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COM(2011) 612 final

Subject: Cohesion Policy legislative package
 - Presidency compromise on Indicators

Delegations will find attached a compromise text on the parts on Indicators of the proposals for the ERDF, ESF, the ETC and the Cohesion Fund Regulations.

The modifications highlighted in bold are those in comparison to the revised versions (corrigenda) presented by the Commission on 14 March 2012.

European Regional Development Fund Regulation

Chapter II

Indicators for ERDF support for the Investment for Growth and Jobs Goal

Article 6

Indicators for the Investment for Growth and Jobs Goal

Common **output** indicators, as set out in the Annex to this Regulation, **programme-specific result indicators and, where relevant, programme-specific output indicators** shall be used [...] in accordance with Article 24(3) **and Article 87(2)(b)(ii) and (iv)** of Regulation (EU) No [...]/2012 [CPR].

For **common and** programme-specific output indicators, baselines shall be set at zero [...]. **Cumulative quantified target values for these indicators** shall be fixed for 2022.

For programme-specific result indicators, **which relate to investment priorities**, baselines shall use the latest available data and targets shall be fixed for 2022. **Targets** may be expressed in quantitative or qualitative terms.

European Social Fund Regulation

Chapter I

General provisions

Article 5

Indicators

1. Common **output and result** indicators, as set out in the Annex to this Regulation and, **where relevant**, programme-specific indicators shall be used in accordance with Article 24(3) and 87(2)(b)(ii) **and (iv)** of Regulation (EU) No [...]/2012 [CPR]. [...]

For common and programme-specific output indicators **baselines shall be set at zero** [...]. Where relevant to the nature of the operations supported, cumulative quantified target values **for these indicators** shall be fixed for 2022. [...]

For common and programme-specific result indicators, **which** relate to **investment priorities**, **baselines** [...] shall use the latest [...] data, **where available, or shall be set at zero**. Cumulative quantified target values shall be fixed for 2022. **Targets for programme-specific result indicators may be expressed in quantitative or qualitative terms.**

2. At the same time as the annual implementation reports, the managing authority shall transmit electronically structured data for each investment priority. The data shall cover the **categories of intervention in accordance with Article 87(2)(b)(vi) of Regulation (EU) No [...]/2012 [CPR]** and the output and result indicators. **By derogation from Article 44 (2) of Regulation (EU) No [...]/2012 [CPR], data transmitted for common and programme-specific indicators shall relate to values for partially or fully implemented operations.**

Cohesion Fund Regulation

Article 4

Indicators

Common **output** indicators, as set out in the Annex to this Regulation, **programme-specific result indicators and, where relevant, programme-specific output indicators** shall be used [...] in accordance with Article 24(3) **and Article 87(2)(b)(ii) and (iv)** of Regulation (EU) No [...]/2012 [CPR].

For **common and** programme-specific output indicators, baselines shall be set at zero [...].
Cumulative quantified target-values for these indicators shall be **fixed** for 2022.
[...]

For programme-specific result indicators, **which relate to investment priorities**, baselines shall use the latest available data and targets shall be fixed for 2022. **Targets** may be expressed in quantitative or qualitative terms.

European Territorial Cooperation Regulation

CHAPTER IV

MONITORING AND EVALUATION

Article 15

Indicators for the European territorial cooperation goal

Common **output** indicators, as set out in the Annex to this Regulation, **programme-specific result indicators and, where relevant, programme-specific output indicators** shall be used [...] in accordance with Article 24(3) of Regulation (EU) No [...] /2012 [CPR] **and Article 7(2)(b)(ii) and (iv) of this Regulation.** [...]

For **common and** programme-specific output indicators, baselines shall be set at zero. **and** **e**Cumulative **quantified** targets **values for these indicators** shall be fixed for 2022.

For programme-specific result indicators, **which relate to investment priorities,** baselines shall use the latest available data and targets shall be fixed for 2022. **Targets** may be expressed in quantitative or qualitative terms.

ERDF

ANNEX

Common output indicators for ERDF support under the Investment for growth and jobs goal

[...]

