EN



Brussels, 6 December 2016 (OR. en)

14373/16

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LEGISLATIVE ACTS AND OTHER INSTRUMENTS

Subject:

COUNCIL DECISION on the position to be adopted, on behalf of the European Union, in the Association Committee in Trade configuration established by the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part in relation to the update of Annexes XXI-A to XXI-P on regulatory approximation in the area of public procurement

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COUNCIL DECISION (EU) 2016/...

of ...

on the position to be adopted, on behalf of the European Union,
in the Association Committee in Trade configuration
established by the Association Agreement
between the European Union and the European Atomic Energy Community
and their Member States, of the one part,
and Ukraine, of the other part
in relation to the update of Annexes XXI-A to XXI-P
on regulatory approximation in the area of public procurement

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular the first paragraph of Article 207(4) in conjunction with Article 218(9) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) Article 486 of the Association Agreement between the European Union and the European Atomic Energy Community, and their Member States of the one part, and Ukraine, of the other part¹ ('the Agreement'), provides for the provisional application of the Agreement in part, as specified by the Union.
- Article 1 of Council Decision 2014/668/EU² specifies the provisions of the Agreement to be applied provisionally, including the provisions on public procurement, and Annex XXI to the Agreement. The provisional application of those provisions is effective from 1 January 2016.
- (3) Article 153 of the Agreement provides that Ukraine is to ensure that its public procurement legislation is gradually made compatible with the relevant Union *acquis*, in line with the schedule provided in Annex XXI to the Agreement.
- (4) Several Union acts listed in Annexe XXI to the Agreement have been amended or repealed since the initialling of the Agreement on 30 March 2012.

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Annexes and Protocols (OJ L 278, 20.9.2014, p. 1).

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OJ L 161, 29.5.2014, p. 3.

Council Decision 2014/668/EU of 23 June 2014 on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards Title III (with the exception of the provisions relating to the treatment of third-country nationals legally employed as workers in the territory of the other Party) and Titles IV, V, VI and VII thereof, as well as the related

- (5) Article 149 of the Agreement provides that the value thresholds for public procurement contracts provided for in Annex XXI-P to the Agreement are to be revised regularly, beginning in the first even year following the entry into force of the Agreement.
- (6) It is furthermore appropriate to take into account the progress made by Ukraine in the process of approximation to the Union *acquis* by amending certain deadlines.
- (7) It is therefore necessary to update Annex XXI in order to reflect the developments to the Union *acquis* listed therein, and revise the value thresholds for public procurement contracts provided for in Annex XXI-P to the Agreement.
- (8) Article 149 of the Agreement provides that the revision of the thresholds provided for in Annex XXI-P to the Agreement shall be adopted by decision of the Association Committee in Trade configuration.
- (9) Article 463(3) of the Agreement provides that the Association Council shall have the power to update or amend the Annexes to the Agreement.
- (10) Article 1 of the Association Council Decision No 3/2014¹ delegates the power to update or amend the trade-related annexes of the Agreement to the Association Committee in Trade configuration, including Annex XXI pertaining to Chapter 8 (Public Procurement) of Title IV (Trade and trade-related matters).

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Decision No 3/2014 of the EU-Ukraine Association Council of 15 December 2014 on the delegation of certain powers by the Association Council to the Association Committee in Trade configuration [2015/980] (OJ L 158, 24.6.2015, p. 4).

- (11) It is therefore appropriate to establish the position to be adopted on behalf of the Union in relation to the update of Annex XXI to the Agreement to be adopted by the Association Committee in Trade configuration.
- (12) Article 152(1) of the Agreement stipulates that Ukraine shall submit to the Association Committee in Trade configuration a comprehensive roadmap for the implementation of the legislation related to public procurement with time schedules and milestones which should include all reforms in terms of legislative approximation and institutional capacity building. This roadmap shall comply with the phases and time schedules set out in Annex XXI-A to the Agreement.
- (13) Article 152(3) specifies that a favourable opinion by the Association Committee in Trade configuration is needed in order for the comprehensive roadmap to become a reference document for the process of implementation i.e. for the legislative approximation of the public procurement related legislation to the Union *acquis*.
- (14) It is therefore appropriate to establish the position to be adopted on behalf of the Union in relation to a favourable opinion regarding the comprehensive roadmap to be adopted by the Association Committee in Trade configuration,

HAS ADOPTED THIS DECISION:

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Article 1

- 1. The position to be adopted on behalf of the European Union in the Association Committee in Trade configuration established by Article 465 of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part ('the Agreement'), in relation to the update of Annex XXI of the Agreement shall be based on the draft Decision of that Committee, attached to this Decision.
- 2. Minor technical corrections to the draft Decision may be agreed to by the representatives of the Union in the Association Committee in Trade configuration without further decision of the Council of the European Union.

