



**COUNCIL OF
THE EUROPEAN UNION**

**Brussels, 9 April 2014
(OR. en)**

**8219/14
ADD 1**

BUDGET 10

EXPLANATORY MEMORANDUM

Subject: Draft amending budget No 1 to the general budget for 2014: Council position
of 9 April 2014
- *Technical annex*

VOLUME 1
TOTAL REVENUE

B. GENERAL STATEMENT OF REVENUE BY BUDGET HEADING

REVENUE

Figures

Title	Heading	Budget 2014	Council position on DAB No 1/2014	New amount
1	OWN RESOURCES	133 960 184 723		133 960 184 723
3	SURPLUSES, BALANCES AND ADJUSTMENTS	p.m.		p.m.
4	REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES	1 274 999 230		1 274 999 230
5	REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS	53 752 047		53 752 047
6	CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES	60 000 000		60 000 000
7	INTEREST ON LATE PAYMENTS AND FINES	123 000 000		123 000 000
8	BORROWING AND LENDING OPERATIONS	2 477 000		2 477 000
9	MISCELLANEOUS REVENUE	30 200 000		30 200 000
Total		135 504 613 000		135 504 613 000

TITLE 8 — BORROWING AND LENDING OPERATIONS

Figures

Title Chapter	Heading	Budget 2014	Council position on DAB No 1/2014	New amount
8 0	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES	p.m.		p.m.
8 1	LOANS GRANTED BY THE COMMISSION	p.m.		p.m.
8 2	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES	p.m.		p.m.
8 3	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES	p.m.		p.m.
8 5	REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES	2 477 000		2 477 000
Title 8 — Total		2 477 000		2 477 000

CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES

Figures

Title Chapter Article Item	Heading	Budget 2014	Council position on DAB No 1/2014	New amount
8 5	REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES			
8 5 0	<i>Dividends paid by the European Investment Fund</i>	2 477 000		2 477 000
	Chapter 8 5 — Total	2 477 000		2 477 000

Article 8 5 0 — Dividends paid by the European Investment Fund

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
2 477 000		2 477 000

Remarks

This article is intended to receive any dividends paid by the European Investment Fund in respect of this contribution.

As laid down in Article 2 of the Proposal for a Decision of the European Parliament and of the Council on the participation of the European Union in the capital increase of the European Investment Fund, during 2014 to 2017 the dividends received for the participation of the Union in the Fund shall be considered as external assigned revenue, in accordance with Article 21(4) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union. The assigned revenues cover part of the cost of subscription and are added to the resources of budget Item 01 04 01 01 'European Investment Fund – Provision of paid-up shares of subscribed capital.

Legal basis

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Reference acts

Proposal for a Decision of the European Parliament and of the Council on the participation of the European Union in the capital increase of the European Investment Fund (COM(2014)...).

VOLUME 3
SECTION III — COMMISSION

REVENUE — REVENUE

Figures

Title	Heading	Budget 2014	Council position on DAB No 1/2014	New amount
4	Revenue accruing from persons working with the institutions and other Union bodies	939 169 454		939 169 454
5	Revenue accruing from the administrative operation of the institution	52 450 000		52 450 000
6	Contributions and refunds in connection with Union agreements and programmes	60 000 000		60 000 000
7	Interest on late payments and fines	123 000 000		123 000 000
8	Borrowing and lending operations	2 477 000		2 477 000
9	Miscellaneous revenue	30 000 000		30 000 000
	Total	1 207 096 454		1 207 096 454

TITLE 8 — BORROWING AND LENDING OPERATIONS

Figures

Title Chapter	Heading	Budget 2014	Council position on DAB No 1/2014	New amount
8 0	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES	p.m.		p.m.
8 1	LOANS GRANTED BY THE COMMISSION	p.m.		p.m.
8 2	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES	p.m.		p.m.
8 3	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES	p.m.		p.m.
8 5	REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES	2 477 000		2 477 000
	Title 8 — Total	2 477 000		2 477 000

CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES

Figures

Title Chapter Article Item	Heading	Budget 2014	Council position on DAB No 1/2014	New amount
8 5	REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES			
8 5 0	<i>Dividends paid by the European Investment Fund</i>	2 477 000		2 477 000
	Chapter 8 5 — Total	2 477 000		2 477 000

Article 8 5 0 — Dividends paid by the European Investment Fund

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
2 477 000		2 477 000

Remarks

This article is intended to record any dividends paid by the European Investment Fund in respect of this contribution.

As laid down in Article 2 of the Proposal for a Decision of the European Parliament and of the Council on the participation of the European Union in the capital increase of the European Investment Fund, during 2014 to 2017 the dividends received for the participation of the Union in the Fund shall be considered as external assigned revenue, in accordance with Article 21(4) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union. The assigned revenues cover part of the cost of subscription and are added to the resources of budget Item 01 04 01 01 'European Investment Fund – Provision of paid-up shares of subscribed capital.

Legal basis

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Reference acts

Proposal for a Decision of the European Parliament and of the Council on the participation of the European Union in the capital increase of the European Investment Fund (COM(2014)...)

EXPENDITURE — EXPENDITURE

Figures

Title	Heading	Budget 2014		Council position on DAB No 1/2014		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	Economic and financial affairs	210 524 228	295 466 113	42 500 000	42 500 000	253 024 228	337 966 113
	40 02 41	<u>2 000 000</u> 212 524 228	<u>2 000 000</u> 297 466 113			<u>2 000 000</u> 255 024 228	<u>2 000 000</u> 339 966 113
02	Enterprise and industry	2 536 375 797	2 105 143 666	-21 250 000	-21 250 000	2 515 125 797	2 083 893 666
03	Competition	94 462 975	94 462 975			94 462 975	94 462 975
04	Employment, social affairs and inclusion	13 839 025 490	11 621 742 555			13 839 025 490	11 621 742 555
05	Agriculture and rural development	58 046 850 675	55 635 020 579			58 046 850 675	55 635 020 579
06	Mobility and transport	2 867 047 650	1 003 284 934	144 000	144 000	2 867 191 650	1 003 428 934
07	Environment	407 281 956	345 558 517			407 281 956	345 558 517
08	Research and innovation	6 214 844 914	4 107 277 022	-16 140 970	-16 140 970	6 198 703 944	4 091 136 052
09	Communications networks, content and technology	1 637 399 923	961 129 100			1 637 399 923	961 129 100
10	Direct research	424 855 000	420 235 985	-5 253 030	-5 253 030	419 601 970	414 982 955
11	Maritime affairs and fisheries	950 774 942	667 482 286			950 774 942	667 482 286
	40 02 41	<u>115 342 000</u> 1 066 116 942	<u>112 342 000</u> 779 824 286			<u>115 342 000</u> 1 066 116 942	<u>112 342 000</u> 779 824 286
12	Internal market and services	116 900 978	117 126 978			116 900 978	117 126 978
13	Regional and urban policy	33 073 259 166	40 223 363 359			33 073 259 166	40 223 363 359
14	Taxation and customs union	157 048 298	122 369 692			157 048 298	122 369 692
15	Education and culture	2 820 024 822	2 241 707 412			2 820 024 822	2 241 707 412
16	Communication	246 356 400	244 896 374			246 356 400	244 896 374
17	Health and consumer protection	618 166 222	566 799 722			618 166 222	566 799 722
18	Home affairs	1 201 391 889	762 599 931			1 201 391 889	762 599 931
19	Foreign policy instruments	732 732 818	463 169 988			732 732 818	463 169 988
20	Trade	121 107 855	115 403 729			121 107 855	115 403 729
21	Development and cooperation	5 083 850 744	3 658 319 989	p.m.	p.m.	5 083 850 744	3 658 319 989
22	Enlargement	1 519 908 038	903 886 742			1 519 908 038	903 886 742
23	Humanitarian aid and civil protection	1 006 464 161	850 884 342			1 006 464 161	850 884 342
24	Fight against fraud	78 230 900	74 910 993			78 230 900	74 910 993
25	Commission's policy coordination and legal advice	194 113 789	194 836 589			194 113 789	194 836 589
26	Commission's administration	1 001 465 044	991 092 001			1 001 465 044	991 092 001
27	Budget	95 786 613	95 786 613			95 786 613	95 786 613
28	Audit	11 633 979	11 633 979			11 633 979	11 633 979
29	Statistics	131 894 632	152 072 858			131 894 632	152 072 858
30	Pensions and related expenditure	1 449 531 000	1 449 531 000			1 449 531 000	1 449 531 000
31	Language services	387 659 143	387 659 143			387 659 143	387 659 143
32	Energy	933 452 862	588 030 260			933 452 862	588 030 260
33	Justice	203 414 816	193 026 816			203 414 816	193 026 816
34	Climate action	121 471 119	42 711 025			121 471 119	42 711 025
40	Reserves	573 523 000	264 342 000			573 523 000	264 342 000
	Total	139 108 831 838	131 972 965 267			139 108 831 838	131 972 965 267
	Of which Reserves: 40 01 40, 40 02 41	<u>117 342 000</u>	<u>114 342 000</u>			<u>117 342 000</u>	<u>114 342 000</u>

TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

Figures

Title Chapter	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
01 01	Administrative expenditure of the 'Economic and financial affairs' policy area	5	83 091 934	83 091 934			83 091 934	83 091 934
01 02	Economic and monetary union	1	9 000 000	9 000 000			9 000 000	9 000 000
	40 02 41		2 000 000	2 000 000			2 000 000	2 000 000
			11 000 000	11 000 000			11 000 000	11 000 000
01 03	International economic and financial affairs	4	118 432 294	110 585 305			118 432 294	110 585 305
01 04	Financial operations and instruments	1	p.m.	92 788 874	42 500 000	42 500 000	42 500 000	135 288 874
	Title 01 — Total		210 524 228	295 466 113	42 500 000	42 500 000	253 024 228	337 966 113
	40 02 41		2 000 000	2 000 000			2 000 000	2 000 000
	Total including reserves		212 524 228	297 466 113			255 024 228	339 966 113

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
01 04	Financial operations and instruments							
01 04 01	European Investment Fund							
01 04 01 01	European Investment Fund — Provision of paid-up shares of subscribed capital	1.1	—	—	42 500 000	42 500 000	42 500 000	42 500 000
01 04 01 02	European Investment Fund — Callable portion of subscribed capital	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 01 04 01 — Subtotal</i>		p.m.	p.m.	42 500 000	42 500 000	42 500 000	42 500 000
01 04 02	Nuclear safety — Cooperation with the European Investment Bank							
		1.1	p.m.	p.m.			p.m.	p.m.
01 04 03	Guarantee for Euratom borrowings							
		1.1	p.m.	p.m.			p.m.	p.m.
01 04 51	Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014)							
		1.1	p.m.	92 788 874			p.m.	92 788 874
	Chapter 01 04 — Total		p.m.	92 788 874	42 500 000	42 500 000	42 500 000	135 288 874

Article 01 04 01 — European Investment Fund

Item 01 04 01 01 — European Investment Fund — Provision of paid-up shares of subscribed capital

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	42 500 000	42 500 000	42 500 000	42 500 000

Remarks

Former Item 01 04 09 01

This item is intended to cover the financing of the provision of paid-up shares of the capital subscribed by the Union.

