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**COMMISSION STAFF WORKING DOCUMENT**  
*Accompanying the document*

**REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND  
THE COUNCIL**

**Fourth report on progress by Kosovo\* in fulfilling the requirements of the visa  
liberalisation roadmap**

{ COM(2016) 276 final }

\*This designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.

## **1. INTRODUCTION**

The European Commission launched a visa liberalisation dialogue with Kosovo on 19 January 2012. On 14 June 2012, it handed over to Kosovo a roadmap, which identified all the legislation and other measures that Kosovo needed to adopt and implement to advance towards visa liberalisation.

The Commission adopted three previous reports on Kosovo's progress in the visa dialogue—on 8 February 2013,<sup>1</sup> 24 July 2014<sup>2</sup> and 18 December 2015.<sup>3</sup> These reports contained an assessment of progress made by Kosovo, recommendations to the Kosovo authorities and statistical data about the potential migratory and security impacts of visa liberalisation.

This is the Commission staff working document (SWD) accompanying the Commission's fourth report,<sup>4</sup> setting out in greater detail the potential security and migratory impacts of visa liberalisation, as well as the set of measures that Kosovo has implemented since December 2015 to prevent an irregular migration crisis.

This SWD draws upon reports submitted by Kosovo, reports drafted by EU Member States' experts participating in an assessment mission to Kosovo on 17-18 March 2016, information provided by the EU Office in Kosovo, EUROPOL, FRONTEX, EASO and EULEX, as well as statistical data compiled by EUROSTAT and supplied by Member States.

The visa dialogue is conducted without prejudice to EU Member States' position on status.

## **2. ASSESSMENT OF POTENTIAL SECURITY AND MIGRATORY IMPACTS OF VISA LIBERALISATION**

### **2.1. Security: Current situation and potential impact of visa liberalisation with Kosovo**

Kosovo continues to face challenges in effectively preventing and combating organised crime, corruption and terrorism. Criminal networks operating in Kosovo continue to pose a considerable threat to the internal security of the European Union.

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<sup>1</sup> COM (2013) 66 final

<sup>2</sup> COM(2014) 488 final.

<sup>3</sup> COM(2015) 906 final, accompanied by SWD(2015) 706 final

<sup>4</sup> COM(2016) 276 final.

### 2.1.1. Current situation

#### Geographical reach

Albanian-speaking organised crime groups are already present and engaged in criminal activities in 19 Member States and Schengen Associated States. Such groups can be composed of persons not only from Kosovo, but also of Albania, the former Yugoslav Republic of Macedonia and Serbia. Some also hold EU citizenship. They often have interests in their region of origin and maintain contacts with diaspora communities.

Some members of these groups return to Kosovo to evade prosecution abroad, while others have been known to run operations in the EU from Kosovo.

#### Criminal sectors

These groups are active in a considerable range of crime areas, and there are indications that they could pose a threat in the following sectors:

- **Drug trafficking**, such as the production and trafficking of cannabis and trafficking of heroin and cocaine;
- Some groups have become active in **facilitating irregular migration** from Kosovo and, recently, third-country nationals in the European refugee crisis;
- **Corruption, money-laundering** and fraud, including excise and benefit fraud;
- **Trafficking in human beings**, notably for sexual exploitation;
- The illicit **trafficking of small arms** and light weapons;
- **Foreign terrorist fighters** originating from Kosovo who have returned from Syria or Iraq.

Counterfeit commodity smuggling undertaken by some of these groups remains a problem affecting the Western Balkans rather than the EU.

#### Drug trafficking

In the heroin and cocaine trade affecting the EU, the Western Balkan route, including Kosovo, continues to play an important role. Kosovo is still used as a storage location and distribution centre for trafficked heroin.

Despite a significant law enforcement effort in 2014-2015 to eradicate cannabis plantations, production continues in Kosovo on a smaller scale. Cannabis is produced for the regional market, and large seizures in 2014 indicate that most of the cannabis is trafficked from Albania through Kosovo to EU Member States.

Cannabis grown in Albania and Kosovo is distributed in some EU Member States and Schengen Associated States. Some competition in the cannabis trade with organised crime groups active in the EU has also been detected.

Kosovo is less exposed to the trafficking of synthetic drugs, although precursors have been detected in lorries.

Between 2014 and 2015, the number of criminal investigations of drug trafficking increased from 22 to 29. In 2015, Kosovo confiscated 1 kg of heroin, 25 grammes of cocaine and 83 kg of cannabis. By volume, cannabis remains the type of drug most commonly trafficked in Kosovo.

Most of these groups also engage in serious crimes other than drug trafficking.

### Facilitating irregular migration

Some criminal groups involved in facilitating irregular migration have focused on Kosovo in particular. Some facilitators are members of the diaspora community; others are former migrants themselves.

These groups often employ EU nationals as drivers or local smugglers and cooperate with document forgers who facilitate their services. In the past, private cars were most often used; but the Kosovo migration crisis between September 2014 and April 2015 showed an increasing reliance on bus companies. Corrupt border officials have been known to be bribed to facilitate passenger movement.

False residence permits in some EU Member States and Schengen Associate States have been used to regularise migrants' stay in the Schengen area. Some travel agencies have offered fraudulent document packages to persons seeking to apply for Schengen visas. Another method of document fraud involves applications for Serbian biometric passports, in circumvention of the residence requirement that is necessary in Serbia to qualify for such travel documents. Bribery is sometimes used to facilitate this process.