Article 2

The position to be adopted on behalf of the Union within the Association Committee in Trade configuration established by Article 465 of the Agreement, in relation to the favourable opinion regarding the comprehensive roadmap shall be based on the draft Decision of that Committee referred to in Article 1(1).

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Article 3

The Decisions of the Association Committee in Trade configuration shall be published in the Official Journal of the European Union after their adoption.

Article 4

This Decision shall enter into force on the date of its adoption.

Done at Brussels,

For the Council The President

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DECISION No 1/2016 OF THE EU-UKRAINE ASSOCIATION COMMITTEE IN TRADE CONFIGURATION

of ...

updating Annex XXI to the Association Agreement and giving a favourable opinion regarding the comprehensive roadmap on public procurement

THE ASSOCIATION COMMITTEE IN TRADE CONFIGURATION,

Having regard to the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part¹ ('the Agreement'), and in particular Article 149, Article 153 and Article 463 thereof,

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OJ L 161, 29.5.2014, p. 3.

Whereas:

- (1) In accordance with Article 486 of the Agreement, parts of the Agreement, including provisions on public procurement, are applied provisionally as of 1 January 2016.
- (2) Article 149 of the Agreement provides that the value thresholds for public procurement contracts provided for in Annex XXI-P are to be revised regularly, beginning in the first even year following the entry into force of the Agreement and such revision is to be adopted by decision of the Association Committee in Trade configuration, as set out in Article 465(4) of the Agreement.
- (3) Article 153 of the Agreement provides that Ukraine is to ensure that its public procurement legislation is gradually made compatible with the relevant Union *acquis*, in line with the schedule provided in Annex XXI to the Agreement.
- (4) Several Union acts listed in Annex XXI to the Agreement have been recast or repealed and replaced by a new Union act since the initialling of the Agreement on 30 March 2012. In particular, the Union adopted and notified to Ukraine the following acts:
 - (a) Directive 2014/23/EU of the European Parliament and of the Council¹;
 - (b) Directive 2014/24/EU of the European Parliament and of the Council²;

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Directive 2014/23/EU of the European Parliament and of the Council¹ of 26 February 2014 on the award of concession contracts (OJ L 94, 28.3.2014, p. 1).

Directive 2014/24/EU of the European Parliament and of the Council of 26 February 2014 on public procurement and repealing Directive 2004/18/EC (OJ L 94, 28.3.2014, p. 65).

- (c) Directive 2014/25/EU of the European Parliament and of the Council¹.
- (5) The above-mentioned Directives amended the value thresholds for public procurement contracts provided for in Annex XXI-P, which were subsequently amended by Commission Delegated Regulations (EU) 2015/2170², (EU) 2015/2171³ and (EU) 2015/2172⁴, respectively.
- (6) Article 463(3) of the Agreement provides that the Association Council shall have the power to update or amend the Annexes to the Agreement.
- (7) It is necessary to update Annex XXI to the Agreement in order to reflect the changes made to the Union *acquis* listed in that Annex, in accordance with Articles 149, 153 and 463 of the Agreement.

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Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC (OJ L 94, 28.3.2014, p. 243).

Commission Delegated Regulation (EU) 2015/2170 of 24 November 2015 amending Directive 2014/24/EU of the European Parliament and of the Council in respect of the application thresholds for the procedures for the award of contracts (OJ L 307, 25.11.2015, p. 5).

Commission Delegated Regulation (EU) 2015/2171 of 24 November 2015 amending Directive 2014/25/EU of the European Parliament and of the Council in respect of the application thresholds for the procedures for the award of contracts (OJ L 307, 25.11.2015, p. 7).

Commission Delegated Regulation (EU) 2015/2172 of 24 November 2015 amending Directive 2014/23/EU of the European Parliament and of the Council in respect of the application thresholds for the procedures for the award of contracts (OJ L 307, 25.11.2015, p. 9).

