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PROPOSAL

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	4 May 2016
То:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union
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Subject:	Proposal for a decision of the European Parliament and of the Council on the mobilisation of the European Globalisation Adjustment Fund (application from Belgium - EGF/2015/012 BE/Hainaut Machinery)

Delegations will find attached document COM(2016) 242 final.

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Brussels, 4.5.2016 COM(2016) 242 final

Proposal for a

DECISION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

on the mobilisation of the European Globalisation Adjustment Fund (application from Belgium - EGF/2015/012 BE/Hainaut Machinery)

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EXPLANATORY MEMORANDUM

1. CONTEXT OF THE PROPOSAL

- 1. The rules applicable to financial contributions from the European Globalisation Adjustment Fund (EGF) are laid down in Regulation (EU) No 1309/2013 of the European Parliament and of the Council of 17 December 2013 on the European Globalisation Adjustment Fund (2014-2020) and repealing Regulation (EC) No 1927/2006¹ (the 'EGF Regulation').
- 2. On 17 December 2015, Belgium submitted application EGF/2015/012 BE/Hainaut Machinery for a financial contribution from the EGF, following redundancies² in the economic sector classified under the NACE Revision 2 Division 28 (Manufacture of machinery and equipment n.e.c.) in the NUTS level 2 region of Hainaut (BE32) in Belgium.
- 3. Following its assessment of this application, the Commission has concluded, in accordance with all applicable provisions of the EGF Regulation, that the conditions for awarding a financial contribution from the EGF are met.

2. SUMMARY OF THE APPLICATION

EGF application	EGF/2015/012 BE/Hainaut Machinery
Member State	Belgium
Region(s) concerned (NUTS ³ level 2)	Hainaut (BE32)
Date of submission of the application	17 December 2015
Deadline for acknowledgement of receipt of the application	31 December 2015
Deadline for request of additional information	31 December 2015
Deadline for provision of the additional information	11 February 2016
Deadline for the completion of the assessment	5 May 2016
Intervention criterion	Article 4(2) of the EGF Regulation
Number of enterprises concerned	3

OJ L 347, 20.12.2013, p. 855.

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Within the meaning of Article 3 of the EGF Regulation.

Commission Regulation (EU) No 1046/2012 of 8 November 2012 implementing Regulation (EC) No 1059/2003 of the European Parliament and of the Council on the establishment of a common classification of territorial units for statistics (NUTS) as regards the transmission of the time series for the new regional breakdown (OJ L 310, 9.11.2012, p. 34).

Sector(s) of economic activity (NACE Revision 2 Division ⁴)	28 (Manufacture of machinery and equipment n.e.c)		
Reference period	25 December 2014 - 25 September 2015		
Total number of redundancies	488		
Total number of eligible targeted beneficiaries	488		
Total number of targeted beneficiaries	488		
Number of targeted young persons not in employment, education or training (NEETs)	300		
Budget for personalised services (EUR)	2,972,669		
Budget for implementing EGF ⁵ (EUR)	67,400		
Total budget (EUR)	3,040,069		
EGF contribution (60 %) (EUR)	1,824,041		

3. ASSESSMENT OF THE APPLICATION

3.1. Procedure

4. Belgium submitted application EGF/2015/012 BE/Hainaut Machinery on 17 December 2015, within 12 weeks of the date on which the intervention criteria set out in Article 4 of the EGF Regulation were met. The Commission acknowledged receipt of the application within the deadline of two weeks from the date of submission of the application and requested additional information from Belgium at the same time. Such additional information was provided within six weeks of the request. The deadline of 12 weeks of the receipt of the complete application within which the Commission should finalise its assessment of the application's compliance with the conditions for providing a financial contribution expires on 5 May 2016.

3.2. Eligibility of the application

3.2.1. Enterprises and beneficiaries concerned

5. The application relates to 488 workers made redundant in the economic sector classified under the NACE Revision 2 Division 28 (Manufacture of machinery and equipment n.e.c). The redundancies made by the enterprises are located in the NUTS level 2 region of Hainaut (BE32).

