



Rat der
Europäischen Union

Brüssel, den 6. Januar 2017
(OR. en)

5033/17

FIN 3

ÜBERMITTLUNGSVERMERK

Absender:	Frau Kristalina GEORGIEVA, Vizepräsidentin der Europäischen Kommission
Eingangsdatum:	6. Januar 2017
Empfänger:	Herr Peter KAZIMIR, Präsident des Rates der Europäischen Union
Betr.:	Vorschlag für eine Mittelübertragung Nr. DEC40/2016 – Einzelplan III – Kommission – des Gesamthaushaltsplans für das Haushaltsjahr 2016

Die Delegationen erhalten in der Anlage das Dokument DEC 40/2016.

Anl.: DEC 40/2016



BRÜSSEL, 05/01/2017

GESAMTHAUSHALTSPLAN – HAUSHALTSJAHR 2016

EINZELPLAN III - KOMMISSION TITEL: 01, 02, 05, 06, 09, 10, 12, 13, 15, 17, 18, 22, 23, 26

MITTELÜBERTRAGUNG Nr. **DEC 40/2016**

EINLEITUNG

Mit der Mittelübertragung zum Jahresende soll sichergestellt werden, dass möglichst viele offene Rechnungen im Rahmen der geteilten Mittelverwaltung beglichen werden können, indem sämtliche noch verfügbaren Mittel für Zahlungen ausgeschöpft werden.

Die Übertragung erfolgt nach den Bestimmungen des Artikels 179 Absätze 2 und 3 der Verordnung (EU, Euratom) Nr. 966/2012 des Europäischen Parlaments und des Rates über die Haushaltsordnung für den Gesamthaushaltsplan der Union¹.

Die Mittelübertragung zum Jahresende 2016 betrifft Mittel für Zahlungen im Betrag von insgesamt 264,7 Mio. EUR und berücksichtigt den Stand der Ausgabenausführung Mitte Dezember 2016. Ein Teilbetrag in Höhe von 199,5 Mio. EUR betrifft Verzögerungen bei der Ausführung der Heranführungs- und Erweiterungsstrategie sowie dem Abschluss früherer Maßnahmen der Heranführungshilfe (aus der Zeit vor 2014).

Die aus anderen Haushaltslinien zu übertragenden Mittel belaufen sich auf 65,3 Mio. EUR. Dieser Betrag stammt aus insgesamt 29 Haushaltslinien, wobei aus 15 Linien Mittel im Betrag von 1,0 Mio. EUR oder weniger entnommen werden.

Ausführliche Begründungen für jede einzelne Haushaltslinie sind dem Anhang zu entnehmen.

Die Entnahmen betreffen folgende Rubriken:

- Teilrubrik 1a: Wettbewerbsfähigkeit für Wachstum und Beschäftigung 31,1 Mio. EUR
- Rubrik 3: Sicherheit und Unionsbürgerschaft 34,2 Mio. EUR
- Rubrik 4: Europa in der Welt 199,5 Mio. EUR

Die Aufstockung in Höhe von 264,7 Mio. EUR wird den offenen Bedarf bei den Programmen zur Entwicklung des ländlichen Raums abdecken (Haushaltslinie 05 04 05 01). Dank dieser Übertragung wird es möglich sein, offene Forderungen zu begleichen und Mitgliedstaaten Zahlungen zurückzuerstatten, die jene bereits im Rahmen der geteilten Mittelverwaltung getätigt haben.

¹ ABI. L 298 vom 26.10.2012.

GESAMTHAUSHALTSPLAN – HAUSHALTSJAHR 2016
 EINZELPLAN III - KOMMISSION TITEL: 01, 02, 05, 06, 09, 10, 12, 13, 15, 17, 18, 22, 23, 26

MITTELÜBERTRAGUNG Nr. DEC 40/2016

HERKUNFT DER MITTEL

KAPITEL – 01 02 Wirtschafts- und Währungsunion

ARTIKEL – 01 02 01 Koordinierung, Überwachung und Kommunikation zur
 Zahlungen -1 087 000,00
 Wirtschafts- und Währungsunion, einschließlich zum Euro

ARTIKEL – 01 02 04 Schutz der Euro-Banknoten und -Münzen gegen
 Zahlungen -119 770,00
 Geldfälschung und damit verbundene Betrugsdelikte

**KAPITEL – 02 02 Programm für die Wettbewerbsfähigkeit von Unternehmen und
 KMU (COSME)**

POSTEN – 02 02 77 03 Vorbereitende Maßnahme — Erasmus für junge
 Zahlungen -3 510,00
 Unternehmer

POSTEN – 02 02 77 10 Vorbereitende Maßnahme — Euromed — Innovationen
 Zahlungen -157 340,00
 von Unternehmern für den Wandel

**KAPITEL – 05 05 Instrument für Heranführungshilfe (IPA) – Entwicklung des ländlichen
 Raums**

ARTIKEL – 05 05 02 Heranführungsinstrument IPARD für die Entwicklung des
 Zahlungen -30 757 200,00
 ländlichen Raums — Abschluss des Programms (2007-2013)

POSTEN – 05 05 04 02 Unterstützung der wirtschaftlichen, sozialen und
 Zahlungen -21 400 000,00
 territorialen Entwicklung und bei der damit verbundenen schrittweisen Angleichung
 der Rechtsvorschriften an den Besitzstand der Union