- (8) The new Union *acquis* on public procurement has a new structure. It is appropriate to reflect this new structure in Annex XXI. In the interest of clarity, Annex XXI should be updated in its entirety and replaced by the Annex as set out in the Appendix to this Decision. It is furthermore appropriate to take into account the progress made by Ukraine in the process of approximation to the Union *acquis*.
- (9) Article 465(2) of the Agreement specifies that the Association Council may delegate to the Association Committee in Trade configuration any of its powers, including the power to take binding decisions.
- (10) The EU-Ukraine Association Council empowered the Association Committee in Trade configuration in its Decision No 3/2014¹ of 15 December 2014 to update or amend certain trade-related annexes.
- (11) Article 152(1) of the Agreement stipulates that Ukraine shall submit to the Association Committee in Trade configuration a comprehensive roadmap for the implementation of the legislation related to public procurement with time schedules and milestones which should include all reforms in terms of legislative approximation to the Union *acquis*.

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Decision No 3/2014 of the EU-Ukraine Association Council of 15 December 2014 on the delegation of certain powers by the Association Council to the Association Committee in Trade configuration [2015/980] (OJ L 158, 24.6.2015, p. 4).

- (12) Article 152(3) specifies that a favourable opinion by the Association Committee in Trade configuration is needed in order for the comprehensive roadmap to become a reference document for the process of implementation, namely for the legislative approximation of the public procurement related legislation to the Union *acquis*.
- (13) It is therefore appropriate for the Association Committee in Trade configuration to adopt a decision giving favourable opinion regarding the comprehensive roadmap,

HAS ADOPTED THIS DECISION:

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Article 1

Annex XXI to the Association Agreement between the European Union and the European Atomic Energy Community and their Member States of the one part, and Ukraine, of the other part, is hereby replaced by the updated version of the Annex, which is attached to this Decision.

Article 2

A favourable opinion is given regarding the comprehensive roadmap approved by the Ordinance of the Cabinet of Ministers of Ukraine of 24 February 2016 (number 175-p) adopted by the government of Ukraine on 24 February 2016.

Article 3

This Decision shall enter into force on the date of its adoption.

Done at ...,

For the Association Committee in Trade configuration
The Chair

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ANNEX XXI-A TO CHAPTER 8

INDICATIVE TIME SCHEDULE FOR INSTITUTIONAL REFORM, LEGISLATIVE APPROXIMATION AND MARKET ACCESS

Phase		Indicative time schedule	Market access granted to the EU by Ukraine	Market access granted to Ukraine by the EU	
1.	Implementation of Articles 150(2) and 151 of this Agreement Agreement of the Reform Strategy set out in Article 152 of this Agreement	6 months after the entry into force of this Agreement	Supplies for central government authorities	Supplies for central government authorities	
2.	Approximation and implementation of basic elements of Directive 2014/24/EU and of Directive 89/665/EEC	3 years after the entry into force of this Agreement	Supplies for state, regional and local authorities and bodies governed by public law	Supplies for state, regional and local authorities and bodies governed by public law	Annexes XXI-B and XXI-C
3.	Approximation and implementation of basic elements of Directive 2014/25/EU and of Directive 92 /13/EEC	4 years after the entry into force of this Agreement	Supplies for all contracting entities in the utilities sector	Supplies for all contracting entities	Annexes XXI-D and XXI-E

Phase		Indicative time schedule	Market access granted to the EU by Ukraine	Market access granted to Ukraine by the EU	
4.	Approximation and implementation of other elements of Directive 2014/24/EU. Approximation and implementation of Directive 2014/23/EU	6 years after the entry into force of this Agreement	Service and works contracts and concessions for all contracting authorities	Service and works contracts and concessions for all contracting authorities	Annexes XXI-F, XXI-G, and XXI-H
5.	Approximation and implementation of other elements of Directive 2014/25/EU	8 years after the entry into force of this Agreement	Service and works contracts for all contracting entities in the utilities sector	Service and works contracts for all contracting entities in the utilities sector	Annexes XXI-I and XXI-J

ANNEX XXI-B TO CHAPTER 8

BASIC ELEMENTS OF DIRECTIVE 2014/24/EU

of 26 February 2014 on public procurement

(Phase 2)

TITLE I

Scope, definitions and general principles

CHAPTER I

Article 4

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Article 2	Definitions: paragraph 1, points (1), (4), (5), (6), (7), (8), (9), (10), (11), (12), (13), (18), (19), (20), (22), (23), (24)
Article 3	Mixed procurement
Section 2	Thresholds