Enterprises and number of dismissals within the reference period	
Carwall SA	

OJ L 393, 30.12.2006, p. 1.

In accordance with the fourth paragraph of Article 7 of Regulation (EU) No 1309/2013.

Enterprises and number of dismissals within the reference period			
			13
Caterpillar Belgium SA			169
Doosan SA			306
Total no. of enterprises: 3	Total no. of dismissals:	488	
Total no. of self-employed persons whose activity has ceased:		0	
Total no. of eligible workers and self-employed persons:		488	

3.2.2. Intervention criteria

- 6. Belgium submitted the application under the intervention criteria of Article 4(2) derogating from the criteria of Article 4(1) (b) of the EGF Regulation, which requires at least 500 workers being made redundant over a reference period of nine months in enterprises operating in the same economic sector defined at NACE Revision 2 Division and located in one region or two contiguous regions defined at NUTS 2 level in a Member State. There were 488 redundancies in the NUTS 2 region of Hainaut (B32).
- 7. The reference period of nine months for the application runs from 25 December 2014 to 25 September 2015.
- 3.2.3. Calculation of redundancies and of cessation of activity
- 8. The redundancies during the reference period have been calculated as follows:
 - 44 from the date on which the employer, in accordance with Article 3(1) of Council Directive 98/59/EC, notified the competent public authority in writing of the projected collective redundancies. Belgium confirmed prior to the date of the completion of the assessment by the Commission that these 44 redundancies have actually been effected,
 - 438 from the date of the employer's individual notice to lay off or to terminate the contract of employment of the worker,
 - 6 from the date of the de facto termination of the contract of employment or its expiry,
- 3.2.4. Eligible beneficiaries
- 9. The total number of eligible beneficiaries is 488.
- 3.2.5. Link between the redundancies and major structural changes in world trade patterns due to globalisation
- 10. In order to establish the link between the redundancies and major structural changes in world trade patterns due to globalisation, Belgium argues that Union trade in construction machinery has undergone serious disruptions in recent years. This has had a negative impact on the profitability in Europe of the three enterprises, which are all specialised in production of components and/or assemblage of machines used

in the construction sector. The sector concerned by the proposal is characterised by having production units close to the market. Therefore, the three enterprises mainly produce for the European market. Due to declining public and private investments in infrastructure, the demand for the products produced by the three enterprises has decreased accordingly. The production of construction engines in Europe has dropped from 212 028 units in 2007 to 116 461 units in 2014, constituting a production decline of 45.1 % ⁶. The consequence has been a dramatic loss of market share for European producers. Simultaneously, steel prices in Europe have increased significantly. Declining economies of scale and increasing unit costs have led to a loss of competitiveness for the European plants. This decline in competitiveness has led to the delocalisation to third countries of substantial production capacity (in particular Asian plants have benefitted from this development).

- 11. To date, the Manufacture of machinery and equipment n.e.c sector has been the subject of 14 EGF applications, eight of which based on trade related globalisation and six on the global financial and economic crisis.
- 3.2.6. Events giving rise to the redundancies and cessation of activity
- 12. The primary event giving rise to these redundancies is the announcement by Caterpillar Belgium S.A. on 23 February 2013 to enter into a collective redundancy procedure at its production plant located in Gosselies. The majority of the 1399 workers concerned were the subjects of a first EGF application involving redundancies at the enterprise. The current proposal includes the remaining 169 workers at the site. Carwall S.A., a principal supplier of cabs for Caterpillar Belgium S.A., witnessed a falling demand for its products, mainly due to fewer orders coming from Caterpillar, and was forced to scale down production accordingly. The third enterprise concerned by this proposal, Doosan S.A., produces excavators. Falling demand for its products in Europe led to the decision to close a production plant located in Frameries and supply the European market from its production sites in South Korea.
- 3.2.7. Expected impact of the redundancies as regards the local, regional or national economy and employment
- 13. The impact of the redundancies on the local and regional economy and employment is expected to be significant. Hainaut is facing a difficult labour market situation with an employment rate of 52,7 % (9,2 percentage points lower than the national average) and an unemployment rate of 14,5 % (5,9 percentage points higher than the national average⁷). The manufacturing industries in particular have suffered in the Hainaut region, with a drop in employment within the sector of 15,3 % between 2007 and 2012 in the Charleroi area alone. This situation is rendered even more difficult by the fact that the whole manufacturing sector has been downscaling production in Wallonia, with 1 236 job losses in 2013 and 1 878 job losses in 2014. The Public Employment Service in the area has also recorded a significant drop in job offers of 13 % since 2012. The labour market in the Hainaut region is furthermore characterised by a high proportion of underqualified labour (a bit more than half the job seekers in Hainaut lack upper secondary qualifications). The downscaling of