KAPITEL – 06 02 Europäische Verkehrspolitik

POSTEN – 06 02 01 01 Beseitigung von Engpässen, Verbesserung der
 Zahlungen -5 670 000,00
 Interoperabilität im Eisenbahnverkehr, Überbrückung fehlender Bindeglieder und
 Verbesserung der grenzüberschreitenden Abschnitte

POSTEN – 06 02 01 05 Schaffung besserer Rahmenbedingungen für private
 Zahlungen -5 800 000,00
 Investitionen in Verkehrsinfrastrukturprojekte

ARTIKEL – 06 02 51 Abschluss des Programms „Transeuropäische Netze“
 Zahlungen -5 422 000,00

KAPITEL – 09 05 Kreatives Europa

ARTIKEL – 09 05 51 Abschluss früherer MEDIA-Programme
 Zahlungen -3 511 090,00

POSTEN – 09 05 77 02 Pilotprojekt – Förderung der europäischen Integration
 Zahlungen -339 510,00
 durch Kultur – Bereitstellung neu untertitelter Fassungen von ausgewählten
 Fernsehprogrammen in ganz Europa

POSTEN – 09 05 77 03 Vorbereitende Maßnahme — Maßnahmen zur
 Zahlungen -80 920,00
 Untertitelung einschließlich Crowdsourcing zugunsten einer großflächigeren
 Verbreitung europäischer Werke

POSTEN – 09 05 77 04 Pilotprojekt - Medienkompetenzen für alle
 Zahlungen -75 250,00

KAPITEL – 10 02 Horizont 2020 – Direkte Maßnahmen der Gemeinsamen Forschungsstelle (JRC) zur Unterstützung der Unionspolitik

ARTIKEL – 10 02 01 Horizont 2020 – auftraggeberorientierte wissenschaftliche und technische Unterstützung der Unionspolitik Zahlungen -2 150 000,00

ARTIKEL – 10 02 51 Abschluss des Siebten Rahmenprogramms – Direkte Maßnahmen (2007 bis 2013) Zahlungen -750 000,00

POSTEN – 10 02 77 01 Pilotprojekt — Einrichtung eines Innovationslabors der Kommission für die öffentliche Verwaltung Zahlungen -154 220,00

KAPITEL – 10 03 Programm „Euratom“ — Direkte Maßnahmen

ARTIKEL – 10 03 01 Direkte Forschung im Rahmen von Euratom Zahlungen -2 500 000,00

ARTIKEL – 10 03 51 Abschluss des Siebten Rahmenprogramms – Euratom (2007 bis 2013) Zahlungen -400 000,00

KAPITEL – 10 05 Altlasten aus kerntechnischen Tätigkeiten der gemeinsamen Forschungsstelle im Rahmen des Euratom-Vertrags

ARTIKEL – 10 05 01 Stilllegung der veralteten kerntechnischen Euratom-Anlagen und Endlagerung der Abfälle Zahlungen -3 700 000,00

KAPITEL – 12 02 Finanzdienstleistungen und Kapitalmärkte

ARTIKEL – 12 02 01 Umsetzung und Entwicklung des Binnenmarkts für Finanzdienstleistungen Zahlungen -118 050,00

KAPITEL – 13 05 Instrument für Heranführungshilfe – Regionale Entwicklung und regionale und territoriale Zusammenarbeit

POSTEN – 13 05 01 01 Strukturpolitisches Instrument zur Vorbereitung auf den Beitritt (ISPA) — Abschluss sonstiger früherer Projekte (2000 bis 2006) Zahlungen -19 091 120,00

KAPITEL – 15 04 Kreatives Europa

ARTIKEL – 15 04 01 Stärkung der finanziellen Kapazität von KMU und kleinen sowie sehr kleinen Organisationen in der europäischen Kultur- und Kreativbranche sowie Förderung der Entwicklung politischer Strategien und neuer Geschäftsmodelle Zahlungen -1 032 590,00

KAPITEL – 17 03 Öffentliche Gesundheit

ARTIKEL – 17 03 01 Drittes Aktionsprogramm der Union im Bereich der Gesundheit (2014-2020) Zahlungen -1 500 000,00

ARTIKEL – 17 03 51 Abschluss der Programme im Bereich des öffentlichen Gesundheitswesens Zahlungen -793 290,00

KAPITEL – 17 04 Lebensmittel- und Futtermittelsicherheit, Tiergesundheit, Tierschutz und Pflanzengesundheit

ARTIKEL – 17 04 03 Gewährleistung wirksamer, effizienter und verlässlicher Kontrollen Zahlungen -1 000 000,00

KAPITEL – 18 02 Innere Sicherheit

POSTEN – 18 02 01 01 Unterstützung des Grenzmanagements und der gemeinsamen Visumpolitik zur Erleichterung legaler Reisen Zahlungen -19 500 000,00