Methods for calculating the estimated value of procurement Article 5

Threshold amounts

Section 3	Exclusions
Article 7	Contracts in the water, energy, transport and postal services sectors
Article 8	Specific exclusions in the field of electronic communications
Article 9	Public contracts awarded and design contests organised pursuant to international rules
Article 10	Specific exclusions for service contracts
Article 11	Service contracts awarded on the basis of an exclusive right
Article 12	Public contracts between entities within the public sector
Section 4	Specific situations
Subsection 1	Subsidised contracts and research and development services
Article 13	Contracts subsidised by contracting authorities
Article 14	Research and development services
Subsection 2	Procurement involving defence and security aspects
Article 15	Defence and security
Article 16	Mixed procurement involving defence or security aspects
Article 17	Public contracts and design contests involving defence or security aspects which are awarded or organised pursuant to international rules

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Article 18	Principles of procurement
Article 19	Economic operators
Article 21	Confidentiality
Article 22	Rules applicable to communication: paragraphs 2-6
Article 23	Nomenclatures
Article 24	Conflicts of interest
TITLE II	
Rules on publ	ic contracts
CHAPTER I	
Procedures	
Article 26	Choice of procedures: paragraphs 1, 2, first alternative of paragraph 4, 5, 6
Article 27	Open procedure
Article 28	Restricted procedure
Article 29	Competitive procedure with negotiation

Use of the negotiated procedure without prior publication

CHAPTER III

Conduct of the procedure

Section 1	Preparation
Article 40	Preliminary market consultations
Article 41	Prior involvement of candidates or tenderers
Article 42	Technical specifications
Article 43	Labels
Article 44	Test reports, certification and other means of proof: paragraphs 1, 2
Article 45	Variants
Article 46	Division of contracts into lots
Article 47	Setting time limits
Section 2	Publication and transparency
Article 48	Prior information notices
Article 49	Contract notices
Article 50	Contract award notices: paragraphs 1 and 4
Article 51	Form and manner of publication of notices: first subparagraph of paragraph 1, first subparagraph of paragraph 5

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Article 53	Electronic availability of procurement documents
Article 54	Invitations to candidates
Article 55	Informing candidates and tenderers
Section 3	Choice of participants and award of contracts
Article 56	General principles
Subsection 1	Criteria for qualitative selection
Article 57	Exclusion grounds
Article 58	Selection criteria
Article 59	European Single Procurement Document: paragraph 1 mutatis mutandis, paragraph 4
Article 60	Means of proof
Article 62	Quality assurance standards and environmental management standards: paragraphs 1 and 2
Article 63	Reliance on the capacities of other entities
Subsection 2	Reduction of numbers of candidates, tenders and solutions
Article 65	Reduction of the number of otherwise qualified candidates to be invited to participate
Article 66	Reduction of the number of tenders and solutions

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Article 67	Contract award	l criteria

Article 68 Life-cycle costing: paragraphs 1 and 2

Article 69 Abnormally low tenders: paragraphs 1 to 4

CHAPTER IV

Contract performance

Article 70 Conditions for performance of contracts

Article 71 Subcontracting

Article 72 Modification of contracts during their term

Article 73 Termination of contracts

TITLE III

Particular procurement regimes

CHAPTER I

Social and other specific services

Article 74 Award of contracts for social and other specific services

Article 75 Publication of notices

Article 76 Principles of awarding contracts

ANNEXES

- ANNEX II LIST OF THE ACTIVITIES REFFERED TO IN POINT 6(a) OF ARTICLE 2(1)
- ANNEX III LIST OF PRODUCTS REFFERED TO IN ARTICLE 4(b) WITH REGARD TO CONTRACTS AWARDED CONTRACTING AUTHORITIES IN THE FIELD OF DEFENCE
- ANNEX IV REQUIREMENTS RELATING TO TOOLS AND DEVICES FOR THE ELECTRONIC RECEIPT OF TENDERS, REQUESTS FOR PARTICIPATION AS WELL AS PLANS AND PROJECTS IN CONTESTS
- ANNEX V INFORMATION TO BE INCLUDED IN NOTICES
 - Part A: INFORMATION TO BE INCLUDED IN NOTICES OF THE
 PUBLICATION OF A PRIOR INFORMATION NOTICE ON A
 BUYER PROFILE
 - Part B: INFORMATION TO BE INCLUDED IN PRIOR INFORMATION NOTICES (as referred to in Article 48)
 - Part C: INFORMATION TO BE INCLUDED IN CONTRACT NOTICES (as referred to in Article 49)
 - Part D: INFORMATION TO BE INCLUDED IN CONTRACT AWARD NOTICES (as referred to in Article 50)

