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PROPOSAL

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	5 November 2013
To:	Mr Uwe CORSEPIUS, Secretary-General of the Council of the European Union

No. Cion doc.:	COM(2013) 757 final
Subject:	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Regulation (EC) No 1166/2008 on farm structure surveys and the survey on agricultural production methods, as regards the financial framework for the period 2014-2018

Delegations will find attached document COM(2013) 757 final.

Encl.: COM(2013) 757 final



Brussels, 4.11.2013
COM(2013) 757 final

2013/0367 (COD)

Proposal for a

REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

amending Regulation (EC) No 1166/2008 on farm structure surveys and the survey on agricultural production methods, as regards the financial framework for the period 2014-2018

(Text with EEA relevance)

EXPLANATORY MEMORANDUM

1. CONTEXT OF THE PROPOSAL

This initiative aims to secure financing for the 2016 Farm Structure Survey and related projects. Regulation (EC) No 1166/2008 on farm structure surveys and the survey on agricultural production methods requires Farm Structure Surveys (FSS) to be carried out in the form of an agricultural census in 2010 and as sample surveys in 2013 and 2016. It also requires a survey on agricultural production methods to be carried out in 2010 or 2011. The Member States and the Commission need considerable funding to carry out these surveys. According to the Regulation, the EU can cover a maximum of 75 % of a Member State's costs of carrying them out. The maximum amounts that the reimbursements are capped at are defined per country. Since the surveys are spread out over two multi-annual financial framework periods, it was not possible to fix their entire financing at the same time. Under Article 14 of Regulation 1166/2008, the budgetary and legislative authority must fix the financial framework for FSS 2016 on a proposal from the Commission and based on the new financial framework for the period starting in 2014.

Moreover, the accession of Croatia to the European Union must be taken into account. This means that the Regulation needs to be amended also to include the maximum contribution to Croatia.

The European Statistical System (ESS) strategy states that, the European Statistical System Committee (ESSC) should have an advisory role and assist the Commission in exercising its implementing powers. This means that the Standing Committee for Agricultural Statistics should be replaced by the ESSC for comitology procedures.

This proposal updates Regulation 1166/2008 as follows: (i) establishes the financial framework 2014-18; (ii) sets out the EU's maximum financial contribution to Croatia's costs of carrying out the FSS; and (iii) changes the committee that assists the Commission.

The Farm Structure Surveys have been carried out in a harmonised way across the European Union, based on legal acts, since 1966. The present Regulation 1166/2008 is one in a series of legal acts on the subject, and the proposal to amend this Regulation is therefore fully consistent with European Statistical Programme and Union policies.

2. RESULTS OF CONSULTATIONS WITH THE INTERESTED PARTIES AND IMPACT ASSESSMENTS

The main consultations with different stakeholders and other interested parties took place when Regulation 1166/2008 was drafted. The present proposal has been assessed by the Commission services with regard to the need for FSS data.

The proposal was discussed with the Standing Committee for Agricultural Statistics.

An ex-ante evaluation of the initiative has been carried out.

3. LEGAL ELEMENTS OF THE PROPOSAL

The Regulation amends Regulation 1166/2008 on farm structure surveys and the survey on agricultural production methods. It establishes the financial framework for the 2016 Farm Structure Surveys, sets out the EU's maximum financial contribution to Croatia's survey costs, and replaces the committee that assists the Commission in exercising its implementing powers.

Article 338 of the Treaty on the Functioning of the European Union provides the legal basis for the production of the European statistics. Acting in accordance with the ordinary legislative procedure, the European Parliament and the Council adopt measures for the production of statistics where necessary for the performance of the activities of the Union. It sets out the requirements relating to the production of European statistics, stating that they must conform to standards of impartiality, reliability, objectivity, scientific independence, cost-effectiveness and statistical confidentiality.

The proposal complies with both the subsidiarity and proportionality principles, as it concerns minor amendments to Regulation 1166/2008, some already foreseen in the legislative procedures leading to the adoption of that Regulation.

Proposed instrument: Regulation. Other means would not be appropriate as the proposal amends a Council and European Parliament Regulation in force.