Counterfeit documents have often been used by these groups to facilitate irregular migration.

Migrant smuggling remains a multinational business. Suspects originate from more than 100 countries, including Bulgaria, Hungary, Iraq, Kosovo, Pakistan, Poland, Romania, Serbia, Syria and Turkey. There have been some indications of Kosovo-based organised crime groups collaborating with Russian-speaking groups in migrant smuggling.

### Corruption, money-laundering and fraud

Kosovo has a legislative and institutional framework against corruption in place. However, implementation remains a challenge. Transparency International's 2015 Corruption Perceptions Index<sup>5</sup> ranked Kosovo 103th of 167 countries surveyed globally, with the worst ranking in the Western Balkans for a third consecutive year.

Despite recent progress in modernising the legislative framework and the enforcement of a centralised public procurement system, public procurement remains a major source of corruption in Kosovo.

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<sup>5</sup> <http://www.transparency.org/cpi2015>

The trafficking of counterfeit medicine and cigarettes remains a moderate problem in Kosovo. Fuel smuggling, particularly in the north of Kosovo, remains a problem.

The illicit proceeds of serious crime are increasingly invested in legitimate businesses in EU Member States and Schengen Associated States. The most common form of money-laundering include investing in real estate, use of tax havens and investing in businesses, such as restaurants, bars, casinos, travel companies and construction companies in the Western Balkans and some EU Member States.

### Trafficking in human beings

Kosovo continues to be affected by trafficking in human beings. Earlier, persons were trafficked to Kosovo for sexual exploitation; today, this is increasingly becoming a domestic problem.

Workers from Kosovo have also been trafficked to EU Member States for labour exploitation, using fraudulent documents.

### Trafficking of small arms and light weapons

The total number of registered and unregistered firearms currently in circulation in the Western Balkans is estimated between 3.2 and 6.2 million. Small arms and light weapons are typically smuggled into the EU along the Western Balkan route, including Kosovo.

Reactivated small weapons are sometimes converted in Kosovo before being trafficked to the EU. However, weapon tracing and law enforcement controls of arms have improved in Kosovo, not least owing to Kosovo's participation in the EU-Western Balkan Action Plan on trafficking in firearms.

### Criminal methods

Some groups have also been known to set up legal businesses, such as shops, restaurants, casinos and workshops, across the Western Balkan to facilitate their criminal enterprises.

Corruption and bribery of public officials both in the Western Balkans and sometimes also in the EU are used when necessary to facilitate criminal enterprises.

Some of these groups have also established criminal partnerships in the Western Balkans and Member States. In the EU, such partnerships have been observed with Italian organised crime groups and Nigerian and Moroccan groups active in Member States. In the Western Balkans, Kosovo-based groups have set up partnerships with Turkish, Romanian and Bulgarian organised crime groups.

Violence is sometimes used to maintain discipline; several members possess firearms.

Many of these groups are financially well off and are capable of laundering the proceeds of crime in other sectors or legitimate businesses. Poorer groups have sometimes resorted to extortion and loan sharking to boost profits.

## Terrorism

The spread of Islamic radicalism and extremism remains a concern in Kosovo, as in the broader Western Balkan region. Estimates of foreign terrorist fighters from Kosovo who have operated in Syria or Iraq range from 150 to 300, which represents the highest number of foreign terrorist fighters per capita in Europe. Some have already returned to Kosovo.

Kosovo authorities have taken a number of steps to combat violent extremism and the threat posed by foreign terrorist fighters, including through arrests and the prevention of departure via legislation. On 17 September 2014, its authorities arrested fifteen individuals on suspicion of terrorism, including 9 imams whose activities had been monitored for some time. In March 2015, Kosovo adopted a law that prevents participation in foreign armed conflicts and started implementing a strategy to de-radicalise returning foreign terrorist fighters. Kosovo also actively participates in the EU's Western Balkan Counter-Terrorism Initiative. It is difficult to assess the effectiveness of such measures on the flow of departures or Kosovo's capacity to detect and monitor returns, but the Commission noted in its 2015 enlargement package that Kosovo's efforts "need to be enhanced to identify, prevent and disrupt the flow of foreign terrorist fighters traveling to conflict areas such as Iraq and Syria."<sup>6</sup>

The resources, including Arabic language skills, that Kosovo can deploy to combat violent extremism remain limited, although authorities have recently strengthened their efforts to monitor terrorist recruitment online. Kosovo is also considering the establishment of a referral mechanism. This would be a multi-agency safeguarding process designed to identify persons vulnerable and therefore susceptible to radicalisation. Kosovo should pay particular attention to improving its capacity to prevent radicalisation.

### **2.1.2. Potential security impact of visa liberalisation with Kosovo**

An assessment of the security impact of visa liberalisation for Kosovo reveals that drug trafficking, the facilitation of irregular migration, corruption, money-laundering and fraud, trafficking in human beings, the illicit trafficking of small arms and light weapons and returning foreign terrorist fighters pose potential threats to the European Union's internal security. As reflected in the Commission's previous assessments, Kosovo has taken steps to address these matters, but monitoring and effectively addressing them after visa liberalisation remains essential.