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Part G: INFORMATION TO BE INCLUDED IN NOTICES OF MODIFICATIONS OF A CONTRACT DURING ITS TERM (as referred to in Article 72(1))

Part H: INFORMATION TO BE INCLUDED IN CONTRACT NOTICES

CONCERNING CONTRACTS FOR SOCIAL AND OTHER SPECIFIC

SERVICES (as referred to in Article 75(1))

Part I: INFORMATION TO BE INCLUDED IN PRIOR INFORMATION NOTICES FOR SOCIAL AND OTHER SPECIFIC SERVICES (as referred to in Article 75(1))

Part J: INFORMATION TO BE INCLUDED IN CONTRACT AWARD

NOTICES CONCERNING CONTRACTS FOR SOCIAL AND OTHER

SPECIFIC SERVICES (as referred to in Article 75(2))

ANNEX VII DEFINITION OF CERTAIN TECHNICAL SPECIFICATIONS

ANNEX IX CONTENTS OF THE INVITATIONS TO SUBMIT A TENDER, TO

PARTICIPATE IN THE DIALOGUE OR TO CONFIRM INTEREST PROVIDED

FOR UNDER ARTICLE 54

ANNEX X LIST OF INTERNATIONAL SOCIAL AND ENVIRONMENTAL CONVENTIONS REFERRED TO IN ARTICLE 18(2)

ANNEX XII MEANS OF PROOF OF SELECTION CRITERIA

ANNEX XIV SERVICES REFFERED TO IN ARTICLE 74

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ANNEX XXI-C TO CHAPTER 8

BASIC ELEMENTS OF DIRECTIVE 89/665/EEC

of 21 December 1989 on the coordination of the laws, regulations and administrative provisions relating to the application of review procedures to the award of public supply and public works contracts (Directive 89/665/EEC)

as amended by Directive 2007/66/EC of the European Parliament and of the Council of 11 December 2007 amending Council Directives 89/665/EEC and 92/13/EEC with regard to improving the effectiveness of review procedures concerning the award of public contracts (Directive 2007/66/EC) and by Directive 2014/23/EU of the European Parliament and of the Council of 26 February 2014 on the award of concession contracts (Directive 2014/23/EU) (Phase 2)

- Article 1 Scope and availability of review procedures
- Article 2 Requirements for review procedures
- Article 2a Standstill period
- Article 2b Derogations from the standstill period

Point (b) of the first paragraph of Article 2b

Time limits for applying for review Article 2c

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Article 2d Ineffectiveness

Paragraph 1(b)

Paragraphs 2 and 3

Article 2e Infringements of this Directive and alternative penalties

Article 2f Time limits

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ANNEX XXI-D TO CHAPTER 8

BASIC ELEMENTS OF DIRECTIVE 2014/25/EU

of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors

(Phase 3)

TITLE I

Scope, definitions and general principles

CHAPTER I

Subject-matter and definitions

Article 1 Subject-matter and scope: paragraphs 1, 2, 5 and 6 Article 2 Definitions: points 1 to 9, 13 to 16 and 18 to 20 Article 3 Contracting authorities (paragraphs 1 and 4) Article 4 Contracting entities: paragraphs 1 to 3 Article 5 Mixed procurement covering the same activity

Procurement covering several activities

CHAPTER II

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Article 6

Article 7 Common provisions

Article 8 Gas and heat

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Article 9	Electricity
Article 10	Water
Article 11	Transport services
Article 12	Ports and airports
Article 13	Postal services
Article 14	Extraction of oil and gas and exploration for, or extraction of, coal or other solid fuels
CHAPTER II	I
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Section 1	Thresholds
Article 15	Threshold amounts
Article 16	Methods for calculating the estimated value of procurement: paragraphs 1 to 4 and 7 to 14
Section 2	Excluded contracts and design contests: Special provisions for procurement involving defence and security aspects
Subsection 1	Exclusions applicable to all contracting entities and special exclusions for the water and energy sector
Article 18	Contracts awarded for purposes of resale or lease to third parties: paragraph 1