On 27 June 2013, the Commission adopted proposal for a Regulation of the European Parliament and of the Council adapting to Article 290 of the Treaty on the Functioning of the European Union a number of legal acts providing for the use of the regulatory procedure with scrutiny (2013/0218 (COD)). Regulation (EC) No 1166/2008 on farm structure surveys and the surveys on agricultural production methods was among the basic acts adapted to the delegated acts regime.

4. BUDGETARY IMPLICATIONS

The Farm Structure Surveys are costly to carry out so considerable funding is required from the Member States and the Commission to meet the information requirements. According to Regulation 1166/2008, Member States will be reimbursed up to 75 % of their costs, capped at maximum amounts defined per country. The amounts needed have been fixed in the Regulation, except for Croatia, who only joined the European Union in 2013. In addition, appropriations necessary for the management, maintenance and development of the database systems used within the Commission to process the data supplied by Member States have been included. The overall financial framework for the period 2014 to 2018 totals EUR 20 650 000.

In order to satisfy the high quality requirements for agricultural statistics and to ensure that the collected information is made available and is used as efficiently as possible, actions are needed to improve the methodology and find ways of lowering the burden on respondents and National Statistical Institutes while at the same time meeting the emerging new information needs. This has led to proposal for allocation, via internal Commission procedure, additional Eurostat appropriations of an administrative nature to be used for development of the database and methodological issues.

Proposal for a

REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

amending Regulation (EC) No 1166/2008 on farm structure surveys and the survey on agricultural production methods, as regards the financial framework for the period 2014-2018

(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 338(1) thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national Parliaments,

Acting in accordance with the ordinary legislative procedure,

Whereas:

- (1) Regulation (EC) No 1166/2008 of the European Parliament and of the Council of 19 November 2008 on farm structure surveys and the survey on agricultural production methods and repealing Council Regulation (EEC) No 571/88¹ provides for Member States to carry out farm structure surveys in 2010, 2013 and 2016, for which they shall receive a maximum financial contribution of 75 % from the Union towards the cost of carrying out the surveys, subject to defined maximum amounts.
- (2) In order to carry out the farm structure surveys and to meet the information requirements of the Union, considerable funding is required from the Member States and from the Union.
- (3) Regulation (EC) No 1166/2008 provided the financial envelope for the implementation of the programme of surveys, including management, maintenance and developments of the database systems used within the Commission to process the data supplied by the Member States, and fixed the amount for the period 2008-2013.
- (4) In accordance with Article 14(2) of Regulation (EC) No 1166/2008, the amount for the period 2014-2018 should be fixed by the budgetary and legislative authority on a proposal from the Commission on the basis of the new financial framework for the period commencing in 2014.

¹ OJ L 321, 1.12.2008, p. 14.

- (5) The proposed financial envelope should only finance the carrying out of the Farm Structure Survey in 2016 and related management, maintenance and development of the database systems used within the Commission to process the data supplied by the Member States.
- (6) In view of the accession of Croatia and the need to conduct surveys on the structure of agricultural holdings in this Member State in 2016, a maximum Union contribution per survey should be fixed for Croatia; this adaptation is needed by reason of the accession and has not been provided for in the Act of Accession.
- (7) The Standing Committee for Agricultural Statistics set up by Council Decision 72/279/EEC of 31 July 1972² provides advice to and assists the Commission in exercising of its implementing powers under legislative acts in agricultural statistics.
- (8) Under the strategy for a new European Statistical System (ESS) structure, aimed at improving coordination and partnership within the ESS, the European Statistical System Committee, established by Regulation (EC) No 223/2009 of the European Parliament and of the Council of 11 March 2009 on European statistics³, should have an advisory role and assist the Commission in exercising its implementing powers. The Commission will continue to confer with experts in agriculture and fisheries statistics before bringing a matter before the ESSC.
- (9) The reference to the Standing Committee for Agricultural Statistics should be replaced by a reference to the European Statistical System Committee.
- (10) The Standing Committee for Agricultural Statistics has been consulted.
- (11) Regulation (EC) No 1166/2008 should therefore be amended accordingly,
- (12)

HAVE ADOPTED THIS REGULATION:

Article 1

Regulation (EC) No 1166/2008 is amended as follows:

(1) In Article 13, the following paragraph is added as a new paragraph 5:

"(5) For the farm structure survey in 2016, the maximum amount for Croatia shall be EUR 500 000."

