of the essential and fundamental elements of human rights and democratic principles as provided for in Article 9 of the Cotonou Agreement;
 - (d) there is a non-payment of the financial contribution provided for in Article 2(2)(a) by the European Union, for reasons other than those provided for in Article 14 of this Protocol;
 - (e) a dispute which has not been settled in the Joint Committee is ongoing between the two Parties;
 - (f) one of the two Parties does not comply with the provisions of this Protocol.
2. Where the application of the Protocol is suspended for reasons other than those given in paragraph 1(c) above, it shall require the Party concerned to notify its intention in writing at least three months before the date on which suspension is due to take effect. Suspension of the Protocol for the reasons given in paragraph 1(c) shall apply immediately after the suspension decision has been taken.
 3. In the event of suspension, the Parties shall continue to consult with a view to finding an amicable settlement to their dispute. Where such settlement is reached, application of the Protocol shall resume and the amount of the financial contribution shall be reduced proportionately and *pro rata temporis* according to the period during which application of the Protocol has been suspended.

Article 16

Duration

This Protocol and the Annex hereto shall apply for a period of three years from the provisional application in accordance with Article 18, unless notice of termination is given in accordance with Article 17.

Article 17

Termination

1. In the event of termination of the Protocol, the Party concerned shall notify the other Party in writing of its intention to terminate it at least six months before the date on which such termination would take effect.
2. Dispatch of the notification, as referred to in the previous paragraph, shall open consultations between the Parties.

Article 18

Provisional application

This Protocol shall apply provisionally from the date of signature.

Article 19
Entry into force

This Protocol with its Annex shall enter into force on the date on which the Parties notify each other of the completion of the procedures necessary for that purpose.

ANNEX I

CONDITIONS GOVERNING FISHING ACTIVITIES BY EUROPEAN UNION VESSELS IN THE GUINEA-BISSAU FISHING ZONE

CHAPTER I

General provisions

1. Designation of competent authority

1. For the purposes of this Annex and unless otherwise specified hereinafter, any reference to the European Union (EU) or to Guinea-Bissau as a competent authority shall mean:
 - i. for the EU: the European Commission, where applicable via the EU delegation
 - ii. for Guinea-Bissau: the government department responsible for fishing.

2. National EEZ

Guinea-Bissau shall notify the EU of the geographical coordinates of its EEZ and the baselines before the Protocol enters into force.

3. Appointment of a local agent

With the exception of tuna vessels, any EU vessel which plans to obtain a fishing authorisation under the present Protocol must be represented by a consignee resident in Guinea-Bissau.

4. Bank account

Guinea-Bissau shall notify the EU before the entry into force of the Protocol of the details of the bank account(s) into which the financial sums payable by EU vessels under the Agreement must be paid. The costs of the bank transfers shall be borne by vessel owners.

CHAPTER II

Fishing authorisations

1. Condition for obtaining a fishing authorisation – eligible vessels

The fishing authorisations referred to in Article 6 of the Agreement shall be issued on the condition that the vessel is included in the EU register of fishing vessels and that all previous obligations of the vessel owner, the master, or the vessel itself arising out of fishing activities in Guinea-Bissau under the Agreement have been met.

2. Application for a fishing authorisation

- 2.1 The EU shall submit to Guinea-Bissau an application for a fishing authorisation in respect of any vessel wishing to fish under the Agreement at least 20 days before the start of the period of validity requested, using the form in the Appendix.

- 2.2 For each initial application for a fishing authorisation on the basis of the Protocol in force, or following a technical change to the vessel concerned, the application shall be accompanied by:
- i. proof of payment of the flat-rate fee for the period of validity of the fishing authorisation requested
 - ii. the name and address of the local consignee for the vessel, where there is one
 - iii. for trawlers, proof of the prepayment of the flat-rate contribution to the costs of the observer
 - iv. for trawlers, certification of the vessel's tonnage, issued by the flag State
 - v. for trawlers, certificate of compliance issued by Guinea-Bissau after a technical inspection of the vessel
 - vi. any other document specifically required under the Agreement.
- 2.3 For renewal of a fishing authorisation under the Protocol in force for a vessel whose technical specifications have not been modified, the renewal application shall only be accompanied by proof of payment of the fee and, where applicable, the flat-rate contribution to the costs of the observer.

3. *Advance flat-rate fee*

- 3.1 The amount of the flat-rate fee shall be set on the basis of the annual rate specified for each category of vessel in the technical sheets included in the Appendix to this Annex. It shall include all local and national taxes, with the exception of port taxes and charges for the provision of services.
- 3.2 Where the fishing authorisation is valid for a period of less than one year, the amount of the flat-rate fee shall be adapted in proportion to the period of validity requested. It shall be increased, where applicable, by the increase due for the quarterly or half-yearly durations, based on the scales fixed in the corresponding technical sheets.

4. *Provisional list of vessels authorised to fish*

- 4.1 Once it has received the fishing authorisation applications, Guinea-Bissau shall immediately draw up, for each category of vessel, the provisional list of applicant vessels. This list shall be sent without delay to the national body responsible for supervising fishing, and to the EU.
- 4.2 The EU shall forward the provisional list to the vessel owner or to the consignee. If the EU offices are closed, Guinea-Bissau may send the provisional list directly to the vessel owner or their consignee with a copy to the EU.

5. *Issue of fishing authorisation*

- 5.1 Guinea-Bissau shall issue the fishing authorisation to the EU within 20 days of receiving the full application file.

5.2 Where a fishing authorisation is renewed during the period in which the Protocol applies, the new fishing authorisation must contain a clear reference to the initial fishing authorisation.

5.3 The EU shall forward the fishing authorisation to the vessel owner or to the consignee. If the EU offices are closed, Guinea-Bissau may issue the fishing authorisation directly to the vessel owner or their consignee and send a copy to the EU.

6. *List of vessels authorised to fish*

Once the fishing authorisation is issued, Guinea-Bissau shall draw up immediately for each category of vessel the final list of vessels which are authorised to fish in the Guinea-Bissau zone. This list shall be sent immediately to the national body responsible for monitoring fishing and to the EU and shall replace the provisional list referred to above.

7. *Period of validity of the fishing authorisation*

7.1 The fishing authorisations shall be drawn up for a quarterly, half-yearly or yearly period.

7.2 In order to establish the start of the period of validity, annual period shall mean:

- i. for the first year of application of the Protocol, the period between the date of its entry into force and 31 December of the same year;
- ii. then, each complete calendar year
- iii. for the last year of application of the Protocol, the period between 1 January and the date of expiry of the Protocol.

7.3 A quarterly or half-yearly validity period shall start on the first of each month. However, the fishing authorisations may not be valid beyond 31 December of the year they are issued.

8. *Keeping the fishing authorisation on board*

8.1 The fishing authorisation must be kept on board at all times.

8.2 However, tuna-fishing vessels and surface longliners shall be authorised to fish as soon as they are included on the provisional list referred to above. Vessels must keep the provisional list on board until their fishing authorisation is issued.

9. *Transfer of fishing authorisation*

9.1 The fishing authorisation shall be issued for a given vessel and shall not be transferable.

9.2 However, in the case of force majeure and at the request of the EU, the fishing authorisation shall be replaced by a new authorisation, issued for another vessel similar to the vessel to be replaced.

- 9.3 The transfer shall involve the fishing authorisation to be replaced being returned by the vessel owner or its consignee in Guinea-Bissau and the drawing up of the replacement authorisation by Guinea-Bissau without delay. The replacement authorisation shall be issued without delay to the vessel owner or their consignee when the authorisation to be replaced is returned. The replacement authorisation shall take effect on the day on which the authorisation to be replaced is returned.
- 9.4 For trawlers, if the tonnage (GRT) of the replacement vessel is greater than that of the vessel replaced, the supplementary fee shall be calculated in proportion to the difference in tonnage and the remaining validity period. This additional fee shall be paid by the vessel owner when the fishing authorisation is transferred.
- 9.5 Guinea-Bissau shall without delay update the list of vessels authorised to fish. The new list shall be sent without delay to the national body responsible for supervising fishing, and to the EU.