Albanian-speaking organised crime groups are capable of managing movement between the EU and Kosovo and are often connected to organised crime groups in their diaspora. It remains unclear to what extent visa-free travel might contribute to cross-border criminality. Nevertheless, the difference in living standards between the EU and Kosovo may facilitate an increase in certain crime areas, such as organised property crime and mobile itinerant crime. Visa liberalisation could also have an impact on undetected entry into the EU of persons from Kosovo who return from war zones where they had joined terrorist networks. Continued implementation of legislation adopted in the context of the visa dialogue and enhanced operational cooperation and information sharing with Member States will be essential to mitigate these threats.

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<sup>6</sup> SWD(2015) 215

## 2.2. Migration: current situation and potential impact of visa liberalisation for Kosovo

### 2.2.1. Current situation

Irregular migration numbers from Kosovo to the EU have fluctuated over the past years and have varied across Member States. While late 2014 and early 2015 saw an unprecedented rise in irregular migration and a corresponding increase in asylum applications from Kosovo, these numbers have declined since May 2015 (Figure 2.1).

Asylum applications in the first quarter of 2016 reached their lowest quarterly level since the visa dialogue was launched with Kosovo in January 2012 (Figure 4.5).

As part of its European Agenda on Migration, the Commission proposed on 9 September 2015 establishing an EU common list of safe countries of origin that would include Kosovo. It considered that the legal basis for protection against persecution and mistreatment is adequately provided by substantive and procedural human rights and anti-discrimination legislation in Kosovo. There are no indications of *refoulement*. Discrimination or violence against individuals belonging to vulnerable groups of persons such as women, LGBTI and persons belonging to ethnic minorities, including ethnic Serbs, still occurs in individual cases. At least six Member States have designated Kosovo as a safe country of origin. On this basis, the Commission concluded that Kosovo was a safe country of origin within the meaning of the Asylum Procedures Directive.<sup>7</sup>

Figure 4.6 shows a considerable drop in the asylum recognition rate of applicants from Kosovo. Across the EU and Schengen Associated States, the asylum recognition rate fell from 7.3% to 2.6% between 2014 and 2015, suggesting that hardly any applicant from Kosovo merits international protection in the Schengen area.

#### Kosovo migration crisis, September 2014 - April 2015

Between September 2014 and April 2015, there was a large-scale irregular migration flow from Kosovo, via Serbia and Hungary, mainly to Germany and Austria. According to Eurostat, 87,595 persons from Kosovo sought asylum in the EU and Schengen Associated States between September 2014 and April 2015 (Figure 2.1).

#### Kosovo's steps to contain the migration crisis

Under EU facilitation and in close cooperation with Serbia, Kosovo took a number of immediate operational steps that contributed to reducing irregular migration flows as of April 2015. Since May 2015, the number of asylum applications have continuously decreased.

Kosovo took the following steps to reduce irregular migration flows:

- 1) **Organised a targeted information campaign**, including at its border/boundary-crossing points with Serbia. This included the distribution of leaflets in the official languages of

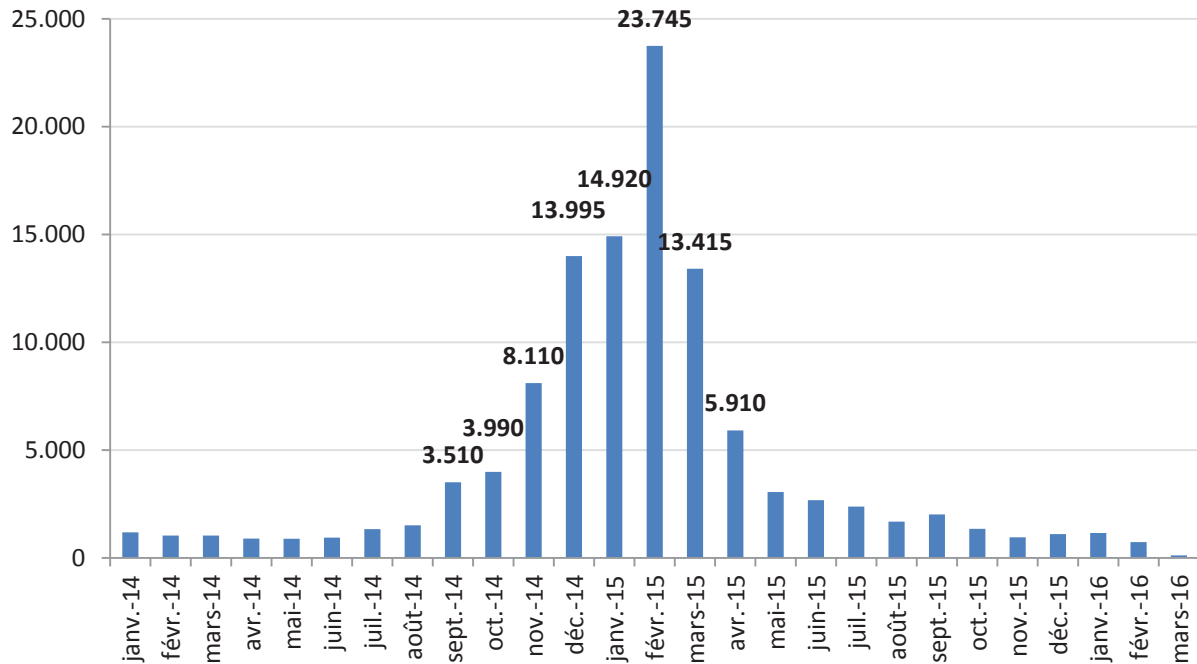
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<sup>7</sup> Directive 2013/32/EU



