



**COUNCIL OF  
THE EUROPEAN UNION**

**Brussels, 29 October 2013  
(OR. en)**

**15518/13**

---

**Interinstitutional File:  
2013/0351 (NLE)**

---

**RECH 491  
USA 52**

**PROPOSAL**

---

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
To:	Mr Uwe CORSEPIUS, Secretary-General of the Council of the European Union
No. Cion doc.:	COM(2013) 731 final
Subject:	Proposal for a COUNCIL DECISION concerning the extension of the Agreement for scientific and technological cooperation between the European Community and the Government of the United States of America

---

Delegations will find attached document COM(2013) 731 final.

---

Encl.: COM(2013) 731 final



Brussels, 25.10.2013  
COM(2013) 731 final

2013/0351 (NLE)

Proposal for a

**COUNCIL DECISION**

**concerning the extension of the Agreement for scientific and technological cooperation  
between the European Community and the Government of the United States of America**

## EXPLANATORY MEMORANDUM

### **1. CONTEXT OF THE PROPOSAL**

The Agreement on cooperation in science and technology between the European Community and the Government of the United States of America was signed in Washington on 5 December 1997 and entered into force on 14 October 1998. Article 12(b) of the Agreement provides as follows: "This Agreement is concluded for an initial period of five years. Subject to review by the Parties in the final year of each successive period, the Agreement may be extended with possible amendments, thereafter for additional period of five years by mutual written agreement by the Parties".

By Council Decision 2009/306/EC of 30 March 2009, the Agreement was extended for a further period of five years. The Agreement is due to expire on 14 October 2013.

Extension of the Agreement would be in the interest of both Parties so as to maintain continuity in the scientific and technological relations between the US and the European Union leading to socio-economic benefits for both Parties.

### **2. RESULTS OF CONSULTATIONS WITH THE INTERESTED PARTIES AND IMPACT ASSESSMENTS**

The Commission published in June 2013 a review of the Agreement in 2012-2013 conducted by independent experts.

Both sides confirmed their interest in extending the Agreement in order to continue to facilitate cooperation between the Union and the United States of America in common science and technology areas.

However, during exploratory talks and an exchange of letters with Dr Kerri-Ann Jones, Assistant Secretary of State for Oceans and International Environmental and Scientific Affairs, the USA made the extension of the current Agreement conditional upon negotiation of some amendments. In a letter from 19 March 2013, Dr Jones identified the intellectual property rights annex as a potential area for negotiation. The USA is preparing an official note identifying all the areas which they would like to negotiate.

Considering that engagement in negotiations could lead to a lengthy process, which would most certainly go beyond the expiration date of the current Agreement, it was commonly decided to proceed on two parallel tracks:

- (1) start by extending the current Agreement under its existing provisions, in order to avoid any disruptions;
- (2) In parallel, the two parties will engage in a process which will lead to the negotiation of an amended Agreement. Such negotiations will require the Council to adopt a decision authorising the opening of negotiations in accordance with Article 218 (3) of the TFEU upon the Commission's recommendation.<sup>1</sup>

---

<sup>1</sup> A Commission recommendation for the Council to adopt such a decision will be submitted separately.

### **3. LEGAL ELEMENTS OF THE PROPOSAL**

The material content of the extended Agreement will be identical to that of the current Agreement.

### **4. BUDGETARY IMPLICATION**

The Legislative Financial Statement presented with this decision sets out the indicative budgetary implications. The provisions of the Decision shall ensure that the financial interests of the Union are protected.

In the light of the above considerations, the Commission requests the Council:

- To approve, on behalf of the Union, and following the consent of the European Parliament, the extension of the Agreement for scientific and technological cooperation between the European Community and the Government of the United States of America for an additional period of five years;
- To authorise the President of the Council to designate the person(s) empowered to notify the Government of the United States of America that the Union has completed its internal procedures necessary for the entry into force of this extended Agreement.