10. *Support vessels*

- 10.1 At the request of the EU, Guinea-Bissau shall authorise EU vessels which are holders of a fishing authorisation to be assisted by support vessels. The support vessels shall fly the flag of an EU Member State or belong to an EU company, and may not be equipped for fishing.
- 10.2 Guinea-Bissau shall draw up the list of authorised support vessels and send it without delay to the national body responsible for supervising fishing, and to the EU.
- 10.3 The support vessels must have an authorisation for this, issued under the law of Guinea-Bissau.

11. *Technical inspection (trawlers)*

- 11.1 Once a year, or following a change in the vessel's tonnage, or where the use of other fishing gear entails a change of fishing category, all EU trawlers shall present themselves in a Guinea-Bissau port for a technical inspection, in accordance with the applicable legislation of Guinea-Bissau.
- 11.2 The technical inspection shall verify that the vessel's technical characteristics and the fishing gear on board conform to the provisions of the Agreement and that the provisions relating to its boarding by national seamen have been complied with.
- 11.3 Guinea-Bissau must conduct the technical inspection within a maximum of 48 hours of the trawler's arriving in port.
- 11.4 After completion of the technical inspection, Guinea-Bissau shall issue a certificate of compliance to the master of the vessel without delay, and send a copy to the EU.
- 11.5 The certificate of compliance shall be valid for a period of one year. However, any change of fishing to or from the shrimp fishing category shall require a new certificate of compliance. Furthermore, a new certificate of compliance shall be required if the vessel leaves the Guinea-Bissau exclusive economic zone for a period greater than 45 days.

- 11.6 The certificate of compliance must be kept on board at all times.
- 11.7 The costs of the technical inspection shall be borne by the vessel owner and shall be the amount determined by the rates set out by Guinea-Bissau law. These costs may not exceed the amounts paid for the same service by the national vessels or vessels flying the flag of a third State.

CHAPTER III

Technical measures

1. The technical measures applicable to the vessels holding a fishing authorisation, relating to the zone, fishing gear and additional catch, shall be defined for each fishing category in the technical sheets contained in the Appendix to this Annex.
2. Tuna-fishing vessels and surface longliners shall comply with all the recommendations adopted by the ICCAT (International Commission for the Conservation of Atlantic Tunas).

CHAPTER IV

Catch reporting

1. Fishing logbook

- 1.1 The master of an EU vessel fishing under the Agreement shall keep a fishing logbook, for which the model for each category of fishing is included in the Appendix to this Annex. The fishing logbook shall be completed by the master for each day the vessel is present in the fishing zone of Guinea Bissau.
- 1.2 Each day the master shall record in the fishing logbook the quantity of each species, identified by its FAO alpha 3 code, caught and kept on board, expressed in kilograms of live weight or, where necessary, the number of individual fish. For each main species, the master shall also mention the bad catch.
- 1.3 Where applicable, the master shall also record each day in the fishing logbook the quantities of each species thrown back into the sea, expressed in kilograms of live weight or, where necessary, the number of individual fish.
- 1.4 The fishing logbook shall be filled in legibly, in block capitals, and shall be signed by the master.
- 1.5 The master shall be responsible for the accuracy of the data recorded in the fishing logbook.

2. Catch reporting

- 2.1 The master shall notify the vessel's catch by submitting to Guinea-Bissau its fishing logbooks for the period of its presence in the Guinea-Bissau fishing zone.
- 2.2 The fishing logbooks shall be transmitted in one of the following ways:

- i. when passing through a port of Guinea-Bissau, the original of each fishing logbook shall be submitted to the local representative of Guinea-Bissau, who shall confirm receipt thereof in writing;
 - ii. when leaving the Guinea-Bissau fishing zone without first passing through a Guinea-Bissau port, the original of each fishing logbook shall be sent within a period of 14 days after arrival in any other port, and in any case within a period of 30 days after leaving the Guinea-Bissau zone:
 - (a) by letter sent to Guinea-Bissau;
 - (b) or by fax, to the number given by Guinea-Bissau;
 - (c) or by e-mail.
- 2.3 If Guinea-Bissau is able to receive catch declarations by e-mail, the master shall send the fishing logbooks to Guinea-Bissau at the e-mail address given by Guinea-Bissau. Guinea-Bissau shall confirm receipt thereof immediately by return e-mail.
- 2.4 The master shall send a copy of all the fishing logbooks to the EU. For tuna-fishing vessels and surface longliners, the master shall also send a copy of all the fishing logbooks to one of the following scientific institutes:
 - i. IRD (Institut de recherche pour le développement)
 - ii. IEO (Instituto Español de Oceanografía), or
 - iii. INIAP (Instituto Nacional de investigação agrária e das Pescas).
- 2.5 The return of the vessel into the zone of Guinea-Bissau within the period of validity of its fishing authorisation shall give rise to further activity and catch reporting.
- 2.6 In case of non-compliance with the provisions in this chapter, Guinea-Bissau may suspend the fishing authorisation of the vessel concerned until the missing catch reports are obtained and penalise the vessel owner in accordance with the relevant provisions under the national legislation in force. If the offence is repeated, Guinea-Bissau may refuse to renew the fishing authorisation. Guinea-Bissau shall inform the EU immediately of any penalty applied in this context.

3. *Transition to an electronic system*

From 1 January 2013 onwards, the EU vessels shall record the data on fishing operations conducted under the Agreement and communicate them to Guinea-Bissau electronically, in line with the provisions in the Appendix to this Annex.

4. *Final statement of fees for tuna-fishing vessels and surface longliners*

- 4.1 For each tuna-fishing vessel and surface longliner, the EU shall draw up, on the basis of its catch reporting confirmed by the above scientific institutes, a final statement of the fees owed by the vessel in respect of its annual season for the previous calendar year.

- 4.2 The EU shall send this final statement to Guinea-Bissau and to the vessel owner before 15 June of the year following the year in which the catches were made.
- 4.3 Where the final statement is greater than the flat-rate fee paid to obtain the fishing authorisation, the vessel owner shall pay the outstanding balance to Guinea-Bissau without delay. Where the final statement is less than the expected flat-rate fee, the remaining amount may not be reclaimed by the vessel owner.

CHAPTER V

Landings and transhipments

1. The master of an EU vessel wishing to land in a Guinea-Bissau port, or to tranship catch from the Guinea-Bissau zone, must notify Guinea-Bissau of the following, at least 24 hours before the landing or transhipment:
 - (a) the name of the fishing vessel which must land or tranship
 - (b) the port of landing or transhipment
 - (c) the date and time scheduled for the landing or transhipment
 - (d) the quantity (expressed in kilograms of live weight or, if necessary, the number of individual fish) of each species to be landed or transhipped (identified by its FAO alpha 3 code)
 - (e) in the case of transhipment, the name of the receiving vessel.
2. In the case of transhipment, the master must ensure that the receiving vessel has an authorisation issued by the authorities competent for such an operation.
3. The transhipment operation must be carried out within a Guinea-Bissau port. Transhipment at sea is prohibited.
4. Non-compliance with these provisions shall lead to the application of the relevant penalties provided for under Guinea-Bissau's legislation.