The European Investment Fund (EIF) was created in 1994. Its founder members were the European Community, represented by the Commission, the European Investment Bank (EIB) and a number of financial institutions. Union membership of the EIF is presently governed by Decision 94/375/EC.

In the conclusions of the European Council of 19/20 December 2013, the European Council calls on the Commission and the EIB to further enhance the EIF capacity through an increase in its capital with a view to reaching final agreement by May 2014.

Reference acts

Proposal for a Decision of the European Parliament and of the Council on the participation of the European Union in the capital increase of the European Investment Fund (COM(2014)...).

Item 01 04 01 02 — European Investment Fund — Callable portion of subscribed capital

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.			p.m.	p.m.

Remarks

Former Item 01 04 09 02

This appropriation is intended to cover the financing in the event of a call for the amount of capital subscribed by the Union.

In the conclusions of the European Council of 19/20 December 2013, the European Council calls on the Commission and the EIB to further enhance the EIF capacity through an increase in its capital with a view to reaching final agreement by May 2014.

Reference acts

Proposal for a Decision of the European Parliament and of the Council on the participation of the European Union in the capital increase of the European Investment Fund (COM (2014)...).

TITLE 02 — ENTERPRISE AND INDUSTRY

Figures

Title Chapter	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 01	Administrative expenditure of the 'Enterprise and industry' policy area		119 530 259	119 530 259			119 530 259	119 530 259
02 02	Competitiveness of enterprises and small and medium-sized enterprises (Cosme)		268 307 275	135 662 459	-21 250 000	-21 250 000	247 057 275	114 412 459
02 03	Internal market for goods and sectorial policies		39 170 000	32 330 554			39 170 000	32 330 554
02 04	Horizon 2020 — Research relating to enterprises	1	401 518 263	486 556 651			401 518 263	486 556 651
02 05	European satellite navigation programmes (EGNOS and Galileo)	1	1 347 417 000	1 144 387 928			1 347 417 000	1 144 387 928
02 06	European Earth observation programme	1	360 433 000	186 675 815			360 433 000	186 675 815
	Title 02 — Total		2 536 375 797	2 105 143 666	-21 250 000	-21 250 000	2 515 125 797	2 083 893 666

CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME)

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 02	Competitiveness of enterprises and small and medium-sized enterprises (Cosme)							
02 02 01	<i>Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises</i>	1.1	102 709 687	14 575 804			102 709 687	14 575 804
02 02 02	<i>Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt</i>	1.1	161 907 588	87 914 000	-21 250 000	-21 250 000	140 657 588	66 664 000
02 02 51	<i>Completion of former activities in the competitiveness and entrepreneurship domain</i>	1.1	p.m.	26 666 655			p.m.	26 666 655
02 02 77	<i>Pilot projects and preparatory actions</i>							
02 02 77 01	Preparatory action — Support for small and medium-sized enterprises (SMEs) in the new financial environment	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 02	Pilot project — Erasmus for Young Entrepreneurs	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 03	Preparatory action — Erasmus for Young Entrepreneurs	1.1	p.m.	835 000			p.m.	835 000
02 02 77 04	Pilot project — Actions in connection with the textile and footwear sector	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 05	Preparatory action — European destinations of excellence	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 06	Preparatory action — Sustainable tourism	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 07	Preparatory action — Social tourism in Europe	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 08	Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products	1.1	2 000 000	2 000 000			2 000 000	2 000 000
02 02 77 09	Preparatory action — Tourism and accessibility for all	1.1	690 000	1 035 000			690 000	1 035 000

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 02 77 10	Preparatory action — Euromed innovation entrepreneurs for change	1.1	p.m.	1 000 000			p.m.	1 000 000
02 02 77 11	Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union	1.1	p.m.	286 000			p.m.	286 000
02 02 77 12	Pilot project — European rare earth competency network	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 13	Pilot project — Development of the European 'creative districts'	3	p.m.	350 000			p.m.	350 000
02 02 77 14	Pilot project — Rapid and efficient enforcement of outstanding claims by small and medium-sized enterprises (SMEs) operating across borders	3	p.m.	500 000			p.m.	500 000
02 02 77 15	Preparatory action — Harmonised e-business processes and standards between European small and medium-sized enterprises (SMEs) of interrelated industry sectors	1.1	p.m.	p.m.			p.m.	p.m.
02 02 77 16	Pilot project — on the Future of Manufacturing	1.1	1 000 000	500 000			1 000 000	500 000
	<i>Article 02 02 77 — Subtotal</i>		3 690 000	6 506 000			3 690 000	6 506 000
	Chapter 02 02 — Total		268 307 275	135 662 459	-21 250 000	-21 250 000	247 057 275	114 412 459

Article 02 02 02 — Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
161 907 588	87 914 000	-21 250 000	-21 250 000	140 657 588	66 664 000

Remarks

New article

This appropriation is to be used to improve access to finance for SMEs in the form of equity and debt in their start-up, growth and transfer phase.

A loan guarantee facility (LGF) shall provide counter-guarantees, direct guarantees and other risk sharing arrangements for debt financing which shall reduce the particular difficulties that viable SMEs face in accessing finance either due to their perceived higher risk or their lack of sufficient available collateral and for securitisation of SME debt finance portfolios.

An equity facility for growth (EFG) shall allow investments in risk capital funds which invest in SMEs in the expansion and growth stage, and in particular in those operating cross-borders. The possibility shall exist to invest in early stage funds in conjunction with the equity facility for RDI under Horizon 2020. In cases of joint investments in multi-stage funds, investments will be provided on a pro-rata basis from the EFG of Cosme and the equity facility for RDI under Horizon 2020. Support from the EFG shall be (a) either directly by the European Investment Fund (EIF) or other entities entrusted with the implementation on behalf of the Commission or (b) by funds-of-funds or investment vehicles investing across borders.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6341 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21(3)(i) of the Financial Regulation.

Legal basis

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33), and in particular Article 3(1)(d) thereof.

CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 04	Horizon 2020 — Research relating to enterprises							
02 04 02	Industrial leadership							
02 04 02 01	Leadership in space	1.1	161 352 331	14 704 483			161 352 331	14 704 483
02 04 02 02	Enhancing access to risk finance for investing in research and innovation	1.1	p.m.	p.m.			p.m.	p.m.
02 04 02 03	Increasing innovation in small and medium-sized enterprises (SMEs)	1.1	32 512 243	2 962 930			32 512 243	2 962 930
	<i>Article 02 04 02 — Subtotal</i>		193 864 574	17 667 413			193 864 574	17 667 413
02 04 03	Societal challenges							
02 04 03 01	Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials	1.1	69 306 327	5 986 022			69 306 327	5 986 022
02 04 03 02	Fostering secure European societies	1.1	138 347 362	10 865 760			138 347 362	10 865 760
	<i>Article 02 04 03 — Subtotal</i>		207 653 689	16 851 782			207 653 689	16 851 782
02 04 50	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development							
02 04 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
02 04 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 02 04 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount		
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 04 51	<i>Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)</i>	1.1	p.m.	410 942 214			p.m.	410 942 214	
02 04 52	<i>Completion of previous research framework programmes (prior to 2007)</i>	1.1	p.m.	p.m.			p.m.	p.m.	
02 04 53	<i>Completion of Competitiveness and Innovation Framework Programme — Innovation part (2007-2013)</i>	1.1	p.m.	41 095 242			p.m.	41 095 242	
Chapter 02 04 — Total				401 518 263	486 556 651			401 518 263	486 556 651

Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the Horizon 2020 — the Framework Programme for Research and Innovation which covers the 2014 to 2020 period.

Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative ‘Innovation Union’ and other flagship initiatives, notably ‘Resource efficient Europe’, ‘An industrial policy for the globalisation era’, and ‘A digital agenda for Europe’, as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 02 04 50 01.

Administrative appropriations of this chapter will be provided under Chapter 02 01 05.

Article 02 04 03 — Societal challenges

Remarks

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test-beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

Item 02 04 03 01 — Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
69 306 327	5 986 022			69 306 327	5 986 022

Remarks

New item

The aim of this appropriation will be to support secure supply of raw materials in order to meet the needs of a growing global population within the sustainable limits of the planet's natural resources. Activities will target the improvement of the knowledge base on raw materials and the development of innovative solutions for the cost-effective and environmentally friendly exploration, extraction, processing, recycling and recovery of raw materials and for their substitution by economically attractive alternatives with a lower environmental impact.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p.965), and in particular Article 3(3)(e) thereof.

Item 02 04 03 02 — Fostering secure European societies

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
138 347 362	10 865 760			138 347 362	10 865 760

Remarks

New item

The aim of this appropriation is to:

- support Union policies for internal and external security, whilst at the same time improving the competitiveness and technology base of the Union’s security industry and to stimulate cooperation between providers and users of security solutions. Activities will target the development of innovative technologies and solutions that address security gaps and lead to the prevention of security threats. The focus of activities shall be to fight crime and terrorism, including critical infrastructure protection; strengthen security through border management; increase Europe’s resilience to crises and disasters whilst protecting personal data and fundamental human rights,
- support the strengthening of the evidence base and support for the Innovation Union and ERA which are needed to foster the development of innovative societies and policies in Europe through the engagement of citizens, enterprises and users in research and innovation and the promotion of coordinated research and innovation policies in the context of globalisation.

Part of this appropriation is intended to be used for operations carried out by the Confederation of European Senior Expert Services — CESES — and its Member Associations, including technical assistance, advisory services and training in selected public and private sector enterprises and institutions. For that to be achieved, EU authorising officers are encouraged to make full use of the possibilities offered by the new financial regulation, notably taking into account in-kind financing by CESES as contribution to EU projects.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p.965), and in particular Article 3(3)(f) thereof.

TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

Figures

Title Chapter	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 01	Administrative expenditure of the 'Agriculture and rural development' policy area		129 051 616	129 051 616			129 051 616	129 051 616
05 02	Improving the competitiveness of the agricultural sector through interventions in agricultural markets	2	2 233 400 000	2 233 250 000			2 233 400 000	2 233 250 000
05 03	Direct aids aimed at contributing to farm incomes, limiting farm income variability and meeting environment and climate objectives	2	41 447 275 640	41 447 275 640			41 447 275 640	41 447 275 640
05 04	Rural development	2	13 987 271 059	11 611 354 028			13 987 271 059	11 611 354 028
05 05	Instrument for Pre-accession Assistance — Agriculture and rural development	4	90 000 000	110 997 038			90 000 000	110 997 038
05 06	International aspects of the 'Agriculture and rural development' policy area	4	6 696 000	5 590 437			6 696 000	5 590 437
05 07	Audit of agricultural expenditure financed by the European Agricultural Guarantee Fund (EAGF)	2	60 200 000	60 200 000			60 200 000	60 200 000
05 08	Policy strategy and coordination of the 'Agriculture and rural development' policy area	2	40 793 360	35 010 852			40 793 360	35 010 852
05 09	Horizon 2020 — Research and innovation related to agriculture	1	52 163 000	2 290 968			52 163 000	2 290 968
	Title 05 — Total		58 046 850 675	55 635 020 579			58 046 850 675	55 635 020 579

CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 08	Policy strategy and coordination of the 'Agriculture and rural development' policy area							
05 08 01	<i>Farm Accountancy Data Network (FADN)</i>	2	14 619 600	13 733 871			14 619 600	13 733 871
05 08 02	<i>Surveys on the structure of agricultural holdings</i>	2	250 000	200 000			250 000	200 000
05 08 03	<i>Restructuring of systems for agricultural surveys</i>	2	1 753 760	1 695 892			1 753 760	1 695 892
05 08 06	<i>Enhancing public awareness of the common agricultural policy</i>	2	11 000 000	11 000 000			11 000 000	11 000 000
05 08 09	<i>European Agricultural Guarantee Fund (EAGF) — Operational technical assistance</i>	2	1 670 000	1 670 000			1 670 000	1 670 000
05 08 77	<i>Pilot projects and preparatory actions</i>							
05 08 77 01	Pilot project — Assessing end-user costs of compliance with Union legislation in the fields of environment, animal welfare and food safety	2	p.m.	411 089			p.m.	411 089
05 08 77 02	Pilot project — Exchanging best practice for cross compliance simplification	2	p.m.	p.m.			p.m.	p.m.
05 08 77 03	Pilot project — Support for farmers' cooperatives	2	p.m.	p.m.			p.m.	p.m.

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 08 77 04	Pilot project — European farm prices and margins observatory	2	p.m.	p.m.			p.m.	p.m.
05 08 77 05	Pilot project — Support for farmers' and consumers' initiatives for low carbon emission, low energy consumption and locally marketed food production	2	p.m.	p.m.			p.m.	p.m.
05 08 77 06	Preparatory action — European farm prices and margins observatory	2	1 000 000	1 000 000			1 000 000	1 000 000
05 08 77 07	Pilot project — Measures to combat speculation in agricultural commodities	2	p.m.	p.m.			p.m.	p.m.
05 08 77 08	Pilot project — Exchange programme for young farmers	2	p.m.	600 000			p.m.	600 000
05 08 77 09	Preparatory action — Union plant and animal genetic resources	2	1 000 000	600 000			1 000 000	600 000
05 08 77 10	Pilot project — Agropol: development of a European cross-border Agribusiness Model Region	2	1 200 000	600 000			1 200 000	600 000
05 08 77 11	Pilot project — Agroforestry	2	1 000 000	500 000			1 000 000	500 000
	<i>Article 05 08 77 — Subtotal</i>		4 200 000	3 711 089			4 200 000	3 711 089
05 08 80	Union participation at the 'Feeding the Planet — Energy for Life' World Exposition 2015 in Milan	2	7 300 000	3 000 000			7 300 000	3 000 000
	Chapter 05 08 — Total		40 793 360	35 010 852			40 793 360	35 010 852

Remarks

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 and Article 174(2) of the Financial Regulation.

Legal basis

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Article 05 08 80 — Union participation at the 'Feeding the Planet — Energy for Life' World Exposition 2015 in Milan

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 300 000	3 000 000			7 300 000	3 000 000

Remarks

New article

This appropriation is intended to finance the participation of the Union at the universal Expo 'Feeding the Planet: Energy for Life', to be held in Milan in 2015.

The appropriations are intended to cover the baseline costs for the Union participation within the Italian Pavilion (rental of space, stand erection and decoration, running costs) as well as for the preparatory and initial stages of a basic scientific programme for the EXPO 2015 involving the compilation of baseline data for policy support purposes. The cost of organising specific or thematic events and exhibitions (e.g. reimbursement of expert costs, exhibition materials, etc.) will be covered by appropriations of the relevant specific programmes according to the policy area concerned.

Legal basis

Task resulting from the Commission's prerogatives at institutional level within the meaning of Article 54(2d) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

TITLE 06 — MOBILITY AND TRANSPORT

Figures

Title Chapter	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
06 01	Administrative expenditure of the 'Mobility and transport' policy area		72 500 880	72 500 880	-336 000	-336 000	72 164 880	72 164 880
06 02	European transport policy	1	2 582 441 731	903 416 322			2 582 441 731	903 416 322
06 03	Horizon 2020 — Research and innovation related to transport	1	212 105 039	27 367 732	480 000	480 000	212 585 039	27 847 732
	Title 06 — Total		2 867 047 650	1 003 284 934	144 000	144 000	2 867 191 650	1 003 428 934

CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2014	Council position on DAB No 1/2014	New amount
06 01	Administrative expenditure of the 'Mobility and transport' policy area				
06 01 01	Expenditure related to officials and temporary staff in the 'Mobility and transport' policy area	5.2	40 868 495		40 868 495
06 01 02	External personnel and other management expenditure in support of the 'Mobility and transport' policy area				
06 01 02 01	External personnel	5.2	2 325 880		2 325 880
06 01 02 11	Other management expenditure	5.2	2 232 988		2 232 988
	<i>Article 06 01 02 — Subtotal</i>		4 558 868		4 558 868
06 01 03	Expenditure related to information and communication technology equipment and services of the 'Mobility and transport' policy area	5.2	2 645 371		2 645 371
06 01 04	Support expenditure for operations and programmes in the 'Mobility and transport' policy area				
06 01 04 01	Support expenditure for Connecting Europe Facility (CEF) — Transport	1.1	2 895 000		2 895 000
	<i>Article 06 01 04 — Subtotal</i>		2 895 000		2 895 000
06 01 05	Support expenditure for research and innovation programmes in the 'Mobility and transport' policy area				
06 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	5 612 344		5 612 344
06 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	2 768 667		2 768 667
06 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	891 638	-336 000	555 638
	<i>Article 06 01 05 — Subtotal</i>		9 272 649	-336 000	8 936 649

Title Chapter Article Item	Heading	FF	Budget 2014	Council position on DAB No 1/2014	New amount
06 01 06	Executive agencies				
06 01 06 01	Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF)	1.1	12 260 497		12 260 497
06 01 06 02	Executive Agency for Small and Medium-sized Enterprises — Contribution from Connecting Europe Facility (CEF)	1.1	p.m.		p.m.
	<i>Article 06 01 06 — Subtotal</i>		12 260 497		12 260 497
	Chapter 06 01 — Total		72 500 880	-336 000	72 164 880

Article 06 01 05 — Support expenditure for research and innovation programmes in the ‘Mobility and transport’ policy area

Item 06 01 05 01 — Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
5 612 344		5 612 344

Remarks

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 06 03.

Item 06 01 05 02 — External personnel implementing research and innovation programmes — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
2 768 667		2 768 667

Remarks

This appropriation is intended to cover expenditure relating to external personnel implementing research and innovation programmes — Horizon 2020, engaged in indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 06 03.

Item 06 01 05 03 — Other management expenditure for research and innovation programmes — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
891 638	-336 000	555 638

Remarks

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020, in indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 06 03.

CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
06 03	Horizon 2020 — Research and innovation related to transport							
06 03 03	Societal challenges							
06 03 03 01	Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system	1.1	192 105 039	9 415 097			192 105 039	9 415 097
	<i>Article 06 03 03 — Subtotal</i>		192 105 039	9 415 097			192 105 039	9 415 097
06 03 07	Joint Undertakings							
06 03 07 31	Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2) — Support expenditure	1.1	p.m.	p.m.			p.m.	p.m.
06 03 07 32	Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)	1.1	20 000 000	10 000 000			20 000 000	10 000 000
06 03 07 33	Shift2Rail (S2R) Joint Undertaking – Support expenditure				480 000	480 000	480 000	480 000
06 03 07 34	Shift2Rail (S2R) Joint Undertaking				p.m.	p.m.	p.m.	p.m.
	<i>Article 06 03 07 — Subtotal</i>		20 000 000	10 000 000	480 000	480 000	20 480 000	10 480 000
06 03 50	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development							
06 03 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
06 03 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 06 03 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
06 03 51	Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)	1.1	p.m.	7 952 635			p.m.	7 952 635
06 03 52	Completion of previous research framework programmes (prior to 2007)	1.1	—	p.m.			—	p.m.
	Chapter 06 03 — Total		212 105 039	27 367 732	480 000	480 000	212 585 039	27 847 732

Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation is intended to be used for Horizon 2020 — The Framework Programme for Research and Innovation which covers the 2014 to 2020 period.

Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative ‘Innovation Union’ and other flagship initiatives, notably ‘Resource efficient Europe’, ‘An industrial policy for the globalisation era’, and ‘A digital agenda for Europe’, as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. The programme will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation is intended to be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Revenue from States taking part in the European Cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Item 06 03 50 01.

Administrative appropriations of this chapter will be provided under Article 06 01 05.

Article 06 03 07 — Joint Undertakings

Item 06 03 07 31 — Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)
— Support expenditure

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.			p.m.	p.m.

Remarks

The Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenge ‘Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system’. It shall have the objective of ensuring the modernisation of the European Air Traffic Management (ATM) system by concentrating and coordinating all relevant ATM Research and Innovation activities in the EU and in line with the ATM Master Plan.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 12.12.2013, p. 965).

Reference acts

Proposal for a Regulation of the Council, submitted by the Commission on 10 July 2013, amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024 (COM(2013) 503 final).

Item 06 03 07 32 — Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 000 000	10 000 000			20 000 000	10 000 000

Remarks

The Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2) shall contribute to the implementation of Horizon 2020 and in particular the Societal challenge ‘Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system’. It shall have the objective of ensuring the modernisation of the European Air Traffic Management (ATM) system by concentrating and coordinating all relevant ATM Research and Innovation activities in the EU and in line with the ATM Master Plan.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 12.12.2013, p. 965).

Reference acts

Proposal for a Regulation of the Council, submitted by the Commission on 10 July 2013, amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024 (COM(2013) 503 final).

Item 06 03 07 33 — Shift2Rail (S2R) Joint Undertaking – Support expenditure

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		480 000	480 000	480 000	480 000

Remarks

New Item

The Shift2Rail (S2R) Joint Undertaking shall contribute to the implementation of Horizon 2020 and in particular the Societal challenge 'Smart, Green and Integrated Transport'. It shall have the objective of contributing to the achievement of the Single European Railway Area and to a faster and cheaper transition to a more attractive, competitive, efficient and sustainable European rail system, through a comprehensive and co-ordinated approach addressing the research and innovation needs of the rail system and its users.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 12.12.2013, p. 965).

Reference acts

Proposal for a Regulation of the Council establishing the Shift2Rail Joint Undertaking, submitted by the Commission on 16 December 2013 (COM(2013) 922 final).

Item 06 03 07 34 — Shift2Rail (S2R) Joint Undertaking

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		p.m.	p.m.	p.m.	p.m.