Article 19	Contracts and design contests awarded or organised for purposes other than the pursuit of a covered activity or for the pursuit of such an activity in a third country paragraph 1
Article 20	Contracts awarded and design contests organised pursuant to international rules
Article 21	Specific exclusions for service contracts
Article 22	Service contracts awarded on the basis of an exclusive right
Article 23	Contracts awarded by certain contracting entities for the purchase of water and for the supply of energy or of fuels for the production of energy
Subsection 2	Procurement involving defence and security aspects
Article 24	Defence and security
Article 25	Mixed procurement covering the same activity and involving defence or security aspects
Article 26	Procurement covering several activities and involving defence or security aspects
Article 27	Contracts and design contests involving defence or security aspects which are awarded or organised pursuant to international rules
Subsection 3	Special relations (cooperation, affiliated undertakings and joint ventures)
Article 28	Contracts between contracting authorities
Article 29	Contracts awarded to an affiliated undertaking

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Article 30 Contracts awarded to a joint venture or to a contracting entity forming part of a joint venture

Subsection 4 Specific situations

Article 32 Research and development services

CHAPTER IV

General principles

Article 36 Principles of procurement

Article 37 Economic operators

Article 39 Confidentiality

Article 40 Rules applicable to communication

Article 41 Nomenclatures

Article 42 Conflicts of interest

TITLE II

Rules applicable to contracts

CHAPTER I

Procedures

Article 44 Choice of procedures: paragraphs 1, 2 and 4

Article 45 Open procedure

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Article 46	Restricted procedure
Article 47	Negotiated procedure with prior call for competition
Article 50	Use of the negotiated procedure without prior call for competition: points (a) to (i)
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Conduct of the	e procedure
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Article 58	Preliminary market consultations
Article 59	Prior involvement of candidates or tenderers
Article 60	Technical specifications
Article 61	Labels
Article 62	Test reports, certification and other means of proof
Article 63	Communication of technical specifications
Article 64	Variants
Article 65	Division of contracts into lots
Article 66	Setting time limits

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Section 2	Publication and transparency
Article 67	Periodic indicative notices
Article 68	Notices on the existence of a qualification system
Article 69	Contract notices
Article 70	Contract award notices: paragraphs 1, 3 and 4
Article 71	Form and manner of publication of notices: paragraph 1, first subparagraph of paragraph 5
Article 73	Electronic availability of procurement documents
Article 74	Invitations to candidates
Article 75	Informing applicants for qualification, candidates and tenderers
Section 3	Choice of participants and award of contract
Article 76	General principles
Subsection 1	Qualification and qualitative selection
Article 78	Criteria for qualitative selection
Article 79	Reliance on the capacities of other entities: paragraph 2
Article 80	Use of exclusion grounds and selection criteria provided for under Directive 2014/24/EU

Article 81 Quality assurance standards and environmental management standards: paragraphs 1 and 2

Subsection 2 Award of the contract

Article 82 Contract award criteria

Article 83 Life-cycle costing: paragraphs 1 and 2

Article 84 Abnormally low tenders: paragraphs 1 to 4

CHAPTER IV

Contract performance

Article 87 Conditions for performance of contracts

Article 88 Subcontracting

Article 89 Modification of contracts during their term

Article 90 Termination of contracts

TITLE III

Particular procurement regimes

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Social and other specific services

Article 91 Award of contracts for social and other specific services

Article 92 Publication of notices

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Article 93 Principles of awarding contracts

ANNEXES

ANNEX I List of activities as set out in point (a) of point 2 of Article 2

ANNEX V Requirement relating to tools and devices for the electronic receipt of tenders, requests to participate, applications for qualification as well as plans and projects in contests

ANNEX VI A Information to be included in the periodic indicative notice (as referred to in Article 67)

ANNEX VI B Information to be included in notices of publication of a periodic indicative notice on a buyer profile not used as a means of calling for competition (as referred to in Article 67(1))

ANNEX VIII Definition of certain technical specifications

ANNEX IX Features concerning publication

ANNEX X Information to be included in the notice on the existence of a qualification system (as referred to in point (b) of Article 44(4) and in Article 68)

ANNEX XI Information to be included in contract notices (as referred to in Article 69)

ANNEX XII Information to be included in the contract award notice (as referred to in Article 70)

