



Council of the European Union
General Secretariat

Brussels, 29 September 2016

CM 4146/16

PTS A

COMMUNICATION

PROVISIONAL LIST OF 'A' ITEMS

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Subject: 3488th meeting of the COUNCIL OF THE EUROPEAN UNION
(Economic and Financial Affairs)

Date: 11 October 2016
Venue: European Convention Center Luxembourg (ECCL)
4, Place de l'Europe
1499 LUXEMBOURG

ADDITION NO 1 TO PROVISIONAL LIST OF "A" ITEMS

Non-legislative activities

- Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 1286/2014 of the European Parliament and of the Council with regard to product intervention
 - = Intention not to raise objections to a delegated act
12441/16 EF 275 ECOFIN 811 DELACT 191
11287/16 EF 234 ECOFIN 708 DELACT 156
approved by Coreper, Part 2, on 28.09.2016

- Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards on transparency requirements for trading venues and investment firms in respect of bonds, structured finance products, emission allowances and derivatives
 - = Intention not to raise objections to a delegated act
 - 12444/16 EF 276 ECOFIN 812 DELACT 192
 - 11291/16 EF 237 ECOFIN 711 DELACT 159
 - + ADD 1
 approved by Coreper, Part 2, on 28.09.2016

- Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards specifying organisational requirements of trading venues
 - = Intention not to raise objections to a delegated act
 - 12446/16 EF 277 ECOFIN 813 DELACT 193
 - 11290/16 EF 236 ECOFIN 710 DELACT 158
 - + ADD 1
 approved by Coreper, Part 2, on 28.09.2016

- Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards on transparency requirements for trading venues and investment firms in respect of shares, depositary receipts, exchange-traded funds, certificates and other similar financial instruments and on transaction execution obligations in respect of certain shares on a trading venue or by a systematic internaliser
 - = Intention not to raise objections to a delegated act
 - 12448/16 EF 278 ECOFIN 815 DELACT 194
 - 11296/16 EF 238 ECOFIN 712 DELACT 160
 - + ADD 1
 approved by Coreper, Part 2, on 28.09.2016

- Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards on the tick size regime for shares, depositary receipts and exchange traded funds
 - = Intention not to raise objections to a delegated act
 - 12452/16 EF 279 ECOFIN 817 DELACT 195
 - 11312/16 EF 243 ECOFIN 717 DELACT 164
 - + ADD 1
 - + REV 1 (lv)
 approved by Coreper, Part 2, on 28.09.2016

- Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council with regard to regulatory technical standards for the data standards and formats for financial instrument reference data and technical measures in relation to arrangements to be made by the European Securities and Markets Authority and competent authorities
 - = Intention not to raise objections to a delegated act
 - 12453/16 EF 280 ECOFIN 818 DELACT 196
 - 11298/16 EF 240 ECOFIN 714 DELACT 162
 - + ADD 1
 approved by Coreper, Part 2, on 28.09.2016

- Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council with regard to regulatory technical standards concerning the information for registration of third country firms and the format of information to be provided to the clients
 - = Intention not to raise objections to a delegated act
 - 12455/16 EF 281 ECOFIN 819 DELACT 197
 - 11288/16 EF 235 ECOFIN 709 DELACT 157
 approved by Coreper, Part 2, on 28.09.2016

- Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the exchange of information between competent authorities when cooperating in supervisory activities, on-the-spot verifications and investigations
 - = Intention not to raise objections to a delegated act
 - 12456/16 EF 282 ECOFIN 820 DELACT 198
 - 11297/16 EF 239 ECOFIN 713 DELACT 161
 approved by Coreper, Part 2, on 28.09.2016

- Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards on information and requirements for the authorisation of investment firms
 - = Intention not to raise objections to a delegated act
 - 12457/16 EF 283 ECOFIN 821 DELACT 199
 - 11300/16 EF 241 ECOFIN 715 DELACT 163
 approved by Coreper, Part 2, on 28.09.2016

- Report on the implementation of the obligations under the Convention on Nuclear Safety
 - 7th Review Meeting of the Contracting Parties
 - 12397/16 ATO 50
 - + ADD 1
 approved by Coreper, Part 2, on 28.09.2016

(cf. CM 4046/16)