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PROPOSAL

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	23 November 2016
To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union

No. Cion doc.:	COM(2016) 850 final Annex 1
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Subject:	ANNEX to the PROPOSAL FOR A REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Regulation (EU) No 575/2013 as regards the leverage ratio, the net stable funding ratio, requirements for own funds and eligible liabilities, counterparty credit risk, market risk, exposures to central counterparties, exposures to collective investment undertakings, large exposures, reporting and disclosure requirements and amending Regulation (EU) No 648/2012
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Delegations will find attached document COM(2016) 850 final Annex 1.

Encl.: COM(2016) 850 final Annex 1



Brussels, 23.11.2016
COM(2016) 850 final

ANNEX 1

ANNEX

to the

PROPOSAL FOR A REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

amending Regulation (EU) No 575/2013 as regards the leverage ratio, the net stable funding ratio, requirements for own funds and eligible liabilities, counterparty credit risk, market risk, exposures to central counterparties, exposures to collective investment undertakings, large exposures, reporting and disclosure requirements and amending Regulation (EU) No 648/2012

{ SWD(2016) 377 final }
{ SWD(2016) 378 final }

ANNEX

(1) Annex II is amended as follows:

(a) in point 1, point (e) is replaced by the following:

"(e) interest-rate options;"

(b) in point 2, point (d) is replaced by the following:

"(d) currency options;"

(c) point 3 is replaced by the following:

"Contracts of a nature similar to those in points 1(a) to (e) and 2(a) to (d) of this Annex concerning other reference items or indices. This includes as a minimum all instruments specified in points 4 to 7, 9, 10 and 11 of Section C of Annex I to Directive 2014/65/EU not otherwise included in point 1 or 2 of this Annex."