CHAPTER VI

Satellite-based vessel monitoring system (VMS)

1. ***Vessel position messages – VMS system***
 - 1.1 While they are in the Guinea-Bissau zone, EU vessels holding a fishing authorisation must be equipped with a satellite monitoring system (Vessel Monitoring System – VMS) to enable automatic and continuous communication of their position, at all times, to the fisheries monitoring centre (FMC) of their flag State.
 - 1.2 Each position message must
 - i. include:
 - (a) the vessel identification

- (b) the most recent geographical position of the vessel (longitude, latitude), with a position error of less than 500 metres, and with a confidence interval of 99%
 - (c) the date and time the position is recorded
 - (d) the speed and the course of the vessel
- ii. be configured in accordance with the format set out in the Appendix.
- 1.3 The position recorded after entry into the Guinea-Bissau zone shall be identified by the code 'ENT'. All subsequent positions shall be identified by the code 'POS', with the exception of the first position recorded after departure from the Guinea-Bissau zone, which shall be identified by the code 'EXI'.
- 1.4 The FMC of the flag State shall ensure the automatic processing and, if necessary, the electronic transmission of the position messages. The position messages shall be recorded in a secure manner and kept for a period of three years.
- 2. *Transmission by the vessel in the event of breakdown of the VMS system***
- 2.1 The master shall ensure at all times that the VMS system of his vessel is fully operational and that the position messages are correctly transmitted to the FMC of the flag State.
- 2.2 In the event of breakdown, the VMS system of the vessel shall be repaired or replaced within one month. If it is not repaired within that time, the vessel shall no longer be authorised to fish in the Guinea-Bissau zone.
- 2.3 Vessels fishing in the Guinea-Bissau zone with a defective VMS system must communicate their position messages by e-mail, radio or fax to the FMC of the flag State, at least every four hours, and must provide all the information stipulated in paragraph 1.2.
- 3. *Secure communication of position messages to Guinea-Bissau's FMC***
- 3.1 As soon as Guinea-Bissau has established an operational FMC, the FMC of the flag State shall automatically send the position messages of the relevant vessels to the Guinea-Bissau FMC. The FMC of the flag State and the FMC of Guinea-Bissau shall exchange their contact e-mail addresses and inform each other immediately of any change to these addresses.
- 3.2 The transmission of position messages between the FMCs of the flag State and Guinea-Bissau shall be carried out electronically using a secure communication system.
- 3.3 The FMC of Guinea-Bissau and the FMC of the flag State shall inform each other immediately of any interruption in the receiving of consecutive position messages from a vessel holding a fishing authorisation, where the vessel concerned has not notified its departure from the zone.
- 4. *Malfunction of the communication system***

- 4.1 Guinea-Bissau shall ensure the compatibility of its electronic equipment with that of the FMC of the flag State and inform the EU immediately of any malfunction as regards the communication and receiving of position messages with a view to finding a technical solution as soon as possible. The Joint Committee shall deal with any possible dispute arising.
- 4.2 The master shall be considered to be responsible for any proven manipulation of a vessel's VMS system aimed at disturbing its operation or falsifying its position messages. Any infringement shall be subject to the penalties provided for by the Guinea-Bissau legislation in force.
- 5. *Revision of the frequency of position messages***
- 5.1 On the basis of documentary evidence proving an infringement, Guinea-Bissau may ask the FMC of the flag State, copying in the EU, to reduce the interval for sending position messages from a vessel to every thirty minutes for a set period of investigation. This documentary evidence must be sent by Guinea-Bissau to the FMC of the flag State and to the EU. The FMC of the flag State shall immediately send the position messages to Guinea-Bissau at the reduced frequency. The FMC of Guinea-Bissau shall immediately notify the FMC of the flag State and the EU of the end of the investigation procedure.
- 5.2 At the end of the investigation period, Guinea-Bissau shall inform the FMC of the flag State and the EU of any monitoring which is required.

CHAPTER VII

Check

1. *Entering and leaving the zone*

- 1.1 Any entry into or departure from the Guinea-Bissau fishing zone by an EU vessel holding a fishing authorisation must be notified to Guinea-Bissau within 24 hours of the entry or departure. This period is reduced to 6 hours for tuna-fishing vessels and surface longliners.
- 1.2 When notifying its entry or departure, the vessel shall notify in particular:
- i. the date, time and point of passage scheduled
 - ii. the quantity of each species held on board, as identified by its FAO alpha 3 code and expressed in kilograms of live weight or, if necessary, the number of individual fish
 - iii. the product presentation.
- 1.3 Notification shall be given preferably by e-mail or, failing that, by fax or radio, to an e-mail address, a telephone number or a frequency communicated by Guinea-Bissau. Guinea-Bissau shall immediately inform the vessels concerned and the EU of any change to the e-mail addresses, telephone number or transmission frequency.

1.4 Any vessel found to be fishing in the Guinea-Bissau fishing zone without having previously notified its presence shall be considered to be an unauthorised fishing vessel.

2. *Inspections*

2.1 Masters of EU fishing vessels engaged in fishing activities in Guinea-Bissau waters shall allow and facilitate boarding and the discharge of their duties by any authorised Guinea-Bissau official responsible for the inspection of fishing activities.

2.2 These officials shall not remain on board for longer than is necessary for the discharge of their duties.

2.3 Once the inspection has been completed, an official control report shall be issued to the master of the vessel.

CHAPTER VIII

Infringements

1. *Treatment of infringements*

1.1 Any infringement of the provisions of this Annex by an EU vessel holding a fishing authorisation must be referred to in an inspection report.

1.2 The signature of the inspection report by the master shall be without prejudice to the vessel owner's right of defence in respect of an infringement.

2. *Detention of a vessel – information meeting*

2.1 Where permitted under the national legislation for the reported infringement, any EU vessel having committed an infringement may be forced to cease its fishing activity and, where the vessel is at sea, to return to a Guinea-Bissau port.

2.2 Guinea-Bissau shall notify the EU within 48 hours of any detention of an EU vessel holding a fishing authorisation. This notification shall be accompanied by documentary evidence of the infringement.

2.3 Before taking any measure against the vessel, the master, the crew or the cargo, with the exception of measures aimed at protecting evidence, Guinea-Bissau shall organise, at the request of the EU, within one working day of notification of the detention of the vessel, an information meeting to clarify the facts which have led to the vessel being detained and to explain what further action may be taken. A representative of the flag State of the vessel may attend this information meeting.

3. *Penalties for infringements - Compromise procedure*

3.1 The penalty for the infringement shall be set by Guinea-Bissau according to the provisions of the national legislation in force.

3.2 Where settling the infringement involves legal proceedings, before these are launched, a compromise procedure shall be undertaken between Guinea-Bissau and

the EU to determine the terms and level of the penalty. A representative of the flag State of the vessel may participate in this compromise procedure. The compromise procedure shall finish at the latest four days after the notification of the detention of the vessel.

4. *Legal proceedings – Bank security*

4.1 If the compromise procedure fails and the infringement is brought before the competent court, the owner of the vessel which committed the infringement shall deposit a bank security at a bank designated by Guinea-Bissau, the amount of which, as set by Guinea-Bissau, covers the costs linked to the detention of the vessel, the estimated fine and any compensation. The bank security may not be recovered until the legal proceedings have been concluded.

4.2 The bank security shall be released and returned to the vessel owner immediately after the judgment has been given

(a) in full, if no penalty has been imposed

(b) for the amount of the remaining balance, if the penalty is a fine which is lower than the amount of the bank security.

4.3 Guinea-Bissau shall inform the EU of the outcome of the legal proceedings within eight days of the judgement being given.

5. *Release of the vessel*

The vessel and its master shall be authorised to leave the port once the penalty has been paid in a compromise procedure, or once the bank security has been deposited.

CHAPTER IX

Signing-on of seamen

1. *Number of seamen to sign on*

1.1 While their fishing authorisations are valid, each EU trawler shall sign on Guinea-Bissau seamen, subject to the following limits:

i. four seamen, for a capacity of less than 250 GRT

ii. five seamen, for a capacity of between 250 and 400 GRT

iii. six seamen, for a capacity of between 400 and 650 GRT

iv. seven seamen, for a capacity greater than 650 GRT.

1.2 The owners of EU vessels shall endeavour to sign on additional national seamen.

2. *Free choice of seamen*

2.1 Guinea-Bissau shall keep an indicative list of qualified seamen to be signed on for EU vessels.

2.2 The vessel owner, or their consignee, may choose from this list the seamen to be signed on and shall notify Guinea-Bissau of their inclusion in the crew.

3. *Contracts*

3.1 The employment contract for the seamen shall be drawn up by the vessel owner or its consignee and the seaman, if necessary represented by his union, in liaison with Guinea-Bissau. It shall stipulate in particular the date and port of signing on.

3.2 The contract shall guarantee the seaman the social security cover applicable to him in Guinea-Bissau. It shall include life assurance and sickness and accident insurance.