Proposal for a

**COUNCIL DECISION**

**concerning the extension of the Agreement for scientific and technological cooperation between the European Community and the Government of the United States of America**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 186 in conjunction with point (v) of Article 218(6)(a) thereof,

Having regard to the proposal from the European Commission,

Having regard to the consent of the European Parliament,

Whereas:

- (1) By its Decision 98/591/EC of 13 October 1998, the Council approved the conclusion of the Agreement for scientific and technological cooperation between the European Community and the Government of the United States of America.
- (2) By Council Decision 2009/306/EC of 30 March 2009, the Agreement was extended for a further period of five years.
- (3) Article 12(b) of the Agreement provides as follows: 'This Agreement is concluded for an initial period of five years. Subject to review by the Parties in the final year of each successive period, the Agreement may be extended with possible amendments, thereafter for additional periods of five years by mutual written agreement by the Parties'.
- (4) The Parties to the Agreement consider that rapid extension of this Agreement would be in their mutual interest.
- (5) The content of the extended Agreement will be identical to the content of the Agreement, which expires on 14 October 2013.
- (6) The extension of the Agreement for scientific and technological cooperation between the European Community and the Government of the United States of America should be approved on behalf of the European Union.

HAS ADOPTED THIS DECISION:

*Article 1*

The extension of the Agreement for scientific and technological cooperation between the European Community and the Government of the United States of America, for an additional period of five years, is hereby approved on behalf of the European Union.

*Article 2*

Acting in accordance with Article 12 of the above Agreement and on behalf of the Union, the President of the Council shall notify the Government of the United States of America that the Union has completed its internal procedure necessary for the extension of the above Agreement.

*Article 3*

This Decision shall enter into force on ...<sup>2</sup>.

Done at Brussels,

*For the Council  
The President*

---

<sup>2</sup> The date of entry into force of the Agreement will be published in the *Official Journal of the European Union* by the General Secretariat of the Council.

## LEGISLATIVE FINANCIAL STATEMENT

### **1. FRAMEWORK OF THE PROPOSAL/INITIATIVE**

- 1.1. Title of the proposal/initiative
- 1.2. Policy area(s) concerned in the ABM/ABB structure
- 1.3. Nature of the proposal/initiative
- 1.4. Objectives
- 1.5. Grounds for the proposal/initiative
- 1.6. Duration and financial impact
- 1.7. Management mode(s) envisaged

### **2. MANAGEMENT MEASURES**

- 2.1. Monitoring and reporting rules
- 2.2. Management and control system
- 2.3. Measures to prevent fraud and irregularities

### **3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE**

- 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected
- 3.2. Estimated impact on expenditure
  - 3.2.1. *Summary of estimated impact on expenditure*
  - 3.2.2. *Estimated impact on operational appropriations*
  - 3.2.3. *Estimated impact on appropriations of an administrative nature*
  - 3.2.4. *Compatibility with the current multiannual financial framework*
  - 3.2.5. *Third-party contributions*
- 3.3. Estimated impact on revenue



## LEGISLATIVE FINANCIAL STATEMENT

### 1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

#### 1.1. Title of the proposal/initiative

Proposal for a Council Decision concerning the extension of the Agreement for scientific and technological cooperation between the European Community and the Government of the United States of America

#### 1.2. Policy area(s) concerned in the ABM/ABB structure<sup>3</sup>

Policy strategy and coordination of, in particular, the Directorates-General RTD, JRC, AGRI, CNECT, EAC, ENER, ENTR, MARE and MOVE.

#### 1.3. Nature of the proposal/initiative

- The proposal/initiative relates to **a new action**
- The proposal/initiative relates to **a new action following a pilot project/preparatory action<sup>4</sup>**
- The proposal/initiative relates to **the extension of an existing action**
- The proposal/initiative relates to **an action redirected towards a new action**

#### 1.4. Objectives

##### 1.4.1. *The Commission's multiannual strategic objective(s) targeted by the proposal/initiative*

The present initiative will allow both parties to improve and intensify their cooperation in science and technology in areas of common interest.

