



**COUNCIL OF  
THE EUROPEAN UNION**

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**15459/12**

<b>ACP</b>	<b>208</b>
<b>FIN</b>	<b>829</b>
<b>RELEX</b>	<b>973</b>
<b>COAFR</b>	<b>339</b>
<b>ONU</b>	<b>141</b>
<b>PESC</b>	<b>1314</b>

**NOTE**

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From: General Secretariat of the Council  
To: Delegations

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No. prev. doc.: 15026/12 + 15163/12

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Subject: Amendment to the Three-year Action Programme for the African Peace Facility,  
2011-2013 (10th EDF)

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On 24 October 2012 the Permanent Representatives Committee approved the Amendment to the Three-year Action Programme for the African Peace Facility, 2011-2013 (10th EDF)<sup>1</sup>.

In accordance with Article 12(b) of Council Regulation (EC) No 617/2007 on the implementation of the 10th EDF<sup>2</sup>, the Action Programme annexed to this note can now be adopted by the Commission.

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<sup>1</sup> Doc. 15163/12.

<sup>2</sup> OJ L 152, 13.6.2007, p. 1.

**THREE-YEAR ACTION PROGRAMME  
FOR THE AFRICAN PEACE FACILITY, 2011-2013 (10TH EDF)**

**AMENDMENT N° 1**

**Identification**

Financial heading	Intra-ACP funds, 10 <sup>th</sup> EDF
Requesting Authority	African Union. 1st Request of €300 M endorsed by the ACP-EU Committee of Ambassadors (11 May 2011) 2 <sup>nd</sup> Request for € 100 M endorsed by the ACP-EU Committee of Ambassadors (17 October 2012) 3 <sup>rd</sup> Request for approximately € 26.2 M endorsed by the ACP-EU Committee of Ambassadors (17 October 2012)
Total cost	<b>€ 426,200,000</b> - €300,000,000 from the intra-ACP indicative programme reserve in accordance with the GAERC Conclusions of 10-11 April 2006. - € 100,000,000 from the intra-ACP mid-term review - € 26,200,000 from the three-year action programme of the African Peace Facility, 2008-2010
Legal basis	Article 12 of Council Regulation (EC) No 617/2007 of 14 May 2007 on the implementation of the 10 <sup>th</sup> European Development Fund under the ACP-EC Partnership Agreement. Council Conclusions of 13 May 2011 Council Conclusions of 24 September 2012

**AMENDMENT**

The following articles of the three-year Action Programme for the African Peace Facility, 2011-2013 are hereby replaced as follows :

Article 6.5 - Indicative allocation

Article 7.1 - Timelines

Appendix V - Logical Framework

All other articles remain unchanged.

## 6.5 Indicative allocation

In accordance with the thematic scope of the APF and the priorities of the Joint Partnership, the €300,000,000 APF envelope will be replenished with the amount of €126,200,000, allocated as follows:

- (1) operationalisation of APSA and Africa-EU dialogue (Priorities n°2 and n°1 of the Joint Partnership) - €51,400,000;
- (2) Peace Support Operations (Priority n°3 of the Joint Partnership) – €349,000,000;
- (3) Early Response Mechanism (actions within Priorities n°s 1, 2 and 3 of the Partnership where urgent support is required) - €2,000,000 (to complement the ongoing mechanism);
- (4) activities for monitoring, audit, evaluation, technical assistance, lessons learned and visibility will amount to €6,000,000 ;
- (5) Contingencies - €17,800,000. If in the course of implementation of APF activities, one budget heading is under-spending, a transfer may be made between headings in order to optimise resource allocation. This budget reallocation may be reached through different channels:
  - the Commission can autonomously increase or diminish a budget heading by 20% according to art. 7(4) of 10<sup>th</sup> EDF implementing regulation;
  - the budget includes €17,800,000 contingencies, which can be allocated to any of the established budget lines;
  - an addendum to the original Commission decision can be made in accordance with the standard procedures.

The use of contingencies is not considered as an amendment of the financing decision as long as it does not entail a change in the nature of the activities originally proposed. The use of contingencies does not require an addendum to the financing agreement. Contingencies will be used to complement any ongoing APF-funded intervention upon Commission decision, following an AU or REC/RMs request. Where contingencies are used for a new APF intervention, the decision-making procedures outlined in Appendix 1 will apply.