Kosovo and senior politicians informing the public of the consequences of irregular entry to, or overstay in the, Schengen area, as well as the consequences of receiving a Schengen entry ban. Kosovo should continue organising such campaigns to inform the public of the rights and obligations of entry to the Schengen area;

**Figure 2.1. Kosovo asylum applications in Member States and Schengen Associated States during Kosovo migration crisis, 2014-2015**



Source: Eurostat

- 2) **Enhanced border/boundary controls**, including first and second-line checks and surveillance, along its border/boundary-crossing points with Serbia, by thoroughly checking ID cards, the administrative licences of buses and explaining the conditions of entry to the Schengen area. Kosovo was reminded that its practice of “dissuading” travellers from leaving Kosovo must be in line with travellers’ fundamental rights;
- 3) **Stepped up investigations of travel companies** facilitating transfers to Serbia, with a focus on newly established companies. This included revoking the licences of bus companies that did not meet the conditions of transport and domestic legislation;
- 4) **Ensured the reception of returnees** to Kosovo from all EU Member States and Schengen Associated States, finding practical arrangements for their identification, registering them in the reintegration database, providing comprehensive information on the benefits they might be eligible for and ensuring their transfer to home municipalities;
- 5) **Took steps to enhance information exchange**, via EULEX, with Europol on the criminal aspects of irregular migration, with FRONTEX on migration-related risk analysis and with EASO on asylum trends;



- 6) Adopted a resolution on 5 February 2015 to **address the push factors of irregular migration**. Among other measures, this resolution grants customs, tax, and administrative benefits for individuals who employ more than ten persons. A decision on 4 March 2015 pardoned utility bill arrears and created a seasonal work programme for 900 beneficiaries of social welfare.

Kosovo is committed to implementing the above measures to prevent abuse of the visa-free scheme.

### 3.2.2. Potential migratory impact of visa liberalisation for Kosovo

As of May 2015, the Kosovo authorities introduced several mitigating measures that have contributed to reducing irregular migration flows and informing the public about their rights and obligations to enter the Schengen area.

These measures should remain in place and must continue to prevent abuse of the visa-free scheme.

The Commission has added Kosovo to its proposal for an EU list of safe countries of origin.

### 3.3. Migratory performance indicators

The Commission has continued to monitor the five performance indicators set out in the visa roadmap.<sup>8</sup> **A substantial decrease in these performance indicators**, over the course of the visa dialogue, has been used as an indicative reference in the Commission's assessments of the potential migratory impact of visa liberalisation for Kosovo.

Last year, the following trends could be observed:

- **the visa refusal rate** for Kosovo, calculated as an average for 13 Member States and Schengen Associated States, *fell* by 1.2% from 21.1% to 19.9% between 2014 and 2015 (Figure 4.1);
- **the number of refused entries** at Schengen external borders *fell* by 30% from 1,315 to 925 between 2014 and 2015 (Figure 4.2);
- **the number of illegal stays** in EU Member States or Schengen Associated States *increased* by 73% from 13,545 to 23,500 between 2014 and 2015 as a direct consequence of the Kosovo migration crisis (Figure 4.3);
- **the number of asylum applications** lodged in EU Member States or Schengen Associates States *increased* by 90% from 38,450 to 73,215 between 2014 and 2015 as a consequence of the Kosovo migration crisis (Figure 4.4). However, a comparison of asylum applications between the first quarters of 2015 and 2016 reveals a dramatic drop of 96%. The first quarter of 2016 also yielded the lowest quarterly total of asylum

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<sup>8</sup> They are as follows: the visa refusal rate for applicants from Kosovo, the rate of refused entry into the Schengen area, the number of illegal stays in the territory of one of the Member States, the total number of asylum applications and the number of rejected readmission application submitted by a Member State to Kosovo.

applications—2,015—that Kosovo has recorded since the beginning of the visa dialogue (Figure 4.5);

- the number of **rejected readmission applications** *increased* by 121% from 208 to 459 between 2014 and 2015, while the rejection rate of readmission applications fell from 8.2% to 3.8% in the same period (Figure 4.7). The return rate also increased from 50.1% to 85.6% between 2014 and 2015 (Figure 4.8), suggesting that Kosovo responded efficiently to the migration crisis by swiftly readmitting returnees.

### 3. CONCLUSION

An assessment of the security impact of visa liberalisation for Kosovo reveals that drug trafficking, the facilitation of irregular migration, corruption, money-laundering and fraud, trafficking in human beings, the illicit trafficking of small arms and light weapons and returning foreign terrorist fighters pose potential threats to the European Union's internal security. As reflected in the Commission's previous assessments, Kosovo has taken steps to address these matters, but monitoring and effectively addressing them after visa liberalisation remains essential.