3.3 A copy of the contract shall be given to the signatories.

3.4 The basic working rights laid down in the declaration of the International Labour Organisation (ILO) shall be afforded to Guinea-Bissau seamen. This concerns in particular the freedom of association and the effective recognition of the right to collective bargaining, and the elimination of discrimination in respect of employment and occupation.

4. *Seamen's wages*

4.1 The wages of the Guinea-Bissau seamen shall be paid by the vessel owner. They shall be set before the fishing authorisation is issued and by mutual agreement between the vessel owner and its consignee and Guinea-Bissau.

4.2 The wages shall not be lower than those of crews on Guinea-Bissau vessels, nor below the ILO standards.

5. *Seamen's obligations*

The seamen shall report to the master of the vessel to which they have been appointed the day before the signing-on date stipulated in their contract. The master shall inform the seaman of the date and time of signing on. If the seaman does not present himself at the date and time stipulated for signing on, his contract shall be considered to be null and void. He shall be replaced by another Guinea-Bissau seaman, without this delaying the vessel's departure.

Chapter X

Observers

1. *Observation of fishing activities*

1.1 Vessels holding a fishing authorisation shall be subject to a scheme for observing their fishing activities carried out within the framework of the Agreement.

1.2 For tuna-fishing vessels and surface longliners, the two Parties shall consult each other, and interested countries, as soon as possible as regards the definition of a system of regional observers and the choice of the competent regional fisheries organisation.

1.3 Other vessels shall take on board an observer appointed by Guinea-Bissau.

2. Designated vessels and observers

- 2.1 When the fishing authorisation is issued, Guinea-Bissau shall inform the EU and the vessel owner, or its consignee, of the designated vessels and observers and the times at which the observer will be present on board each vessel. Guinea-Bissau shall immediately inform the EU and the vessel owner or its consignee of any change in the designated vessels and observers.
- 2.2 The observers shall not spend more time on board the vessel than is necessary to carry out their duties.

3. Flat-rate financial contribution

At the time the fee is paid, the vessel owner shall pay Guinea-Bissau a flat-rate sum of EUR 6 000 per year for each vessel, adapted *pro rata temporis* based on the duration of the fishing authorisations of the designated vessels.

4. Observer's salary

The salary and social contributions of the observer shall be borne by Guinea-Bissau.

5. Embarkation conditions

- 5.1 The conditions under which observers are taken on board shall be agreed between the vessel owner, or its consignee, and Guinea-Bissau.
- 5.2 Observers shall be treated as officers. However, receiving the observer on board shall take into account the technical structure of the vessel.
- 5.3 The vessel owner shall bear the costs of providing accommodation and food for the observer on board.
- 5.4 The master shall take all the measures for which he is responsible to guarantee the physical safety and general wellbeing of the observer.
- 5.5 Observers shall be offered every facility needed to carry out their duties. They shall have access to means of communication and to documents relating to the fishing activities of the vessel, in particular the fishing logbook and navigation log, and the parts of the vessel directly linked to their duties.

6. Observer's obligations

Whilst they are on board observers shall:

- (a) take all appropriate measures so as not to interrupt or hinder fishing operations
- (b) respect on-board property and equipment
- (c) respect the confidential nature of any document belonging to the vessel.

7. Embarkation and landing of observers

- 7.1 The observer shall embark in a port chosen by the vessel owner.

- 7.2 The vessel owner or its representative shall notify Guinea-Bissau, with a notice period of 10 days before the embarkation, of the date, time and port of embarkation of the observer. If the observer is embarked in a foreign country, their travel costs to the port of embarkation shall be borne by the vessel owner.
- 7.3 Where the observer is not disembarked in a Guinea-Bissau port, the vessel owner shall bear the costs of repatriating the observer to Guinea-Bissau as soon as possible.

8. *Observer's obligations*

The observer shall carry out the following duties:

- (a) observe the fishing activities of the vessel
- (b) verify the position of the vessel during fisheries operations
- (c) perform operations in the context of scientific programmes, including biological sampling
- (d) note the fishing gear used
- (e) verify the catch data for catches in the Guinea Bissau zone recorded in the logbook
- (f) verify the percentages of bycatches on the basis of that defined in the datasheets for each category and estimate the discarded catches
- (g) report his observations at least once a week by radio, including the quantity of catches and by-catches on board.

9. *Observer's report*

- 9.1 Before leaving the vessel, the observer shall submit a report of his observations to the master of the vessel. The master of the vessel shall have the right to make comments in the observer's report. The report shall be signed by the observer and the master. The master shall receive a copy of the observer's report.
- 9.2 The observer shall submit his report to Guinea-Bissau. The data on the catches and discards shall be sent to the scientific institute (CIPA) in Guinea-Bissau, which, after processing and analysing them, will present them to the Joint Scientific Committee.

APPENDICES

- 1 - Application form for a fishing licence
- 2 - Statistics on catch and fishing effort
- 3 - Tuna vessels fishing logbook
- 4 – Electronic communication of fishing operations
- 5 - Communication of VMS messages to Guinea-Bissau
- 6 – Technical sheets by category

Appendix I
APPLICATION FORM
FOR A
FISHING LICENCE

For official use only	Remarks
Nationality.....
Licence number:
Date of signing:
Date of issue:

APPLICANT

Name of firm:

Trade register No:

First name and surname of applicant:

Place and date of birth:.....

Occupation:

Address:

.....

Number of employees:

Name and address of agent:

VESSEL

Type of vessel: Registration No:.....

New name: Former name:.....

Date and place of construction:.....

Original nationality:

Length: Width: Depth:.....

Gross tonnage: Net tonnage:.....

Type of building material:.....

Make of main engine: Type: Engine power:.....

Propeller: Fixed: Variable: Ducted:

Speed:.....

Call sign:..... Frequency:.....

List of sounding, navigation and transmission instruments:

Radar: Sonar: Net sounder:

VHF: BLU : Satellite navigation: Other:.....

Number of crew:

CONSERVATION

Ice: Ice and refrigeration:

Freezing: in brine: dry: in refrigerated sea water:

Total refrigeration power:

Freezing capacity in tonnes/24 hours:.....

Hold capacity:

TYPE OF FISHING

A. Demersal

Inshore demersal: Deep-sea demersal:

Type of trawl:

cephalopod: shrimp: fin-fish:

Length of trawl:.....Length of headline:

Mesh size in codend:.....

Size of mesh in the wings:

Trawling speed:.....

B. Deep-sea pelagic (tuna)

Pole and line: Number of poles and lines:

Seine: Length of net:..... Fall:.....

Number of tanks:.....Capacity (tonnes):.....

C. Longlines and pots

Surface: Bottom:

Length of line:.....Number of hooks:

Number of lines:

Number of pots:

SHORE INSTALLATIONS

Address and authorisation No:

Name of firm:

Activities:

Domestic wholesale fish trade: export:

Type and No of wholesale trader's card:

Description of processing and conservation plant:

.....
.....
.....
.....
.....

Number of employees:

N.B. Indicate affirmative answers by a tick in the appropriate box.

Technical remarks

Authorisation of the State ministry

Appendix 2

FISHERIES MINISTRY

STATISTICS ON CATCH AND EFFORT

Month: _____ Year: _____

Name of vessel:	
Nationality:	

Engine power:	
Gross tonnage (t):	

Fishing method:	
Port of landing:	

Date	Fishing zone		Number of hauls	Number of hours fishing	Species of fish						Totals	
	Longitude	Latitude										
1/												
2/												
3/												
4/												
5/												
6/												
7/												
8/												
9/												
10/												
11/												
12/												

TOTAL																				
-------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Appendix 3

TUNA VESSELS FISHING LOGBOOK

Fishing method	
Longline	
Purse seine	
Trawl	
Other	

Name of vessel:.....	Gross tonnage:.....	Month	Day	Year	Port
Flag country:.....	Capacity (TM):.....	Vessel departed:			
Registration No:.....	Master:.....	Vessel returned:			
Vessel owner:.....	No of crew:.....	No of fishing days:.....			
Address:.....	Reporting date:.....	No of sets made:.....			
	Reported by:.....	No of days at sea:.....			
		Trip number:.....			

