



**COUNCIL OF
THE EUROPEAN UNION**

**Brussels, 7 March 2014
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FIN 181

COVER NOTE

From: Mr Janusz LEWANDOWSKI, Member of the European Commission
date of receipt: 5 March 2014
To: Mr Christos STAIKOURAS, President of the Council of the European Union

Subject: Transfer of appropriations No DEC 03/2014 within Section III -
Commission - of the general budget for 2014

Delegations will find attached Commission document No DEC 03/2014.

Encl.: DEC 03/2014



BRUSSELS, 04/03/2014

GENERAL BUDGET - 2014
SECTION III - COMMISSION TITLES 02, 05, 06, 08, 09, 10, 15, 32

TRANSFER OF APPROPRIATIONS N° DEC 03/2014

EUR

FROM**CHAPTER** - 0509 Horizon 2020 - Research and innovation related to agriculture

ITEM - 05 09 03 01 Securing sufficient supplies of safe and high quality food and other bio-based products

Commitments - 2 044 102

CHAPTER - 0603 Horizon 2020 - Research and innovation related to transport

ITEM - 06 03 03 01 Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system

Commitments - 7 348 813

CHAPTER - 0802 Horizon 2020 - Research

ITEM - 08 02 02 02 Enhancing access to risk finance for investing in research and innovation

Commitments - 20 609 072

ITEM - 08 02 03 06 Fostering inclusive, innovative and secure European societies

Commitments - 26 969 128

CHAPTER - 0904 Horizon 2020

ITEM - 09 04 01 01 Strengthening research in FET - Future and Emerging Technologies

Commitments - 23 154 959

CHAPTER - 1001 Administrative expenditure of the 'Direct research' policy area

ITEM - 10 01 05 11 Expenditure related to officials and temporary staff implementing Research and Innovation programmes - Euratom programme

Non-Diff. - 712 643

ITEM - 10 01 05 13 Other management expenditure for Research and Innovation programmes - Euratom programme

Non-Diff. - 1 661 600

CHAPTER - 1002 Horizon 2020 - Direct actions of the Joint Research Centre (JRC) in support of Union policies

ARTICLE - 10 02 01 Horizon 2020 - Customer-driven scientific and technical support to Union policies

Commitments - 92 697

TO**CHAPTER** - 0204 Horizon 2020 - Research relating to enterprises

ITEM - 02 04 02 01 Leadership in space

Commitments 1 082 088

ITEM - 02 04 02 03 Increasing innovation in small and medium-sized enterprises (SMEs)

Commitments 495 560

CHAPTER - 0802 Horizon 2020 - Research		
ITEM - 08 02 03 06 Fostering inclusive, innovative and secure European societies	Payments	2 374 243
CHAPTER - 0803 Euratom Programme - Indirect actions		
ITEM - 08 03 01 01 Euratom - Fusion energy	Commitments	1 302 144
ITEM - 08 03 01 02 Euratom - Nuclear fission and radiation protection	Commitments	3 072 099
CHAPTER - 1503 Horizon 2020		
ITEM - 15 03 01 01 Marie Skłodowska-Curie actions - Generating new skills and innovation	Commitments	55 541 961
CHAPTER - 3204 Horizon 2020 - Research and innovation related to energy		
ITEM - 32 04 03 01 Making the transition to a reliable, sustainable and competitive energy system	Commitments	21 099 162

INTRODUCTION

The 2014 Draft Budget was adopted by the European Commission in June 2013, and on 12 November 2013, the Conciliation Committee reached an agreement on the joint conclusions on Budget 2014. These events preceded the final adoption of the legal base for Horizon 2020 (EU and EURATOM)¹, on 11 December 2013.

Some of the changes arising from the new legal base, and in particular the creation of new budget lines, or the renaming of existing ones, have been addressed in the context of Draft Amending Budget 1/2014², as these go beyond the scope of a transfer. However, some figures for commitment and payment appropriations for Horizon 2020, still need to be adjusted, in order to take account of the budget repartition adopted in the new legal base, although there is no net effect on the overall financial envelope of the programme.

The proposed transfer request takes into account the revised split of appropriations between the indirect actions and direct actions, and between the research activities within the indirect actions.