Albanian-speaking organised crime groups are capable of managing movement between the EU and Kosovo and are often connected to organised crime groups in their diaspora. It remains unclear to what extent visa-free travel might contribute to cross-border criminality. Nevertheless, the difference in living standards between the EU and Kosovo may facilitate an increase in certain crime areas, such as organised property crime and mobile itinerant crime. Visa liberalisation could also have an impact on undetected entry into the EU of persons from Kosovo who return from war zones where they had joined terrorist networks. Continued implementation of legislation adopted in the context of the visa dialogue and enhanced operational cooperation and information sharing with Member States will be essential to mitigate these threats.

An overview of the migratory performance indicators that the Commission uses to monitor the potential migratory impact of visa liberalisation suggests the following:

- The visa refusal rate and the number of refusals of entry *fell* in the reporting period, as required by the visa liberalisation roadmap, but the former remains high;
- The number of illegal stays and asylum applications *increased* as a result of the Kosovo migration crisis in late 2014-early 2015;
- The number of rejected readmission application also *increased* in absolute terms, but a drop in the rejection rate of readmission applications and a considerable increase in the return rate suggests that Kosovo was able to address effectively the aftermath of the migration crisis.

The above data illustrate the main trends in the security and migration fields, showing that the EU remains an attractive destination for persons from Kosovo with potential migratory and security challenges to be monitored. The reinforced visa liberalisation suspension clause proposed by the Commission will help in this respect to mitigate the associated risks.

#### 4. STATISTICS

Figure 4.1. Applications for short-term Schengen visas in Kosovo, 2012-2015

Year	Visa category	Belgium/Netherlands/ Luxembourg <sup>9</sup>	Germany	Greece	Finland	Hungary	Slovenia	Switzerland/Austria/ France/Liechtenstein	Norway	Total
2015	Total A, C visa applications	160	31.263	5.697	1.412	3.048	3.999	24.663	2.164	72.406
	Total A, C visas delivered	137	24.680	5.047	1.194	2.658	2.920	19.232	1.922	57.790
	Total A, C visas refused	23	6.396	646	218	371	1.079	5.449	231	14.413
	<b>Refusal rate for A, C visas</b>	<b>14,4%</b>	<b>20,5%</b>	<b>11,3%</b>	<b>15,4%</b>	<b>12,2%</b>	<b>27,0%</b>	<b>22,1%</b>	<b>10,7%</b>	<b>19,9%</b>
2014	Total A, C visa applications	2.967	28.924	6.937	1.360	2.468	3.734	22.531	1.549	70.470
	Total A, C visas delivered	1.943	23.531	5.995	1.181	1.788	2.798	16.709	1.419	55.364
	Total A, C visas refused	868	5.393	942	172	641	936	5.822	130	14.904
	<b>Refusal rate for A, C visas</b>	<b>29,3%</b>	<b>18,6%</b>	<b>13,6%</b>	<b>12,6%</b>	<b>26,0%</b>	<b>25,1%</b>	<b>25,8%</b>	<b>8,4%</b>	<b>21,1%</b>
2013	Total A, C visa applications	2.823	26.071	4.556	1.314	2.819	4.415	23.917	1.496	67.411
	Total A, C visas delivered	1.915	21.849	4.275	1.144	2.067	3.281	17.993	1.279	53.803
	Total A, C visas refused	869	4.222	281	170	753	1.134	5.924	217	13.570
	<b>Refusal rate for A, C visas</b>	<b>30,8%</b>	<b>16,2%</b>	<b>6,2%</b>	<b>12,9%</b>	<b>26,7%</b>	<b>25,7%</b>	<b>24,8%</b>	<b>14,5%</b>	<b>20,1%</b>
2012	Total A, C visa applications	2.774	25.733	485	1.282	2.289	4.631	22.131	759	60.084
	Total A, C visas delivered	1.829	16.600	441	989	1.618	3.295	17.553	532	42.857
	Total A, C visas refused	926	5.404	44	293	671	1.336	4.578	227	13.479
	<b>Refusal rate for A, C visas</b>	<b>33,4%</b>	<b>21,0%</b>	<b>9,1%</b>	<b>22,9%</b>	<b>29,3%</b>	<b>28,8%</b>	<b>20,7%</b>	<b>29,9%</b>	<b>22,4%</b>

Source: EU Office, Local Schengen Cooperation group data (These figures could not be verified by the Commission)

<sup>9</sup> The 2015 data for Belgium, the Netherlands and Luxembourg only cover the months of November and December 2015.

**Figure 4.2. Refused entry at Schengen external borders for Kosovo, 2012-2015**

Member State/Schengen Associated State	2012	2013	2014	2015
Belgium	35	25	15	10
Bulgaria	65	60	160	75
Czech Republic	0	0	0	0
Denmark	0	0	0	0
Germany	85	90	55	60
Estonia	0	0	0	0
Ireland	5	10	5	5
Greece	40	15	40	N/A
Spain	0	0	0	N/A
France	235	110	65	120
Croatia	0	720	560	520
Italy	30	50	35	20
Cyprus	0	0	0	0
Latvia	0	0	0	0
Lithuania	0	0	0	0
Luxembourg	0	0	0	0
Hungary	80	140	150	N/A
Malta	0	0	0	0
Netherlands	0	0	0	0
Austria	10	5	5	5
Poland	0	0	0	0
Portugal	0	0	0	N/A
Romania	0	0	5	10
Slovenia	0	150	115	N/A
Slovakia	0	0	0	0
Finland	0	0	0	0
Sweden	0	0	5	0
United Kingdom	40	40	55	55
Iceland	0	0	0	0
Liechtenstein	0	5	0	N/A
Norway	0	0	0	0
Switzerland	45	45	45	45
<b>Total (EU + SAC)</b>	<b>670</b>	<b>1.465</b>	<b>1.315</b>	<b>925</b>