OFF-Highway Research, Annual Review and a Forecast to 2014, April 2010

SPF Economie, Classes moyennes et Energie, Enquête sur les forces de travail, 2015

production within the whole manufacturing sector will make it difficult for the target group of this application to find similar employment and upskilling efforts will definitely be needed.

- 3.2.8. Explanation of the exceptional circumstances underlying the admissibility of the application
- 14. Belgium argues that, despite the fact that fewer than 500 redundancies occurred within the nine-month reference period, this application should nevertheless be assimilated to an application under Article 4(1)(b) of the EGF Regulation due to exceptional circumstances having a serious impact on employment and the local, regional or national economy. Other workers from one of the enterprises concerned by this application, namely Caterpillar Belgium S.A., were the targets of an earlier application (EGF/2014/011 BE/Caterpillar), which is currently being implemented. The current application is considered a follow up application to the first application linked to mass redundancies at Caterpillar Belgium S.A, since it is part of the same collective redundancy procedure. The three enterprises concerned by this application are important players within the region in the field of manufacture of construction engines, providing 72 % of the jobs within this area. Moreover, 12,3 % of the labour force in the region concerned work in manufacturing, which has seen a decline in employment by 8 percentage points since 2007. The exceptional circumstances surrounding this case have been reinforced by reference to the unfavorable labour market situation in the region, demonstrated by its lower than average employment rates, high proportion of unqualified labour and high levels of long-term unemployment, which stands at 39,0 % of overall unemployment in Hainaut Region.

3.3. Targeted beneficiaries and proposed actions

3.3.1. Targeted beneficiaries

15. The estimated number of redundant workers expected to participate in the measures is 488. The breakdown of these by sex, citizenship and age group is as follows:

Category		Number of targeted beneficiaries	
Sex:	Men:	460	(94.3 %)
	Women:	28	(5.7 %)
Citizenship:	EU citizens:	485	(99.4 %)
	non-EU citizens:	3	(0.6 %)
Age group:	15-24 years:	29	(5.9 %)
	25-29 years:	33	(6.8 %)
	30-54 years:	251	(51.4 %)
	55-64 years:	175	(35.9 %)
	over 64 years:	0	(0.0 %)

16. Additionally, Belgium will provide personalised services co-financed by the EGF to up to 300 young people from the Hainaut Region not in employment, education or training (NEETs) under the age of 25 on the date of submission of the application,

given that 488 of the redundancies referred to in paragraph 15 occur in the NUTS level 2 Region of Hainaut (B32), which is eligible under the Youth Employment Initiative.

