



**COUNCIL OF
THE EUROPEAN UNION**

**Brussels, 11 April 2014
(OR. en)**

**Interinstitutional File:
2014/0091 (COD)**

**8633/14
ADD 5**

**EF 129
ECOFIN 361
SURE 14
SOC 260**

COVER NOTE

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	28 March 2014
To:	Mr Uwe CORSEPIUS, Secretary-General of the Council of the European Union
No. Cion doc.:	COM(2014) 167 final Annexes 1 to 2
Subject:	ANNEXES Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on the activities and supervision of institutions for occupational retirement provision (recast) (Text with EEA relevance)

Delegations will find attached document COM(2014) 167 final Annexes 1 to 2.

Encl.: COM(2014) 167 final Annexes 1 to 2



EUROPEAN
COMMISSION

Brussels, 27.3.2014
COM(2014) 167 final

ANNEXES 1 to 2

ANNEXES

**Proposal for a
DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL
on the activities and supervision of institutions for occupational retirement provision
(recast)
(Text with EEA relevance)**

{ SWD(2014) 102 final }

{ SWD(2014) 103 final }

{ SWD(2014) 104 final }

ANNEX I

Part A

Repealed Directive with list of its successive amendments (referred to in Article 79)

Directive 2003/41/EC of the European Parliament
and of the Council

(OJ L 235, 23.9.2003, p.10)

Directive 2009/138/EC of the European Parliament Article 303 only
and of the Council

(OJ L 335, 17.12.2009, p. 1)

Directive 2010/78/EU of the European Parliament Article 4 only
and of the Council

(OJ L 331, 15.12.2010, p. 120),

Directive 2011/61/EU of the European Parliament Article 62 only
and of the Council

(OJ L 174, 1.7.2011, p.1),

Directive 2013/14/EU of the European Parliament Article 1 only
and of the Council

(OJ L 145, 31.5.2013, p. 1),

Part B

List of time-limits for transposition into national law and application (referred to in Article 79)

Directive	Time-limit for transposition	Date of application
2003/41/EC	23.09.2005	23.09.2005
2009/138/EC	31.03.2015	01.01.2016
2010/78/EU	31.12.2011	31.12.2011
2011/61/EU	22.07.2013	22.07.2013
2013/14/EU	21.12.2014	21.12.2014

ANNEX II

CORRELATION TABLE

Directive 2003/41/EC	This Directive
Article 1	Article 1
Article 2	Article 2
Article 3	Article 3
Article 4	Article 4
Article 5	Article 5
Article 6(a) and (b)	Article 6(a) and (b)
Article 6(c)	Article 6(c)
Article 6(d) to (h)	Article 6(d) to (h)
Article 6(i)	Article 6(i)
Article 6(j)	Article 6(j) to (p)
Article 7	Article 7
Article 8	Article 8
Article 9(1)(a)	Article 9
Article 9(1)(b) and (c)	Article 10
Article 9(1)(d)	
Article 9(1)(e)	Article 11(1)
Article 9(2)	Article 11(2)
Article 9(3)	
Article 9(5)	

Article 20(1) to (9)

Article 20(10)

Article 15(1) to (5)

Article 15(6)

Article 16

Article 17

Article 17a(1) to (4)

Article 17a(5)

Article 17b

Article 17c

Article 17d

Article 18(1)

Article 18(1a)

Article 18(2) to (4)

Article 18(5), first subparagraph

Article 18(5), second and third subparagraphs

Article 18(6)

Article 18(7)

Article 12(1) to (8)

Article 12(9)

Article 12(10)

Article 13

Article 14(1) to (5)

Article 15

Article 16

Article 17(1) to (4)

Article 18

Article 19

Article 20(1)

Article 20(2)

Article 20(3) to (5)

Article 20(6), first subparagraph

Article 20(6), second subparagraph

Article 20(7)

Article 20(8)

Article 21

Article 22

Article 23

Article 24

Article 10

Article 12

Article 9(4)

Article 19(1)

Article 19(2), first subparagraph

Article 19(2), second subparagraph

Article 19(3)

Article 11(1)

Article 9(1)(f)

Article 11(2)(a)

Article 11(2)(b)

Article 25

Article 26

Article 27

Article 28

Article 29

Article 30

Article 31

Article 32

Article 33(1)

Article 33(2) to (7)

Article 34

Article 35(1) and (2)

Article 35(3)

Article 35(4)

Article 35(5) to (8)

Article 36

Article 37

Article 38(1)

Article 38(2)

Article 39(1)

Article 39(2)

Article 39(3)

Article 39(4)

Article 40

Article 41

Article 11(3)

Article 11(4)(a) and (b)

Article 11(4)(c) and (d)

Article 14(1)

Article 14(2), first subparagraph

Article 14(4), second subparagraph

Article 14(2), second subparagraph

Article 42

Article 43

Article 44

Article 45

Article 46

Article 47

Article 48

Article 49

Article 50

Article 51

Article 52

Article 53

Article 54

Article 55

Article 56

Article 57

Article 58(1)

Article 58(2)(a) and (b)

Article 59

Article 60

Article 61

Article 62(1)

Article 62(2)

Article 62(3)

Article 62(4)

Article 14(3)	Article 62(5)
Article 14(4), first subparagraph	Article 62(6)
Article 14(5)	Article 62(7)
	Article 63
Article 13(1)(a)	Article 64(1)(a)
Article 13(1)(b) to (d)	
	Article 64(1)(b) to (f)
Article 13(2)	Article 64(2)
	Article 65
	Article 66
	Article 67
	Article 68
	Article 69
	Article 70
	Article 71
Article 20(11), first subparagraph	Article 72(1)
Article 20(11), second subparagraph	Article 72(2)
Article 20(11), third and fourth subparagraphs	
Article 21(1) and (2)	Article 73(1) and (2)
Article 21(2a)	Article 73(3)
Article 21(3)	Article 73(4)
	Article 74
	Article 75
	Article 76
Article 21a	
Article 21b	
Article 22	

Article 23

Article 24

Article 77

Article 78

Article 79

Article 80

Article 81