Paragraph 5 becomes paragraph 6.

² OJ L 179, 7.8.1972, p.1.

³ JO L 87, 31.3.2009, p.164.

(2) Article 14 is amended as follows:

(a) Paragraph 2 is replaced by the following:

"2. The Union financial envelope for the implementation of the farm structure surveys in 2016, including the appropriations necessary for the management, maintenance and development of the database systems used within the Commission to process the data supplied by Member States under the provisions of this Regulation, shall be set at EUR 20 650 000 for the period 2014-2018.";

(b) The following paragraph 4 is added:

"4. The Commission shall implement the Union financial support in accordance with Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union⁴."

(3) The following Article 14a is inserted:

"Article 14a

Protection of the financial interests of the European Union

1. The Commission shall take appropriate measures ensuring that, when activities financed under this Regulation are implemented, the financial interests of the Union are protected through the application of preventive measures against fraud, corruption and any other illegal activities, through effective checks and, if irregularities are detected, through the recovery of the amounts wrongly paid and, where appropriate, effective, proportionate and deterrent penalties.
2. The Commission or its representatives and the Court of Auditors shall have the power of audit, on the basis of documents and on the spot, over all grant beneficiaries, contractors and subcontractors who have received Union funds under the Programme.

The European Anti-fraud Office (OLAF) may carry out on-the-spot checks and inspections on economic operators concerned directly or indirectly by such funding in accordance with the procedures laid down in Regulation (Euratom, EC) No 2185/96 with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the Union in connection with a grant agreement or grant decision or a contract concerning Union funding.

Without prejudice to the first and second subparagraphs, cooperation agreements with third countries and international organisations and grant agreements and grant decisions and contracts resulting from the implementation of this Regulation shall expressly empower the Commission, the Court of Auditors and OLAF to conduct such audits, on-the-spot checks and inspections."

⁴ OJ L 298, 26.10.2012, p. 1.

(4) Article 15 is replaced by the following:

"Article 15

Committee

1. The Commission shall be assisted by the European Statistical System Committee established by Regulation (EC) No 223/2009 . That committee shall be a committee within the meaning of Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers⁵.
2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply."

Article 2

This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the European Parliament
The President

For the Council
The President

⁵ OJ L 55, 28.2.2011, p. 13.

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

- 1.1. Title of the proposal/initiative
- 1.2. Policy area(s) concerned in the ABM/ABB structure
- 1.3. Nature of the proposal/initiative
- 1.4. Objective(s)
- 1.5. Grounds for the proposal/initiative
- 1.6. Duration and financial impact
- 1.7. Management method(s) envisaged

2. MANAGEMENT MEASURES

- 2.1. Monitoring and reporting rules
- 2.2. Management and control system
- 2.3. Measures to prevent fraud and irregularities

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

- 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected
- 3.2. Estimated impact on expenditure
 - 3.2.1. *Summary of estimated impact on expenditure*
 - 3.2.2. *Estimated impact on operational appropriations*
 - 3.2.3. *Estimated impact on appropriations of an administrative nature*
 - 3.2.4. *Compatibility with the current multiannual financial framework*
 - 3.2.5. *Third-party participation in financing*
- 3.3. Estimated impact on revenue

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

1.1. Title of the proposal/initiative

Regulation of the European Parliament and of the Council on amending Regulation (EC) No 1166/2008 on farm structure surveys and the survey on agricultural production methods, as regards the financial framework for the period 2014-2018
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1.2. Policy area(s) concerned in the ABM/ABB structure⁶

3403 — Production of statistical information
--

1.3. Nature of the proposal/initiative

- The proposal/initiative relates to **a new action**
- The proposal/initiative relates to **a new action following a pilot project/preparatory action**⁷
- The proposal/initiative relates to **the extension of an existing action**
- The proposal/initiative relates to **an action redirected towards a new action**