ARTIKEL – 18 02 08 Schengener Informationssystem (SIS II) Zahlungen -489 370,00

ARTIKEL – 18 02 09 Visa-Informationssystem (VIS)	Zahlungen	-2 081 020,00
ARTIKEL – 18 02 51 Abschluss von Maßnahmen und Programmen im Bereich Außengrenzen, Sicherheit und Schutz der Freiheitsrechte	Zahlungen	-3 500 000,00
KAPITEL – 18 05 Horizont 2020 — Forschung zu Sicherheit		
POSTEN – 18 05 03 01 Förderung sicherer europäischer Gesellschaften	Zahlungen	-2 494 460,00
KAPITEL – 22 02 Erweiterungsprozess und -strategie		
POSTEN – 22 02 01 01 Unterstützung politischer Reformen und der schrittweisen Angleichung an den EU-Besitzstand	Zahlungen	-8 881 890,00
POSTEN – 22 02 03 01 Unterstützung politischer Reformen und der schrittweisen Angleichung an den EU-Besitzstand	Zahlungen	-4 639 400,00
POSTEN – 22 02 03 02 Unterstützung der wirtschaftlichen, sozialen und territorialen Entwicklung und bei der damit verbundenen schrittweisen Angleichung der Rechtsvorschriften an den EU-Besitzstand	Zahlungen	-7 580 910,00
POSTEN – 22 02 04 01 Mehrere Länder umfassende Programme, regionale Integration und territoriale Zusammenarbeit	Zahlungen	-4 727 600,00
POSTEN – 22 02 04 03 Beitrag zur Energiegemeinschaft Südosteuropa	Zahlungen	-573 650,00
ARTIKEL – 22 02 51 Abschluss früherer Maßnahmen der Heranführungshilfe (aus der Zeit vor 2014)	Zahlungen	-101 798 590,00
KAPITEL – 23 03 Unionsverfahren für den Katastrophenschutz		
POSTEN – 23 03 02 01 Rasche und effiziente Notfallabwehreinätze im Falle schwerer Katastrophen in der Union	Zahlungen	-260 000,00
KAPITEL – 26 02 Multimediaproduktion		
ARTIKEL – 26 02 01 Vergabe- und Veröffentlichungsverfahren für öffentliche Bau-, Liefer- und Dienstleistungsaufträge	Zahlungen	-600 000,00
<u>BESTIMMUNG DER MITTEL</u>		
KAPITEL – 05 04 Entwicklung des ländlichen Raums		
POSTEN – 05 04 05 01 Programme zur Entwicklung des ländlichen Raums	Zahlungen	264 739 750,00

Haushaltslinie/Bezeichnung							
Ursprünglicher Ansatz ± BH (1A)	EFTA (1B)	Mittelübertragungen (2)	Inanspruchnahme (3)	Verfügbare Betrag (4)=(1A)+(1B)+(2)-(3)	Beantragte Mittelübertragung (5)	Veränderung (5/1A)	Insgesamt (4±5)
Zahlung – 01 02 01 – Koordinierung, Überwachung und Kommunikation zur Wirtschafts- und Währungsunion, einschließlich zum Euro							
11 700 000,00	0,00	0,00	9 220 126,29	2 479 873,71	-1 087 000,00	-9,29 %	1 392 873,71
Zahlung – 01 02 04 – Schutz der Euro-Banknoten und -Münzen gegen Geldfälschung und damit verbundene Betrugsdelikte							
992 500,00	0,00	-123 000,00	309 115,95	560 384,05	-119 770,00	-12,07 %	440 614,05
Zahlung – 02 02 77 03 – Vorbereitende Maßnahme – Erasmus für junge Unternehmer							
0,00	0,00	75 000,00	71 489,99	3 510,01	-3 510,00	0,00 %	0,01
Zahlung – 02 02 77 10 – Vorbereitende Maßnahme – Euromed – Innovationen von Unternehmen für den Wandel							
490 000,00	0,00	154 537,00	487 191,89	157 345,11	-157 340,00	-32,11 %	5,11
Zahlung – 05 04 05 01 – Programme zur Entwicklung des ländlichen Raums							
3 235 000 000,00	0,00	649 066 430,00	3 866 439 367,6	17 627 062,39	264 739 750,00	8,18 %	282 366 812,39
Zahlung – 05 05 02 – Heranführungsinstrument IPARD für die Entwicklung des ländlichen Raums – Abschluss des Programms (2007-2013)							
375 000 000,00	0,00	-5 000 000,00	328 093 549,64	41 906 450,36	-30 757 200,00	-8,20 %	11 149 250,36
Zahlung – 05 05 04 02 – Unterstützung der wirtschaftlichen, sozialen und territorialen Entwicklung und bei der damit verbundenen schrittweisen Angleichung der Rechtsvorschriften an den EU-Besitzstand							
41 400 000,00	0,00	-20 000 000,00	0,00	21 400 000,00	-21 400 000,00	-51,69 %	0,00
Zahlung – 06 02 01 01 – Beseitigung von Engpässen, Verbesserung der Interoperabilität im Eisenbahnverkehr, Überbrückung fehlender Bindeglieder und Verbesserung der grenzüberschreitenden Abschnitte							
582 450 000,00	0,00	-301 030 000,00	201 686 181,34	79 733 818,66	-5 670 000,00	-0,97 %	74 063 818,66
Zahlung – 06 02 01 05 – Schaffung besserer Rahmenbedingungen für private Investitionen in Verkehrsinfrastrukturprojekte							
43 300 000,00	0,00	0,00	0,00	43 300 000,00	-5 800 000,00	-13,39 %	37 500 000,00
Zahlung – 06 02 51 – Abschluss des Programms „Transeuropäische Netze“							
630 340 000,00	0,00	-324 117 500,00	210 004 037,29	96 218 462,71	-5 422 000,00	-0,86 %	90 796 462,71
Zahlung – 09 05 51 – Abschluss früherer MEDIA-Programme							
5 471 576,00	151 015,00	0,00	1 911 493,92	3 711 097,08	-3 511 090,00	-64,17 %	200 007,08
Zahlung – 09 05 77 02 – Pilotprojekt – Förderung der europäischen Integration durch Kultur – Bereitstellung neu untertitelter Fassungen von ausgewählten Fernsehprogrammen in ganz Europa							
339 515,00	0,00	799 750,00	799 750,00	339 515,00	-339 510,00	-100,00 %	5,00
Zahlung – 09 05 77 03 – Vorbereitende Maßnahme – Maßnahmen zur Untertitelung einschließlich Crowdsourcing zugunsten einer großflächigeren Verbreitung europäischer Werke							
250 000,00	0,00	-169 071,13	0,00	80 928,87	-80 920,00	-32,37 %	8,87
Zahlung – 09 05 77 04 – Pilotprojekt - Medienkompetenzen für alle							
125 000,00	0,00	-49 750,00	0,00	75 250,00	-75 250,00	-60,20 %	0,00
Zahlung – 10 02 01 Horizont 2020 – auftraggeberorientierte wissenschaftliche und technische Unterstützung der Unionspolitik							
24 500 000,00	668 850,00	0,00	22 339 000,02	2 829 849,98	-2 150 000,00	-8,78 %	679 849,98
Zahlung – 10 02 51 – Abschluss des Siebten Rahmenprogramms – Direkte Maßnahmen (2007 bis 2013)							
1 600 000,00	44 160,00	0,00	691 519,85	952 640,15	-750 000,00	-46,88 %	202 640,15
Zahlung – 10 02 77 01 – Pilotprojekt – Einrichtung eines Innovationslabors der Kommission für die öffentliche Verwaltung							
250 000,00	0,00	0,00	55 766,42	194 233,58	-154 220,00	-61,69 %	40 013,58
Zahlung – 10 03 01 – Direkte Forschung im Rahmen von Euratom							
11 500 000,00	0,00	0,00	8 596 738,08	2 903 261,92	-2 500 000,00	-21,74 %	403 261,92
Zahlung – 10 03 51 – Abschluss des Siebten Rahmenprogramms – Euratom (2007 bis 2013)							
900 000,00	0,00	-300 000,00	141 536,61	458 463,39	-400 000,00	-44,44 %	58 463,39
Zahlung – 10 05 01 – Stilllegung der veralteten kerntechnischen Euratom-Anlagen und Endlagerung der Abfälle							