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- ANNEX XIII Contents of the invitation to submit a tender, to participate in the dialogue, to negotiate or to confirm interest provided for under Article 74
- ANNEX XIV List of International Social and Environmental Conventions referred to in Article 36(2)
- ANNEX XVI Information to be included in notices of modifications of a contract during its term (as referred to in Article 89(1))
- ANNEX XVIIServices referred to in Article 91
- ANNEX XVIII Information to be included in notices concerning contracts for social and other specific services (as referred to in Article 92)

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ANNEX XXI-E TO CHAPTER 8

BASIC ELEMENTS OF COUNCIL DIRECTIVE 92/13/EEC

of 25 February 1992 coordinating the laws, regulations and administrative provisions relating to the application of Community rules on the procurement procedures of entities operating in the water, energy, transport and telecommunications sectors (Directive 92/13/EEC)

as amended by Directive 2007/66/EC and Directive 2014/23/EU

(Phase 3)

Article 1	Scope and availability of review procedures
Article 2	Requirements for review procedures
Article 2a	Standstill period
Article 2b	Derogations from the standstill period
	Point (b) of the first paragraph of Article 2b
Article 2c	Time limits for applying for review
Article 2d	Ineffectiveness
	Paragraphs 1(b), 2 and 3
Article 2e	Infringements of this Directive and alternative penalties
Article 2f	Time limits

ANNEX XXI-F TO CHAPTER 8

I. OTHER NON-MANDATORY ELEMENTS OF DIRECTIVE 2014/24/EU

(PHASE 4)

The elements of Directive 2014/24/EU set out in this Annex are not mandatory but recommended for approximation. Ukraine may approximate these elements within the time-frame set in Annex XXI-B

TITLE I

Scope, definitions and general principles

CHAPTER I

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Article 2 Definitions (paragraph 1, points (14) and (16))

Article 20 Reserved contracts

TITLE II

Rules on public contracts

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Article 37 Centralised purchasing activities and central purchasing bodies

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Article 64 Official lists of approved economic operators and certification by bodies established under public or private law

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II. NON-MANDATORY ELEMENTS OF DIRECTIVE 2014/23/EU

(Phase 4)

The elements of Directive 2014/23/EU set out in this Annex are not mandatory but recommended for approximation. Ukraine may approximate these elements within the time-frame set in Annex XXI-B

TITLE I

Subject matter, scope, principles and definitions

CHAPTER I

Scope, general principles and definitions

Section IV Specific situations

Article 24 Reserved Concessions

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ANNEX XXI-G TO CHAPTER 8

I. OTHER MANDATORY ELEMENTS OF DIRECTIVE 2014/24/EU

(Phase 4)

TITLE I

Scope, definitions and general principles

CHAPTER I

Scope and definitions

Section 1 Subject-matter and definitions

Article 2 Definitions (paragraph 1, point (21))

Article 22 Rules applicable to communication: paragraph 1

TITLE II

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CHAPTER I

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(Phase 4)

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Article 28 Confidentiality

Article 29 Rules applicable to communication

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Article 31 Concession notices

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Procedural guarantees

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as amended by Directive 2007/66/EC and Directive 2014/23/EU

(Phase 4)

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Point (c) of the first paragraph of Article 2b

Article 2d Ineffectiveness

Point (c) of the first paragraph of Article 2d

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(Phase 5)

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The further elements of Directive 2014/25/EU set out in this Annex are not mandatory but recommended for approximation. Ukraine may approximate these elements within the time-frame set in Annex XXI-B.

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Article 2d Ineffectiveness

Point (c) of paragraph 1 of Article 2d,

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The elements of Directive 2014/24/EU listed in this Annex are not subject to the process of approximation.

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Scope, definitions and general principles

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Article 91	Repeals
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The elements of Directive 2014/23/EU listed in this Annex are not subject to the process of approximation.

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Subject matter, scope, principles and definitions

CHAPTER I

Scope, general principles and definitions

Section I Subject-matter, scope, general principles, definitions and threshold

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Article 9 Revision of the threshold Section II Exclusions

Article 15 Notification of information by contracting entities

Article 16 Exclusion of activities which are directly exposed to competition

TITLE II

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General principles

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Article 33 Form and manner of publication of notices: second subparagraph of paragraph 1,

paragraphs 2, 3 and 4

TITLE IV

Amendments to Directive 89/665/EEC and 92/13/EEC

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Article 47 Amendments to Directive 92/13/EEC

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- Article 49 Urgency procedure
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- Article 53 Monitoring and reporting
- Article 54 Entry into force
- Article 55 Addressees

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PROVISIONS OF DIRECTIVE 2014/25/EU OUTSIDE THE SCOPE OF APPROXIMATION

The elements listed in this Annex are not subject to the process of approximation.

