



Council of the
European Union

Brussels, 24 June 2016
(OR. en)

10651/16
ADD 1

EF 206
ECOFIN 654
DELECT 127

COVER NOTE

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	24 June 2016
To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union

No. Cion doc.:	C(2016) 3807 final ANNEX 1
Subject:	ANNEX to the COMMISSION DELEGATED REGULATION (EU) No .../... supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council with regard to regulatory technical standards on clearing access in respect of trading venues and central counterparties

Delegations will find attached document C(2016) 3807 final ANNEX 1.

Encl.: C(2016) 3807 final ANNEX 1



Brussels, 24.6.2016
C(2016) 3807 final

ANNEX 1

ANNEX

to the

COMMISSION DELEGATED REGULATION (EU) No .../...

supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council with regard to regulatory technical standards on clearing access in respect of trading venues and central counterparties

Annex

Form 1

Notification referred to in Article 15

Name of the CCP	Relevant contact details	Name(s) of trading venue(s) connected by close links	Jurisdiction(s) of venue(s) connected by links	of trading by close
		1. 2. 3. ...	1. 2. 3. ...	

Form 2

Notification referred to in Article 16

Name of the CCP	Relevant contact details		Date of approval decision	Dates of beginning and end of transitional period	Name(s) of trading venue(s) connected by close links	Jurisdiction(s) of trading venue(s) connected by links
				Beginning: End:	1. 2. 3. ...	1. 2. 3. ...

Form 3.1

General notification referred to in Article 17

Name of the trading venue	Relevant contact details	Name(s) and jurisdiction(s) of trading venues in the same group based in the Union	Name(s) and jurisdiction(s) of CCP(s) connected by close links
		1. 2. 3. ...	1. 2. 3 ...

Form 3.2

Notification of notional amount referred to in Article 17

Trading Venue:	Traded notional amount in 2016
Asset class X:	
Asset class Y:	
Asset class Z:	
...	

Form 4.1

General notification referred to in Article 18

Name of the trading venue	Relevant contact details	Name(s) and jurisdiction(s) of trading venues in the same group based in the Union	Name(s) and jurisdiction(s) of CCP(s) connected by close links
		1. 2. 3. ...	1. 2. 3. ...

Form 4.2

Notification of notional amount referred to in Article 18

Name of the trading venue:	Notional amount for rolling year	Notional amount for rolling year

Asset class X:		
Asset class Y:		
Asset class Z:		
...		