Remarks

New Item

The Shift2Rail (S2R) Joint Undertaking shall contribute to the implementation of Horizon 2020 and in particular the Societal challenge 'Smart, Green and Integrated Transport'. It shall have the objective of contributing to the achievement of the Single European Railway Area and to a faster and cheaper transition to a more attractive, competitive, efficient and sustainable European rail system, through a comprehensive and co-ordinated approach addressing the research and innovation needs of the rail system and its users.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 12.12.2013, p. 965).

Reference acts

Proposal for a Regulation of the Council establishing the Shift2Rail Joint Undertaking, submitted by the Commission on 16 December 2013 (COM(2013) 922 final).

TITLE 08 — RESEARCH AND INNOVATION

Figures

Title Chapter	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 01	Administrative expenditure of the 'Research and innovation' policy area		319 266 491	319 266 491	-144 000	-144 000	319 122 491	319 122 491
08 02	Horizon 2020 — Research		5 034 148 618	3 129 233 075	-15 996 970	-15 996 970	5 018 151 648	3 113 236 105
08 03	Euratom Programme — Indirect actions	1	140 512 000	102 676 396			140 512 000	102 676 396
08 04	ITER Programme	1	720 917 805	556 101 060			720 917 805	556 101 060
08 05	Research programme of the Research Fund for Coal and Steel	1	p.m.	p.m.			p.m.	p.m.
Title 08 — Total			6 214 844 914	4 107 277 022	-16 140 970	-16 140 970	6 198 703 944	4 091 136 052

Remarks

These remarks apply to all the budget lines in this title.

Research and innovation activities under this title will contribute to three main research programmes, i.e. Horizon 2020, Euratom and ITER programme. It will also cover research programmes of the research fund for coal and steel.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Particular account will be taken of the need to step up efforts to enhance the participation and role of women in science and research.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations also cover administrative expenditure, including expenditure on staff, whether covered by the Staff Regulations or not, information, publications, administrative and technical operation, and certain other expenditure items relating to internal infrastructure linked with the achievement of the objective of the measure of which they form an integral part, including the action and initiatives necessary for preparation and monitoring of the Union's strategy on research, technological development and demonstration (RTD).

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

The possibility of third countries or institutes from third countries taking part in European Cooperation in the field of Scientific and Technical Research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European Cooperation in the field of Scientific and Technical Research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Article 02 50 01 and Items 08 03 50 01 and 08 04 50 01.

Administrative appropriations of this title will be provided under Article 08 01 05.

CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2014	Council position on DAB No 1/2014	New amount
08 01	Administrative expenditure of the ‘Research and innovation’ policy area				
08 01 01	Expenditure related to officials and temporary staff in the ‘Research and innovation’ policy area	5.2	8 393 529		8 393 529
08 01 02	External personnel and other management expenditure of the ‘Research and innovation’ policy area				
08 01 02 01	External personnel	5.2	278 259		278 259
08 01 02 11	Other management expenditure	5.2	383 826		383 826
	<i>Article 08 01 02 — Subtotal</i>		662 085		662 085
08 01 03	Expenditure related to information and communication technology equipment and services of the ‘Research and innovation’ policy area	5.2	543 304		543 304
08 01 05	Support expenditure for research and innovation programmes in the ‘Research and innovation’ policy area				
08 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	106 740 801		106 740 801
08 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	24 484 000		24 484 000
08 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	37 628 811	-144 000	37 484 811
08 01 05 11	Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom Programme	1.1	11 607 000		11 607 000
08 01 05 12	External personnel implementing research and innovation programmes — Euratom Programme	1.1	932 000		932 000
08 01 05 13	Other management expenditure for research and innovation programmes — Euratom Programme	1.1	4 413 000		4 413 000
08 01 05 21	Expenditure related to officials and temporary staff implementing research and innovation programmes — ITER programme	1.1	5 128 000		5 128 000
08 01 05 22	External personnel implementing research and innovation programmes — ITER programme	1.1	133 000		133 000
08 01 05 23	Other management expenditure for research and innovation programmes — ITER programme	1.1	1 846 000		1 846 000
	<i>Article 08 01 05 — Subtotal</i>		192 912 612	-144 000	192 768 612
08 01 06	Executive agencies				
08 01 06 01	European Research Council Executive Agency — Contribution from Horizon 2020	1.1	39 415 000		39 415 000
08 01 06 02	Research Executive Agency — Contribution from Horizon 2020	1.1	56 369 001		56 369 001
08 01 06 03	Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020	1.1	19 055 000		19 055 000
08 01 06 04	Innovation and Networks Executive Agency — Contribution from Horizon 2020	1.1	1 915 960		1 915 960
	<i>Article 08 01 06 — Subtotal</i>		116 754 961		116 754 961
	Chapter 08 01 — Total		319 266 491	-144 000	319 122 491

Article 08 01 05 — Support expenditure for research and innovation programmes in the ‘Research and innovation’ policy area

Item 08 01 05 01 — Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
106 740 801		106 740 801

Remarks

Former Item 08 01 05 01 (in part)

This appropriation covers expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 08 02.

Item 08 01 05 02 — External personnel implementing research and innovation programmes — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
24 484 000		24 484 000

Remarks

Former Item 08 01 05 02 (in part)

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 08 02.

Item 08 01 05 03 — Other management expenditure for research and innovation programmes — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
37 628 811	-144 000	37 484 811

Remarks

Former Item 08 01 05 03 (in part)

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

It is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 08 02.

Item 08 01 05 11 — Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom Programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
11 607 000		11 607 000

Remarks

Former Item 08 01 05 01 (in part)

This appropriation covers expenditure relating to officials and temporary staff implementing research and innovation programmes — Euratom programme, occupying posts on the authorised establishment plans engaged in indirect action under the nuclear programmes, including staff posted in Union delegations.

Legal basis

See Chapter 08 03.

Item 08 01 05 12 — External personnel implementing research and innovation programmes — Euratom Programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
932 000		932 000

Remarks

Former Item 08 01 05 02 (in part)

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Euratom Programme in the form of indirect action under the nuclear programmes, including external personnel posted in Union delegations.

Legal basis

See Chapter 08 03.

Item 08 01 05 13 — Other management expenditure for research and innovation programmes — Euratom Programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
4 413 000		4 413 000

Remarks

Former Item 08 01 05 03 (in part)

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Euratom Programme in the form of indirect action under the nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

It is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representation expenses.

Legal basis

See Chapter 08 03.

Item 08 01 05 21 — Expenditure related to officials and temporary staff implementing research and innovation programmes — ITER programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
5 128 000		5 128 000

Remarks

Former Item 08 01 05 01 (in part)

This appropriation covers expenditure relating to officials and temporary staff implementing research and innovation programmes — ITER programme, occupying posts on the authorised establishment plans engaged in indirect action under the nuclear and non-nuclear programmes, including staff posted in Union delegations.

Legal basis

See Chapter 08 04.

Item 08 01 05 22 — External personnel implementing research and innovation programmes — ITER programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
133 000		133 000

Remarks

Former Item 08 01 05 02 (in part)

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — ITER programme in the form of indirect action under the nuclear programmes, including external personnel posted in Union delegations.

Legal basis

See Chapter 08 04.

Item 08 01 05 23 — Other management expenditure for research and innovation programmes — ITER programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
1 846 000		1 846 000

Remarks

Former Item 08 01 05 03 (in part)

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — ITER programme in the form of indirect action under the nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, trainings and representation expenses.

Legal basis

See Chapter 08 04.

CHAPTER 08 02 — HORIZON 2020 — RESEARCH

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 02	Horizon 2020 — Research							
08 02 01	Excellent science							
08 02 01 01	Strengthening frontier research in the European Research Council	1.1	1 641 772 694	19 785 657			1 641 772 694	19 785 657
08 02 01 02	Strengthening research in future and emerging technologies	1.1	p.m.	p.m.			p.m.	p.m.
08 02 01 03	Strengthening European research infrastructures, including e-infrastructures	1.1	171 632 176	309 837			171 632 176	309 837
	<i>Article 08 02 01 — Subtotal</i>		1 813 404 870	20 095 494			1 813 404 870	20 095 494
08 02 02	Industrial leadership							
08 02 02 01	Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing	1.1	460 847 841	42 681 808			460 847 841	42 681 808
08 02 02 02	Enhancing access to risk finance for investing in research and innovation	1.1	384 814 753	326 766 435	-21 250 000	-21 250 000	363 564 753	305 516 435
08 02 02 03	Increasing innovation in small and medium-sized enterprises (SMEs)	1.1	33 663 565	3 067 854			33 663 565	3 067 854
	<i>Article 08 02 02 — Subtotal</i>		879 326 159	372 516 097	-21 250 000	-21 250 000	858 076 159	351 266 097

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 02 03	<i>Societal challenges</i>							
08 02 03 01	Improving lifelong health and wellbeing	1.1	545 411 715	40 118 438			545 411 715	40 118 438
08 02 03 02	Securing sufficient supplies of safe, healthy and high quality food and other bio-based products	1.1	204 041 869	22 468 062	-2 269 271		201 772 598	22 468 062
08 02 03 03	Making the transition to a reliable, sustainable and competitive energy system	1.1	278 434 628	28 655 994			278 434 628	28 655 994
08 02 03 04	Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless	1.1	394 541 594	8 086 531			394 541 594	8 086 531
08 02 03 05	Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials	1.1	271 940 800	2 478 694			271 940 800	2 478 694
08 02 03 06	Fostering inclusive, innovative and reflective European societies	1.1	193 407 483	17 625 757	-59 383 672		134 023 811	17 625 757
	<i>Article 08 02 03 — Subtotal</i>		1 887 778 089	119 433 476	-61 652 943		1 826 125 146	119 433 476
08 02 04	<i>Science with and for society – Spreading excellence and widening participation</i>							
08 02 04 01	Science with and for society	1.1	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
08 02 04 02	Spreading excellence and widening participation	1.1	p.m.	p.m.	66 905 973	5 253 030	66 905 973	5 253 030
	<i>Article 08 02 04 — Subtotal</i>		p.m.	p.m.	66 905 973	5 253 030	66 905 973	5 253 030
08 02 05	<i>Horizontal activities of Horizon 2020</i>	1.1	p.m.	p.m.			p.m.	p.m.
08 02 07	<i>Joint Undertakings</i>							
08 02 07 31	Innovative Medicines Initiative 2 Joint Undertaking (IMI2) — Support expenditure	1.1	490 000	490 000			490 000	490 000
08 02 07 32	Innovative Medicines Initiative 2 Joint Undertaking (IMI2)	1.1	207 300 000	16 600 000			207 300 000	16 600 000
08 02 07 33	Bio-Based Industries Joint Undertaking (BBI) — Support expenditure	1.1	977 500	977 500			977 500	977 500
08 02 07 34	Bio-Based Industries Joint Undertaking (BBI)	1.1	50 000 000	p.m.			50 000 000	p.m.
08 02 07 35	Clean Sky 2 Joint Undertaking (Clean Sky 2) — Support expenditure	1.1	1 225 333	1 225 333			1 225 333	1 225 333
08 02 07 36	Clean Sky 2 Joint Undertaking (Clean Sky 2)	1.1	100 000 000	13 000 000			100 000 000	13 000 000
08 02 07 37	Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2) — Support expenditure	1.1	292 667	292 667			292 667	292 667
08 02 07 38	Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)	1.1	93 354 000	p.m.			93 354 000	p.m.
	<i>Article 08 02 07 — Subtotal</i>		453 639 500	32 585 500			453 639 500	32 585 500
08 02 50	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>							
08 02 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
08 02 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 08 02 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
08 02 51	<i>Completion of previous research framework programme — Seventh Framework Programme — EC indirect action (2007 to 2013)</i>	1.1	p.m.	2 568 132 885			p.m.	2 568 132 885
08 02 52	<i>Completion of previous research framework programmes — Indirect action (prior to 2007)</i>	1.1	p.m.	16 232 123			p.m.	16 232 123