*Source: Eurostat, DG HOME calculations*

**Figure 4.3. Illegal stays in EU Member States and Schengen Associated States for Kosovo, 2012-2015**

Member State/Schengen Associated State	2012	2013	2014	2015
Belgium	250	290	295	N/A
Bulgaria	5	5	5	10
Czech Republic	15	45	185	265
Denmark	10	0	10	20
Germany	2.645	3.070	4.110	18.965
Estonia	0	0	0	N/A
Ireland	25	5	0	20
Greece	95	60	35	N/A
Spain	0	0	0	0
France	610	845	1.270	1.415
Croatia	0	275	230	150
Italy	180	180	130	150
Cyprus	0	0	0	0
Latvia	0	0	0	0
Lithuania	0	0	0	0
Luxembourg	20	25	35	10
Hungary	190	965	2.075	N/A
Malta	0	0	0	0
Netherlands	0	0	0	N/A
Austria	495	1.175	1.780	1.995
Poland	10	10	5	10
Portugal	0	0	0	N/A
Romania	0	0	0	0
Slovenia	0	100	100	N/A
Slovakia	5	15	75	105
Finland	50	40	40	70
Sweden	525	725	1.370	45
United Kingdom	70	100	90	115
Iceland	0	0	0	N/A
Liechtenstein	0	0	0	5
Norway	45	65	90	150
Switzerland	1.280	1.790	1.615	N/A
<b>Total (EU + SAC)</b>	<b>6.525</b>	<b>9.785</b>	<b>13.545</b>	<b>23.500</b>

Source: Eurostat, DG HOME calculations

#### 4.4. Asylum applications lodged in EU Member States and Schengen Associated States for Kosovo, 2012-2015

Member State/Schengen Associated State	2012	2013	2014	2015
Belgium	1.740	1.270	840	735
Bulgaria	0	0	0	0
Czech Republic	10	10	15	15
Denmark	130	80	80	115
Germany	2.535	4.425	8.920	37.095
Estonia	0	0	0	0
Ireland	5	5	5	15
Greece	0	0	0	0
Spain	0	0	0	0
France	3.715	5.550	2.770	4.275
Croatia	0	5	5	10
Italy	100	100	105	350
Cyprus	0	0	0	0
Latvia	0	0	0	0
Lithuania	0	0	0	0
Luxembourg	210	160	140	235
Hungary	225	6.210	21.455	24.455
Malta	0	0	0	0
Netherlands	40	50	60	690
Austria	310	935	1.905	2.470
Poland	0	0	0	0
Portugal	0	0	0	5
Romania	0	0	0	0
Slovenia	20	35	20	30
Slovakia	0	0	0	0
Finland	80	70	65	165
Sweden	1.045	1.270	1.480	1.775
United Kingdom	40	40	30	30
Iceland	0	0	0	0
Liechtenstein	0	5	5	10
Norway	130	255	145	175
Switzerland	585	700	405	565
<b>Total (EU + SAC)</b>	<b>10.920</b>	<b>21.175</b>	<b>38.450</b>	<b>73.215</b>

Source: Eurostat, DG HOME calculations

#### 4.5. Asylum applications in EU Member States and Schengen Associated States for Kosovo, First Quarter results, 2012-2016

Member State/Schengen Associated State	2012 Q1	2013 Q1	2014 Q1	2015 Q1	2016 Q1
Belgium	440	415	220	320	85
Bulgaria	0	0	0	0	0
Czech Republic	0	0	0	5	0
Denmark	30	15	10	45	10
Germany	470	690	1.380	23.170	1.055
Estonia	0	0	0	0	0
Ireland	0	0	0	5	0
Greece	0	0	0	0	0
Spain	0	0	0	0	0
France	580	1.390	830	1.660	335
Croatia	0	5	0	0	0
Italy	25	25	25	80	70
Cyprus	0	0	0	0	0
Latvia	0	0	0	0	0
Lithuania	0	0	0	0	0
Luxembourg	70	25	35	75	10
Hungary	35	400	165	23.255	45
Malta	0	0	0	0	0
Netherlands	0	5	15	30	140
Austria	65	90	135	2.125	50
Poland	0	0	0	0	0
Portugal	0	0	0	0	0
Romania	0	0	0	0	0
Slovenia	0	20	10	0	0
Slovakia	0	0	0	0	0
Finland	15	5	30	55	5
Sweden	240	245	260	880	115
United Kingdom	10	5	5	5	0
Iceland	0	0	0	0	0
Liechtenstein	0	0	0	0	0
Norway	45	60	35	95	10
Switzerland	130	145	85	265	85
<b>Total (EU + SAC)</b>	<b>2.155</b>	<b>3.540</b>	<b>3.240</b>	<b>52.070</b>	<b>2.015</b>

Source: Eurostat, DG HOME calculations



#### 4.6. Recognition rate at first instance of asylum applications in Member States and Schengen Associated States for Kosovo, 2012-2015