- 17. The total estimated number of targeted beneficiaries expected to participate in the measures, including NEETs, is therefore 788.
- 3.3.2. Eligibility of the proposed actions
- 18. The personalised services to be provided to redundant workers and NEETs consist of the following actions:
 - Support/Guidance/Integration: This set of services builds upon the standard activities carried out by the Redeployment Units. The services will be provided by a team of specialised FOREM⁸ staff in partnership with organisations representing former workers to encourage participants to take part in the measures and to help them with administrative procedures. To facilitate contacts between the workers, the services are provided jointly to all the redundant workers at dedicated premises. The services cover three types of activity: (i) collective information on job-search techniques (writing a CV and application letter, using web resources, etc.), (ii) individual interviews with a FOREM adviser (skills audit, career pathway, guidance on training, etc.); (iii) free and open access to job-search tools (IT equipment with an internet connection, telephone, specialised documentation, etc.).
 - Facilitating job-search: FOREM will carry out specific activities to help workers in their job-search and to overcome difficulties in the redeployment process. This includes meetings between the redundant workers and potential employers (job matching), company visits, meetings with recruiters to prepare for job interviews, and exchanges of experience with other workers who have already retrained or have found a job after a collective redundancy.
 - Integrated Training: A range of specific training courses will be provided either by FOREM or by the Centres de competences or IFAPME⁹. As an initial step, FOREM staff will help each participant to define their work-related goals and guide them towards one of the training modules on offer. At the end of each training module, the new skills can be assessed and documented. Depending on the type of training and the field of competences, participants will be awarded either a formal certification of skills (i.e. a certificate of competence), a certificate of attendance (for competences or occupations for which no formal certification exists) or a validation of skills (for skills and competences acquired outside formal training courses).
 - Support for enterprise creation: Workers who are considering setting up their own businesses will receive guidance and support throughout the process. This support includes two main activities: (i) collective information sessions to raise awareness on business creation opportunities, provide information on legal

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The Walloon Public Employment Service

⁹ IFAPME (Institut wallon de Formation en Alternance et des indépendants et Petites et Moyennes Entreprises) is a public training institute that provides work-linked dual training in the form of apprenticeships and specific courses for SME managers.

aspects and measures to support business creation; (ii) individual interviews with interested workers to review their plans and put them in contact with business support organisations and service providers.

- Support for collective projects: Workers who might be considering setting up a 'social enterprise' together as a group will receive guidance and support from a specialised consultancy and from the Redeployment Unit. This support includes information and awareness-raising sessions on business creation and basic management skills, as well as advice on setting up the undertaking (e.g. drawing up a business plan, drafting legal statutes, marketing, etc.). A support committee, which brings together representatives from the employer organisations, trade unions and FOREM, assesses the application and decides whether to award a grant. Each worker involved in the project may receive a grant of EUR 5 000 (funds being pooled together for all workers taking part). The grants can be used to cover the purchase of equipment, merchandise, publicity, consultancy, training, etc. The consultancy will administer the grants and report to FOREM on the use of expenditure (invoices and supporting documentation).
- Allowances: Job-search and training allowances will also be made available to participants.

The personalised services which are to be provided to NEETs are based on a similar approach as described above and consist of the following actions:

- Mobilisation and Guidance: A detailed profiling will allow the youth either to embark directly on further education/training or to follow a special induction session designed to promote self-confidence and explore interests.
- <u>Training:</u> A range of specific training courses will be provided either by FOREM or by the Centres de competences or IFAPME.
- Personalised upskilling: A personalised upskilling pathway will be designed for each NEET participating in this measure.
- Allowances: Job-search, training and mobility allowances will also be offered to participants.
- 19. The proposed actions, here described, constitute active labour market measures within the eligible actions set out in Article 7 of the EGF Regulation. These actions do not substitute passive social protection measures.
- 20. The Belgian authorities have provided the required information on actions that are mandatory for the enterprises concerned by virtue of national law or pursuant to collective agreements. They have confirmed that a financial contribution from the EGF will not replace such actions.

3.3.3. Estimated budget

21. The estimated total costs are EUR 3,040,069, comprising expenditure for personalised services of EUR 2,972,669 and expenditure for preparation,

management, information and publicity, control and reporting activities of EUR 67,400.