	UNIT	NAME
Productive investment		
	<u>enterprises</u>	<u>Number of enterprises receiving support</u>
	enterprises	Number of enterprises receiving grants
	enterprises	Number of enterprises receiving financial support other than grants
	enterprises	Number of enterprises receiving non-financial support
	enterprises	Number of new enterprises supported
	EUR	Private investment matching public support to <u>enterprises</u> (grants)
	EUR	Private investment matching public support to <u>enterprises</u> (non-grants)
	full time equivalentents	<u>Employment increase in supported enterprises</u>
<u>Sustainable</u> Tourism	<u>Visits/year</u>	<u>Increase in expected</u> number of visits to supported <u>sites of cultural and natural heritage and attractions</u>
ICT Infrastructure	<u>Households</u>	<u>Additional households with</u> broadband access of at least 30 Mbps
Transport		

	UNIT	NAME
Railway	km	Total length of new railway line
		of which: TEN-T
	km	Total length of reconstructed or upgraded railway line
		of which: TEN-T
Roads	km	Total length of newly built roads
		of which: TEN-T
	km	Total length of reconstructed or upgraded roads
		of which: TEN-T
Urban transport	<u>km</u>	<u>Total length of new or improved tram and metro lines</u>
Inland waterways	<u>km</u>	<u>Total length of improved or created inland waterway</u>
Environment		
Solid waste	Tonnes/ <u>year</u>	Additional waste recycling capacity
Water supply	Persons	Additional population served by improved water supply
	[...]	[...]
Wastewater treatment	population equivalent	Additional population served by improved wastewater treatment
Risk prevention and management	persons	Population benefiting from flood protection measures
	persons	Population benefiting from forest fire protection [...] measures
Land rehabilitation	Hectares	Total surface area of rehabilitated land
[...]	[...]	[...]
Nature and biodiversity	Hectares	Surface area of habitats <u>supported to attain a better conservation status</u>

	UNIT	NAME
Research, Innovation		
	<u>Full time equivalents</u>	<u>Number of new researchers in supported entities</u>
	Full time equivalents	<u>Number of researchers working in improved research infrastructure facilities</u>
	Enterprises	Number of enterprises cooperating with <u>supported</u> research institutions
	EUR	Private investment matching public support in innovation or R&D projects
	Enterprises	Number of enterprises <u>supported to introduce new to the market products</u>
	Enterprises	Number of enterprises <u>supported to introduce new to the firm products</u>
Energy and Climate change		
Renewables	MW	Additional capacity of renewable energy production
Energy efficiency	Households	Number of households with improved energy consumption classification
	kWh/year	Decrease of primary energy consumption of public buildings
	Users	Number of additional energy users connected to smart grids
GHG reduction	tonnes of CO ₂ eq	Estimated decrease of GHG [...]
Social infrastructure		
Childcare & education	Persons	[...] <u>Capacity of supported childcare or education infrastructure</u>
Health	Persons	<u>Population expected to use improved</u> health services

	UNIT	NAME
[...]	[...]	[...]
[...]	[...]	[...]
Urban Development <u>specific indicators</u>		
	Persons	Population living in areas with integrated urban development strategies
	square metres	[...] Open space <u>created or rehabilitated</u> in urban areas
	square metres	[...] Public or commercial buildings <u>built or renovated</u> in urban areas
	square metres	<u>Rehabilitated</u> housing in urban areas

ESF

ANNEX

Common output and result indicators for ESF investments

[...] Common output indicators on participants

Participants¹ refer to persons benefiting directly from an ESF investment and who can be identified and asked for their characteristics, and for whom specific expenditure is earmarked. Other beneficiaries should not be counted as participants.

- unemployed, including long-term unemployed*
- long-term unemployed*
- inactive*
- inactive, not in education or training*
- employed, including self-employed*
- below 25 years*
- above 54 years*

¹ The data processing arrangements put in place by the Member States **shall be consistent** with the provisions of Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data (OJ L 281, 23.11.1995, p. 31), in particular Articles 7 and 8 thereof.

Data reported under the indicators marked with * are personal data according to Article 7 of Directive 95/46/EC. Their processing is necessary for compliance with the legal obligation to which the controller is subject (Article 7(c)). For the definition of controller, see Article 2 of that Directive.