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 02 77	<i>Pilot projects and preparatory actions</i>							
08 02 77 01	Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming	2	p.m.	125 000			p.m.	125 000
08 02 77 02	Pilot project — Recovering critical raw materials through recycling: an opportunity for the European Union and the African Union	4	—	112 500			—	112 500
	<i>Article 08 02 77 — Subtotal</i>		p.m.	237 500			p.m.	237 500
	Chapter 08 02 — Total		5 034 148 618	3 129 233 075	-15 996 970	-15 996 970	5 018 151 648	3 113 236 105

Remarks

Horizon 2020 is the Union's new funding programme for research and innovation. It covers the period between 2014 and 2020 and brings together all existing Union research and innovation funding, including the Framework Programme for Research, the innovation related activities of the Competitiveness and Innovation Framework Programme and the European Institute of Innovation and Technology (EIT). The Euratom Research and Training Programme (2014-2018), based on the Euratom Treaty, is also an integral part of Horizon 2020. Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

This appropriation will be used in accordance with the Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for the participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Article 08 02 02 — Industrial leadership

Remarks

This priority of Horizon 2020 aims to make the Union a more attractive location to invest in research and innovation, by promoting activities where businesses set the agenda as well as at accelerating the development of new technologies which will underpin future businesses and economic growth. It will provide major investment in key industrial technologies, maximise the growth potential of Union companies by providing them with adequate levels of finance and help innovative SMEs to grow into world-leading companies.

Item 08 02 02 01 — Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
460 847 841	42 681 808			460 847 841	42 681 808

Remarks

New item

Leadership in enabling and industrial technologies shall provide dedicated support for research, development and demonstration on nanotechnology, advanced materials, biotechnology and advanced manufacturing and processing. Emphasis will be placed on interactions and convergence across and between the different technologies. In addition, emphasis shall be on R&D, large-scale pilots and demonstration activities, test beds and living labs, prototyping and product validation in pilot lines. Activities shall be designed to boost industrial competitiveness by stimulating industry, and in particular SMEs, to make more research and innovation investment.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(a)(ii) to (v) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
384 814 753	326 766 435	-21 250 000	-21 250 000	363 564 753	305 516 435

Remarks

New item

Access to risk finance activity will set up two financial facilities, namely a debt facility and an equity facility, in order to help remedy the current market deficiencies in accessing risk finance for research and innovation. The goal of the debt facility is to improve access to debt financing for public and private entities and public-private partnerships engaged in research and innovation activities requiring riskier investments. The goal of the equity facility is in particular to contribute to overcoming the deficiencies of the European venture capital market and provide equity and quasi-equity to cover the development and financing needs of innovating enterprises from the early stage (including seed stage and technology transfer), so that they can grow and expand. In addition to these financial facilities to be implemented in complementarity with Cosme's facilities as far as support to SMEs is concerned, a set of accompanying measures, such as support to investment-readiness schemes, will be provided.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21(3)(i) of the Financial Regulation.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(b) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Item 08 02 02 03 — Increasing innovation in small and medium-sized enterprises (SMEs)

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
33 663 565	3 067 854			33 663 565	3 067 854

Remarks

New item

To support the participation of SMEs in Horizon 2020 a dedicated market-oriented instrument will be introduced, which will target all types of innovative SMEs that wish to develop, grow and internationalise. In addition, support will be provided to research intensive SMEs in transnational research projects. Activities enhancing the innovation capacity of SMEs and improving the framework conditions for innovation will also be supported.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(c) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Article 08 02 03 — Societal challenges

Remarks

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test-beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

Item 08 02 03 01 — Improving lifelong health and wellbeing

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
545 411 715	40 118 438			545 411 715	40 118 438

Remarks

New item

Lifelong health and well-being for all, high-quality and economically sustainable health and care systems, and opportunities for new jobs and growth in the health sector and its related industries are the aims of this activity. To that respect activities will focus on effective health promotion and disease prevention (e.g. understanding the determinants of health, developing better preventive vaccines). In addition, emphasis will be placed on managing, treating and curing disease, disability and reduced functionality (e.g. by transferring knowledge to clinical practice and scalable innovation actions, better use of health data, independent and assisted living). Furthermore, efforts will be made to improve decision-making in prevention and treatment provision, identify and support the dissemination of best practice in the healthcare sector, and support integrated care and the uptake of technological, organisational and social innovations empowering in particular older persons as well as disabled persons to remain active and independent.

Part of this appropriation is intended to be used for operations carried out by the Confederation of European Senior Expert Services – CESES – and its member associations, including technical assistance, advisory services and training in selected public and private sector enterprises and institutions. For that to be achieved, Union authorising officers are encouraged to make full use of the possibilities offered by the new Financial Regulation, including CESES contributions in kind to Union projects.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(a) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Item 08 02 03 02 — Securing sufficient supplies of safe, healthy and high quality food and other bio-based products

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
204 041 869	22 468 062	-2 269 271		201 772 598	22 468 062

Remarks

New item

This activity will focus on developing more sustainable and productive agriculture and forestry systems, while at the same time developing services, concepts and policies for thriving rural livelihoods. In addition, emphasis will be placed on healthy and safe foods for all as well as competitive food processing methods that use fewer resources and produce fewer by-products. In parallel, efforts will be made on sustainably exploiting aquatic living resources (e.g. sustainable and environmentally friendly fisheries). Low carbon, resource efficient, sustainable and competitive European bio-based industries will also be promoted.

Part of the appropriations will be used to fund a project on Aquaponics for sustainable, smart and inclusive growth.

Aquaponics is considered a new revolution in food production. Aquaponics is a sustainable food production model, based on the basic principle of organic farming, which combines hydroponics (growing plants in sand, gravel or water) and aquaculture (fish farming). The idea is to combine these two techniques into a single system, so as to reinforce the positive effects of each technique and cancel each other's negative ones.

The major benefits of aquaponics are:

- water recycling and reuse,
- high productivity (measured in terms of quantity/space),
- small environmental footprint.

There are several aquaponics production systems to produce plants and fish. All systems operate on the same principles, however, they are extremely flexible in both their opportunities and in their design. The main challenge is to find the right balance between the fish population, the nutrients brought, the bacterial population and food produced. Research and development is needed to further find this right balance.

Still marginal in Europe, the potential of this culture system has already convinced many countries. Aquaponics is gaining popularity because of its benefits. More and more people are beginning to realise the immense potential of this technique. Entrepreneurs, innovators and producers are getting into aquaponics.

This food production technique can bring several benefits: strengthen the local food systems, addressing the issue of health and food safety; create employment opportunities, reduce the environmental impact, reduce dependence on imports, provide education and training, to stimulate industry, reducing the carbon footprint.

The project is fully in line with the aim of the Europe 2020 strategy. It intends to contribute to a sustainable, smart and inclusive growth in Union and international development context.

The overall objective is to facilitate the emergence of an innovative, resource efficient and more competitive food production system that combines food security and the sustainable use of resources while ensuring environmental protection.

As part of its efforts to improve food security the Union promotes aquaculture as a means to increase food availability, access to food, jobs and income, including within Horizon 2020. Aquaponics is one of the sustainable ways to achieve this.

Research and innovation in aquaponics at the Union level will support the development of a new industrial sector, opening prospects for new business, associations of actors in the aquaculture and horticultural sectors and the creation of dynamic short circuits in rural and urban areas. In addition aquaponics can contribute to strengthening and transforming communities (e.g. neighbourhoods, hospitals, prisons, nursing homes) and be a catalyst for social innovation.

Further research and innovation in this field may enable Europe to play an important role in the global bio-economy. It can make Europe more present on the international scene with regards to innovative, sustainable and competitive food production systems.

This project is based on a multidimensional approach that takes into account:

- the need to ensure the health and safety of food products through ecological agriculture and increasing aquaculture production,
- the inclusion of nutrition,
- the need for economy of resources (e.g. water, energy, land, capital) and improvements in the use of water, energy and space,
- protecting the environment,
- the importance of market development,
- the organisational and social innovation,
- utility organisations' and companies' social and solidarity economy,
- social inclusion and education,
- the need for creating new skills and new jobs with the aim of modernising labour markets,
- the strengthening of governance (i.e. strengthening the participation of stakeholders in decision-making),
- the importance attached by the Union to territorial cohesion and integrated territorial approach,
- a European context marked by the emergence of a policy of sustainable cities,
- the importance attached by the Union to develop an economy based on knowledge.

The project aims at being cross-regional, multi-disciplinary and cross-disciplinary. Activities shall include:

- making an inventory of technologies and organisational models that use aquaponics. The existing information on aquaponics is very scattered and it is therefore necessary to identify the actors, their expertise and mission,
- creating a ‘Community of Practice’ that pools within a network the expertise and resources in this field and implement a dynamic exchange, sharing and capitalisation of resource. The creation of a community (Technology Platform) including research, scientific, professional and business community and the operation of this network should be promoted.
- Support should be provided for this Technology Platform to:
 - identify areas of further need for research and innovation,
 - coordinate the establishment of collaborative innovation pilot projects,
 - increase knowledge transfer and disseminate innovations and best practices, expertise and lessons learned,
 - ensure the creation and maintenance of a resources centre for dissemination of knowledge and results.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(b) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Item 08 02 03 03 — Making the transition to a reliable, sustainable and competitive energy system

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
278 434 628	28 655 994			278 434 628	28 655 994

Remarks

New item

Efforts to ensure a secure, clean and efficient energy supply will focus on reducing the Union’s energy consumption and carbon footprint (for example through affordable systems and components incorporating smart technologies) and securing a low-cost, low-carbon electricity supply (for example through research, development and full-scale demonstration of innovative renewables and carbon capture and storage technologies). There will be a special focus on alternative fuels and mobile energy sources and on developing a single, smart European electricity grid. Activities will also focus on multi-disciplinary research for energy technologies and joint implementation of pan-European research programmes and world-class facilities. Furthermore, tools, methods and models for robust and transparent policy support will be developed and market uptake of energy innovation will be promoted. 85 % of the budget appropriations will be allocated to the renewable and end-use energy efficiency policy areas, including smart grids and energy storage, from 2014 onwards.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(c) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Item 08 02 03 04 — Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
394 541 594	8 086 531			394 541 594	8 086 531

Remarks

New item

Under this activity emphasis will be placed on resource-efficient transport (e.g. accelerating the development and deployment of a new generation of electric and other low or zero emission aircrafts, vehicles and vessels) as well as on better mobility with less congestion and more safety and security (e.g. promoting integrated door-to-door transport and logistics). The focus will also be on reinforcing the competitiveness and performance of European transport manufacturing industries and related services, by, for instance, developing the next generation of innovative transport means and preparing the ground for the following one. Activities which aim to improve the understanding of transport-related socioeconomic trends and prospects, and providing policy makers with evidence-based data and analyses will also be supported.