Although the requested transfer is purely technical, the adjustments are needed as soon as possible in order to start the implementation of the actions foreseen in the adopted Horizon 2020 Work Programme 2014³ for the relevant research activities. It is also important to get the approval of the requested transfer under the current EP mandate. The adjustments to the figures take place within and between activities of Horizon 2020 (EU and EURATOM).

The policy areas requiring such adjustments are Enterprise and Industry (Title 2), Agriculture and rural development (Title 05), Mobility and transport (Title 6), Research and Innovation (Title 8), Communications networks, content and technology (Title 9), Direct research (Title 10), Education and culture (Title 15) and Energy (Title 32).

1 Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L347, 20.12.2013, p.104).

2 COM (2014) 78 final, 11.02.2014.

3 C (2013) 8631 final, 10.12.2013.

Line / Heading								
Non-Diff./ Commitments/ Payments	Initial Budget ±AB (1A)	EFTA (1B)	Transfers (2)	Utilisation (3)	Available amount (4)=(1A)+(1B)+(2)-(3)	Transfer proposed (5)	Fluctuation (5/1A)	Total (4±5)
02 04 02 01 - Horizon 2020 - Research relating to enterprises - Leadership in space	161 352 331	0	0	87	161 352 244	1 082 088	0.67%	162 434 332
02 04 02 03 - Horizon 2020 - Research relating to enterprises - Increasing innovation in small and medium-sized enterprises (SMEs)	32 512 243	0	0	0	32 512 243	495 560	1.52%	33 007 803
05 09 03 01 - Horizon 2020 - Research and innovation related to agriculture - Securing sufficient supplies of safe and high quality food and other bio-based products	52 163 000	0	0	0	52 163 000	-2 044 102	-3.92%	50 118 898
06 03 03 01 - Horizon 2020 - Research and innovation related to transport - Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system	192 105 039	0	0	0	192 105 039	-7 348 813	-3.83%	184 756 226
08 02 02 01 - Horizon 2020 - Research - Enhancing access to risk finance for investing in research and innovation	384 814 753	0	0	0	384 814 753	-20 609 072	-5.36%	364 205 681
08 02 03 06 - Horizon 2020 - Research - Fostering inclusive, innovative and secure European societies	193 407 483	0	0	23 529	193 383 954	-26 969 128	-13.94%	166 414 826
08 02 03 06 - Horizon 2020 - Research - Fostering inclusive, innovative and secure European societies	17 625 757	0	0	0	17 625 757	2 374 243	13.47%	20 000 000
08 03 01 01 - Euratom Programme - Indirect actions - Euratom - Fusion energy	94 723 000	0	0	60 000	94 663 000	1 302 144	1.37%	95 965 144
08 03 01 02 - Euratom Programme - Indirect actions - Euratom - Nuclear fission and radiation protection	45 789 000	0	0	0	45 789 000	3 072 099	6.71%	48 861 099
09 04 01 01 - Horizon 2020 - Strengthening research in FET - Future and Emerging Technologies	246 003 372	0	-3 068 899	0	242 934 473	-23 154 959	-9.41%	219 779 514
10 01 05 11 - Administrative expenditure of the 'Direct research' policy area - Expenditure related to officials and temporary staff implementing Research and Innovation programmes - Euratom programme	56 275 000	0	0	51 123 555	5 151 445	-712 643	-1.27%	4 438 802
10 01 05 13 - Administrative expenditure of the 'Direct research' policy area - Other management expenditure for Research and Innovation programmes - Euratom programme	38 707 000	0	0	13 066 439	25 640 561	-1 661 600	-4.29%	23 978 961
10 02 01 - Horizon 2020 - Direct actions of the Joint Research Centre (JRC) in support of Union policies - Horizon 2020 - Customer-driven scientific and technical support to Union policies	33 556 000	0	0	6 459 310	27 096 690	-92 697	-0.28%	27 003 993
15 03 01 01 - Horizon 2020 - Marie Skłodowska-Curie actions - Generating new skills and innovation	731 611 715	0	8 623 570	10 200 000	730 035 285	55 541 961	7.59%	785 577 246
32 04 03 01 - Horizon 2020 - Research and innovation related to energy - Making the transition to a reliable, sustainable and competitive energy system	292 962 845	0	0	0	292 962 845	21 099 162	7.20%	314 062 007
						Total DECREASE Non-Diff.		
						Total DECREASE Commitments		
						Total INCREASE Commitments		
						Total INCREASE Payments		