32 000 000,00	0,00	-7 450 000,00	19 905 698,79	4 644 301,21	-3 700 000,00	-11,56 %	944 301,21
Haushaltslinie/Bezeichnung							
Ursprünglicher Ansatz ± BH (1A)	EFTA (1B)	Mittelübertragungen (2)	Inanspruchnahme (3)	Verfügbare Betrag (4)=(1A)+(1B)+(2)-(3)	Beantragte Mittelübertragung (5)	Veränderung (5/1A)	Insgesamt (4±5)
Zahlung – 12 02 01 – Umsetzung und Entwicklung des Binnenmarkts für Finanzdienstleistungen							
3 500 000,00	96 600,00	-474 560,00	2 717 758,18	404 281,82	-118 050,00	-3,37 %	286 231,82
Zahlung – 13 05 01 01 – Strukturpolitisches Instrument zur Vorbereitung auf den Beitritt (ISPA) — Abschluss sonstiger früherer Projekte (2000 bis 2006)							
64 152 000,00	0,00	-27 293 831,76	1 509 369,74	35 348 798,50	-19 091 120,00	-29,76 %	16 257 678,50
Zahlung – 15 04 01 – Stärkung der finanziellen Kapazität von KMU und kleinen sowie sehr kleinen Organisationen in der europäischen Kultur- und Kreativbranche sowie Förderung der Entwicklung politischer Strategien und neuer Geschäftsmodelle							
22 133 220,00	604 237,00	-6 946 182,06	13 396 085,95	2 395 188,99	-1 032 590,00	-4,67 %	1 362 598,99
Zahlung – 17 03 01 – Drittes Aktionsprogramm der Union im Bereich der Gesundheit (2014-2020)							
48 500 000,00	1 324 050,00	-9 000 000,00	31 008 284,91	9 815 765,09	-1 500 000,00	-3,09 %	8 315 765,09
Zahlung – 17 03 51 – Abschluss der Programme im Bereich des öffentlichen Gesundheitswesens							
16 000 000,00	441 600,00	-4 100 000,00	9 419 939,24	2 921 660,76	-793 290,00	-4,96 %	2 128 370,76
Zahlung – 17 04 03 – Gewährleistung wirksamer, effizienter und verlässlicher Kontrollen							
55 250 000,00	0,00	-12 190 860,00	39 386 992,89	3 672 147,11	-1 000 000,00	-1,81 %	2 672 147,11
Zahlung – 18 02 01 01 – Unterstützung des Grenzmanagements und der gemeinsamen Visumpolitik zur Erleichterung legaler Reisen							
214 436 438,00	0,00	21 860 000,00	214 809 892,50	21 486 545,50	-19 500 000,00	-9,09 %	1 986 545,50
Zahlung – 18 02 08 – Schengener Informationssystem (SIS II)							
13 398 000,00	0,00	0,00	8 530 807,24	4 867 192,76	-489 370,00	-3,65 %	4 377 822,76
Zahlung – 18 02 09 – Visa-Informationssystem (VIS)							
16 285 000,00	0,00	0,00	6 448 842,87	9 836 157,13	-2 081 020,00	-12,78 %	7 755 137,13
Zahlung – 18 02 51 – Abschluss von Maßnahmen und Programmen im Bereich Außengrenzen, Sicherheit und Schutz der Freiheitsrechte							
99 485 662,00	0,00	82 919 152,00	100 341 018,66	82 063 795,34	-3 500 000,00	-3,52 %	78 563 795,34
Zahlung – 18 05 03 01 – Förderung sicherer europäischer Gesellschaften							
114 789 343,00	4 612 415,19	4 080 264,41	109 128 187,96	14 353 834,64	-2 494 460,00	-2,17 %	11 859 374,64
Zahlung – 22 02 01 01 – Unterstützung politischer Reformen und der schrittweisen Angleichung an den EU-Besitzstand							
54 301 667,00	0,00	36 094 496,00	54 580 255,50	35 815 907,50	-8 881 890,00	-16,36 %	26 934 017,50
Zahlung – 22 02 03 01 – Unterstützung politischer Reformen und der schrittweisen Angleichung an den EU-Besitzstand							
122 258 000,00	0,00	814 504,00	117 806 235,25	5 266 268,75	-4 639 400,00	-3,79 %	626 868,75
Zahlung – 22 02 03 02 – Unterstützung der wirtschaftlichen, sozialen und territorialen Entwicklung und bei der damit verbundenen schrittweisen Angleichung der Rechtsvorschriften an den EU-Besitzstand							
166 076 038,00	0,00	-157 087 758,00	0,00	8 988 280,00	-7 580 910,00	-4,56 %	1 407 370,00
Zahlung – 22 02 04 01 – Mehrere Länder umfassende Programme, regionale Integration und territoriale Zusammenarbeit							
130 967 662,00	0,00	-5 941 517,00	64 872 029,11	60 154 115,89	-4 727 600,00	-3,61 %	55 426 515,89
Zahlung – 22 02 04 03 – Beitrag zur Energiegemeinschaft Südosteuropa							
4 937 735,00	0,00	0,00	4 287 350,01	650 384,99	-573 650,00	-11,62 %	76 734,99
Zahlung – 22 02 51 – Abschluss früherer Maßnahmen der Heranführungshilfe (aus der Zeit vor 2014)							
499 872 531,00	0,00	3 870 968,00	316 229 893,91	187 513 605,09	-101 798 590,00	-20,36 %	85 715 015,09
Zahlung – 23 03 02 01 – Rasche und effiziente Notfallabwehreinätze im Falle schwerer Katastrophen in der Union							
1 000 000,00	27 300,00	641 550,00	1 315 856,64	352 993,36	-260 000,00	-26,00 %	92 993,36
Zahlung – 26 02 01 – Vergabe- und Veröffentlichungsverfahren für öffentliche Bau-, Liefer- und Dienstleistungsaufträge							
9 100 000,00	0,00	-850 000,00	7 362 127,23	887 872,77	-600 000,00	-6,59 %	287 872,77