Part of the appropriations will be used to conduct an evaluation and feasibility study on ‘Towards a zero-emission ferry’.

The aim of this project is to evaluate the evolution steps, implementation possibility, and to draw up a feasibility study towards a zero-emission ferry boat, powered by renewable energy sources such as hydrogen in fuel cells.

While the principle use of hydrogen-fuelled fuel cells as power source is proven for smaller transport entities like busses, the utilisation in large ferries boats (e.g. RoPax ferries) should to be further evaluated in order to assess implementation possibilities. An evaluation and feasibility study for this type of transport mode and route would provide with a clearer assessment on the risks and opportunities to use hydrogen as transport fuel and fuel cells as energy converters in ferries.

The evaluation and feasibility study should notably focus on the possibilities to use surplus energy from wind farms’ night-time operations in order to generate hydrogen fuel, which would then provide energy for vessel propulsion and electricity consumption of on board services. Combined energy sources, such as solar panels and flettner rotors on board supplying the vessel with additional energy should also be assessed, with an overall objective of no carbon, sulphur or nitrogen emissions.

In order to optimise implementation possibilities, the evaluation should focus on short sea shipping routes taking into account the infrastructural and natural prerequisites in order to ensure an efficient supply of alternative energy sources (mainly wind farms), as well as expertise location and the presence of relevant technology centres and potential private sector partners. A mapping of appropriate locations should be realised as a first step, so as to identify possible and optimal routes for zero-emission ferry services in the Union.

The evaluation and feasibility study should include the mapping of necessary steps to take on hybrids, batteries, fuel cells and fuel types on the journey towards zero emission so that efficiency gains can be taken as soon as technologies mature sufficiently, but also to gain experience and envision further development.

In addition, a market assessment on identified routes could give a clearer picture on the costs of the implementation and operation of a zero-emission ferry, and finally assess whether a ferry service as described above would be economically viable under current market conditions.

The project could be foreseen for a duration of one or two years, selecting one or two appropriate ferry routes.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(d) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Item 08 02 03 05 — Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
271 940 800	2 478 694			271 940 800	2 478 694

Remarks

New item

The main focus of this activity is to achieve a resource-efficient and climate change resilient economy and a sustainable supply of raw materials in order to meet the needs of a growing global population within the sustainable limits of the planet's natural resources. In that respect, emphasis will be placed on fighting and adapting to climate change, on sustainably managing natural resources and ecosystems and on enabling the transition towards a green economy through eco-innovation. Comprehensive and sustained global environmental observation and information systems will also be developed.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(e) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Item 08 02 03 06 — Fostering inclusive, innovative and reflective European societies

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
193 407 483	17 625 757	-59 383 672		134 023 811	17 625 757

Remarks

New item

The aim of this activity is to contribute to making European societies more inclusive, innovative and reflective, by promoting smart, sustainable and inclusive growth. Actions will support coordinated policymaking through the development of evidence, tools, forward-looking activities and pilots to increase transnational efficiency and economic impact of research and innovation policies and achieve a well-functioning European Research Area and Innovation Union. Actions will also aim at closing the innovation divide, ensuring societal engagement in research and innovation, promoting coherent and effective cooperation with third countries and developing an understanding of Europe's intellectual basis: its history and the many European and non-European influences; as an inspiration for our lives today.

Part of this appropriation is intended to be used for operations carried out by the Confederation of European Senior Expert Services – CESES – and its member associations, including technical assistance, advisory services and training in selected public and private sector enterprises and institutions. For that to be achieved, EU authorising officers are encouraged to make full use of the possibilities offered by the new Financial Regulation, including CESES contributions in kind to EU projects.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(f) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Article 08 02 04 — Science with and for society – Spreading excellence and widening participation

Item 08 02 04 01 — Science with and for society

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	p.m.	p.m.

Remarks

The aim is to build effective cooperation between science and society, to recruit new talent for science and to pair scientific excellence with social awareness and responsibility.

The focus of activities shall be to: make scientific and technological careers attractive to young students, foster sustainable interaction between schools, research institutions, industry and civil society organisations; promote gender equality in the content and design of research activities; integrating society in science and innovation issues, policies and activities in order to integrate citizens' interests and values and to increase the quality, relevance, social acceptability and sustainability of research and innovation outcomes in various fields of activity from social innovation to areas such as biotechnology and nanotechnology; encouraging citizens to engage in science through formal and informal science education; developing the accessibility and the use of the results of publicly-funded research; developing the governance for the advancement of responsible research and innovation by all which is sensitive to society needs and demands; promote an ethics framework for research and innovation; taking due and proportional precautions in research and innovation activities by anticipating and assessing potential environmental, health and safety impacts; improving knowledge on science communication in order to improve the quality and effectiveness of interactions between scientists, general media and the public.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(5) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Item 08 02 04 02 — Spreading excellence and widening participation

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	66 905 973	5 253 030	66 905 973	5 253 030

Remarks

New Item

The aim is to fully exploit the potential of Europe's talent pool and to ensure that the benefits of an innovation-led economy are both maximised and widely distributed across the Union in accordance with the principle of excellence. By nurturing and connecting pools of excellence, the activities proposed will contribute to strengthening the European Research Area. The activities will focus on: teaming of excellent research institutions and low performing RDI regions aiming at the creation of new (or significant upgrade of existing) centres of excellence in low performing RDI Member States and regions, twinning of research institutions, establishing "ERA Chairs", a Policy Support Facility (PSF) to improve the design, implementation, and evaluation of national/regional research and innovation policies, supporting access to international networks for excellent researchers and innovators who lack sufficient involvement in European and international networks and strengthening the administrative and operational capacity of transnational networks of National Contact Points, including through training.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(4) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

Figures

Title Chapter	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 01	Administrative expenditure of the 'Communications networks, content and technology' policy area		123 643 356	123 643 356			123 643 356	123 643 356
09 02	Regulatory framework for the Digital Agenda		18 026 948	17 844 948			18 026 948	17 844 948
09 03	Connecting Europe Facility (CEF) — Telecommunication networks	1	83 915 000	16 083 423			83 915 000	16 083 423
09 04	Horizon 2020	1	1 411 814 619	803 557 373			1 411 814 619	803 557 373
	Title 09 — Total		1 637 399 923	961 129 100			1 637 399 923	961 129 100

CHAPTER 09 04 — HORIZON 2020

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 04	Horizon 2020							
09 04 01	Excellent science							
09 04 01 01	Strengthening research in future and emerging technologies	1.1	246 003 372	10 300 623	-6 921 885		239 081 487	10 300 623
09 04 01 02	Strengthening European research infrastructure, including e-infrastructure	1.1	96 956 907	2 101 017			96 956 907	2 101 017
	<i>Article 09 04 01 — Subtotal</i>		342 960 279	12 401 640	-6 921 885		336 038 394	12 401 640
09 04 02	Industrial leadership							
09 04 02 01	Leadership in information and communications technology	1.1	720 260 961	44 192 289			720 260 961	44 192 289
	<i>Article 09 04 02 — Subtotal</i>		720 260 961	44 192 289			720 260 961	44 192 289
09 04 03	Societal challenges							
09 04 03 01	Improving lifelong health and well-being	1.1	131 580 377	11 991 283			131 580 377	11 991 283
09 04 03 02	Fostering inclusive, innovative and reflective European societies	1.1	77 973 002	505 313	-39 856 714		38 116 288	505 313
09 04 03 03	Fostering secure European societies				46 778 599		46 778 599	
	<i>Article 09 04 03 — Subtotal</i>		209 553 379	12 496 596	6 921 885		216 475 264	12 496 596
09 04 07	Joint Undertakings							
09 04 07 31	Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL) — Support expenditure	1.1	540 000	540 000			540 000	540 000
09 04 07 32	Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)	1.1	135 000 000	33 750 000			135 000 000	33 750 000
	<i>Article 09 04 07 — Subtotal</i>		135 540 000	34 290 000			135 540 000	34 290 000
09 04 50	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development							
09 04 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
09 04 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 09 04 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
09 04 51	Completion of the Seventh Framework Programme (2007 to 2013)	1.1	p.m.	618 054 637			p.m.	618 054 637
09 04 52	Completion of previous research framework programmes (prior to 2007)	1.1	—	p.m.			—	p.m.
09 04 53	Completion of Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP)							
09 04 53 01	Completion of Competitiveness and Innovation Framework Programme — Information and Communication Technologies Policy Support Programme (ICT PSP) (2007 to 2013)	1.1	p.m.	80 372 211			p.m.	80 372 211
09 04 53 02	Completion of previous information and communication technologies programmes (prior to 2007)	1.1	—	p.m.			—	p.m.
	<i>Article 09 04 53 — Subtotal</i>		p.m.	80 372 211			p.m.	80 372 211

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
09 04 77	<i>Pilots projects and preparatory actions</i>							
09 04 77 01	Pilot project — Open Knowledge Technologies: Mapping and validating knowledge	1.1	1 500 000	750 000			1 500 000	750 000
09 04 77 02	Pilot project — Connected for health: Wellbeing and Healthcare Solution in an Open Access FTTH-Networks	1.1	1 000 000	500 000			1 000 000	500 000
09 04 77 03	Pilot project — REIsearch (Research Excellence Innovation Framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policy makers	1.1	1 000 000	500 000			1 000 000	500 000
	<i>Article 09 04 77 — Subtotal</i>		3 500 000	1 750 000			3 500 000	1 750 000
	Chapter 09 04 — Total		1 411 814 619	803 557 373			1 411 814 619	803 557 373

Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the Framework Programme for Research and Innovation, which covers the 2014-2020 period.

Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative ‘Innovation Union’ and other flagship initiatives, notably ‘Resource efficient Europe’, ‘An industrial policy for the globalisation era’, and ‘A digital agenda for Europe’, as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of the Union and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for the participation and dissemination in ‘Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)’ and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European Cooperation in the field of Scientific and Technical Research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 09 04 50 01.

Administrative appropriations of this chapter will be provided under Article 09 01 05.

Article 09 04 01 — Excellent science

Remarks

This priority of Horizon 2020 aims to reinforce and extend excellence in the Union's science base and ensure a steady stream of world-class research to secure the Union's long-term competitiveness. It will support the best ideas, develop talent within the Union, provide researchers with access to priority research infrastructure, and make the Union an attractive location for the world's best researchers. Research actions to be funded will be determined according to the need and opportunities of science, without pre-determined thematic priorities. The research agenda will be set in close liaison with the scientific community and research will be funded on the basis of excellence.

