



Council of the  
European Union

Brussels, 10 October 2016  
(OR. en)

12843/16

PTS A 73

## LIST OF 'A' ITEMS

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Subject:	3488th meeting of the COUNCIL OF THE EUROPEAN UNION (Economic and Financial Affairs)
Date:	11 October 2016
Venue:	Luxembourg

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### Non-legislative activities

1. Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 1286/2014 of the European Parliament and of the Council with regard to product intervention  
= Intention not to raise objections to a delegated act  
12441/16 EF 275 ECOFIN 811 DELACT 191  
11287/16 EF 234 ECOFIN 708 DELACT 156  
approved by Coreper, Part 2, on 28.09.2016
2. Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards on transparency requirements for trading venues and investment firms in respect of bonds, structured finance products, emission allowances and derivatives  
= Intention not to raise objections to a delegated act  
12444/16 EF 276 ECOFIN 812 DELACT 192  
11291/16 EF 237 ECOFIN 711 DELACT 159  
+ ADD 1  
approved by Coreper, Part 2, on 28.09.2016

3. Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards specifying organisational requirements of trading venues  
= Intention not to raise objections to a delegated act  
12446/16 EF 277 ECOFIN 813 DELACT 193  
11290/16 EF 236 ECOFIN 710 DELACT 158  
+ ADD 1  
approved by Coreper, Part 2, on 28.09.2016
4. Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards on transparency requirements for trading venues and investment firms in respect of shares, depositary receipts, exchange-traded funds, certificates and other similar financial instruments and on transaction execution obligations in respect of certain shares on a trading venue or by a systematic internaliser  
= Intention not to raise objections to a delegated act  
12448/16 EF 278 ECOFIN 815 DELACT 194  
11296/16 EF 238 ECOFIN 712 DELACT 160  
+ ADD 1  
approved by Coreper, Part 2, on 28.09.2016
5. Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards on the tick size regime for shares, depositary receipts and exchange traded funds  
= Intention not to raise objections to a delegated act  
12452/16 EF 279 ECOFIN 817 DELACT 195  
11312/16 EF 243 ECOFIN 717 DELACT 164  
+ ADD 1  
+ REV 1 (lv)  
approved by Coreper, Part 2, on 28.09.2016
6. Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council with regard to regulatory technical standards for the data standards and formats for financial instrument reference data and technical measures in relation to arrangements to be made by the European Securities and Markets Authority and competent authorities  
= Intention not to raise objections to a delegated act  
12453/16 EF 280 ECOFIN 818 DELACT 196  
11298/16 EF 240 ECOFIN 714 DELACT 162  
+ ADD 1  
approved by Coreper, Part 2, on 28.09.2016

7. Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council with regard to regulatory technical standards concerning the information for registration of third country firms and the format of information to be provided to the clients
  - = Intention not to raise objections to a delegated act
    - 12455/16 EF 281 ECOFIN 819 DELACT 197
    - 11288/16 EF 235 ECOFIN 709 DELACT 157
  - approved by Coreper, Part 2, on 28.09.2016
  
8. Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the exchange of information between competent authorities when cooperating in supervisory activities, on-the-spot verifications and investigations
  - = Intention not to raise objections to a delegated act
    - 12456/16 EF 282 ECOFIN 820 DELACT 198
    - 11297/16 EF 239 ECOFIN 713 DELACT 161
  - approved by Coreper, Part 2, on 28.09.2016
  
9. Commission Delegated Regulation (EU) .../... of 14.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards on information and requirements for the authorisation of investment firms
  - = Intention not to raise objections to a delegated act
    - 12457/16 EF 283 ECOFIN 821 DELACT 199
    - 11300/16 EF 241 ECOFIN 715 DELACT 163
  - approved by Coreper, Part 2, on 28.09.2016
  
10. Commission Delegated Regulation (EU) .../... of 19.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards specifying the organisational requirements of investment firms engaged in algorithmic trading
  - = Intention not to raise objections to a delegated act
    - 12698/16 EF 289 ECOFIN 853 DELACT 202
    - 11747/16 EF 255 ECOFIN 762 DELACT 171
  - + ADD 1
  - approved by Coreper, Part 2, on 05.10.2016
  
11. Commission Delegated Regulation (EU) .../... of 28.7.2016 supplementing Regulation (EU) No 600/2014 of the European Parliament and of the Council with regard to regulatory technical standards for the reporting of transactions to competent authorities
  - = Intention not to raise objections to a delegated act
    - 12699/16 EF 290 ECOFIN 854 DELACT 203
    - 11748/16 EF 256 ECOFIN 763 DELACT 172
  - + ADD 1
  - approved by Coreper, Part 2, on 05.10.2016

12. Draft Council Implementing Decision authorising the Republic of Poland to continue to apply measures derogating from point (a) of Article 26(1) and Article 168 of Directive 2006/112/EC on the common system of value added tax
    - = Adoption
      - 12217/16 FISC 136 ECOFIN 802
      - 12215/16 FISC 135 ECOFIN 801
    - approved by Coreper, Part 2, on 05.10.2016
  
  13. Commission Communication on further measures to enhance transparency and the fight against tax evasion and avoidance
    - Draft Council conclusions
      - = Adoption
        - 12670/1/16 REV 1 FISC 141 ECOFIN 846
      - approved by Coreper, Part 2, on 05.10.2016
  
  14. Draft Council Decision on the conclusion, on behalf of the European Union, of the Amending Protocol to the Agreement between the European Community and the Principality of Monaco providing for measures equivalent to those laid down in Council Directive 2003/48/EC
    - = Adoption
      - 12677/16 FISC 142 ECOFIN 847 AELE 74 MC 8
      - 8388/16 FISC 64 ECOFIN 339 AELE 33 MC 4
    - approved by Coreper, Part 2, on 05.10.2016
  
  15. Report on the implementation of the obligations under the Convention on Nuclear Safety - 7th Review Meeting of the Contracting Parties
    - 12397/16 ATO 50
    - + ADD 1
    - approved by Coreper, Part 2, on 28.09.2016
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