ENTNAHME insgesamt, Zahlungen	-264 739 750,00	
AUFSTOCKUNG insgesamt, Zahlungen	264 739 750,00	
ENTNAHME insgesamt, Verpflichtungen	0,00	
AUFSTOCKUNG insgesamt, Verpflichtungen	0,00	
ENTNAHME insgesamt, NGM	0,00	
AUFSTOCKUNG insgesamt, NGM	0,00	

Justifications

Decreased 01 02 01

Coordination and surveillance of, and communication on, the economic and monetary union, including the euro

The implementation of projects and the award of several high-value contracts have been delayed, impacting the related payments. In particular, the surplus of payment appropriations is related to communication activities, studies and databases. As a result for the 2016 budget, EUR 1,1 million in payment appropriations can be transferred to other budget lines to cover relevant expenditure needs.

Decreased 01 02 04

Protecting euro banknotes and coins against counterfeiting and related fraud

Several beneficiaries of the Pericles 2020 programme grants did not provide complete financial reports timely. In particular, the final payment related to the study on the use of nano-polymers for euro coins cannot be processed for this reason. Moreover, for some other actions the final payment is unlikely to be implemented, because the clarification has not been received or because the action was prolonged through an amendment. As a consequence final payments (both paid and in process) for actions that took place in 2016 are lower than expected and EUR 0,1 million can be made available.

Decreased 02 02 77 03

Preparatory action -- Erasmus for Young Entrepreneurs

No payment appropriations were requested for this budget line in the 2016 budget. However, it appeared in the course of the exercise that payments on outstanding commitments would need to be made for an overall amount of approximately EUR 75 000. An internal transfer from budget line 02 02 77 20 was requested to cover these unexpected payments. As the amount finally invoiced is slightly lower, the remaining appropriations (EUR 3 510) are returned in the end-of-year transfer.

