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From: Secretary-General of the European Commission,
signed by Mr Jordi AYET PUIGARNAU, Director

date of receipt: 3 October 2016

To: Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of
the European Union

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Subject: ANNEX to the COMMISSION DELEGATED REGULATION (EU) .../... on
the common monitoring and evaluation framework provided for in
Regulation (EU) No 514/2014 of the European Parliament and the Council
[laying down general provisions on the Asylum, Migration and Integration
Fund and on the instrument for financial support for police cooperation,
preventing and combating crime, and crisis management]

Delegations will find attached document C(2016) 6265 final - ANNEX 4.

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COMMISSION DELEGATED REGULATION (EU) .../...

**on the common monitoring and evaluation framework provided for in Regulation (EU)
No 514/2014 of the European Parliament and the Council [laying down general
provisions on the Asylum, Migration and Integration Fund and on the instrument for
financial support for police cooperation, preventing and combating crime, and crisis
management]**

ANNEX

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COMMISSION DELEGATED REGULATION (EU) .../...

on the common monitoring and evaluation framework provided for in Regulation (EU) No 514/2014 of the European Parliament and the Council [laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management]

List of common result and impact indicators for the evaluation reports by Member States and the Commission, as referred to in Articles 56 and 57 of Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund, and on the instrument for financial support to police cooperation, preventing and combating crime, and crisis management.

Indicators for the evaluation of the specific objectives of Regulation (EU) No 513/2014 establishing, as part of the Internal Security Fund, the instrument for financial support for police cooperation, preventing and combating crime, and crisis management and Regulation (EU) No 515/2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa

1. Indicators by specific objectives

(a) Supporting a common visa policy to facilitate legitimate travel, provide a high quality of service to visa applicants and ensure equal treatment of third-country nationals and tackle illegal migration:

(i) number of Schengen Evaluations missions in the area of visa carried out with support of the Internal Security Fund ("Fund");

(ii) number of Schengen Evaluations recommendations in the area of visa addressed with the support of the Fund, as compared to the total number of recommendations issued;

(iii) number of persons using fraudulent travel documents detected at consulates supported by the Fund;

(iv) number of visa applicants having to apply for a Schengen visa outside of their country of residence;

(v) number of visa required countries in the world where the number of Member States present or represented has increased.

(b) Supporting integrated border management, including promoting further harmonisation of border management-related measures in accordance with common Union standards and through the sharing of information between Member States and between Member States and the European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union ("Frontex"), to ensure, on one hand, a uniform and high level of control and protection of the external borders, including by the tackling of illegal immigration and, on the other hand, the smooth crossing of the external borders in conformity with the Schengen acquis, while guaranteeing access to international protection for those needing it, in

accordance with the obligations contracted by the Member States in the field of human rights, including the principle of non-refoulement:

- (i) number of Schengen Evaluations missions in the area of borders carried out with the support of the Fund;
- (ii) number of Schengen Evaluations recommendations in the area of borders addressed with the support of the Fund, as compared to the total number of recommendations issued;
- (iii) number of equipment items used during Frontex Coordinated Operations which were purchased with support of the Fund as compared to the total number of equipment items used for Frontex Coordinated Operations;
- (iv) number of irregular border crossings detected at the EU external borders a) between the border crossing points; b) at the border crossing points;
- (v) number of searches in Schengen Information System (SIS) II;
- (vi) number of persons using fraudulent travel documents detected at the border crossing points.

(c) Crime prevention, combating cross-border, serious and organised crime including terrorism, and reinforcing coordination and cooperation between law enforcement authorities and other national authorities of Member States, including with Europol or other relevant Union bodies, and with relevant third countries and international organisations:

- (i) results of actions supported by the Fund leading to the disruption of organised crime groups;
- (ii) number/value of frozen, seized and confiscated criminal assets as a result of actions within the scope of Regulation (EU) 513/2014;
- (iii) number of police-recorded offences, suspects, prosecutions and convictions resulting from actions falling within the scope of Regulation (EU) 513/2014;
- (iv) quantity of drugs seizure within the scope of the Fund on organised crime;
- (v) number of protected or assisted crime victims;
- (vi) volume of exchange of information in the Prüm framework (based on the measurement of total number of DNA matches per year; total number of fingerprint matches per year; total number of vehicle registration data matches per year);
- (vii) volume of exchange of information in the Secure Information Exchange Network Application (SIENA) framework (based on the measurement of SIENA cases initiated per year by Member States, Europol and third parties; SIENA messages exchanged per year by Member States, Europol and third parties);
- (viii) volume of sharing of data via the Europol Information System (EIS) (based on the measurement of number of persons and objects inserted in the EIS by Member States per year; number of person and objects inserted in the EIS by Member States per year (suspects, convicts); number of EIS searches performed by Member States per year).

(d) Enhancing the capacity of Member States and the Union for managing effectively security-related risks and crises, and preparing for and protecting people and critical infrastructure against terrorist attacks and other security-related incidents:

(i) volume of terrorist attacks (based on the measurement of failed, foiled and completed terrorist attacks; number of casualties resulting from terrorist attacks).

2. Indicators on efficiency, added value and sustainability, as foreseen in Regulation (EU) No 514/2014

(e) To measure and evaluate efficiency, added value and sustainability:

(i) number of Full Time Equivalent in the Responsible Authority, the Delegated Authority and the Audit Authority working on the implementation of the Fund and paid by the technical assistance or national budgets as compared to the number of projects implemented and to the amount of the funds claimed for the financial year;

(ii) technical assistance plus the administrative (indirect) cost of projects as compared to the amount of funds claimed for the financial year;

(iii) absorption rate of the Fund;

(iv) number of equipment in use 2 years after their acquisition / number of equipment acquired under the Fund (> than EUR 10.000);

(v) share of the maintenance cost of acquired equipment under the Fund in the total Union contribution to actions co-financed by the Fund.