1.4. Objectives

1.4.1. *The Commission's multiannual strategic objective(s) targeted by the proposal/initiative*

Boosting new sources of growth and social cohesion and a thriving rural economy

1.4.2. *Specific objective(s) and ABM/ABB activity(ies) concerned*

<u>Specific objective No 1</u>

To ensure that policy makers and other stakeholders, including the general public, have at their disposal harmonised statistical data on the structure of agricultural holdings of the European Union from the year 2016.

<u>ABM/ABB activity(ies) concerned</u>
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3403 — Production of statistical information
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⁶ ABM: Activity-Based Management — ABB: Activity-Based Budgeting.

⁷ As referred to in Article 54(2)(a) or (b) of the Financial Regulation.

1.4.3. *Expected result(s) and impact*

Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.

The proposal aims to ensure further financial support for Member States to collect data on the structure of agricultural holdings, by fixing the financial envelope for the period 2014-2018 for support of the FSS 2016.

The proposed programme intends to provide continuation of support for monitoring and implementation of the Common Agricultural Policy (including the new CAP 2020) by enabling the continuation of collecting information to measure the structural changes in agriculture over time, which is needed for analysing trends in EU agriculture.

The Common Agricultural Policy has been, and, if the Rural Development Policy is taken into account, still remains a very important EU policy, as expressed in budgetary terms (i.e. 30 to 40 % of the total EU budget) and it is very important to be able to evaluate the impact of the policy on agricultural development. This initiative provides a continued financial support to the Member States for collection of data on the structure of agricultural holdings in order to assure the data series and continued supply of information necessary for monitoring and implementation of the Common Agricultural Policy. All other aspects of the existing legal framework will remain unchanged and no new impact is expected.

1.4.4. *Indicators of results and impact*

Specify the indicators for monitoring implementation of the proposal/initiative.

The proposal's implementation will be monitored through: (i) working group meetings with Member States; (ii) validating the datasets according to the rules specified in the Data Supplier Manual; and (iii) analysis of the National Methodological Reports.

1.5. Grounds for the proposal/initiative

1.5.1. *Requirement(s) to be met in the short or long term*

The farm structure surveys (the 2010 agriculture census and the 2013 and 2016 sample surveys) are covered by Regulation (EC) No 1166/2008.

1.5.2. *Added value of EU involvement*

There is a need for harmonised data collection to be able to draw comparable EU-level conclusions in the context of the Common Agricultural Policy. The different climatic situation and environmental regions within the European Union, with their different farming practices, strengthen the argument for a Union level approach. The action, according to the principle of subsidiarity leaves it for the countries to decide the way of collecting the data (personal interviews, postal survey, internet- or telephone-based, use of other administrative sources etc.) however within the Regulation a number of harmonised information are to be collected, by using common definitions (which could be understood differently between the MS depending on their agricultural reality). EU action is therefore necessary in order to obtain comparable information about the agriculture at EU level.

1.5.3. Lessons learned from similar experiences in the past

The FSS survey's structure is rather complex and requires substantial work in the Member States, according to what Member State representatives implementing the survey in their countries report in the working groups (currently, information on around 200 variables is collected for each farm surveyed). A consultation on the burden for respondents and organisations responsible for collecting the data was carried out in the FSS working group meetings. It showed that collecting the data required puts a significant burden on Member States (statistical offices and respondents), and that personal interviews are often needed to ensure high-quality data. The Member States and the Commission need considerable funding to carry out the surveys and collect the information required — experience shows that Member States' costs may significantly exceed the EU's contribution (capped at a maximum of 75 % of fixed amounts per country). While the financial framework for the 2010 and 2013 surveys was fixed in Regulation 1166/2008, the financial framework for the 2016 FSS must be fixed by the budgetary and legislative authority on a proposal from the Commission and based on the new financial framework for the period starting in 2014, as stipulated by Regulation 1166/2008.