Item 09 04 01 01 — Strengthening research in future and emerging technologies

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
246 003 372	10 300 623	-6 921 885		239 081 487	10 300 623

Remarks

New item

The specific objective is to foster radically new technologies by exploring novel and high-risk ideas building on scientific foundations. By providing flexible support to goal-oriented and interdisciplinary collaborative research on various scales and by adopting innovative research practices, the aim is to identify and seize opportunities of long-term benefit for citizens, the economy and society.

Activities under the 'Future and Emerging Technologies' specific objective shall address the entire spectrum of science-driven innovation: from bottom-up, small scale early explorations of embryonic and fragile ideas to building new research and innovation communities around transformative emerging research areas and large and federated research initiatives built around a research agenda aiming to achieve ambitious and visionary goals.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(1)(b) thereof.

Item 09 04 01 02 — Strengthening European research infrastructure, including e-infrastructure

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
96 956 907	2 101 017			96 956 907	2 101 017

Remarks

New item

The specific objective is to endow Europe with world-class research infrastructure which is accessible to all researchers in Europe and beyond and fully exploit their potential for scientific advance and innovation.

Activities will focus on development, deployment and operation of e-infrastructure. In addition, activities for innovation, strengthening human resources for research infrastructure, policy development and international cooperation are foreseen.

An integrated and service-driven approach will be taken to deliver e-infrastructures that respond to the needs of European science, industry and society in terms of development and deployment of integrated e-infrastructure services for a wide range of research communities (de-siloing). Maximising coordination and synergies with e-infrastructure development at national level and expanding e-infrastructure beyond science as such, to the science-industry-society triangle.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(1)(d) thereof.

Article 09 04 03 — Societal challenges

Remarks

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test-beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

Item 09 04 03 01 — Improving lifelong health and well-being

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
131 580 377	11 991 283			131 580 377	11 991 283

Remarks

New item

The vision of the eHealth Action Plan 2012-2020 is to utilise and develop eHealth to address several of the most pressing health and health systems challenges of the first half of the twenty-first century, namely:

- to improve chronic disease and multimorbidity (multiple concurrent disease) management and to strengthen effective prevention and health promotion practices,
- to increase the sustainability and efficiency of health systems by unlocking innovation, enhancing patient/citizen-centric care and citizen empowerment and encouraging organisational changes,
- to foster cross-border healthcare, health security, solidarity, universality and equity,
- to improve legal and market conditions for developing eHealth products and services.

ICT-based products and services have demonstrated their capacity to help address these major challenges in the form of personalised health, telehealth and telecare solutions, service robotics for health and care, support for prolonged active and independent living and home based care. It is also a major new growth opportunity as large new markets for ICT-based products and services emerge to address health, demographic change and well-being.

Activities will cover development and exploitation of ICT for health, well-being and ageing well solutions. This will build on the emergence of underpinning technologies arising out of ICT in LEIT such as micro-nano systems, embedded systems, robotics, Internet of the future and cloud technologies. It will also build on further development of security- and privacy-enhancing technologies.

The Ambient Assisted Living Research and Development Joint Programme will also be supported to contribute to market availability and exploitation of ICT-based products and services and the ICT innovation and pilot projects will be further supported in response to the European Innovation Partnership on Active and Health Ageing and the eHealth 2020 action plan.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

Part of this appropriation is intended to be used for operations carried out by the Confederation of European Senior Expert Services – CESES – and its member associations, including technical assistance, advisory services and training in selected public and private sector enterprises and institutions. For that to be achieved, Union authorising officers are encouraged to make full use of the possibilities offered by the new Financial Regulation, including CESES contributions in kind to Union projects.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(a) thereof.

Item 09 04 03 02 — Fostering inclusive, innovative and reflective European societies

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
77 973 002	505 313	-39 856 714		38 116 288	505 313

Remarks

New item

The specific objective is to foster inclusive, innovative and reflective European societies in a context of unprecedented transformations and growing global interdependencies.

Activities will cover four main areas: ICT-enabled public sector innovation, understanding and preserving Europe’s intellectual basis, learning and inclusion.

ICT-enabled public sector innovation refers to the use of ICT for the creation and implementation of new processes, products, services and methods of delivery which result in significant improvements in the efficiency, effectiveness and quality of public services. The future public administrations should be digital and cross-border by default. Activities cover fostering efficient, open and citizen-centric public services, involving the public sector as an agent for innovation and change as well as cross-border innovation measures or seamless delivery of public services.

The aim of the second challenge is ‘to contribute to an understanding of Europe’s intellectual basis: its history and the many European and non-European influences; as an inspiration for our lives today’.

The aim of the third challenge is to support the widespread adoption of ICT in schools and for training in Europe.

The fourth challenge is to get the elderly (aged 65 and above), the unemployed and low educated, migrants, people in need of care, people living in remote or poorer areas, persons with disabilities, and homeless to fully participate in society. Activities focus on empowering them with the necessary digital skills and providing them with access to digital technologies.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(f) thereof.

Item 09 04 03 03 — Fostering secure European societies

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		46 778 599		46 778 599	

Remarks

New Item

The specific objective is to secure societies, protecting freedom and security of Europe and its citizens.

The integrated portfolio of activities will develop solutions to protect our society and economy against accidental or man-made disruptions of the information and communication technologies our society and economy depends on; providing solutions for end-to-end secure ICT systems, services and applications; safeguarding the human right of privacy in the digital society; providing the incentives for the industry to supply secure ICT; stimulating the uptake of secure ICT. The aim is to ensure cyber security, trust and privacy in the Digital Single Market, whilst at the same time improving the competitiveness of the EU security, ICT and service industries. Another objective is to increase users trust in their participation to the Digital Society, and overcome citizens' concern with the disclosure of their personal information online because of security issues (e.g. internet usage for banking or purchases).

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(g) thereof.

TITLE 10 — DIRECT RESEARCH

Figures

Title Chapter	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
10 01	Administrative expenditure of the 'Direct research' policy area	1	353 845 000	353 845 000	-5 253 030	-5 253 030	348 591 970	348 591 970
10 02	Horizon 2020 — Direct actions of the Joint Research Centre (JRC) in support of Union policies	1	33 556 000	27 860 506			33 556 000	27 860 506
10 03	Euratom Programme — Direct actions	1	10 455 000	9 530 479			10 455 000	9 530 479
10 04	Other activities of the Joint Research Centre	1	p.m.	p.m.			p.m.	p.m.
10 05	Historical liabilities resulting from nuclear activities carried out by the Joint Research Centre pursuant to the Euratom Treaty	1	26 999 000	29 000 000			26 999 000	29 000 000
Title 10 — Total			424 855 000	420 235 985	-5 253 030	-5 253 030	419 601 970	414 982 955

Remarks

These remarks apply to all the budget lines in the 'Direct research' policy area (with the exception of Chapter 10 05).

These appropriations are intended to cover expenditure relating to:

- staff occupying posts on the authorised establishment plan of the Joint Research Centre (JRC) and external personnel implementing the Research and Innovation programmes,
- staff-related costs such as missions, training, medical services and recruitment,
- operation and functioning of the JRC institutes, administrative support, safety and security of the sites, IT related expenditure, non-recurrent costs and major research infrastructures,
- research and support activities including exploratory research, scientific and technical equipment, subcontracting of services, etc.,
- research and scientific support tasks relating to activities entrusted to the JRC within the framework of its participation on a competitive basis in support of the Union's policies and on behalf of outside bodies.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations.

Miscellaneous revenue may give rise to the provision of additional appropriations to be used in Chapters 10 02, 10 03 or 10 04 or Article 10 01 05, depending on their purpose.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The possibility of third countries or organisations from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Item 6 0 1 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

The additional appropriations will be provided under Items 10 02 50 01 and 10 03 50 01.

CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘DIRECT RESEARCH’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2014	Council position on DAB No 1/2014	New amount
10 01	Administrative expenditure of the ‘Direct research’ policy area				
10 01 05	Support expenditure for research and innovation programmes in the ‘Direct research’ policy area				
10 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	138 577 000	-1 877 000	136 700 000
10 01 05 02	External personnel implementing Research and Innovation programmes — Horizon 2020	1.1	32 731 000	-331 000	32 400 000
10 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	59 870 000	-1 706 030	58 163 970
10 01 05 04	Other expenditure for new major research infrastructures — Horizon 2020	1.1	3 339 000	-1 339 000	2 000 000
10 01 05 11	Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom Programme	1.1	56 275 000		56 275 000
10 01 05 12	External personnel implementing research and innovation programmes — Euratom programme	1.1	10 699 000		10 699 000
10 01 05 13	Other management expenditure for research and innovation programmes — Euratom Programme	1.1	38 707 000		38 707 000
10 01 05 14	Other expenditure for new major research infrastructures — Euratom Programme	1.1	13 647 000		13 647 000
	<i>Article 10 01 05 — Subtotal</i>		353 845 000	-5 253 030	348 591 970
	Chapter 10 01 — Total		353 845 000	-5 253 030	348 591 970

Article 10 01 05 — Support expenditure for research and innovation programmes in the ‘Direct research’ policy area

Remarks

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 2 2 1, 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations. Such revenue will be used to cover, inter alia, expenditure incurred on staff and resources in respect of work for third parties carried out by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

The appropriations could be supplemented by appropriations that the Joint Research Centre will earn by participating on a competitive basis in indirect actions and in scientific and technical activities in support of Union policies. The activities of a competitive nature conducted by the JRC shall consist of:

- activities carried out following grant or procurement procedures,
- activities on behalf of third parties,
- activities undertaken under an administrative agreement with other institutions or other Commission departments for the provision of technical-scientific services.

2013 appropriations from items in Article 10 01 05 automatically carried forward to 2014 will be booked onto the three Horizon 2020 items in this Article.

Item 10 01 05 01 — Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
138 577 000	-1 877 000	136 700 000

Remarks

Former Item 10 01 05 01 (in part)

This appropriation is intended to cover expenditure relating to officials and temporary staff occupying posts on the authorised establishment plan of the Joint Research Centre implementing research and innovation programmes — Horizon 2020, and in particular:

- direct action, consisting of research activities, scientific and technical support activities, and exploratory research activities undertaken in the establishments of the Joint Research Centre,
- indirect action, consisting of programmes implemented as part of the Joint Research Centre’s activities conducted on a competitive basis.

Staff costs comprise the basic salary, allowances, miscellaneous indemnities and contributions based on the statutory provisions, including expenses related to the entry into service, change in the place of employment and termination of service.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 10 02.

Item 10 01 05 02 — External personnel implementing Research and Innovation programmes — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
32 731 000	-331 000	32 400 000

Remarks

Former Item 10 01 05 02 (in part)

This appropriation is intended to cover the expenditure relating to external personnel occupying posts which are not on the establishment plan of the Joint Research Centre, i.e. contract staff, grant holders, seconded national experts and visiting scientists, including external personnel posted in Union delegations, implementing research and innovation programmes — Horizon 2020.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 10 02.