Data reported under the indicators marked with ** are a special category of data according to Article 8 of Directive 95/46/EC. Subject to the provision of suitable safeguards, Member States may, for reasons of substantial public interest, lay down exemptions in addition to those laid down in Article 8(2) of that Directive, either by national law or by decision of the supervisory authority (Article 8(4) of that Directive).

- with primary (ISCED 1) or lower secondary education (ISCED 2)*
- with upper secondary (ISCED 3) or post-secondary education (ISCED 4)*
- with tertiary education (ISCED 5 to 8)*
- migrants, people with a foreign background, minorities (including marginalised communities such as the Roma)**
- disabled**
- other disadvantaged**

The total number of participants is calculated automatically on the basis of the output indicators. These data on participants entering an ESF supported operation are to be provided in the annual implementation reports as specified in Article 44(1) and (2) and Article 101(1) of Regulation (EU) No [...] **[CPR]**. All data are to be broken down by gender.

(1) Common output indicators for entities

- number of projects [...] implemented by social partners or non-governmental organisations
- number of projects targeting public administrations or public services
- number of micro, small and medium-sized enterprises supported.

These data are to be provided in the annual implementation reports as specified in Article 44(1) and (2) and Article 101(1) of Regulation (EU) No [...] **[CPR]**.

(2) Common immediate result indicators on participants

- inactive participants [...] engaged in job searching upon leaving*
- participants in education/training upon leaving*
- participants gaining a qualification upon leaving*
- participants in employment, **including self-employment**, upon leaving.*

These data are to be provided in the annual implementation reports as specified in Article 44(1) and (2) and Article 101(1) of Regulation (EU) No [...] **CPR**. All data are to be broken down by gender.

(3) Common longer-term result indicators on participants

- participants in employment, **including self-employment**, 6 months after leaving*
- [...]
- participants with an improved labour market situation 6 months after leaving.*

These data are to be provided in the annual implementation reports as specified in Article 44(4) of Regulation (EU) No [...] **CPR**. They are to be collected based on a representative sample of participants within each priority axis. Internal validity of the sample should be ensured in such a way that the data can be generalised at the level of priority axis. All data are to be broken down by gender.

COHESION FUND

ANNEX

List of Common output Indicators for the Cohesion Fund

	UNIT	NAME
Environment		
Solid waste	Tonnes/ <u>year</u>	Additional waste recycling capacity
Water supply	persons	Additional population served by improved water supply
	[...]	[...]
Wastewater treatment	population equivalent	Additional population served by improved wastewater treatment
Risk prevention and management	Persons	Population benefiting from flood protection measures
	Persons	Population benefiting from forest fire protection [...] measures
Land rehabilitation	Hectares	Total surface area of rehabilitated land
[...]	[...]	[...]
Nature and biodiversity	hectares	Surface area of habitats <u>supported to attain a better conservation status</u>
Energy and climate change		
Renewables	MW	Additional capacity of renewable energy production

	UNIT	NAME
Energy efficiency	households	Number of households with improved energy consumption classification
	kWh/year	Decrease of primary energy consumption of public buildings
	users	Number of additional energy users connected to smart grids
GHG reduction	tons of CO ₂ eq	Estimated decrease of GHG [...]
Transport		
Railway	km	Total length of new railway line
	km	Total length of reconstructed or upgraded railway line
Roads	km	Total length of newly built roads
	km	Total length of reconstructed or upgraded roads
Urban transport	<u>km</u>	<u>Total length of new or improved tram and metro lines</u>
Inland waterways	<u>km</u>	<u>Total length of improved or created inland waterway</u>

ETC

ANNEX

Common output indicators for the European territorial cooperation goal
[...]