Decreased 02 02 77 10

Preparatory action -- Euromed innovation entrepreneurs for change

A reinforcement in payment appropriations was requested through internal transfers in order to cover additional payments on outstanding commitments (RAL). It appeared at the end of November that these additional payments might not take place in 2016. EUR 49 000 will be transferred via internal transfers to other lines of the same Title, in accordance with Article 26(1)(c) of the Financial Regulation. The remaining amount of EUR 0,2 million can be made available in the end-of-year transfer.

Increased 05 04 05 01

Rural development programmes

The Commission is in need of EUR 264,7 million in the Rural Development policy area. This amount is necessary for the closure of the European Agricultural Fund for Rural Development (EARFD) 2007-2013 programmes.

Decreased 05 05 02

Instrument for Pre-Accession Assistance for Rural Development (IPARD) -- Completion of the programme (2007 to 2013)

For two candidate countries the execution of the programme is slower than expected. As a result, the expenditure declared in the last quarterly declaration has been insufficient to ensure the full implementation of the remaining appropriations. The identified surplus of EUR 30,8 million is therefore made available for the end-of-year transfer.

Decreased 05 05 04 02

Support for economic, social and territorial development and related progressive alignment with the Union acquis

The implementation of the Instrument for Pre-Accession Assistance for Rural Development II (IPARD II) can only start after IPARD countries are granted entrustment. For Turkey, contrary to the initial expectations, this will happen late in December 2016, thus preventing the payment of the corresponding pre-financing still this year. The remaining balance on the line (EUR 21,4 million) is therefore made available for the end-of-year transfer.

Decreased 06 02 01 01

Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections

Two programme support actions launched in 2014 under the transport-strand of the Connecting Europe Facility (CEF) were expected to increase payments before the end of 2016. However, the payment requests have not reached the Commission within the timeframe initially anticipated, so that the amount of EUR 5,7 million can be made available for the end-of-year transfer.

Decreased 06 02 01 05

Creating an environment more conducive to private investment for transport infrastructure projects

The conclusion of an investment project was initially planned in the last quarter of 2016, but was postponed to the second quarter of 2017 due to unforeseen delays in the negotiation process. The corresponding payment of EUR 5,8 million will therefore not take place by the end of the year. As a result, this amount is available for the end-of-year transfer.

Decreased 06 02 51

Completion of trans-European networks programme

The tender procedure for one of the projects supported by the 2020 European Fund for Energy, Climate Change and Infrastructure ('Marguerite Fund') – which this budget item covers – was re-launched at the end of 2015. The new bid was planned for the second quarter of 2016, but it was unexpectedly delayed. The signature of the contract and the corresponding payment are now planned in 2017. As a result, an amount of EUR 5,4 million can be made available for the end-of-year transfer.

Decreased 09 05 51

Completion of former MEDIA programmes

The surplus is due to the fact that the value of decommitments at the end of this programme is higher than estimated. In addition, there is a delay in receiving some final reports, which means that final payments linked to these reports will be postponed to 2017. Therefore, the amount of EUR 3,5 million can be made available.

Decreased 09 05 77 02

Pilot project -- Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe

The payments due in 2016 are lower than expected since the beneficiary delayed their submission of cost claims and, consequently, the interim payment is postponed to 2017. Therefore, an amount of EUR 0,3 million can be made available for this end-of-year transfer exercise.

Decreased 09 05 77 03

Preparatory action -- Action on subtitling including crowdsourcing to increase the circulation of European works

No payments are due in 2016 as the pre-financing will be paid in 2017. Therefore, an amount of EUR 0,1 million can be made available for the end-of-year transfer exercise.

Decreased 09 05 77 04

Pilot project -- Media literacy for all

The first payment for this action is expected to take place in 2017. Therefore, an amount of EUR 0,1 million can be made available in this end-of-year transfer exercise.

Decreased 10 02 01

Horizon 2020 -- Customer-driven scientific and technical support to Union policies

The financing programming foresaw EUR 35,0 million in commitment appropriations for 2016, expected to be covered with EUR 24,5 million of payment appropriations. However, commitment appropriations were finally reduced to EUR 25,2 million as a consequence of a proposal from the European Fund for Strategic Investment (EFSI). An important effort has been deployed to consume most of the available payment appropriations. However, there is a surplus of EUR 2,2 million that can be returned.

Decreased 10 02 51

Completion of the Seventh Framework Programme -- Direct actions (2007 to 2013)

The Joint Research Centre (JRC) made an important effort in 2015 to reduce the outstanding commitments on the two completion lines of the Seventh Framework Programme (FP7) and as a result the amounts pending to be paid were reduced significantly. The 2016 estimations made in spring 2015, based on historical trends, were above the real needs. The low level of outstanding commitments (RAL) in 2016 does not allow consuming the payment appropriations in the completion lines. Therefore, the amount of EUR 0,8 million is returned.

Decreased 10 02 77 01

Pilot project -- Establishment of a European Commission Public Sector Innovation Lab

The payment appropriations needed for the budget line covering the Pilot project "Establishment of a European Commission Public Sector Innovation Lab" are lower than initially planned due to the late adoption of the financing decision. The return of the appropriations was not envisaged in the global transfer, since the relevant services have been working very actively during the last months with the aim of completing most procurement processes. Unfortunately, not all payments can be undertaken in 2016 and EUR 0,2 million will be returned.