Coherence and possible synergy with other relevant instruments

The farm structure surveys have a very long history of being carried out on an EU basis since 1966/67 and being covered by a legal framework. The current legal act in force (Regulation 1166/2008) covers the surveys scheduled for agriculture census 2010 and sample surveys for 2013, as well as 2016. A new legislative initiative for continuation of this programme of surveys post-2016 is foreseen, in order to continue serving the purpose of supporting the design, implementation, monitoring and evaluation of the CAP 2020 and beyond.

1.6. Duration and financial impact

Proposal/initiative of **limited duration**

- Proposal/initiative in effect from [01/01/2014] to [31/12/2018]
- Financial impact from 2014 to 2019

Proposal/initiative of **unlimited duration**

- Implementation with a start-up period from YYYY to YYYY,
- followed by full-scale operation.

1.7. Management mode(s) planned ⁸

Direct management by the Commission

- by its departments, including by its staff in the Union delegations;
- by the executive agencies;

Shared management with the Member States

Indirect management by delegating implementation tasks to:

- third countries or the bodies they have designated;
 - international organisations and their agencies (to be specified);
 - the EIB and the European Investment Fund;
 - bodies referred to in Articles 208 and 209 of the Financial Regulation;
 - public law bodies;
 - bodies governed by private law with a public service mission to the extent that they provide adequate financial guarantees;
 - bodies governed by the private law of a Member State that are entrusted with the implementation of a public-private partnership and that provide adequate financial guarantees;
 - persons entrusted with the implementation of specific actions in the CFSP pursuant to Title V of the TEU, and identified in the relevant basic act.
- *If more than one management mode is indicated, please provide details in the "Comments" section.*

Comments

2. MANAGEMENT MEASURES

2.1. Monitoring and reporting rules

Specify frequency and conditions.

Grant recipients must deliver the data collected and the corresponding methodological report.

⁸ Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html.

2.2. Management and control system

2.2.1. Risk(s) identified

Potential data quality and timeliness issues.

2.2.2. Control method(s) envisaged

Validation rules applied to datasets to be communicated to the Member States in advance; compliance with deadlines will be monitored. National Methodological Reports will be required for each survey. Eligible costs will be checked.

2.3. Measures to prevent fraud and irregularities

Specify existing or envisaged prevention and protection measures.

In addition to applying all regulatory control mechanisms, Eurostat will develop an anti-fraud strategy for 2014-17, in line with the Commission's new antifraud strategy (CAFS) adopted on 24 June 2011. This will ensure, for example, that internal anti-fraud-related monitoring is fully aligned with the CAFS and that the fraud management risk approach is geared to identify fraud risk areas and adequate responses. Networking groups and specific IT tools dedicated to analysing fraud cases will be set up where necessary.

Eurostat has defined a control strategy 2013-2017 accompanying the implementation of expenditure. The measures and tools in this strategy are fully applicable to the proposed regulation. Reducing complexity, applying cost-effective monitoring procedures as well as conducting risk based ex-ante and ex-post controls will aim to reduce the likelihood and contribute to the prevention of fraud. Specific awareness raising measures and relevant training with regard to fraud prevention are part of the control strategy.

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing expenditure budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number Description:	Diff./non-diff. (9)	from EFTA ¹⁰ countries	from candidate countries ¹¹	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
2	05 08 02 Sustainable Growth: natural resources	Diff	NO	NO	NO	NO

- New budget lines requested

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Heading]	Diff./non-diff.	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
	[XX.YY.YY.YY]		YES/NO	YES/NO	YES/NO O	YES/NO

⁹ Diff. = Differentiated appropriations / Non-diff. = Non-Differentiated Appropriations.

¹⁰ EFTA: European Free Trade Association.