##### 1.4.2. *Specific objective(s) and ABM/ABB activity(ies) concerned*

###### Specific objective No 1

It will allow a further exchange of specific knowledge and a transfer of know-how to the benefit of the scientific communities, industry and the citizens.

###### ABM/ABB activity(ies) concerned

<sup>3</sup> ABM: Activity-Based Management – ABB: Activity-Based Budgeting.

<sup>4</sup> As referred to in Article 49(6)(a) or (b) of the Financial Regulation.

### 1.4.3. *Expected result(s) and impact*

*Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.*

This decision will allow the EU and the USA to derive mutual benefit from the scientific and technological progress achieved through research cooperation in their respective specific research programmes and facilitate further increased cooperation.

### 1.4.4. *Indicators of results and impact*

*Specify the indicators for monitoring implementation of the proposal/initiative.*

The Commission services will regularly monitor all actions carried out under the Agreement, which will include a review by the EU. The review will consist of the following elements;

(a) Number of new joint initiatives / activities carried out under the agreement.

(b) Cooperation through the Framework Programme – number of submitted proposals with US participants compared with number of proposals with US participants selected for funding under the programme.

## **1.5. Grounds for the proposal/initiative**

### 1.5.1. *Requirement(s) to be met in the short or long term*

This decision will allow both parties to continue to improve and intensify their cooperation in mutually beneficial areas of science and technology.

### 1.5.2. *Added value of EU involvement*

The Agreement is based on the principles of mutual benefit, reciprocal access to programmes and activities of the other party, in connection with the subject of the Agreement, non-discrimination, effective protection of intellectual property and equitable sharing of IPR and effective exploitation of results. The extension of this Agreement will allow for increased scientific knowledge leading to market access opportunities.

### 1.5.3. *Lessons learned from similar experiences in the past*

Based on experience thus far in the S&T cooperation it is considered mutually beneficial to continue this cooperation with the USA by extending the Agreement.

### 1.5.4. *Compatibility and possible synergy with other appropriate instruments*

The extension of the Agreement with the USA is fully coherent with the opening up of participation in the EU Framework Programmes to the world.

## 1.6. Duration and financial impact

Proposal/initiative of **limited duration**

- Proposal/initiative in effect from 14/10/2013 to 13/10/2018
- Financial impact from 2013 to 2018

Proposal/initiative of **unlimited duration**

- Implementation with a start-up period from YYYY to YYYY,
- followed by full-scale operation.

## 1.7. Management mode(s) envisaged<sup>5</sup>

**Centralised direct management** by the Commission

**Centralised indirect management** with the delegation of implementation tasks to:

- executive agencies
- bodies set up by the Communities<sup>6</sup>
- national public-sector bodies/bodies with public-service mission
- persons entrusted with the implementation of specific actions pursuant to Title V of the Treaty on European Union and identified in the relevant basic act within the meaning of Article 49 of the Financial Regulation

**Shared management** with the Member States

**Decentralised management** with third countries

**Joint management** with international organisations (*to be specified*)

*If more than one management mode is indicated, please provide details in the "Comments" section.*

Comments

---

<sup>5</sup> Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: [http://www.cc.cec/budg/man/budgmanag/budgmanag\\_en.html](http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html)

<sup>6</sup> As referred to in Article 185 of the Financial Regulation.

## **2. MANAGEMENT MEASURES**

### **2.1. Monitoring and reporting rules**

*Specify frequency and conditions.*

Participation of research entities from the USA in the Framework Programme (Horizon 2020) and other cooperative activities under the Agreement will be regularly followed through meetings of the Joint Consultative Group established under Art. 6(b) of the Agreement.

### **2.2. Management and control system**

#### *2.2.1. Risk(s) identified*

Meetings and bilateral contacts occur regularly, allowing for a systematic sharing of information. No risks have been identified in the control system.

#### *2.2.2. Control method(s) envisaged*

#### *2.2.3. Costs and benefits of controls and probable non-compliance rate*

### **2.3. Measures to prevent fraud and irregularities**

*Specify existing or envisaged prevention and protection measures.*

When the implementation of the Framework programme calls for the use of external contractors or entails granting financial contributions to third parties, the Commission will carry out, where appropriate, financial audits, in particular if it has reason to doubt the realistic nature of work performed or described in the activity reports.