Date	Sector	Catches										Bait used							
		Fishing effort No of hooks used	Surface water temp (°C)	Bluefin tuna <i>Thunnus maccoyii</i>	Yellowfin tuna <i>Thunnus albacares</i>	Bigeye tuna <i>Thunnus obesus</i>	Albacore <i>Thunnus alalunga</i>	Swordfish <i>Xiphias gladius</i>	Strip marlin <i>Tetrapturus audax</i>	Black marlin <i>Makaira indica</i>	Sailfish <i>Istiophorus spp.</i>	(Skipjack) <i>Katsuwonus pelamis</i>	Miscellaneous fish	Daily total	Saury	Squid	Live bait	Other:	
Day/ Month				kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	kg Number	

Appendix 4

Electronic communication of fishing operations

Electronic recording and communication systems

1. Every EU vessel fishing under this Protocol must be equipped with a working electronic recording and communication system, hereinafter referred to as an ERS system (ERS - Electronic Reporting System), capable of recording and transmitting the data on the fishing activities, hereinafter referred to as ERS data, throughout the period the vessel is present in Guinea-Bissau waters. A European vessel that is not equipped with an ERS system, or whose ERS system is not working, is not authorised to begin a fishing operation in Guinea-Bissau waters.
2. The flag State and Guinea-Bissau shall both ensure that their national fisheries monitoring centres (FMC) are equipped with the necessary IT equipment and software for automatically transmitting the ERS data in the XML format available on http://ec.europa.eu/cfp/control/codes/index_en.htm and saving the ERS data for at least [3] years. Any amendment or update of the format must be identified and dated and enter into force after a 6-month period.
3. The ERS data shall be transmitted using the electronic means of communication operated by the European Commission on behalf of the EU.
4. The Parties shall ensure that the ERS data are registered sequentially.
5. The flag Member State and Guinea-Bissau shall ensure that their FMCs exchange the relevant names, e-mail addresses and telephone and fax numbers. Any later changes to this data shall be communicated without delay.

Transmission of ERS data

6. All EU vessels fishing under this Protocol:
 - (a) shall keep an electronic logbook for each day they are in Guinea-Bissau waters. Each species shall be identified by its FAO alpha 3 code, in kilograms of live weight or, if necessary, the number of individual fish;
 - (b) shall communicate the quantities held on board for each of the species identified on the fishing authorisation, on each entry into and departure from Guinea-Bissau waters, the provisions of Chapter VII notwithstanding;
 - (c) Shall record the catches made in Guinea-Bissau waters by species and for each haul, identifying the quantities caught and the discarded catches. For the species identified on the fishing authorisation, the master must also indicate the absence of catches;
 - (d) shall record by species the quantities transhipped and/or unloaded, notwithstanding the provisions of Chapter V;
 - (e) shall send the ERS data electronically to the FMC of its flag State before 23:59 UTC.
7. The master is responsible for the accuracy of the ERS data recorded and sent.

8. The flag State shall ensure that its FMC sends the ERS data to the Guinea-Bissau FMC immediately in accordance with the procedures and format indicated in paragraph 2.

9. The Guinea-Bissau FMC:

a. shall process all the ERS data in a confidential manner;

b. shall send the ERS data to the FMC of the vessel's flag State within 48 hours of each transshipment and/or unloading operation.

Technical failure

10. The flag State of an EU vessel shall ensure that the master, the owner, or his representative, is informed immediately of any technical failure of the ERS system installed on his vessel.

11. In the event of a technical failure of the ERS system, the master and/or the owner shall ensure that the ERS system is repaired or replaced within a period of [one month] of the breakdown.

12. Every EU vessel fishing with a defective ERS system shall send the ERS data to the FMC of its flag State before 23:59 UTC by any other available electronic means of communication.

Non-receipt of ERS data

13. The Guinea-Bissau FMC shall notify the FMC of the competent flag State and the EU without delay of any interruption in the transmission of ERS data from an EU vessel fishing under this Protocol.

14. Following receipt of this notification, the FMC of the flag State shall immediately identify the reasons for which the ERS data could not be sent and take the appropriate measures to resolve the problem. The FMC of the flag State shall immediately inform the FMC of Guinea-Bissau and the EU of the causes identified and the corresponding corrective measures.

15. The missing ERS data shall be sent by the FMC of the flag State to the FMC of Guinea-Bissau without delay.

16. In the event of the FMC of Guinea-Bissau not working, the European Union shall send Guinea-Bissau the aggregate ERS data of the European vessels which had been fishing in its waters, on a monthly basis.

Appendix 5

Communication of VMS messages to Guinea-Bissau

Data Element	Field code	Compulsory/ Optional	Remarks
Start record	SR	C	System detail; indicates start of record
Address	AD	C	Message detail; destination Party Alfa-3 ISO country code
From	FR	C	Message detail; sending Party Alfa-3 ISO country code
Record number	RN	O	Message detail; serial number of the record for the relevant year
Record date	RD	O	Message detail; date of transmission
Record time	RT	O	Message detail; time of transmission
Type of message	TM	C	Message detail; message type: 'ENT', 'POS' or 'EXI'
Name of the vessel	NA	O	Name of the vessel
External registration number	XR	O	Vessel detail; the side number of the vessel
Radio call sign	RC	C	Vessel detail; international radio call sign of the vessel
Name of master	MA	C	Name of the master of vessel
Internal reference number	IR	C	Vessel detail; Unique Party vessel number as Alfa-3 ISO flag country code followed by number
Latitude	LT	C	Position detail; position ± 99.999 (WGS-84)
Longitude	LG	C	Position detail; position ± 999.999 (WGS-84)
Speed	SP	C	Position detail; vessel speed in tens of knots
Course	CO	C	Position detail; vessel course 360° scale
Date	DA	C	Position detail; UTC date of position (YYYYMMDD)
Time	TI	C	Position detail; UTC time of position (HHMM)
End of record	ER	C	System detail; indicates end of record

Format details

Each data transmission is structured as follows:

- a double slash (//) and the characters 'SR' indicate the start of a message;
- a double slash (//) and field code indicates the start of a data element,
- a single slash (/) separates the field code and the data,
- a space separates pairs of data,
- the characters 'ER' and a double slash (//) indicate the end of a record.

Appendix 6
DATASHEET No 1 – FISHING CATEGORY 1:
FREEZER, FIN-FISH AND CEPHALOPOD TRAWLERS

1. Fishing zone		
Beyond 12 nautical miles from the base line, including the Guinea-Bissau/Senegal joint management area, extending north to the azimuth 268°.		
2. Authorised gear		
2.1	Standard otter trawls and other selective gear are authorised.	
2.2	Outriggers are authorised.	
2.3	In the case of all fishing gear, no methods or devices may be used to seek to obstruct the mesh of the nets or reduce their selective effect. However, in the interests of reducing wear or damage, protective aprons of netting or other material may be attached, but only to the underside of the codend of a bottom trawl. Such aprons must be attached only to the forward and lateral edges of the codend of the trawl. Protective devices may be used for the top of the trawl, but these must consist of a single section of net of the same material as the codend, with the mesh measuring at least 300 millimetres when stretched out.	
2.4	Doubling of the codend's netting yarn, whether single or multiple, shall be prohibited.	
3. Minimum authorised mesh		
70mm		
4. By-catches		
In accordance with Guinea-Bissau law:		
4.1	Fin-fish trawlers may not hold on board crustaceans or cephalopods accounting per species for more than 9 % of their total catch in Guinea-Bissau's fishing zone at the end of a trip.	
4.2	Cephalopod trawlers may not hold on board crustaceans accounting for more than 9 % of their total catch in Guinea-Bissau's fishing zone at the end of a trip.	
4.3	Where these percentages exceed the authorised by-catches, penalties shall be imposed in accordance with Guinea-Bissau law.	
4.4	The two Parties shall consult within the Joint Committee to adjust the authorised rate on the basis of a recommendation from the Joint Scientific Committee.	
5. Authorised tonnage/fees		
5.1	Authorised tonnage (GRT)	3 500 GRT per year
5.2	Fees in EUR per GRT	EUR 256 per GRT per year In the case of three-month and six-month licences, the fees shall be calculated on a <i>pro rata temporis</i> basis according to the length of time, plus [4] % and [2.5] % respectively.