Item 10 01 05 03 — Other management expenditure for research and innovation programmes — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
59 870 000	-1 706 030	58 163 970

Remarks

Former Item 10 01 05 03 (in part)

This appropriation is intended to cover:

- staff-related expenditure not covered by Items 10 01 05 01 and 10 01 05 02 including missions, training, medical and social services, expenditure on organising competitions, interviewing candidates, representation costs, etc.,
- expenditure in respect of all resources used for the implementation of the Joint Research Centre (JRC) activities; this includes:
- expenses related to the operation and functioning of JRC institutes: regular maintenance of buildings, technical infrastructure and scientific equipment; utilities and fluids; heating, cooling and ventilation; workshop materials and equipment; cleaning of sites, roads and buildings; waste management; etc.,

- expenses related to the administrative support of JRC institutes: furniture; stationery; telecommunications; documentation and publications; transport; miscellaneous supplies; general insurances; etc.,
- expenses related to the safety and security of the sites: health and safety at work; radioprotection; fire-brigade; etc.,
- IT related expenditure: computer rooms; hardware and software; networking services; information systems; help-desk and assistance to users; etc.,
- non-recurrent costs: this appropriation covers renovation, rehabilitation and construction works on the JRC sites. It addresses expenses such as exceptional maintenance costs, renovation works, adaptation to new standards, etc. It may also finance research infrastructures not covered by Item 10 01 05 04.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 10 02.

Item 10 01 05 04 — Other expenditure for new major research infrastructures — Horizon 2020

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
3 339 000	-1 339 000	2 000 000

Remarks

New item

This appropriation is intended to cover expenditure in respect of all resources for the financing of major research infrastructure projects, in particular the construction of new buildings, the complete refurbishment of existing buildings and the purchase of important equipment related to the technical infrastructure of the sites.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

See Chapter 10 02.

Item 10 01 05 11 — Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom Programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
56 275 000		56 275 000

Remarks

Former Item 10 01 05 01 (in part)

This appropriation is intended to cover expenditure relating to officials and temporary staff occupying posts on the authorised establishment plan of the Joint Research Centre implementing research and innovation programmes — Euratom Programme, and in particular:

- direct action, consisting of research activities, scientific and technical support activities, and exploratory research activities undertaken in the establishments of the Joint Research Centre,
- indirect action, consisting of programmes implemented as part of the Joint Research Centre's activities conducted on a competitive basis.

Staff costs comprise the basic salary, allowances, miscellaneous indemnities and contributions based on the statutory provisions, including expenses related to the entry into service, change in the place of employment and termination of service.

Legal basis

See Chapter 10 03.

Item 10 01 05 12 — External personnel implementing research and innovation programmes — Euratom programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
10 699 000		10 699 000

Remarks

Former Item 10 01 05 02 (in part)

This appropriation is intended to cover the expenditure relating to external personnel occupying posts which are not on the establishment plan of the Joint Research Centre, i.e. contract staff, grant holders, seconded national experts and visiting scientists, including external personnel posted in Union delegations, implementing the research and innovation programmes — Euratom Programme.

Legal basis

See Chapter 10 03.

Item 10 01 05 13 — Other management expenditure for research and innovation programmes — Euratom Programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
38 707 000		38 707 000

Remarks

Former Item 10 01 05 03 (in part)

This appropriation is intended to cover:

- staff-related expenditure not covered by Items 10 01 05 11 and 10 01 05 12 including missions, training, medical and social services, expenditure on organising competitions, interviewing candidates, representation costs, etc.,
 - expenditure in respect of all resources used for the implementation of the Joint Research Centre (JRC) activities; this includes:
 - expenses related to the operation and functioning of JRC institutes: regular maintenance of buildings, technical infrastructure and scientific equipment; utilities and fluids; heating, cooling and ventilation; workshop materials and equipment; cleaning of sites, roads and buildings; waste management; etc.,
 - expenses related to the administrative support of JRC institutes: furniture; stationery; telecommunications; documentation and publications; transport; miscellaneous supplies; general insurances; etc.,
 - expenses related to the safety and security of the sites: health and safety at work; radioprotection; fire-brigade; etc.,
 - IT related expenditure: computer rooms; hardware and software; networking services; information systems; help-desk and assistance to users; etc.,
 - non-recurrent costs: this appropriation covers the renovation, rehabilitation and construction works on the JRC sites. It addresses expenses such as exceptional maintenance costs, renovation works, adaptation to new standards, etc. It may also finance research infrastructures not covered by Item 10 01 05 14.

Legal basis

See Chapter 10 03.

Item 10 01 05 14 — Other expenditure for new major research infrastructures — Euratom Programme

Figures

Budget 2014	Council position on DAB No 1/2014	New amount
13 647 000		13 647 000

Remarks

New item

This appropriation is intended to cover expenditure in respect of all resources for the financing of major research infrastructure projects, in particular the construction of new buildings, the complete refurbishment of existing buildings and the purchase of important equipment related to the technical infrastructure of the sites.

Legal basis

See Chapter 10 03.

TITLE 21 — DEVELOPMENT AND COOPERATION

Figures

Title Chapter	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 01	Administrative expenditure of the 'Development and cooperation' policy area		385 388 840	385 388 840			385 388 840	385 388 840
21 02	Development Cooperation Instrument (DCI)	4	2 260 039 588	1 638 168 193			2 260 039 588	1 638 168 193
21 03	European Neighbourhood Instrument (ENI)		2 132 480 712	1 388 280 950			2 132 480 712	1 388 280 950
21 04	European Instrument for Democracy and Human Rights	4	132 782 020	87 115 739	p.m.	p.m.	132 782 020	87 115 739
21 05	Instrument for Stability (IfS) — Global and trans-regional threats	4	82 255 223	47 337 395	p.m.	p.m.	82 255 223	47 337 395
21 06	Instrument for Nuclear Safety Cooperation (INSC)	4	29 346 872	54 564 789			29 346 872	54 564 789
21 07	The European Union-Greenland partnership	4	24 569 471	18 924 882			24 569 471	18 924 882
21 08	Development and cooperation worldwide	4	36 988 018	22 815 000			36 988 018	22 815 000
21 09	Completion of actions implemented under Industrialised Countries Instrument (ICI+) Programme	4	—	15 724 201			—	15 724 201
Title 21 — Total			5 083 850 744	3 658 319 989	p.m.	p.m.	5 083 850 744	3 658 319 989

CHAPTER 21 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 04	European Instrument for Democracy and Human Rights							
<i>21 04 01</i>	<i>Enhancing the respect for and observance of human rights and fundamental freedoms and supporting democratic reforms</i>	4	132 782 020	3 815 739			132 782 020	3 815 739
<i>21 04 51</i>	<i>Completion of the European Instrument for Democracy and Human Rights (prior to 2014)</i>	4	—	83 300 000			—	83 300 000
<i>21 04 77</i>	<i>Pilot projects and preparatory actions</i>							
21 04 77 01	Preparatory action — Establish a conflict-prevention network	4	—	—			—	—
21 04 77 02	Pilot project — Civil Society Forum EU-Russia	4	—	—	p.m.	p.m.	p.m.	p.m.
21 04 77 03	Pilot project — Funding for victims of torture	4	—	—	p.m.	p.m.	p.m.	p.m.
	<i>Article 21 04 77 — Subtotal</i>		—	—	p.m.	p.m.	p.m.	p.m.
	Chapter 21 04 — Total		132 782 020	87 115 739	p.m.	p.m.	132 782 020	87 115 739

Article 21 04 77 — Pilot projects and preparatory actions

Item 21 04 77 01 — Preparatory action — Establish a conflict-prevention network

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—			—	—

Remarks

Former Article 19 04 04

This item is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 21 04 77 02 — Pilot project — Civil Society Forum EU-Russia

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	p.m.	p.m.

Remarks

Former Article 19 04 06

This item is intended to cover commitments remaining to be settled from previous years under the pilot project

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 21 04 77 03 — Pilot project — Funding for victims of torture

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	p.m.	p.m.

Remarks

Former Article 19 04 07

This item is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Reference acts

Council Directive 2003/9/EC of 27 January 2003 laying down minimum standards for the reception of asylum seekers (OJ L 31, 6.2.2003, p. 18).

Conclusions of the Council of the European Union, 2865th External Relations Council meeting, Luxembourg, 29 April 2009.

Charter of Fundamental Rights of the European Union (OJ C 83, 30.3.2010, p. 389).

CHAPTER 21 05 — INSTRUMENT FOR STABILITY (IFS) — GLOBAL AND TRANS-REGIONAL THREATS

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Council position on DAB No 1/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
21 05	Instrument for Stability (IFS) — Global and trans-regional threats							
<i>21 05 01</i>	<i>Global and trans-regional security threats</i>	4	82 255 223	4 031 479			82 255 223	4 031 479
<i>21 05 51</i>	<i>Completion of actions in the area of global threats to security (prior to 2014)</i>	4	—	42 810 916			—	42 810 916
<i>21 05 77</i>	<i>Pilot projects and preparatory actions</i>							
21 05 77 01	Pilot project — Support for surveillance and protection measures for Union vessels sailing through areas where piracy is a threat	4	—	495 000			—	495 000
21 05 77 02	Preparatory action — Emergency response to the financial and economic crisis in developing countries	4	—	—	p.m.	p.m.	p.m.	p.m.
	<i>Article 21 05 77 — Subtotal</i>		—	495 000	p.m.	p.m.	p.m.	495 000
	Chapter 21 05 — Total		82 255 223	47 337 395	p.m.	p.m.	82 255 223	47 337 395

Article 21 05 77 — Pilot projects and preparatory actions

Item 21 05 77 01 — Pilot project — Support for surveillance and protection measures for Union vessels sailing through areas where piracy is a threat

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	495 000			—	495 000

Remarks

Former Article 19 06 07

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 21 05 77 02 — Preparatory action — Emergency response to the financial and economic crisis in developing countries

Figures

Budget 2014		Council position on DAB No 1/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	p.m.	p.m.

Remarks

Former Article 19 06 08

This item is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

S - STAFF

S 03 - Bodies set up by the European Union and having legal personality

S 03 02 - European Joint Undertakings

S 03 02 08 - S 03 02 08 - Shift to Rail (S2R)

Function group and grade	Posts					
	2014		2014			
	Budget 2014		Council position on DAB No 1/2014		Revised Budget 2014	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14				1		1
AD 13						
AD 12						
AD 11						
AD 10						
AD 9				1		1
AD 8						
AD 7						
AD 6						
AD 5						
<i>AD total</i>				2		2
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5						
AST 4						
AST 3						
AST 2						
AST 1						
<i>AST total</i>						
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
<i>AST/SC total</i>						
Grand total				2		2
Total staff				2		2

Recruitment of staff for the Shift to Rail Joint Undertaking is subject to the adoption of the new legal basis setting up the Shift to Rail Joint Undertaking.