<b>Heading</b>	<b>Component</b>	<b>Proposed Allocation under 3-Year Action Programme 2011-2013</b>	<b>Addendum Changes</b>	<b>Revised Allocation</b>
1	Operationalisation of APSA and Africa-EU dialogue	€ 40,000,000	€ 11,400,000	€ 51,400,000
2	Peace Support Operations	€ 240,000,000	€ 109,000,000	€ 349,000,000
3	Early Response Mechanism	€ 2,000,000	-	€ 2,000,000
4	Audit, Monitoring, Evaluation, Technical Assistance, Lessons learned and Visibility	€ 6,000,000	-	€ 6,000,000
5	Contingencies	€ 12,000,000	€ 5,800,000	€ 17,800,000
	<b>Total</b>	<b>€ 300,000,000</b>	<b>€ 126,200,000</b>	<b>€ 426,200,000</b>

### 7.1 Timelines

Pursuant to Article 12(c) of the 10<sup>th</sup> EDF Implementing Regulation, the Commission has taken its financing decision on the three-year Action Programme and established a financing agreement with the African Union. The latter was countersigned on 1 September 2011. The implementation period for the financing agreement is 72 months, starting from the date of the signature of the AU. The closure period will be of 24 months.

Any balance of funds remaining available under the EC financing agreement shall be automatically cancelled 6 months after the end of the execution period.

All individual commitments implementing the financing agreement must be concluded at the latest three years (D+3) after the entry into force of the financing agreement. This deadline cannot be extended. The above provision does not apply to contracts relating to audit and evaluation, which may be signed after this date. The Commission has 36 months to commit the €426,200,000 available to the APF from the date the AU has counter-signed the Financing Agreement.

APPENDIX V

THE AFRICAN PEACE FACILITY, 2011-2013 (10<sup>th</sup> EDF)

LOGICAL FRAMEWORK

Intervention logic	Objectively verifiable indicators	Sources of verification	Assumptions / Risks
<p><u>General objectives</u></p> <p>Support peace, stability and security in Africa</p>	<ul style="list-style-type: none"> <li>• Number and quality of conflict prevention, management and resolution activities and peace negotiations supported by APF</li> <li>• Economic development in (former) conflict countries</li> </ul>	<ul style="list-style-type: none"> <li>• AU Reports on Contribution Agreements/Grants</li> <li>• AU and RECs/RMs Annual reports</li> <li>• EC/AU Audit and Evaluation reports</li> <li>• Joint AU/RECs missions reports</li> <li>• EC Technical Assistance reports</li> <li>• EU/Africa dialogue</li> <li>• EU Delegations reports</li> <li>• EU Council bodies reports</li> <li>• UN and WB reports</li> <li>• OECD Reports</li> <li>• Media</li> </ul>	<ul style="list-style-type: none"> <li>• Peace opportunities do exist in conflict situations</li> <li>• Pivotal role of the AU within the African Peace and Security Architecture recognised</li> <li>• AU is sending mediation and fact finding missions</li> </ul>