The Union's financial audits will be carried out either by its own staff or by accounting experts approved according to the law of the audited party. The Union will choose the latter freely, while avoiding any risks of conflicts of interest which might be indicated to it by the party subject to the audit. In addition, the Commission will make sure, in carrying out the research activities, that the financial interests of the Union are protected by effective checks and, where irregularities are detected, by deterrent and proportionate measures and penalties.

In order to achieve this aim, rules on checks, measures and penalties, with reference to Regulations No 2988/95, No 2185/96 and No 1073/99 will be incorporated in all contracts used in the implementation of the Framework programme.

In particular, the following points will have to be provided for in the contracts:

- the introduction of specific contractual clauses to protect the financial interests of the EU in carrying out checks and controls in relation to the work performed;
- the carrying out of administrative checks as part of anti-fraud measures, in accordance with Regulations No 2185/96, No 1073/1999 and No 1074/1999;

- the application of administrative penalties for all intentional or negligent irregularities in the implementation of the contracts, in accordance with the Framework Regulation No 2988/95, including a blacklisting mechanism;
- the fact that any recovery orders in the event of irregularities and fraud must be enforceable according to Article 299 of the Treaty on the Functioning of the European Union.

In addition and as a routine measure, a control programme in respect of scientific and budgetary aspects will be carried out by the responsible staff in the DG for Research and Innovation; an internal audit will be carried out by the Internal Audit unit of DG Research and Innovation; and local inspections will be undertaken by the European Court of Auditors.

### 3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

#### 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Description.....]	Diff./non-diff. (7)	from EFTA countries <sup>8</sup>	from candidate countries <sup>9</sup>	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
1a	08.01.05	Non-diff.	YES	YES	YES	YES
1a	08.01.05.01	Non-diff.	YES	YES	YES	YES
1a	08.01.05.03	Non-diff.	YES	YES	YES	YES

- New budget lines requested

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Heading.....]	Diff./non-diff.	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 18(1)(aa) of the Financial Regulation
	[XX.YY.YY.YY]		YES/NO	YES/NO	YES/NO	YES/NO

<sup>7</sup> Diff. = Differentiated appropriations / Non-Diff. = Non-differentiated appropriations.

<sup>8</sup> EFTA: European Free Trade Association.

<sup>9</sup> Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

### 3.2. Estimated impact on expenditure

This part must be completed on the spread sheet on budget data of an administrative nature (second document in the annex to this financial statement) to be uploaded to CISNET for interdepartmental consultation purposes.

#### 3.2.1. Summary of estimated impact on expenditure

EUR million (to three decimal places)

Heading of multiannual financial framework:		1a	[Heading]: Competitiveness for Growth and Jobs						
DG: <Research and Innovation.>			Year 2013 <sup>10</sup>	Year 2014	Year 2015	Year 2016	Year 2017	Year 2018	TOTAL
• Operational appropriations									
Number of budget line	Commitments	(1)							
	Payments	(2)							
Number of budget line	Commitments	(1a)							
	Payments	(2a)							
Appropriations of an administrative nature financed from the envelope of specific programmes <sup>11</sup>									
Number of budget line		(3)							
	<b>08.01.05</b>								
<b>TOTAL appropriations for DG &lt;Research and Innovation&gt;</b> <sup>12</sup>	Commitments	=1+1a+3	<b>0.044</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.131</b>	<b>0.875</b>
	Payments	=2+2a	<b>0.044</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.131</b>	<b>0.875</b>

<sup>10</sup>

Year N is the year in which implementation of the proposal/initiative starts.

<sup>11</sup>

Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

<sup>12</sup>

Appropriations for the period 2014-2020 and related legal basis are still to be adopted by the European Parliament and the Council and the Draft Budget 2014 is yet to be approved by the budget authority.

