



Council of the  
European Union

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**COVER NOTE**

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From:	Kristalina Georgieva, Vice-President of the European Commission
date of receipt:	17 October 2016
To:	Mr Peter Kažimír, President of the Council of the European Union
Subject:	Proposal for transfer of appropriations No DEC 29/2016 within Section III - Commission - of the general budget for 2016

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Delegations will find attached Commission document DEC 29/2016.

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Encl.: DEC 29/2016



BRUSSELS, 13/10/2016

GENERAL BUDGET - 2016  
SECTION III - COMMISSION TITLE: 19

TRANSFER OF APPROPRIATIONS N° DEC 29/2016

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**FROM**

**CHAPTER** - 1903 Common foreign and security policy (CFSP)

ITEM - 19 03 01 04 Other crisis management measures and operations

Commitments	-10 000 000,00
Payments	-10 000 000,00

**TO**

**CHAPTER** - 1902 Instrument contributing to Stability and Peace -- Crisis response, conflict prevention, peace-building and crisis preparedness

ARTICLE - 19 02 01 Response to crisis and emerging crisis

Commitments	10 000 000,00
Payments	10 000 000,00

## **I. DECREASE**

### **I.1**

#### **a) Heading**

**19 03 01 04 - Other crisis management measures and operations**

#### **b) Figure at 27/09/2016**

	<b>Commitments</b>	<b>Payments</b>
1A Appropriation in budget (Initial Budget + AB)	148 770 000,00	116 835 000,00
1B Appropriation in budget (EFTA)	0,00	0,00
2 Transfers	-23 000 000,00	0,00
3 Final appropriation for the year (1A+1B+2)	125 770 000,00	116 835 000,00
4 Utilisation of final appropriation	54 474 614,39	47 006 290,56
<b>5 Amount not used/available (3-4)</b>	<b>71 295 385,61</b>	<b>69 828 709,44</b>
<b>6 Requirements up to year-end</b>	<b>61 295 385,61</b>	<b>59 828 709,44</b>
<b>7 Proposed decrease</b>	<b>10 000 000,00</b>	<b>10 000 000,00</b>
8 Decrease as percentage of appropriation in budget (7/1A)	6,72 %	8,56 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a	n/a

#### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations available at start of the year	1 317 929,37	1 317 929,37
2 Appropriations available on 27/09/2016	1 803 891,57	0,00
3 Rate of utilisation [(1-2)/1]	-36,87 %	100,00 %

#### **d) Detailed grounds for the transfer**

According to the current planning, there are no new Common Security and Defence Policy (CSDP) missions or actions to be launched in 2016. After taking into account all existing actions, EUR 10 million in commitment and payment appropriations can be made available for this transfer request.

In total, considering also the transfer proposals DEC 27/2016 and DEC 30/2016, EUR 30 million in commitment and payment appropriations are proposed to be redeployed from this budget line.

## **II. INCREASE**

### **II.1**

#### **a) Heading**

**19 02 01 - Response to crisis and emerging crisis**

#### **b) Figure at 27/09/2016**

	<b>Commitments</b>	<b>Payments</b>
1A Appropriation in budget (Initial Budget + AB)	226 506 177,00	153 139 600,00
1B Appropriation in budget (EFTA)	0,00	0,00
2 Transfers	8 000 000,00	16 697 897,35
3 Final appropriation for the year (1A+1B+2)	234 506 177,00	169 837 497,35
4 Utilisation of final appropriation	132 744 000,00	147 846 748,81
<b>5 Amount not used/available (3-4)</b>	<b>101 762 177,00</b>	<b>21 990 748,54</b>
<b>6 Requirements up to year-end</b>	<b>111 762 177,00</b>	<b>31 990 748,54</b>
<b>7 Proposed increase</b>	<b>10 000 000,00</b>	<b>10 000 000,00</b>
8 Increase as percentage of appropriation in budget (7/1A)	4,41 %	6,53 %
9 Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a	n/a

#### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations available at start of the year	523 366,82	1 413,10
2 Appropriations available on 27/09/2016	523 366,82	0,00
3 Rate of utilisation [(1-2)/1]	0,00 %	100,00 %

#### **d) Detailed grounds for the transfer**

The current serious security challenges, with a large number and intensity of crisis situations around the world, which generate migratory pressure towards the EU, require increasing response through the Instrument contributing to Stability and Peace (IcSP).

The instrument is contributing towards meeting EU's commitments undertaken during the London conference on Syria and to the Facility for the Refugees in Turkey. The developments in Ukraine, Libya, Iraq, South Sudan and many others continue to generate needs. In Colombia and Myanmar on-going peace processes and reform efforts are being consolidated, whereas in Burundi and Afghanistan IcSP assistance aims at preventing conflicts from escalation.

As of 3 October, IcSP measures for a total amount of EUR 230 million have been announced to the Political and Security Committee in the Council (PSC). 84% of them have been adopted or are currently under adoption. Crisis response actions for additional EUR 26 million are being prepared, leaving only EUR 1 million available for new IcSP actions until the end of 2016.

In addition, new proposals for approximately EUR 4 million are currently under identification, but cannot be covered with the resources available. These include, among others, actions to help demining in Libya, assisting Tunisia to respond to the threat from terrorism and support to Jordan to improve its strategy for community security in areas hosting Syrian refugees.

The IcSP also needs to keep certain margin for the rest of the year to be able to fulfil its core mandate, i.e. to respond to unexpected new crisis situations or windows of opportunity to help resolve conflicts. For example, in Gabon, the recent elections may lead to a significant deterioration of the situation, or the situation in Libya could further deteriorate requiring swift external support.

Therefore, additional commitment appropriations of EUR 10 million are requested to cover at least part of the actions currently under preparation and to respond to new or unforeseen events.

EUR 145 million or 95% of available payment appropriations have been used as of 7 September 2016. In order to pay most urgent pre-financing payments, an additional amount of EUR 10 million in payment appropriations is required complementary to the reinforcements (EUR 35 million) requested in the so-called Global Transfer (DEC 23/2016).