22. The total financial contribution requested from the EGF is EUR 1,824,041 (60 % of total costs).

Actions	Estimated number of participants	Estimated cost per participant (EUR ¹⁰)	Estimated total costs(EUR)
Personalised services (Actions under Artic	le 7(1)(a) and (c)	of the EGF Regu	lation)
Redeployment (Reconversion): Support/Guidance/Integration (Accompagnement/Orientation/Insertion)	488	2,849	1,390,089
Facilitating job-search (Dynamisation de la recherche d'emploi)	200	163	32,500
Training (Formation) Integrated training (Formations intégrées)	200	594	118,850
Promotion of entrepreneurship (Aide à la creation d'emploi) Support for enterprise creation	300	117	35,000
(Autocreation d'emploi individuelle) Awareness raising collective projects (Sensibilisation aux projets collectifs)	300	160	47,868
Grants for collective projects (Bourses de création de projets collectifs)	10	5,000	50,000
NEETS: Mobilisation and Guidance (Mobilisation/accompagnement)	300	3,350	1,004,896
NEETS: Integrated training (Formations intégrées)	100	850	85,000
NEETS: Personalised upskilling (Remédiation et mise à niveau)	50	1,300	65,000
Sub-total (a):			2,829,203
Percentage of the package of personalised services:	-	-	(95.17 %)

Allowances and incentives (Actions under Article 7(1)(b) of the EGF Regulation)

Approximations based on the number of participants and total costs.

488	153	74,844
200	62	12,372
150	125	18,750
150	125	18,750
100	125	12,500
50	125	6,250
		143,466
_		(4.83 %)
Actions under Article 7(4) of the EGF Regulation		
-		1,500
-		8,000
-		45,000
_		12,900
Sub-total (c):		67,400
		07,100
-	_	(2.22 %)
-	-	,
	200 150 150 100 50	200 62 150 125 150 125 100 125 50 125

- 23. The costs of the actions identified in the table above as actions under Article 7(1)(b) of the EGF Regulation do not exceed 35 % of the total costs for the coordinated package of personalised services. Belgium confirmed that these actions are conditional on the active participation of the targeted beneficiaries in job-search or training activities.
- 24. Belgium confirmed that the costs of investments for self-employment, business startups and employee take-overs will not exceed EUR 15 000 per beneficiary.
- 3.3.4. Period of eligibility of expenditure
- 25. Belgium started providing the personalised services to the targeted beneficiaries on 1 January 2015. The expenditure on the actions referred to in paragraph 22 shall therefore be eligible for a financial contribution from the EGF from 1 January 2015 to 17 December 2017, with the exception of expenditure on education or training

- courses the duration of which is two years or more, which will be eligible until 17 June 2018.
- 26. Belgium started incurring the administrative expenditure to implement the EGF on 1 January 2015. The expenditure for preparation, management, information and publicity, control and reporting activities shall therefore be eligible for a financial contribution from the EGF from 1 January 2015 to 17 June 2018.
- 3.3.5. Complementarity with actions funded by national or Union funds
- 27. The sources of national pre-financing or co-funding are the Walloon Public Employment Service (FOREM) and the Region of Wallonia.
- 28. Belgium has confirmed that the measures described above receiving a financial contribution from the EGF will not also receive financial contributions from other Union financial instruments.
- 3.3.6. Procedures for consulting the targeted beneficiaries or their representatives or the social partners as well as local and regional authorities
- 29. Belgium has indicated that the co-ordinated package of personalised services has been drawn up in consultation with all stakeholders including social partners, enterprises and the public employment services. Through a Monitoring Committee, those stakeholders will closely follow the implementation of the proposed measures.

3.4. Management and control systems

30. The application contains a description of the management and control system which specifies the responsibilities of the bodies involved. Belgium has notified the Commission that the financial contribution will be managed and controlled by the same bodies which are responsible for the European Social Fund (ESF).

3.5. Commitments provided by the Member State concerned

- 31. Belgium has provided all necessary assurances regarding the following:
 - the principles of equality of treatment and non-discrimination will be respected in the access to the proposed actions and their implementation,
 - the requirements laid down in national and EU legislation concerning collective redundancies have been complied with,
 - the dismissing enterprises, which have continued their activities after the layoffs, have complied with their legal obligations governing the redundancies
 and provided for their workers accordingly,
 - the proposed actions will not receive financial support from other Union funds or financial instruments and any double financing will be prevented,
 - the proposed actions will be complementary with actions funded by the Structural Funds,

 the financial contribution from the EGF will comply with the procedural and material Union rules on State aid.