¹¹ Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

3.2. Estimated impact on expenditure

3.2.1. Summary of estimated impact on expenditure

EUR million (to 3 decimal places)

Heading of multiannual financial framework:		Number 2	Sustainable Growth: natural resources							TOTAL
DG: AGRI		2014	2015 ¹²	2016	2017	2018	2019			
• Operational appropriations										
Number of budget line 05 08 02 ¹³	Commitments	0.250	19.500	0.300	0.300	0.300			20.650	
	Payments		9.850	0.300	0.300	9.900	0.300		20.650	
Number of budget line	Commitments	(1a)								
	Payments	(2a)								
Appropriations of an administrative nature financed from the envelope for specific programmes ¹⁴										
Number of budget line		(3)								
	TOTAL appropriations for DG AGRI									
	Commitments	0.250	19.500	0.300	0.300	0.300			20.650	
	Payments		9.850	0.300	0.300	9.900	0.300		20.650	

¹²

the execution of the payments of the line 05 08 02 during the period 2014-2020 will mainly take place in the year 2015 (advance of the payments of the survey 2016) and the final payments in 2018

¹³

The line 05 08 02 belongs to the EAGF during the period 2014-2020 and is covered by the specific sub-ceiling.

¹⁴

Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

• TOTAL operational appropriations	Commitments	(4)	0.250	19.500	0.300	0.300	0.300	0.300	20.650
	Payments	(5)		9.850	0.300	0.300	9.900	0.300	20.650
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)							
	TOTAL appropriations under HEADING 2	=4+6	0.250	19.500	0.300	0.300	0.300	0.300	20.650
of the multiannual financial framework	Payments	=5+6		9.850	0.300	0.300	9.900	0.300	20.650

If more than one heading is affected by the proposal / initiative:

• TOTAL operational appropriations	Commitments	(4)							
	Payments	(5)							
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)							
	TOTAL appropriations under HEADINGS 1 to 4	=4+6							
of the multiannual financial framework (Reference amount)	Payments	=5+6							

Heading of multiannual financial framework:	5	" Administrative expenditure "
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EUR million (to 3 decimal places)

DG: ESTAT	Year 2014	Year 2015	Year 2016	Year 2017	Year 2018	TOTAL
• Human resources	0.537	0.537	0.537	0.537	0.537	2.685
• Other administrative expenditure	0.30	0.45	0.55	0.45	0.45	2.2
TOTAL DG ESTAT	0.837	0.987	1.077	0.987	0.987	4.885

TOTAL appropriations under HEADING 5 of the multiannual financial framework	Year 2014	Year 2015	Year 2016	Year 2017	Year 2018	TOTAL
(Total commitments = Total payments)	0.837	0.987	1.077	0.987	0.987	4.885

EUR million (to 3 decimal places)

TOTAL appropriations under HEADINGS 1 to 5 of the multiannual financial framework	Year 2014	Year 2015	Year 2016	Year 2017	Year 2018	Year 2019	TOTAL
Commitments	1.087	20.487	1.377	1.287	1.287	1.287	25.525
Payments	0.837	10.837	1.377	1.287	10.887	0.300	25.525

3.2.2. Estimated impact on operational appropriations

- The proposal/initiative does not require the use of operational appropriations
- The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to 3 decimal places)

Indicate objectives and outputs ↓	2014		2015		2016		2017		2018		Total cost	Total number of outputs
	Type of output ¹⁵	Average cost of the output	Number of outputs	Cost	Number of outputs	Cost	Number of outputs	Cost	Number of outputs			
SPECIFIC OBJECTIVE No 1 ¹⁶ ...												
- Output	Database	1.45		0.3		0.3		0.3		0.3	1.45	
- Output	Micro data	11.4€ per record		9.6						9.6	19.2	1.685 M records
- Output												
Sub-total for specific objective												

¹⁵

Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).

¹⁶ As described in Section 1.4.2. "Specific objective(s)..."

3.2.3. Estimated impact on appropriations of an administrative nature

3.2.3.1. Summary

- The proposal/initiative does not require the use of administrative appropriations
- The proposal/initiative requires the use of administrative appropriations, as explained below:

EUR million (to 3 decimal places)

	Year 2014	Year 2015	Year 2016	Year 2017	Year 2018	TOTAL
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HEADING 5 of the multiannual financial framework						
Human resources	0.537	0.537	0.537	0.537	0.537	2.685
Other administrative expenditure	0.3	0.45	0.55	0.45	0.45	2.2
Subtotal HEADING 5 of the multiannual financial framework	0.837	0.987	1.077	0.987	0.987	4.885

Outside HEADING 5¹⁷ of the multiannual financial framework						
Human resources						
Other expenditure of an administrative nature						
Subtotal outside HEADING 5 of the multiannual financial framework						

TOTAL	0.837	0.987	1.077	0.987	0.987	4.885
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¹⁷ Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former "BA" lines), indirect research, direct research.