Intervention logic	Objectively verifiable indicators	Sources of verification	Assumptions / Risks
<p><b>Specific objective</b></p> <ul style="list-style-type: none"> <li>ENHANCED COOPERATION AND DIALOGUE ON THE CHALLENGES TO PEACE AND SECURITY (PRIORITY ACTION N° 1)</li> </ul>	<ul style="list-style-type: none"> <li>NUMBER OF JOINT AU/EC MISSIONS</li> <li>INTENSIFICATION OF REGULAR OPERATIONAL CONTACTS BETWEEN THE TWO COMMISSIONS, C2C MEETINGS, EU MISSIONS TO AU, AU MISSIONS TO EU</li> <li>ORGANISATION OF LESSONS LEARNIT SEMINARS, JOINT COORDINATION COMMITTEE MEETINGS, PSC TO PSC MEETINGS, JOINT EXPERT GROUP MEETINGS</li> </ul>	<ul style="list-style-type: none"> <li>AU Reports on Contribution Agreements/Grants</li> <li>AU and RECs/RMs Annual reports</li> <li>EC/AU Audit and Evaluation reports</li> <li>Joint AU/RECs missions reports</li> <li>EC Technical Assistance reports</li> <li>EU/Africa dialogue</li> <li>EU Delegations reports</li> <li>EU Council bodies reports</li> <li>UN and WB reports</li> <li>OECD Reports</li> <li>Media</li> </ul>	<ul style="list-style-type: none"> <li>FAVOURABLE POLITICAL ENVIRONMENT FOR PEACE TALKS</li> <li>GOOD AND RELIABLE WORKING RELATIONSHIP BETWEEN THE TWO COMMISSIONS, RESPECTIVE MSs AND WITH AU PARTNER GROUP</li> <li>SOUND MANAGEMENT DOCTRINE IN PLACE: RIGOROUS FINANCIAL REGULATIONS AND PRACTICES ESTABLISHED</li> <li>AVAILABILITY OF PEACE KEEPERS FOR PEACE SUPPORT OPERATIONS</li> <li>EXISTENCE OF UN SECURITY COUNCIL RESOLUTIONS</li> <li>AU PARTNERS POLITICAL ENGAGEMENTS IN FINANCIALLY SUPPORTING AFRICAN MAN-MADE CRISES</li> <li>DONORS' CONTRASTING APPROACHES TO PEACE &amp; SECURITY ISSUES IN AFRICA</li> <li>LACK OF COMMON AU/EU POSITION TO PEACE &amp;</li> </ul>
<ul style="list-style-type: none"> <li>OPERATIONALISATION OF THE AFRICAN PEACE AND SECURITY ARCHITECTURE (PRIORITY ACTION N° 2)</li> </ul>	<ul style="list-style-type: none"> <li>INCREASED NUMBER OF STAFF WORKING IN THE PEACE AND SECURITY DIRECTORATE AND EQUIVALENT UNITS IN RECS/RMS</li> <li>NUMBER OF STAFF TRAINED AND PROPORTIONATE TO WORKLOAD/MANDATE IN AUC/RECS/RMS</li> <li>PRESENCE OF INTERNAL STATUTE RULES OF CONDUCT</li> <li>WELL-ESTABLISHED AND APPLIED FINANCIAL REGULATIONS</li> <li>REPORTS AND REQUESTS RECEIVED ON TIME, RESPECT FOR DEADLINES</li> <li>CONSOLIDATED AND WELL FUNCTIONING REC/RMS LIAISON OFFICES TO AU AND AU LIAISON OFFICES</li> </ul>		

<b>Intervention logic</b>	<b>Objectively verifiable indicators</b>	<b>Sources of verification</b>	<b>Assumptions / Risks</b>
<ul style="list-style-type: none"> <li>• AVAILABILITY OF SUSTAINABLE AND PREDICTABLE FUNDING FOR PEACE SUPPORT OPERATIONS (PRIORITY ACTION N° 3)</li> </ul>	<p>TO REC/RMS</p> <ul style="list-style-type: none"> <li>• NUMBER OF ACTIVITIES CARRIED OUT BY AU AND REC/RMS IN OPERATIONALIZATION OF APSA</li> <li>• NUMBER OF MEDIATION AND FACT FINDING MISSIONS SUPPORTED THROUGH ERM</li> <li>• NUMBER OF OPERATIONS CONDUCTED</li> <li>• STUDIES AND ASSESSMENT OF EXIT STRATEGY, CLOSE COORDINATION WITH UN</li> <li>• NUMBER OF JOINT ASSESSMENT AND FACT FINDING MISSIONS</li> <li>• REGULAR CONTACTS AND COORDINATION WITH THE AU PARTNERS GROUP IN ADDIS ABABA AND IN THE EU COUNCIL IN BRUSSELS, AND IF RELEVANT IN THE RESPECTIVE REC</li> <li>• ESTABLISHMENT OF UNITS WITHIN THE AU COMMISSION WHICH WOULD ENABLE THE AU TO HAVE A CAPACITY IN ANTICIPATION AND PLANNING</li> </ul>	<ul style="list-style-type: none"> <li>• AU Reports on Contribution Agreements/Grants</li> <li>• AU and RECs/RMs Annual reports</li> <li>• EC/AU Audit and Evaluation reports</li> <li>• Joint AU/RECs missions reports</li> <li>• EC Technical Assistance reports</li> <li>• EU/Africa dialogue</li> <li>• EU Delegations reports</li> <li>• EU Council bodies reports</li> <li>• UN and WB reports</li> <li>• OECD Reports Media</li> </ul>	<p>SECURITY ISSUES IN AFRICA</p> <ul style="list-style-type: none"> <li>• POLITICAL ENVIRONMENT REMAINS CONDUCTIVE FOR PEACE SUPPORT OPERATION</li> <li>• SUFFICIENT ADDITIONAL SUPPORT FROM OTHER SOURCES FOR PEACE SUPPORT OPERATIONS</li> </ul>