Total Decisions	Positive Decisions					Recognition rate								
	2012	2013	2014	2015		2012	2013	2014	2015					
Belgium	1.240	535	550	650	Belgium	95	30	55	45	Belgium	7,7%	5,6%	10,0%	6,9%
Bulgaria	0	0	0	0	Bulgaria	0	0	0	0	Bulgaria	0,0%	0,0%	0,0%	0,0%
Czech Republic	10	15	10	20	Czech Republic	0	0	0	0	Czech Republic	0,0%	0,0%	0,0%	0,0%
Denmark	135	80	100	125	Denmark	10	0	15	0	Denmark	7,4%	0,0%	15,0%	0,0%
Germany	2.655	2.860	2.320	27.370	Germany	55	35	40	130	Germany	2,1%	1,2%	1,7%	0,5%
Estonia	0	0	5	0	Estonia	0	0	5	0	Estonia	0,0%	0,0%	100,0%	0,0%
Ireland	5	0	5	5	Ireland	0	0	0	0	Ireland	0,0%	0,0%	0,0%	0,0%
Greece	0	5	0	0	Greece	0	0	0	0	Greece	0,0%	0,0%	0,0%	0,0%
Spain	0	0	0	0	Spain	0	0	0	0	Spain	0,0%	0,0%	0,0%	0,0%
France	2.500	4.415	5.510	4.775	France	210	205	530	530	France	8,4%	4,6%	9,6%	11,1%
Croatia	0	0	5	10	Croatia	0	0	0	0	Croatia	0,0%	0,0%	0,0%	0,0%
Italy	85	110	110	135	Italy	45	60	55	55	Italy	52,9%	54,5%	50,0%	40,7%
Cyprus	0	0	0	0	Cyprus	0	0	0	0	Cyprus	0,0%	0,0%	0,0%	0,0%
Latvia	0	0	0	0	Latvia	0	0	0	0	Latvia	0,0%	0,0%	0,0%	0,0%
Lithuania	0	0	0	0	Lithuania	0	0	0	0	Lithuania	0,0%	0,0%	0,0%	0,0%
Luxembourg	175	230	100	150	Luxembourg	0	5	5	5	Luxembourg	0,0%	2,2%	5,0%	3,3%
Hungary	45	1.010	3.575	1.220	Hungary	5	0	10	0	Hungary	11,1%	0,0%	0,3%	0,0%
Malta	0	0	0	0	Malta	0	0	0	0	Malta	0,0%	0,0%	0,0%	0,0%
Netherlands	25	45	40	55	Netherlands	0	0	0	0	Netherlands	0,0%	0,0%	0,0%	0,0%
Austria	265	775	N/A	1.540	Austria	35	30	0	60	Austria	13,2%	3,9%	0,0%	3,9%
Poland	0	0	0	0	Poland	0	0	0	0	Poland	0,0%	0,0%	0,0%	0,0%
Portugal	0	0	0	0	Portugal	0	0	0	0	Portugal	0,0%	0,0%	0,0%	0,0%
Romania	0	0	0	0	Romania	0	0	0	0	Romania	0,0%	0,0%	0,0%	0,0%
Slovenia	20	15	5	25	Slovenia	0	0	0	0	Slovenia	0,0%	0,0%	0,0%	0,0%
Slovakia	0	0	0	0	Slovakia	0	0	0	0	Slovakia	0,0%	0,0%	0,0%	0,0%
Finland	75	85	105	105	Finland	10	35	45	0	Finland	13,3%	41,2%	42,9%	0,0%
Sweden	725	1.050	655	1.385	Sweden	40	30	65	55	Sweden	5,5%	2,9%	9,9%	4,0%
United Kingdom	15	20	55	40	United Kingdom	5	5	5	0	United Kingdom	33,3%	25,0%	9,1%	0,0%
Iceland	0	0	0	0	Iceland	0	0	0	0	Iceland	0,0%	0,0%	0,0%	0,0%
Liechtenstein	0	5	0	0	Liechtenstein	0	0	0	0	Liechtenstein	0,0%	0,0%	0,0%	0,0%
Norway	125	220	100	160	Norway	5	10	0	10	Norway	4,0%	4,5%	0,0%	6,3%
Switzerland	355	435	405	450	Switzerland	50	85	165	115	Switzerland	14,1%	19,5%	40,7%	25,6%
<b>Total (EU + SAC)</b>	<b>8.455</b>	<b>11.905</b>	<b>13.655</b>	<b>38.220</b>	<b>Total (EU + SAC)</b>	<b>565</b>	<b>530</b>	<b>995</b>	<b>1.005</b>	<b>Total (EU + SAC)</b>	<b>6,7%</b>	<b>4,5%</b>	<b>7,3%</b>	<b>2,6%</b>

Source: Eurostat, DG Home calculations

#### 4.7. Readmission applications processed by Kosovo, 2013-2015

Category/Year	2013	2014	2015
<b>Readmission requests from EU MS + SAC</b>	3.778	2.535	12.370
<b>Requests accepted by Kosovo</b>	3.095	2.164	11.818
<b>Rejected requests by Kosovo</b>	<b>404</b>	<b>208</b>	<b>465</b>
<b>Pending requests</b>	279	163	87
<b>Effective returns</b>	5.115	4.610	16.546
<b>Refusal rate for readmission requests</b>	<b>10.1%</b>	<b>8.2%</b>	<b>3.8%</b>