Decreased 10 03 01

Euratom activities of direct research

An overall lower level of consumption of appropriations is experienced, in particular in the nuclear lines. The return of appropriations was not envisaged in the global transfer because at that moment only a slightly lower level of consumption was noticeable and at that time it was not possible to anticipate an important return of appropriations. Therefore, EUR 2,5 million are returned in the end-of-year transfer.

Decreased 10 03 51

Completion of the Seventh Framework Programme -- Euratom (2007 to 2013)

The Joint Research Centre (JRC) made an important effort in 2015 to reduce the outstanding commitments (RAL) on the two completion lines of the Seventh Framework Programme (FP7) and as a result the amounts pending to be paid were reduced significantly. As a result, available payment appropriations were higher than the outstanding commitments (RAL). The low level of RAL in 2016 does not allow to consume the payment appropriations in the completion lines. EUR 0,4 million are returned in the end-of-year exercise after having returned appropriations already in the context of the global transfer procedure.

Decreased 10 05 01

Decommissioning of Euratom obsolete nuclear facilities and final disposal of wastes

The Decommissioning Programme is being implemented in two Joint Research Centre sites, Ispra and Karlsruhe. The under-spending in Ispra is attributed to a series of unfavourable circumstances, namely: slowdown of works in the controlled areas by lack of response of the local authorities in granting the requested authorisations for which the Commission opened an infraction procedure in 2016 towards the Member State regarding the implementation of the Nuclear Waste directive 2011/70; delays of certain contractors to invoice executed works, despite several reminders; and lower than expected consumption of some framework contracts providing services of different nature to the programme. The under-spending in Karlsruhe arises from delays in the procurement of equipment for the waste characterisation facility and delays in invoicing of services already performed by service providers. In addition to the EUR 7,5 million returned in the context of the global transfer, EUR 3,7 million are returned in the end-of-the-year exercise.

Decreased 12 02 01

Implementation and development of the single market for financial services

The proposed decrease of EUR 0,1 million is mainly due to a new schedule agreed with a contractor (Risk Control Limited) for the execution of their contract. The deadline for the interim report has been postponed from 5 November 2016 to end of December 2016. Consequently, an interim payment which was planned for December 2016 will be executed in 2017.

Decreased 13 05 01 01

Instrument for Structural Policies for Pre-accession (ISPA) -- Completion of other previous projects (2000 to 2006)

Closure payments initially foreseen for the former ISPA projects have been delayed due to contradictory procedures with Member States. As there are no more payments expected until the end of 2016, the surplus of EUR 19,1 million can be made available.

Decreased 15 04 01

Strengthening the financial capacity of SMEs and small and very small organisations in the European cultural and creative sectors, and fostering policy development and new business models

The surplus is due to the fact that the payments requested by the European Investment Fund (EIF) for the Cultural and Creative Sectors Guarantee Facility for 2016 are lower than estimated and an anticipated invoice for the early payment of 2017 fees will not be forthcoming. On this basis, EUR 1,0 million can be made available.

Decreased 17 03 01

Third programme for the Union's action in the field of health (2014-2020)

The actual needs for payment appropriations are lower than foreseen due to a combination of several elements. There are actions which have been delayed and/or for which invoices were expected, but for which the invoices have not been submitted. Moreover, it was decided to reallocate budget from calls for proposals to calls for tender. As it was foreseen to pay pre-financings for the grants to be signed and as this is not done for the procurement actions, an additional amount of payment appropriations will not be consumed. Therefore, EUR 1,5 million can be made available.

Decreased 17 03 51

Completion of public health programmes

Due to a slower than foreseen implementation of the budget and closure of several actions under the completion of the Public Health programme, payments under this budget line are much lower than foreseen. In particular, due to the extension of the duration of the action on Support to rare diseases and subsequent change in payment arrangements, a planned payment of EUR 0,5 million has been shifted from 2016 to 2017. As there are no more payments to be made under this budget line in 2016, the remainder of EUR 0,8 million can be returned.

Decreased 17 04 03

Ensuring effective, efficient and reliable controls

Due to a slower than foreseen implementation of the actions under this budget line, not all the payment appropriations will be used this year. In this respect, the payments foreseen for translations as well as for experts accompanying the Commission on audit missions will not be fully implemented. Also, costs related to Information Technology (IT) for hosting and services will not be as high as planned. As a result, an amount of EUR 1,0 million in payment appropriations can be returned.

Decreased 18 02 01 01

Support of border management and a common visa policy to facilitate legitimate travel

The reinforcement of payment appropriations requested in September 2016 and provided through the global transfer could not be fully depleted due to lower than forecast pre-financing payments for the Emergency Assistance (EMAS) grants. Essentially, the forecast for the pre-financing rates for the EMAS grants was assumed to be 80%. However the actual rate of pre-financing was reduced to 50 % in order to take into account the specificity of the awarded grants. Therefore, an amount of EUR 19,5 million can be made available.

Decreased 18 02 08

Schengen information system (SIS II)

An invoice expected before the end of 2016 will not reach the Commission's services on time to allow the corresponding payment by 31 December 2016, so that the corresponding amount of EUR 0,5 million can be made available.