Intervention logic	Objectively verifiable indicators	Sources of verification	Assumptions / Risks
<p><b>Results</b></p> <ul style="list-style-type: none"> <li>• OPTIMAL WORKING RELATIONS AND COORDINATION BETWEEN THE TWO COMMISSIONS</li> <li>• EFFECTIVE FUNCTIONING OF THE APSA ORGANS</li> <li>• INCREASED CAPABILITY TO RESPOND QUICKLY AND EFFICIENTLY TO OPPORTUNITIES FOR PEACE, WHICH REQUIRES THE SENDING OF A MEDIATION MISSION OR A PEACE SUPPORT FORCE</li> <li>• INCREASED COORDINATION AMONG DIFFERENT PARTNERS TO ENSURE AVAILABILITY AND PREDICTABILITY OF THE FUNDING TO SUSTAIN PSOS</li> </ul>	<ul style="list-style-type: none"> <li>• IDENTIFIED CONTACT AT EACH LEVEL TO BE ABLE TO HAVE A DAY TO DAY WORKING RELATIONSHIP</li> <li>• ACHIEVEMENT OF A HOMOGENEOUS FUNCTIONAL CAPACITY AMONG THE DIFFERENT APSA ORGANS</li> <li>• NUMBER OF ACTIONS AGREED AND FINANCED THROUGH THE APF IN A TIMELY MANNER, RETROACTIVITY USED IN EXCEPTIONAL CASES ONLY, FULL-HAND USE OF THE EARLY RESPONSE MECHANISM</li> <li>• NUMBER OF COORDINATION MEETINGS HELD AMONG PARTNERS</li> </ul>		<ul style="list-style-type: none"> <li>• WORKING CONDITIONS BETWEEN THE TWO COMMISSIONS, THE EU COMMISSION AND EUMS AND AU COMMISSION WITH AU PARTNER GROUP REMAIN SMOOTH</li> <li>• STAFF LEVELS IN AU AND RECs/RMS REMAIN ADEQUATE AND WELL-TRAINED</li> <li>• SOUND MANAGEMENT DOCTRINE STAYS IN PLACE: RIGOROUS FINANCIAL REGULATIONS AND PRACTICES ESTABLISHED</li> <li>• REGULAR POLITICAL AND OPERATIONAL CONTACTS AMONG ALL PARTNERS CONTINUE</li> <li>• TCCs ALWAYS AVAILABLE IN "LENDING" PEACE KEEPERS FOR PEACE SUPPORT OPERATIONS</li> <li>• AU PARTNERS CONTINUE TO REMAIN POLITICALLY COMMITTED IN FINANCIALLY SUPPORTING AFRICAN MAN-MADE CRISES</li> </ul>



Intervention logic	Objectively verifiable indicators	Sources of verification	Assumptions / Risks
<b>Activities</b>	Inputs and resources		
Support to AU-led Peace Support Operations	Peace Support Operations		€ 349,000,000
Support to the structure and functioning of the APSA	Operationalisation of the APSA		€ 51,400,000
Support to the activities operated by the organs composing the APSA	Early Response Mechanism		€ 2,000,000
Support to the activities operated by the organs composing the APSA	Audit, Monitoring, Evaluation, Technical Assistance, Lessons Learned, Visibility		€ 6,000,000
Technical Assistance for the implementation of Peace Facility activities	Contingencies		€ 17,800,000
	TOTAL		€ 426,200,000