TITLE I

Scope, definitions and general principles

CHAPTER I

Subject-matter and definitions

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Article 3 Contracting authorities: paragraphs 2 and 3

Article 4 Contracting entities: paragraph 4

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Section 2 Excluded contracts and design contests: Special provisions for procurement

involving defence and security aspects

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Subsection 1	Exclusions applicable to all contracting entities and special exclusions for the water and energy sector
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Subsection 3	Special relations (cooperation, affiliated undertakings and joint ventures)
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Article 43

Article 57 Procurement involving contracting entities from different Member States

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Conduct of the procedure

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Article 72	Publication at national level
Section 3	Choice of participants and award of contract
Article 81	Quality assurance standards and environmental management standards: paragraph 3
Article 83	Life-cycle costing: paragraph 3
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Article 85	Tenders comprising products originating in third countries
Article 86	Relations with third countries as regards works, supplies and service contracts

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Article 101 National reporting and statistical information

Article 102 Administrative cooperation

TITLE V

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- Article 106 Transposition and transitional provisions
- Article 107 Repeal
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ANNEX XXI-M TO CHAPTER 8

PROVISIONS OF DIRECTIVE 89/665/EEC AS AMENDED BY DIRECTIVE 2007/66/EC AND DIRECTIVE 2014/23/EU OUTSIDE THE SCOPE OF APPROXIMATION

The elements listed in this Annex are not subject to the process of approximation.

Article 2b Derogations from the standstill period

Point (a) of the first paragraph of Article 2b

Article 2d Ineffectiveness

Point (a) of paragraph 1 of Article 2d,

Paragraph 4

Article 3 Corrective Mechanisms

Article 3a Content of the notice for voluntary ex ante transparency

Article 3b Committee Procedure

Article 4 Implementation

Article 4a Review

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PROVISIONS OF DIRECTIVE 92/13/EEC AS AMENDED BY DIRECTIVE 2007/66/EC AND DIRECTIVE 2014/23/EU OUTSIDE THE SCOPE OF APPROXIMATION

The elements listed in this Annex are not subject to the process of approximation.

Article 2b Derogations from the standstill period

Point (a) of the first paragraph of Article 2b

Article 2d Ineffectiveness

Point (a) of paragraph 1 of Article 2d,

Paragraph 4

Article 3a Content of the notice for voluntary ex ante transparency

Article 3b Committee Procedure

Article 8 Corrective Mechanisms

Article 12 Implementation

Article 12a Review

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ANNEX XXI-O TO CHAPTER 8

UKRAINE: INDICATIVE LIST OF ISSUES FOR COOPERATION

- 1. Training, in Ukraine and EU countries, of Ukrainian officials from government bodies engaged in public procurement;
- 2. Training of suppliers interested participating in public procurement;
- 3. Exchange of information and experience on best practice and regulatory rules in the sphere of public procurement;
- 4. Enhancement of the functionality of the public procurement website and establishment of a system of public procurement monitoring;
- 5. Consultations and methodological assistance from the EU Party in application of modern electronic technologies in the sphere of public procurement;
- 6. Strengthening the bodies charged with guaranteeing a coherent policy in all areas related to public procurement and the independent and impartial consideration (review) of contracting authorities' decisions. (Cf. Article 150 paragraph 2 of this Agreement)

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ANNEX XXI-P TO CHAPTER 8

THRESHOLDS

- 1. The value thresholds mentioned in Article 149(3) of this Agreement shall be for both Parties:
 - EUR 135 000 for public supply and service contracts awarded by central government (a) authorities and design contests awarded by such authorities;
 - EUR 209 000 in the case of public supply and public service contracts not covered (b) by point (a);
 - (c) EUR 5 225 000 in the case of public works contracts;
 - EUR 5 225 000 in the case of works contracts in the utilities sector; (d)
 - EUR 5 225 000 in the case of concessions; (e)
 - (f) EUR 418 000 in the case of supply and service contracts in the utilities sector;
 - EUR 750 000 for public service contracts for social and other specific services; (g)
 - (h) EUR 1 000 000 for service contracts for social and other specific services in the utilities sector.
- 2. The EUR thresholds quoted in paragraph 1 shall be adapted to reflect the thresholds applicable under the EU Directives at the moment of the entry into force of this Agreement.

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