Number of budget line		+3																				
	<b>08.01.05.01</b>	(3a)																				
<b>TOTAL appropriations for DG &lt;Research and Innovation&gt;</b> <sup>13</sup>	Commitments	=1+1a +3a	0.033	0.131	0.131	0.131	0.131	0.131	0.131	0.131	0.131	0.131	0.098	0.131	0.098						0.655	
	Payments	=2+2a +3a	0.033	0.131	0.131	0.131	0.131	0.131	0.131	0.131	0.131	0.131	0.098	0.131	0.098							0.655
Number of budget line	<b>08.01.05.03</b>	(3b)																				
<b>TOTAL appropriations for DG &lt;Research and Innovation&gt;</b> <sup>14</sup>	Commitments	=1+1 a +3b	0.011	0.044	0.044	0.044	0.044	0.044	0.044	0.044	0.044	0.044	0.033	0.044	0.033						0.220	
	Payments	=2+2 a +3b	0.011	0.044	0.044	0.044	0.044	0.044	0.044	0.044	0.044	0.044	0.033	0.044	0.033							0.220
• TOTAL operational appropriations	Commitments	(4)																				
	Payments	(5)																				
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes	Commitments	(6)	0.044	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.131	0.175	0.131							0.875
	Payments	=4+ 6	0.044	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.131	0.175	0.131							0.875
<b>TOTAL appropriations under HEADING &lt;1a.&gt;</b> of the multiannual financial framework	Commitments	=5+ 6	0.044	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.131	0.175	0.131							0.875
	Payments		0.044	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.175	0.131	0.175	0.131							0.875

**If more than one heading is affected by the proposal / initiative:**

• TOTAL operational appropriations	Commitments	(4)																				
	Payments	(5)																				

<sup>13</sup>

Appropriations for the period 2014-2020 and related legal basis are still to be adopted by the European Parliament and the Council and the Draft Budget 2014 is yet to be approved by the budget authority.

<sup>14</sup>

Appropriations for the period 2014-2020 and related legal basis are still to be adopted by the European Parliament and the Council and the Draft Budget 2014 is yet to be approved by the budget authority.



• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes	(6)									
<b>TOTAL appropriations under HEADINGS 1 to 4</b> of the multiannual financial framework (Reference amount)	Commitments	=4+ 6								
	Payments	=5+ 6								

<b>Heading of multiannual financial framework</b>	<b>5</b>	<b>'Administrative expenditure'</b>
---	----------	-------------------------------------

EUR million (to three decimal places)

	Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)	TOTAL
DG: <.....>						
• Human resources						
• Other administrative expenditure						
<b>TOTAL DG</b> <.....>						

<b>TOTAL appropriations for HEADING 5</b> of the multiannual financial framework	(Total commitments = Total payments)									
--	--------------------------------------	--	--	--	--	--	--	--	--	--

EUR million (to three decimal places)

	2013 <sup>15</sup>	2014	2015	2016	2017	2018	TOTAL
--	--------------------	------	------	------	------	------	-------

<sup>15</sup> Year N is the year in which implementation of the proposal/initiative starts.

<b>TOTAL appropriations under HEADINGS 1 to 5 of the multiannual financial framework</b>	Commitments	<b>0.044</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.131</b>	<b>0.875</b>
	Payments	<b>0.044</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.131</b>	<b>0.875</b>

### 3.2.2. Estimated impact on operational appropriations

- The proposal/initiative does not require the use of operational appropriations
- The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to three decimal places)

Indicate objectives and outputs ↓	Type <sup>16</sup>	Average cost	Year N			Year N+1			Year N+2			Year N+3			Enter as many years as necessary to show the duration of the impact (see point 1.6)			TOTAL						
			Number	Cost	Number	Cost	Number	Cost	Number	Cost	Number	Cost	Number	Cost	Number	Cost	Number		Cost	Number	Cost			
<b>OUTPUTS</b>																								
SPECIFIC OBJECTIVE NO 1 <sup>17</sup>																								
- Output																								
- Output																								
- Output																								
Subtotal for specific objective No 1																								
SPECIFIC OBJECTIVE No 2...																								
- Output																								
Subtotal for specific objective No 2																								
<b>TOTAL COST</b>																								

<sup>16</sup> Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).  
<sup>17</sup> As described in point 1.4.2. 'Specific objective(s)...'