*Source: Kosovo, 2016 (These figures could not be verified by the Commission)*

#### 4.8. Return rate from Member States and Schengen Associated States for Kosovo, 2012-2015

Orders to leave	2012	2013	2014	2015	Effective returns	2012	2013	2014	2015	Return rate	2012	2013	2014	2015
Belgium	2.345	2.070	1.035	860	Belgium	445	420	250	270	Belgium	19,0%	20,3%	24,2%	31,4%
Bulgaria	5	5	5	10	Bulgaria	5	5	5	5	Bulgaria	100,0%	100,0%	100,0%	50,0%
Czech Republic	10	10	30	105	Czech Republic	0	5	10	60	Czech Republic	0,0%	50,0%	33,3%	57,1%
Denmark	180	100	50	120	Denmark	45	75	70	60	Denmark	25,0%	75,0%	140,0%	50,0%
Germany	1.180	1.275	1.505	10.625	Germany	855	1.005	1.095	13.965	Germany	72,5%	78,8%	72,8%	131,4%
Estonia	0	0	0	0	Estonia	0	0	0	0	Estonia	0,0%	0,0%	0,0%	0,0%
Ireland	10	20	5	5	Ireland	5	5	0	0	Ireland	50,0%	25,0%	0,0%	0,0%
Greece	0	0	35	25	Greece	0	0	0	0	Greece	0,0%	0,0%	0,0%	0,0%
Spain	0	0	0	0	Spain	0	0	0	0	Spain	0,0%	0,0%	0,0%	0,0%
France	2.070	2.205	2.700	2.900	France	465	390	490	565	France	22,5%	17,7%	18,1%	19,5%
Croatia	N/A	285	270	170	Croatia	N/A	275	245	130	Croatia	N/A	96,5%	90,7%	76,5%
Italy	180	180	130	150	Italy	65	50	35	35	Italy	36,1%	27,8%	26,9%	23,3%
Cyprus	0	0	0	0	Cyprus	0	0	0	0	Cyprus	0,0%	0,0%	0,0%	0,0%
Latvia	0	0	0	0	Latvia	0	0	0	0	Latvia	0,0%	0,0%	0,0%	0,0%
Lithuania	0	0	0	0	Lithuania	0	0	0	0	Lithuania	0,0%	0,0%	0,0%	0,0%
Luxembourg	260	200	85	120	Luxembourg	45	100	170	120	Luxembourg	17,3%	50,0%	200,0%	100,0%
Hungary	1.610	1.785	1.910	1.075	Hungary	1.300	1.440	1.270	650	Hungary	80,7%	80,7%	66,5%	60,5%
Malta	0	0	0	0	Malta	0	0	0	0	Malta	0,0%	0,0%	0,0%	0,0%
Netherlands	35	105	125	510	Netherlands	30	45	35	220	Netherlands	85,7%	42,9%	28,0%	43,1%
Austria	330	720	0	2.560	Austria	365	790	440	1.455	Austria	110,6%	109,7%	0,0%	56,8%
Poland	0	5	5	0	Poland	0	5	5	0	Poland	0,0%	100,0%	100,0%	0,0%
Portugal	0	0	0	0	Portugal	0	0	0	0	Portugal	0,0%	0,0%	0,0%	0,0%
Romania	0	0	0	0	Romania	0	0	0	0	Romania	0,0%	0,0%	0,0%	0,0%
Slovenia	0	100	100	105	Slovenia	0	90	90	90	Slovenia	0,0%	90,0%	90,0%	85,7%
Slovakia	5	0	20	90	Slovakia	5	0	15	70	Slovakia	100,0%	0,0%	75,0%	77,8%
Finland	110	90	N/A	180	Finland	80	80	:	90	Finland	72,7%	88,9%	N/A	50,0%
Sweden	865	665	755	1.595	Sweden	795	920	390	975	Sweden	91,9%	138,3%	51,7%	61,1%
United Kingdom	70	100	90	115	United Kingdom	85	100	95	105	United Kingdom	121,4%	100,0%	105,6%	91,3%
Iceland	N/A	N/A	N/A	N/A	Iceland	N/A	N/A	N/A	N/A	Iceland	N/A	N/A	N/A	N/A
Liechtenstein	0	0	5	0	Liechtenstein	0	0	5	0	Liechtenstein	0,0%	0,0%	100,0%	0,0%
Norway	260	315	330	330	Norway	85	205	150	175	Norway	32,7%	65,1%	45,5%	53,0%
Switzerland	405	450	520	585	Switzerland	N/A	N/A	N/A	0	Switzerland	N/A	N/A	N/A	0,0%
<b>Total (EU + SAC)</b>	<b>9.930</b>	<b>10.685</b>	<b>9.710</b>	<b>22.235</b>	<b>Total (EU + SAC)</b>	<b>4.675</b>	<b>6.005</b>	<b>4.865</b>	<b>19.040</b>	<b>Total (EU + SAC)</b>	<b>47,1%</b>	<b>56,2%</b>	<b>50,1%</b>	<b>85,6%</b>

Source: Eurostat, DG Home calculations