Decreased 18 02 09

Visa information system (VIS)

An invoice expected before the end of 2016 will not reach the Commission's services on time to allow the corresponding payment by 31 December 2016, so that the corresponding amount of EUR 2,1 million can be made available.

Decreased 18 02 51

Completion of operations and programmes in the field of external borders, security and safeguarding liberties

The pace of closures of the External Borders Fund was initially expected to pick up in the second semester of 2016, based on the fact that most of the Member States had submitted their 2013 final reports within the set deadlines. However, in few cases, the collection of complementary information related to the final implementation reports submitted by the Member States led to delays in the processing of the closure files. As a result, it will not be possible to proceed with the final payments by the end of the year. Therefore, an amount of EUR 3,5 million can be made available.

Decreased 18 05 03 01

Fostering secure European societies

The surplus of payment appropriations on this line can be attributed to a series of unfavourable circumstances, such as:

- some actions foreseen in the work programme (studies) have not been launched (or not for the full amount forecast);
- a lower expenditure for experts than forecast;
- a grant to the Joint Research Centre was delayed to next year.

In addition, less payment appropriations were needed due to the use of assigned revenue. Furthermore, a more precise calculation of pre-financing rate of the projects issued from the call 2015 in the middle of 2016 has led also to a difference to the forecast amount; given that the exact pre-financing amount depends on duration of a project, number of reporting periods and amount spent in the first period of a project, reality varies in relation to the forecast. In total, an amount of EUR 2,5 million can be made available.

Decreased 22 02 01 01

Support for political reforms and related progressive alignment with the Union acquis

Budget support in Albania is lower than forecast and accounts for EUR 6,9 million to be returned. A remaining amount relates to payments that will not materialise as forecast by the EU Delegation in Kosovo and an additional amount in the former Yugoslav Republic of Macedonia related to delayed procurement and contracting. The associated advance payments will be executed in 2017. Furthermore, several invoices were not submitted within given deadlines and some contained ineligible expenses. Therefore, a total amount of EUR 8,9 million can be made available.

Decreased 22 02 03 01

Support for political reforms and related progressive alignment with the Union acquis

The proposed decrease of EUR 4,6 million relates to advance payments that will not be executed by the EU Delegation in Turkey due to the delayed signature of two grant contracts, and to a reduction from the originally forecast payment of EUR 11 million on the 2014 Annual Action Programme, Objective 1 for Turkey.

Decreased 22 02 03 02

Support for economic, social and territorial development and related progressive alignment with the Union acquis

The proposed decrease of EUR 7,6 million mainly relates to one advance payment that will not be executed by the EU Delegation in Turkey due to the delayed signature of a grant contract.

Decreased 22 02 04 01

Multi-country programmes, regional integration and territorial cooperation

The identified amount of EUR 4,7 million proposed to be returned relates to payments that the EU Delegations in the Western Balkans will not execute mainly due to delayed procurement and contracting. The associated advance payments will be executed in 2017. Furthermore, several invoices were not submitted within given deadlines and some contained ineligible expenses.

Decreased 22 02 04 03

Contribution to the Energy Community for South-East Europe

The 2016 budget of the Energy Community Secretariat has been established based on the preliminary bi-annual budget 2016-2017 proposed by the Secretariat in the context of the 2016 Draft Budget procedure. At that stage, there was an uncertainty concerning the contribution from one Member State, so the budget included a contingency to cover the risk. In 2016, the Member State concerned confirmed its contribution, therefore the amount of EUR 0,6 million of payment appropriations can be made available.

Decreased 22 02 51

Completion of former pre-accession assistance (prior to 2014)

The appropriations on this budget line are intended to cover the liquidation of commitments made prior to 2014. It serves all countries of the Western Balkans and Turkey. Part of the initial payment appropriations will not be used for the following reasons:

- The suspension of payments to Serbia pending the appointment of the Head of the Audit Authority. This key position within the decentralised/indirect management structure is a pre-condition for the signature of the financial agreement.
- The entrustment of budget implementation tasks to Turkey for the multiannual programmes 2014-2016 encountered delays. The Commission needs to obtain full assurance of the capacities of Turkey to manage the Instrument for Pre-Accession Assistance (IPA) funds.
- Several payments will not be executed by the EU Delegations in the Western Balkans and Turkey, mainly due to delayed procurement and contracting. The associated advance payments will be executed in 2017. Furthermore, several invoices were not submitted within expected deadlines and some contained ineligible expenses could not be paid. Hence, the forecast for a number of countries had to be revised.

The total amount of EUR 101,8 million is made available in the context of the end-of-year transfer.

Decreased 23 03 02 01

Rapid and efficient emergency response interventions in the event of major disasters within the Union

The number of activations of the Union Civil Protection Mechanism during the year is unpredictable by nature, since it fully depends on the emergencies that will occur within the EU and the number of transports interventions that will be requested. After all the interventions in 2016 there is still a surplus of EUR 0,3 million, which can be made available.

Decreased 26 02 01

Procedures for awarding and advertising public supply, works and service contracts

A surplus of payment appropriations is due to a decrease in the number of notices from the institutions, whose cost is significantly higher than other notices. An amount of EUR 0,6 million can be made available.