	UNIT	NAME
Productive investment		
	<u>enterprises</u>	<u>Number of enterprises receiving support</u>
	enterprises	Number of enterprises receiving grants
	enterprises	Number of enterprises receiving financial support other than grants
	enterprises	Number of enterprises receiving non-financial support
	enterprises	Number of new enterprises supported
	EUR	Private investment matching public support to <u>enterprises</u> (grants)
	EUR	Private investment matching public support to <u>enterprises</u> (non-grants)
	full time equivalents	<u>Employment increase in supported enterprises</u>
<u>Sustainable</u> Tourism	Visits/ <u>year</u>	<u>Increase in expected</u> number of visits to supported <u>sites of cultural and natural heritage and</u> attractions
ICT Infrastructure	<u>Households</u>	<u>Additional households with</u> broadband access of at least 30 Mbps

	UNIT	NAME
Transport		
Railway	km	Total length of new railway line
		of which: TEN-T
	km	Total length of reconstructed or upgraded railway line
		of which: TEN-T
Roads	km	Total length of newly built roads
		of which: TEN-T
	km	Total length of reconstructed or upgraded roads
		of which: TEN-T
Urban transport	<u>km</u>	<u>Total length of new or improved tram and metro lines</u>
Inland waterways	<u>km</u>	<u>Total length of improved or created inland waterway</u>
Environment		
Solid waste	Tonnes/ <u>year</u>	Additional waste recycling capacity
Water supply	Persons	Additional population served by improved water supply
	[...]	[...]
Wastewater treatment	population equivalent	Additional population served by improved wastewater treatment
Risk prevention and management	persons	Population benefiting from flood protection measures
	persons	Population benefiting from forest fire protection [...] measures

	UNIT	NAME
Land rehabilitation	Hectares	Total surface area of rehabilitated land
[...]	[...]	[...]
Nature and biodiversity	Hectares	Surface area of habitats <u>supported to attain a better conservation status</u>
Research, Innovation		
	<u>Full time equivalents</u>	<u>Number of new researchers in supported entities</u>
	Full time equivalents	<u>Number of researchers working in improved research infrastructure facilities</u>
	Enterprises	Number of enterprises cooperating with <u>supported</u> research institutions
	EUR	Private investment matching public support in innovation or R&D projects
	Enterprises	Number of enterprises <u>supported to introduce new to the market products</u>
	Enterprises	<u>Number of enterprises supported to introduce new to the firm products</u>

	UNIT	NAME
Energy and Climate change		
Renewables	MW	Additional capacity of renewable energy production
Energy efficiency	Households	Number of households with improved energy consumption classification
	kWh/year	Decrease of primary energy consumption of public buildings
	Users	Number of additional energy users connected to smart grids
GHG reduction	tonnes of CO ₂ eq	Estimated decrease of GHG [...]
Social infrastructure		
Childcare & education	Persons	[...] <u>C</u> apacity of supported childcare or education infrastructure
Health	Persons	<u>Population expected to use improved</u> health services
[...]	[...]	[...]
[...]	[...]	[...]
[...]	[...]	[...]
Urban Development specific indicators		
	Persons	Population living in areas with integrated urban development strategies
	square metres	[...] <u>O</u> pen space <u>created or rehabilitated</u> in urban areas
	square metres	[...] <u>P</u> ublic or commercial buildings <u>newly built or renovated</u> in urban areas
	square metres	<u>Rehabilitated</u> housing in urban areas

	UNIT	NAME
<u>ETC specific indicators</u>		
<u>Productive Investment</u>		
	<u>Enterprises</u>	<u>Number of enterprises participating in cross-border, transnational or interregional research projects</u>
	<u>Organisations</u>	<u>Number of research institutions participating in cross-border, transnational or interregional research projects</u>
Labour Market and Training¹		
	Persons	Number of participants in cross-border mobility initiatives
	Persons	Number of participants in joint local employment initiatives and joint training
	Persons	Number of participants in projects promoting gender equality, equal opportunities and social inclusion across borders
	Persons	Number of participants in joint education and training schemes to support youth employment, educational opportunities and higher and vocational education across borders

¹ Where relevant, the information on participants shall be broken down by their labour market status, indicating whether they are "employed", "unemployed", "long-term unemployed", "inactive" or "inactive and not in education or training".

	UNIT	NAME
[...]		
	[...]	[...]
	[...]	[...]
	[...]	[...]
	[...]	[...]