**DATASHEET No 2 - FISHING CATEGORY 2:
SHRIMP TRAWLERS**

1. Fishing zone		
Beyond 12 nautical miles from the base line, including the Guinea-Bissau/Senegal joint management area, extending north to the azimuth 268°.		
2. Authorised gear		
2.1	Standard otter trawls and other selective gear are authorised.	
2.2	Outriggers are authorised.	
2.3	In the case of all fishing gear, no methods or devices may be used to seek to obstruct the mesh of the nets or reduce their selective effect. However, in the interests of reducing wear or damage, protective aprons of netting or other material may be attached, but only to the underside of the codend of a bottom trawl. Such aprons must be attached only to the forward and lateral edges of the codend of the trawl. Protective devices may be used for the top of the trawl, but these must consist of a single section of net of the same material as the codend, with the mesh measuring at least 300 millimetres when stretched out.	
2.4	Doubling of the codend's netting yarn, whether single or multiple, shall be prohibited.	
3. Minimum authorised mesh		
50mm.		
4. By-catches		
In accordance with Guinea-Bissau law:		
4.1	Shrimp trawlers may not hold on board fish and cephalopods accounting for more than 50 % of their total catch in Guinea-Bissau's fishing zone at the end of a trip.	
4.2	Where these percentages exceed the authorised by-catches, penalties shall be imposed in accordance with Guinea-Bissau law.	
4.3	The two Parties shall consult within the Joint Committee to adjust the authorised rate on the basis of a recommendation from the Joint Scientific Committee.	
5. Authorised tonnage/fees		
5.1	Authorised tonnage (GRT)	3 700 GRT per year
5.2	Fees in EUR per GRT	EUR 344 per GRT per year In the case of three-month and six-month licences, the fees shall be calculated on a <i>pro rata temporis</i> basis according to the length of time, plus [4] % and [2.5] % respectively.

**DATASHEET No 3 – FISHING CATEGORY 3:
POLE-AND-LINE TUNA VESSELS**

1. Fishing zone:		
1.1	Beyond 12 nautical miles from the base line, including the Guinea-Bissau/Senegal joint management area, extending north to the azimuth 268°.	
1.2	Pole-and-line tuna vessels shall be authorised to fish for live bait with a view to carrying out their fishing activities in Guinea-Bissau's fishing zone.	
2. Authorised gear:		
2.1	Poles and lines	
2.2	Purse seines with live bait: 16 mm	
3. By-catches:		
3.1	In accordance with the Convention on Migratory Species (CMS) and with the ICCAT resolutions, fishing for the basking shark (<i>Cetorhinus maximus</i>), white shark (<i>Carcharodon carcharias</i>), bigeye thresher shark (<i>Alopias superciliosus</i>), hammerhead sharks in the Sphyrnidae family (with the exception of the bonnethead shark), oceanic whitetip shark (<i>Carcharhinus longimanus</i>) and the silky shark (<i>Carcharhinus falciformis</i>) is not allowed. Fishing for the sandtiger shark (<i>Carcharias taurus</i>) and the tope shark (<i>Galeorhinus galeus</i>) is not allowed.	
3.2	The two Parties shall consult within the Joint Committee to update this list on the basis of scientific recommendations.	
4. Authorised tonnage/Fees:		
4.1	Additional fee per tonne fished	EUR 25 per tonne
4.2	Annual flat-rate fee:	EUR 550 for 22 tonnes per vessel
4.3	Number of vessels authorised to fish	12 vessels

**DATASHEET No 4 - FISHING CATEGORY 4:
FREEZER TUNA SEINERS AND LONGLINERS**

1. Fishing zone:	
Beyond 12 nautical miles from the base line, including the Guinea-Bissau/Senegal joint management area, extending north to the azimuth 268°.	
2. Authorised gear:	
Seine and surface longline.	
3. By-catches:	
In accordance with the Convention on Migratory Species (CMS) and with the ICCAT resolutions, fishing for the basking shark (<i>Cetorhinus maximus</i>), white shark (<i>Carcharodon carcharias</i>), bigeye thresher shark (<i>Alopias superciliosus</i>), hammerhead sharks in the Sphyrnidae family (with the exception of the bonnethead shark), oceanic whitetip shark (<i>Carcharhinus longimanus</i>) and the silky shark (<i>Carcharhinus falciformis</i>) is not allowed. Fishing for the sandtiger shark (<i>Carcharias taurus</i>) and the tope shark (<i>Galeorhinus galeus</i>) is not allowed.	
The two Parties shall consult within the Joint Committee to update this list on the basis of scientific recommendations.	
4. Authorised tonnage/fees	
4.1 Additional fee per tonne caught	EUR 35 per tonne
4.2 Annual flat-rate fee:	EUR 3 500 for 100 tonnes per vessel
4.3 Number of vessels authorised to fish	28 vessels

Concept of trip:

For the purposes of this Appendix, the duration of a trip by a European vessel shall be defined as follows:

- the period elapsing between entering and leaving Guinea-Bissau's fishing zone, or
- the period elapsing between entering the Guinea-Bissau fishing zone and a transshipment, or
- the period elapsing between entering the Guinea-Bissau fishing zone and a landing in Guinea-Bissau.

LEGISLATIVE FINANCIAL STATEMENT

1. LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

- 1.1. Title of the proposal/initiative
- 1.2. Policy area(s) concerned in the ABM/ABB structure
- 1.3. Nature of the proposal/initiative
- 1.4. Objective(s)
- 1.5. Grounds for the proposal/initiative
- 1.6. Duration and financial impact
- 1.7. Management mode(s) envisaged

2. MANAGEMENT MEASURES

- 2.1. Monitoring and reporting rules
- 2.2. Management and control system
- 2.3. Measures to prevent fraud and irregularities

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

- 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected
- 3.2. Estimated impact on expenditure
 - 3.2.1. Summary of estimated impact on expenditure
 - 3.2.2. Estimated impact on operational appropriations
 - 3.2.3. Estimated impact on appropriations of an administrative nature
 - 3.2.4. Compatibility with the current multiannual financial framework
 - 3.2.5. Third-party contributions
- 3.3. Estimated impact on revenue

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

1.1. Title of the proposal/initiative

Proposal for a Council Decision on the allocation of the fishing opportunities under the Protocol agreed between the European Union and the Republic of Guinea-Bissau setting out fishing opportunities and the financial contribution provided for in the Fisheries Partnership Agreement between the two parties currently in force.

1.2. Policy area(s) concerned in the ABM/ABB structure⁷

11. - Maritime Affairs and Fisheries

11.03 - International fisheries and law of the sea

1.3. Nature of the proposal/initiative

The proposal/initiative relates to a new action

The proposal/initiative relates to a new action following a pilot project/preparatory action⁸

The proposal/initiative concerns the extension of an existing action

The proposal/initiative relates to an action redirected towards a new action

1.4. Objective(s)

1.4.1. *The Commission's multiannual strategic objective(s) targeted by the proposal/initiative*

The negotiation and conclusion of fisheries agreements with third countries meets the general objective of maintaining and safeguarding the fishing activities of the European Union fleet, including the distant-water fleet, and developing relations in a spirit of partnership with a view to strengthening the sustainable exploitation of fishery resources outside EU waters.

The Fisheries Partnership Agreements (FPAs) also ensure coherence between the principles governing the Common Fisheries Policy and the commitments made under other European policies (sustainable use of third-country resources, combating illegal, unreported and unregulated (IUU) fishing, integration of partner countries into the global economy and better political and financial governance of fisheries).

⁷ ABM: Activity-Based Management – ABB: Activity-Based Budgeting.

⁸ As referred to in Article 49(6)(a) or (b) of the Financial Regulation.