4. **BUDGETARY IMPLICATION**

4.1. Budgetary Proposal

- 32. The EGF shall not exceed a maximum annual amount of EUR 150 million (2011 prices), as laid down in Article 12 of Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020¹¹.
- 33. Having examined the application in respect of the conditions set out in Article 13(1) of the EGF Regulation, and having taken into account the number of targeted beneficiaries, the proposed actions and the estimated costs, the Commission proposes to mobilise the EGF for the amount of EUR 1 824 041, representing 60 % of the total costs of the proposed actions, in order to provide a financial contribution for the application.
- 34. The proposed decision to mobilise the EGF will be taken jointly by the European Parliament and the Council, as laid down in point 13 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management ¹².

4.2. Related acts

- 35. At the same time as it presents this proposal for a decision to mobilise the EGF, the Commission will present to the European Parliament and to the Council a proposal for a transfer to the relevant budgetary line for the amount of EUR 1 824 041.
- 36. At the same time as it adopts this proposal for a decision to mobilise the EGF, the Commission will adopt a decision on a financial contribution, by means of an implementing act, which will enter into force on the date at which the European Parliament and the Council adopt the proposed decision to mobilise the EGF.

OJ L 347, 20.12.2013, p. 884.

OJ C 373, 20.12.2013, p. 1.

Proposal for a

DECISION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

on the mobilisation of the European Globalisation Adjustment Fund (application from Belgium - EGF/2015/012 BE/Hainaut Machinery)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 1309/2013 of the European Parliament and of the Council of 17 December 2013 on the European Globalisation Adjustment Fund (2014-2020) and repealing Regulation (EC) No 1927/2006¹³, and in particular Article 15(4) thereof,

Having regard to the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management ¹⁴, and in particular point 13 thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) The European Globalisation Adjustment Fund (EGF) was established to provide support for workers made redundant and self-employed persons whose activity has ceased as a result of major structural changes in world trade patterns due to globalisation, as a result of a continuation of the global financial and economic crisis addressed in Regulation (EC) No 546/2009 of the European Parliament and of the Council, or as a result of a new global financial and economic crisis, and to assist them with their reintegration into the labour market.
- (2) The EGF shall not exceed a maximum annual amount of EUR 150 million (2011 prices), as laid down in Article 12 of Council Regulation (EU, Euratom) No 1311/2013¹⁵.
- (3) On 17 December 2015, Belgium submitted application EGF/2015/012 BE/Hainaut Machinery for a financial contribution from the EGF, following redundancies in the economic sector classified under the NACE Revision 2 Division 28 (Manufacture of machinery and equipment n.e.c) in the NUTS level 2 region of Hainaut (BE32) in Belgium. It was supplemented by additional information pursuant to Article 8(3) of Regulation (EU) No 1309/2013. The application complies with the requirements for

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OJ L 347, 20.12.2013, p. 855.

OJ C 373, 20.12.2013, p. 1.

Council Regulation (EU, Euratom) No 1311/2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

- determining a financial contribution from the EGF in accordance with Article 13 of Regulation (EU) No 1309/2013.
- (4) In accordance with Article 6(2) of Regulation (EU) No 1309/2013, Belgium has decided to provide personalised services co-financed by the EGF also to 300 young persons not in employment, education or training (NEETs).
- (5) In accordance with Article 4(2) of Regulation (EU) No 1309/2013, the application from Belgium is considered admissible since the redundancies have a serious impact on employment and the local, regional and national economy.
- (6) The EGF should, therefore, be mobilised in order to provide a financial contribution of EUR 1 824 041 in respect of the application submitted by Belgium,
- (7) In order to minimise the time taken to mobilise the EGF, this decision should apply from the date of its adoption,

HAVE ADOPTED THIS DECISION:

Article 1

For the general budget of the European Union for the financial year 2016, the European Globalisation Adjustment Fund shall be mobilised to provide the sum of EUR 1 824 041 in commitment and payment appropriations.

Article 2

This Decision shall enter into force on the day of its publication in the Official Journal of the European Union. It shall apply from [the date of its adoption]*.

Done at Brussels,

For the European Parliament The President For the Council
The President

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^{*} Date to be inserted by the Parliament before the publication in OJ.