3.2.3.2. Estimated requirements of human resources

- The proposal/initiative does not require the use of human resources
- x The proposal/initiative requires the use of human resources, as explained below:

Estimate to be expressed in full amounts (or at most to one decimal place)

	Year 2014	Year 2015	Year 2016	Year 2017	Year 2018	... enter as many years as necessary to show the duration of the impact (see point 1.6)	
• Establishment plan posts (officials and temporary agents)							
XX 01 01 01 (Headquarters and Commission's Representation Offices)	4.1	4.1	4.1	4.1	4.1		
XX 01 01 02 (Delegations)							
XX 01 05 01 (Indirect research)							
10 01 05 01 (Direct research)							
• External personnel (in Full Time Equivalent unit: FTE)¹⁸							
XX 01 02 01 (CA, INT, SNE from the "global envelope")							
XX 01 02 02 (CA, INT, JED, LA and SNE in the delegations)							
XX 01 04 yy¹⁹	- at Headquarters ²⁰						
	- in delegations						
XX 01 05 02 (CA, INT, SNE - Indirect research)							
10 01 05 02 (CA, INT, SNE - Direct research)							
Other budget lines (specify)							
TOTAL	4.1	4.1	4.1	4.1	4.1		

XX is the policy area or budget title concerned.

The human resources requirements will be met by Directorate-General (DG) staff that are already assigned to managing the action and/or have been redeployed within the DG. If necessary, additional human resources may be allocated to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary agents	- management of grants related to the initiative
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¹⁸ CA= Contract Agent; INT= agency staff ("*Intérimaire*"); JED= "*Jeune Expert en Délégation*" (Young Experts in Delegations); LA= Local Agent; SNE= Seconded National Expert;

¹⁹ Under the ceiling for external personnel from operational appropriations (former "BA" lines).

²⁰ Essentially for Structural Funds, European Agricultural Fund for Rural Development (EAFRD) and European Fisheries Fund (EFF).

	<ul style="list-style-type: none">- validation of data- support and methodological work- analysis of reports
External personnel	

3.2.4. *Compatibility with the current multiannual financial framework*

- x Proposal/initiative is compatible the current multiannual financial framework.
- Proposal/initiative will entail reprogramming of the relevant heading in the multiannual financial framework.

Explain what reprogramming is required, specifying the budget lines concerned and the corresponding amounts.

- Proposal/initiative requires application of the flexibility instrument or revision of the multiannual financial framework²¹.

Explain what is required, specifying the headings and budget lines concerned and the corresponding amounts.

3.2.5. *Third-party contributions*

- The proposal/initiative does not provide for co-financing by third parties
- The proposal/initiative provides for the co-financing estimated below:

Appropriations in EUR million (to 3 decimal places)

	Year N	Year N+1	Year N+2	Year N+3	... enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
<i>Specify the co-financing body</i>								
TOTAL appropriations cofinanced								

²¹ See points 19 and 24 of the Interinstitutional Agreement.

3.3. Estimated impact on revenue

- x Proposal/initiative has no financial impact on revenue.
- Proposal/initiative has the following financial impact:
 - on own resources
 - on miscellaneous revenue

EUR million (to 3 decimal places)

Budget revenue line:	Appropriations available for the ongoing budget year	Impact of the proposal/initiative ²²								
		Year N	Year N+1	Year N+2	Year N+3	... insert as many columns as necessary in order to reflect the duration of the impact (see point 1.6)				
Article										

For miscellaneous assigned revenue, specify the budget expenditure line(s) affected.

Specify the method for calculating the impact on revenue.

²²

As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 25% for collection costs.