1.4.2. *Specific objective(s) and ABM/ABB activity(ies) concerned*

Specific objective No 1

To contribute to sustainable fishing in non-EU waters, maintain a European presence in distant-water fisheries and protect the interests of the European fisheries sector and of consumers by negotiating and concluding FPAs with coastal states, in consistency with other European policies.

ABM/ABB activity(ies) concerned

Maritime affairs and fisheries, international fisheries and law of the sea, international fisheries agreements (budget line 11.0301)

1.4.3. *Expected result(s) and impact*

Specify the effects which the proposal/initiative is expected to have on the beneficiaries/groups targeted.

The conclusion of the Protocol will contribute to maintaining the fishing opportunities for European vessels in the fishing zones of Guinea-Bissau.

The Protocol will also contribute to better management and conservation of fishery resources, through financial support (sectoral support) for the implementation of the annual and multiannual programmes adopted at national level by the partner country.

1.4.4. *Indicators of results and impact*

Specify the indicators for monitoring implementation of the proposal/initiative.

Rates of utilisation of fishing opportunities (annual uptake of fishing authorisations as a percentage of availability under the Protocol);

gathering and analysing data on catches and the commercial value of the Agreement;

Contribution to employment and to added value in the EU and to stabilising the EU market (in aggregate with other FPAs);

Number of technical meetings and meetings of the Joint Committee.

1.5. Grounds for the proposal/initiative

1.5.1. *Requirement(s) to be met in the short or long term*

The Protocol for the 2011-12 period will expire on 15 June 2012. It is intended that the new Protocol will apply provisionally from the date of signature. A procedure for the adoption by the Council of a Decision regarding the provisional implementation of the Protocol has been launched in parallel with this procedure.

The new Protocol will provide a framework for the fishing activities of the European fleet and will, in particular, allow vessel owners to continue to obtain fishing authorisations in the Guinea-Bissau fishing zone. In addition, the new Protocol enhances cooperation between the EU and Guinea-Bissau, with a view to promoting the development of a sustainable fishing policy. It provides, in particular, for the vessels to be monitored via VMS and for the electronic transmission of catch data.

1.5.2. Added value of EU involvement

As regards this new Protocol, failure to act by the EU would allow private agreements to spring up which would not guarantee sustainable fisheries. The European Union also hopes that with this Protocol, Guinea-Bissau will continue to cooperate effectively with the EU with regard to sustainable fishing.

The funds available under the Protocol will also allow Guinea-Bissau to continue its strategic planning efforts for the implementation of its fisheries policies.

1.5.3. Lessons learned from similar experiences in the past

The under-utilisation of the previous Protocol led the parties to reduce the fishing opportunities. The corresponding commercial value has, however, been revised upwards to take account of the catch volumes per unit of effort (CPUE) and price developments.

1.5.4. Coherence and possible synergy with other appropriate instruments

Funds paid out under FPAs constitute fungible revenue in the budgets of the third-country partners. However, allocating some of those funds for implementing measures as part of the country's sectoral policy is a condition for the conclusion and monitoring of FPAs. These financial resources are compatible with other sources of funding from other providers of international funding for carrying out projects and/or programmes at national level in the fisheries sector.

1.6. Duration and financial impact

Proposal/initiative of limited duration

– Proposal/initiative in force from the date of signature of the protocol and for a duration of three years.

– Financial impact from 2012 to 2014

Proposal/initiative of unlimited duration

– Implementation with a start-up period from YYYY to YYYY,

– followed by full-scale operation.

1.7. Management mode(s) envisaged⁹

Centralised direct management by the Commission

Centralised indirect management with the delegation of implementation tasks to:

– executive agencies

– bodies set up by the Communities¹⁰

– national public-sector bodies/bodies with a public-service mission

– persons entrusted with the implementation of specific actions pursuant to Title V of the Treaty on European Union and identified in the relevant basic act within the meaning of Article 49 of the Financial Regulation

Shared management with the Member States

Decentralised management with third countries

Joint management with international organisations (*to be specified*)

If more than one management mode is indicated, please provide details in the 'Comments' section.

Notes

⁹ Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html

¹⁰ As referred to in Article 185 of the Financial Regulation.

2. MANAGEMENT MEASURES

2.1. Monitoring and reporting rules

Specify frequency and conditions.

The Commission (DG MARE, in collaboration with its fisheries counsellor in Dakar and the European Union's Delegation to Bissau) will ensure regular monitoring of the implementation of this Protocol, particularly as regards operators' use of fishing opportunities and in terms of catch data.

Furthermore, the Fisheries Partnership Agreement provides for at least one annual meeting of the Joint Committee, at which the Commission and the third country concerned review the implementation of the Agreement and the Protocol thereto and, if necessary, adjust the programming and, where applicable, the financial contribution.

2.2. Management and control system

2.2.1. Risk(s) identified

There is some risk in setting up a fisheries protocol, particularly with regard to the amounts intended to finance the sectoral fisheries policy (under-programming).

2.2.2. Control method(s) envisaged

To avoid these risks, extensive dialogue is planned on the programming and implementation of the sectoral policy. Joint analysis of progress, as referred to in paragraph 2.1, also forms part of these control methods.

In addition, the Protocol contains specific clauses for its suspension, on certain conditions and in given circumstances.

2.3. Measures to prevent fraud and irregularities

Specify existing or envisaged prevention and protection measures.

The Commission undertakes to try to establish permanent political dialogue and cooperation with a view to improving the management of the Agreement and strengthening the EU's contribution to the sustainable management of resources. In any case, any payment which the Commission makes under a fisheries agreement is subject to the Commission's standard rules and budgetary and financial procedures. This makes it possible, in particular, to fully identify the bank accounts of the third countries into which the financial contribution is paid. For this particular Protocol, Article 2(6) stipulates that the entire financial contribution must be paid into a Public Treasury account opened with a financial institution specified by the authorities of Guinea-Bissau.

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing expenditure budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line:	Type of expenditure	Contribution			
	Number [Description.....]	DA/NDA ⁽¹¹⁾	from EFTA countries ¹²	from candidate countries ¹³	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
2	11.0301 International fisheries agreements	DA	NO	NO	NO	NO

- New budget lines requested

(not applicable)

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line:	Type of expenditure	Contribution			
	Number [Description.....]	DA/NDA	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
	[XX.YY.YY.YY]		YES/N O	YES/N O	YES/N O	YES/N O

¹¹ DA= Differentiated appropriations / NDA= Non-differentiated appropriations.

¹² EFTA: European Free Trade Association.

¹³ Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

3.2. Estimated impact on expenditure

3.2.1. Summary of estimated impact on expenditure

EUR million (to three decimal places)

Heading of multiannual financial framework:	2	Preservation and management of natural resources
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DG: MARE		Year N ¹⁴ 2012	Year N+1 2013	Year N+2 2014	Year N+3 2015	Enter as many years as necessary to show the duration of the impact (see point 1.6)	TOTAL
• Operational appropriations							
Number of budget line: 11.0301		9,200	9,200	9,200			27,600
Number of budget line:		9,200 ¹⁵	9,200	9,200			27,600
		(1a)					
		(2a)					
Appropriations of an administrative nature financed from the envelope of specific programmes ¹⁶							
Number of budget line:		(3)					
TOTAL appropriations for DG MARE			9,200	9,200	9,200		
		=1+1a+3					
		=2+2a	9,200	9,200	9,200		

¹⁴

Year N is the year in which implementation of the proposal/initiative starts.

¹⁵ As the payment could not be made before its approval by the European Parliament, it is possible that it may not be made until 2013.