### 3.2.3. Estimated impact on appropriations of an administrative nature

#### 3.2.3.1. Summary

- The proposal/initiative does not require the use of appropriations of an administrative nature
- The proposal/initiative requires the use of appropriations of an administrative nature, as explained below:

EUR million (to three decimal places)

	Year 2013 <sup>18</sup>	Year 2014	Year 2015	Year 2016	Year 2017	Year 2018	TOTAL
--	----------------------------	--------------	--------------	--------------	--------------	--------------	-------

<b>HEADING 5 of the multiannual financial framework</b>							
Human resources							
Other administrative expenditure							
<b>Subtotal HEADING 5 of the multiannual financial framework</b>							

<b>Outside HEADING 5<sup>19</sup> of the multiannual financial framework</b>							
Human resources	<b>0.033</b>	<b>0.131</b>	<b>0.131</b>	<b>0.131</b>	<b>0.131</b>	<b>0.098</b>	<b>0.655</b>
Other expenditure of an administrative nature	<b>0.011</b>	<b>0.044</b>	<b>0.044</b>	<b>0.044</b>	<b>0.044</b>	<b>0.033</b>	<b>0.220</b>
<b>Subtotal outside HEADING 5 of the multiannual financial framework</b>							

<b>TOTAL</b>	<b>0.044</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.175</b>	<b>0.131</b>	<b>0.875</b>
--------------	--------------	--------------	--------------	--------------	--------------	--------------	--------------

The administrative appropriations required will be met by the appropriations of the DG which are already assigned to management of the action and/or which have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

<sup>18</sup> Year N is the year in which implementation of the proposal/initiative starts.

<sup>19</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

### 3.2.3.2. Estimated requirements of human resources

- The proposal/initiative does not require the use of human resources.
- The proposal/initiative requires the use of human resources, as explained below:

*Estimate to be expressed in full time equivalent units*

	Year 2013	Year 2014	Year 2015	Year 2016	Year 2017	Year 2018	Enter as many years as necessary to show the duration of the impact (see point 1.6)
<b>• Establishment plan posts (officials and temporary agents)</b>							
XX 01 01 01 (Headquarters and Commission's Representation Offices)							
XX 01 01 02 (Delegations)							
08 01 05 01 (Indirect research)	1	1	1	1	1	1	
10 01 05 01 (Direct research)							
<b>• External personnel (in Full Time Equivalent unit: FTE)<sup>20</sup></b>							
XX 01 02 01 (CA, INT, SNE from the "global envelope")							
XX 01 02 02 (CA, INT, JED, LA and SNE in the delegations)							
<b>XX 01 04 yy<sup>21</sup></b>	- at Headquarters						
	- in delegations						
<b>XX 01 05 02 (CA, SNE, INT - Indirect research)</b>							
10 01 05 02 (CA, SNE, INT - Direct research)							
Other budget lines (specify)							
<b>TOTAL</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary staff	Preparation and management of the Joint Consultative Group meetings foreseen under Art. 6 of the Agreement, and missions ensuring the good functioning and implementation of the Agreement and regular review of the Agreement.
External staff	

<sup>20</sup> CA= Contract Agent; LA = Local Agent; SNE = Seconded National Expert; INT = agency staff ('Intérimaire'); JED= 'Jeune Expert en Délégation' (Young Experts in Delegations).

<sup>21</sup> Sub-ceiling for external staff covered by operational appropriations (former "BA" lines).

3.2.4. *Compatibility with the current multiannual financial framework*

- Proposal/initiative is compatible the current multiannual financial framework.

3.2.5. *Third-party contributions*

- The proposal/initiative does not provide for co-financing by third parties.

**3.3. Estimated impact on revenue**

- Proposal/initiative has no financial impact on revenue.