¹⁶ Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

		+3									
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• TOTAL operational appropriations ¹⁷	Commitments	(4)	9,200	9,200	9,200	9,200					27 600
	Payments	(5)	9,200	9,200	9,200	9,200					27 600
• TOTAL appropriations of an administrative nature financed from the envelope of specific programmes	Commitments	(6)	0	0	0	0					0
	Payments	=4+6	9,200	9,200	9,200	9,200					27 600
TOTAL appropriations under HEADING 2 of the multiannual financial framework	Commitments	=5+6	9,200	9,200	9,200	9,200					27 600
	Payments										

If more than one heading is affected by the proposal/initiative: (non applicable)

• TOTAL operational appropriations	Commitments	(4)									
	Payments	(5)									
• TOTAL appropriations of an administrative nature financed from the envelope of specific programmes	Commitments	(6)									
	Payments										
TOTAL appropriations under HEADINGS 1 to 4 of the multiannual financial framework (Reference amount)	Commitments	=4+6									
	Payments	=5+6									

¹⁷

The financial contribution comprises: a) EUR 6 200 000 for access rights to the Guinea-Bissau fishing zone, and b) EUR 3 000 000, corresponding to support for the development of the sectoral fisheries policy of the Republic of Guinea-Bissau.

Heading of multiannual financial framework:	5	‘Administrative expenditure’
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EUR million (to three decimal places)

	Year N 2012	Year N+1 2013	Year N+2 2014	Year N+3 2015	Enter as many years as necessary to show the duration of the impact (see point 1.6)	TOTAL
DG: MARE						
• Human resources	0.083	0.083	0.083	0.083		0.332
• Other administrative expenditure	0.010	0.010	0.010	0.010		0.040
TOTAL DG MARE	0.093	0.093	0.093	0.093		0.372

TOTAL appropriations under HEADING 5 of the multiannual financial framework	0.093	0.093	0.093	0.093		0.372
	(Total commitments = Total payments)					

EUR million (to three decimal places)

	Year N ¹⁸ 2012	Year N+1 2013	Year N+2 2014	Year N+3 2015	Enter as many years as necessary to show the duration of the impact (see point 1.6)	TOTAL

¹⁸

Year N is the year in which implementation of the proposal/initiative starts.

TOTAL appropriations under HEADINGS 1 to 5 of the multiannual financial framework	Commitments	9.293	9.293	9.293	9.293	0.093				27.972
	Payments	9.293	9.293	9.293	9.293	0.093				27.972

3.2.2. Estimated impact on operational appropriations

- The proposal/initiative does not require the use of operational appropriations
- The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to three decimal places)

Indicate the objectives and outputs	Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)						TOTAL			
					Number	Cost	Number	Cost	Number	Cost		Number	Cost	
OUTPUTS														
↓	Type ¹⁹	Average cost of the output	Number	Cost	Number	Cost	Number	Cost	Number	Cost	Number	Cost	Total No.	Total cost
SPECIFIC OBJECTIVE NO 1 ²⁰														
Tuna vessel licences	Tonnage	65 EUR/t	2500	0.163	2500	0.163	2500	0.163						
Trawler licences	Licence fee	838,4 EUR/GRT	7200	6.037	7200	6.037	7200	6.037						
Sectoral support		3.000	1	3.000	1	3.000	1	3.000						
Sub-total for specific objective No 1				9.200		9.200		9.200						
SPECIFIC OBJECTIVE NO 2 ...														
-Output														
Sub-total for specific objective No 2														

¹⁹ Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).
²⁰ As described in point 1.4.2. 'Specific objective(s)'

TOTAL COST			9.200																			
			9.200									9.200										

3.2.3. Estimated impact on appropriations of an administrative nature

3.2.3.1. Summary

- The proposal/initiative does not require the use of administrative appropriations
- The proposal/initiative requires the use of administrative appropriations, as explained below:

EUR million (to three decimal places)

	Year N ²¹ 2012	Year N+1 2013	Year N+2 2014	Year N+3 2015	Enter as many years as necessary to show the duration of the impact (see point 1.6)	TOTAL
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HEADING 5 of the multiannual financial framework								
Human resources	0.083	0.083	0.083	0.083				0.332
Other administrative expenditure	0.010	0.010	0.010	0.010				0.040
Subtotal HEADING 5 of the multiannual financial framework	0.093	0.093	0.093	0.093				0.372

Outside HEADING 5²² of the multiannual financial framework								
Human resources	0.019	0.019	0.019	0.019				0.076
Other expenditure of an administrative nature	0.006	0.006	0.006	0.006				0.024
Subtotal outside HEADING 5 of the multiannual financial framework	0.025	0.025	0.025	0.025				0.100

TOTAL	0.118	0.118	0.118	0.118				0.472
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²¹ Year N is the year in which implementation of the proposal/initiative starts.

²² Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

3.2.3.2. Estimated requirements of human resources

- The proposal/initiative does not require the use of human resources.
- The proposal/initiative requires the use of operational appropriations, as explained below:

Estimate to be expressed in full amounts (or at most to one decimal place)

	Year N 2012	Year N+1 2013	Year N+2 2014	Year N+3 2015	Enter as many years as necessary to show the duration of the impact (see point 1.6)		
• Establishment plan posts (officials and temporary agents)							
XX 01 01 01 (Headquarters and Commission's Representation Offices)	0.55	0.55	0.55	0.55			
XX 01 01 02 (Delegations)							
XX 01 05 01 (Indirect research)							
10 01 05 01 (Direct research)							
• External personnel (in full-time equivalent – FTE)²³							
XX 01 02 01 (CA, INT, SNE from the 'global envelope')							
XX 01 02 02 (CA, INT, JED, LA and SNE in the delegations)	0.1	0.1	0.1	0.1			
XX 01 04 yy²⁴	- at Headquarters ²⁵						
	- in delegations						
XX 01 05 02 (CA, INT, SNE - Indirect research)							
10 01 05 02 (CA, INT, SNE - Direct research)							
11010404 (CA, responsible for monitoring implementation of sectoral support)	0.25	0.25	0.25	0.25			
TOTAL	0.9	0.9	0.9	0.9			

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary agents	<p>Monitoring and management of the process for the (re)negotiation the FPA and the approval of the result of the negotiations by the institutions, management of the current FPA, including permanent working and financial monitoring; management of licences</p> <p>desk officer from DG MARE + HoU/deputy HoU + secretariat: overall</p>
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²³ CA= Contract Agent; INT= agency staff ('Intérimaire'); JED= 'Jeune Expert en Délégation' (Young Experts in Delegations); LA= Local Agent; SNE= Seconded National Expert.

²⁴ Sub-ceiling for external staff under operational appropriations (former 'BA' lines).

²⁵ For Structural Funds, European Agricultural Fund for Rural Development (EAFRD) and European Fisheries Fund (EFF).

	<p>estimate of 0.65 people/year</p> <p>Calculation of costs: 0.65 people/year x EUR 127,000/year = EUR 82,550 => 0.083 M EUR</p>
External personnel	<p>Monitoring the implementation of the sectoral support - attaché assigned to the Delegation (Senegal): overall estimate of 0.3 people/year</p> <p>Calculation of costs: 0.25 people/year x EUR 64 000/year = EUR 19 200 => 0.019 M EUR</p>

3.2.4. *Compatibility with the current multiannual financial framework*

- The proposal/initiative is compatible with the current multiannual financial framework.
- The proposal/initiative will entail reprogramming of the relevant heading in the multiannual financial framework.

Explain what reprogramming is required, specifying the budget lines concerned and the corresponding amounts.

- The proposal/initiative requires application of the flexibility instrument or revision of the multiannual financial framework²⁶.

Explain what is required, specifying the headings and budget lines concerned and the corresponding amounts.

3.2.5. *Third-party contributions*

- The proposal/initiative does not provide for co-financing by third parties.
- The proposal/initiative provides for the co-financing estimated below:

Appropriations in EUR million (to three decimal places)

	Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
Specify the co-financing body/source								
TOTAL appropriations co-financed								

²⁶ See points 19 and 24 of the Interinstitutional Agreement.

3.3. Estimated impact on revenue

- The proposal/initiative has no financial impact on revenue.
- The proposal/initiative has the following financial impact:
 - on own resources
 - on miscellaneous revenue

EUR million (to three decimal places)

Budget revenue line:	Appropriations available for the ongoing budget exercise	Impact of the proposal/initiative ²⁷							
		Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)			
Article									

For miscellaneous 'assigned' revenue, specify the budget expenditure line(s) affected.

Specify the method for calculating the impact on revenue.

²⁷ As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 25% for collection costs.