



Council of the
European Union

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COVER NOTE

From: Mr Andris PIEBALGS, Member of the European Commission
date of receipt: 9 July 2014
To: Mr Enrico ZANETTI, President of the Council of the European Union

Subject: Transfer of appropriations No DEC 19/2014 within Section III - Commission
- of the general budget for 2014

Delegations will find attached Commission document DEC 19/2014.

Encl.: DEC 19/2014



BRUSSELS, 08/07/2014

GENERAL BUDGET - 2014
SECTION III - COMMISSION TITLES 08, 10

TRANSFER OF APPROPRIATIONS N° DEC 19/2014

EUR

FROM

CHAPTER - 1001 Administrative expenditure of the 'Direct research' policy area

ITEM - 10 01 05 11 Expenditure related to officials and temporary staff implementing
Research and Innovation programmes - Euratom programme Non-Diff. - 1 362 357

ITEM - 10 01 05 12 External personnel implementing Research and Innovation programmes -
Euratom programme Non-Diff. - 699 000

ITEM - 10 01 05 13 Other management expenditure for Research and Innovation programmes
- Euratom programme Non-Diff. - 2 000 000

ITEM - 10 01 05 14 Other expenditure for new major research infrastructures - Euratom
programme Non-Diff. - 11 647 000

TO

CHAPTER - 0802 Horizon 2020 - Research

ITEM - 08 02 04 01 Science for and with Society Commitments 2 000 000
Payments 2 000 000

ITEM - 08 02 04 02 Spreading excellence and widening participation Payments 13 708 357

CHAPTER - 0803 Euratom Programme - Indirect actions

ITEM - 08 03 01 01 Euratom - Fusion energy Commitments 13 708 357

INTRODUCTION

The 2014 Draft Budget was adopted by the European Commission on 28 June 2013. Subsequently, the 2014 Budget was adopted on 20 November 2013, before the formal adoption of the legal basis for Horizon 2020¹ on 11 December 2013.

As a consequence, the figures in commitment appropriations for Horizon 2020 (EU and EURATOM) need to be adjusted in the Budget 2014, in order to take into account the budget repartition adopted in the new legal basis. The adjustment is made in three stages, i.e. the Amending Budget 1/2014 adopted on 16/04/2014, the transfer DEC 03/2014 (adopted in April 2014), and the proposed transfer (DEC 19/2014).

This transfer takes into account the revised split of appropriations between the Horizon 2020 and Euratom programmes, and between the indirect actions and direct actions, and between the research activities within the indirect actions.

As consequence of that, the administrative expenditure of the Euratom direct research part (budget lines 10.010511, 10.010512, 10.010503 and 10.010514) are decreased to increase in commitment appropriations partly the operational expenditure of the Euratom indirect research (budget line 08.030101) and partly the Horizon 2020 programme "Science for and with society" (budget line 08.020401). The Commission proposes to transfer the corresponding payment appropriations partly to the newly created (in Amending Budget 1/2014) budget line of Horizon 2020 "Spreading excellence and widening participation" (08.020402) and partly to the programme "Science for and with society" (08.020401).

This transfer concerns technical adjustments that lead to a budgetary neutral exercise within Horizon 2020 and Euratom. Any update in figures takes place within and between activities of the same programme and have no impact on the overall amounts.

The policy areas requiring such adjustments are Research and Innovation (Title 8), and Direct Research (Title 10).

¹ Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L347, 20.12.2013, p.104).

I. INCREASE

I.A

a) Heading

08 02 04 01 - Science for and with Society

b) Figures at 12/06/2014

	Commitments	Payments
	p.m.	p.m.
1A. Appropriation in budget (initial budget + AB)	0	0
1B. Appropriation in budget (EFTA)		
2. Transfers	49 402 138	1 842 409
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3. Final appropriation for the year (1A+1B+2)	49 402 138	1 842 409
4. Utilisation of final appropriation	0	0
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5. Amount not used/available (3-4)	49 402 138	1 842 409
6. Requirements up to year-end	51 402 138	3 842 409
7. Increase proposed	2 000 000	2 000 000
8. Increase as percentage of appropriation in budget (7/1A)	N/A	N/A
9. Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a	n/a

c) Receipts arising from recovery (carried over) (C5)

	Commitments	Payments
1. Appropriation available at start of year	0	0
2. Appropriation available on the 12/06/2014	0	0
3. Rate of utilisation [(1-2)/1]	n/a	n/a

d) Detailed grounds for the increase

See introduction.

I.B

a) Heading

08 02 04 02 - Spreading excellence and widening participation

b) Figures at 12/06/2014

	Payments
1A. Appropriation in budget (initial budget + AB)	5 253 030
1B. Appropriation in budget (EFTA)	0
2. Transfers	-1 000 000
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3. Final appropriation for the year (1A+1B+2)	4 253 030
4. Utilisation of final appropriation	0
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5. Amount not used/available (3-4)	4 253 030
6. Requirements up to year-end	17 961 387
7. Increase proposed	13 708 357
8. Increase as percentage of appropriation in budget (7/1A)	260.96%
9. Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Payments
1. Appropriation available at start of year	0
2. Appropriation available on the 12/06/2014	0
3. Rate of utilisation [(1-2)/1]	n/a

d) Detailed grounds for the increase

See introduction.

I.C

a) Heading

08 03 01 01 - Euratom - Fusion energy

b) Figures at 12/06/2014

	Commitments
1A. Appropriation in budget (initial budget + AB)	94 723 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	1 302 144
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3. Final appropriation for the year (1A+1B+2)	96 025 144
4. Utilisation of final appropriation	43 809 234
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5. Amount not used/available (3-4)	52 215 910
6. Requirements up to year-end	65 924 267
7. Increase proposed	13 708 357
8. Increase as percentage of appropriation in budget (7/1A)	14.47%
9. Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Commitments
1. Appropriation available at start of year	2 773 328
2. Appropriation available on the 12/06/2014	2 773 328
3. Rate of utilisation [(1-2)/1]	0.00%

d) Detailed grounds for the increase

See introduction.

II. DECREASE

II.A

a) Heading

10 01 05 11 - Expenditure related to officials and temporary staff implementing Research and Innovation programmes - Euratom programme

b) Figures at 12/06/2014

	Non-Diff.
1A. Appropriation in budget (initial budget + AB)	56 275 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	-3 789 089
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3. Final appropriation for the year (1A+1B+2)	52 485 911
4. Utilisation of final appropriation	51 123 554
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5. Amount not used/available (3-4)	1 362 357
6. Requirements up to year-end	0
7. Proposed decrease	1 362 357
8. Decrease as percentage of appropriation in budget (7/1A)	2.42%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Non-Diff.
1. Appropriation available at start of year	0
2. Appropriation available on the 12/06/2014	0
3. Rate of utilisation [(1-2)/1]	n/a

d) Detail grounds for the transfer

See introduction.

II.B

a) Heading

10 01 05 12 - External personnel implementing Research and Innovation programmes - Euratom programme

b) Figures at 12/06/2014

	Non-Diff.
1A. Appropriation in budget (initial budget + AB)	10 699 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	3 076 446
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3. Final appropriation for the year (1A+1B+2)	13 775 446
4. Utilisation of final appropriation	10 847 161
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5. Amount not used/available (3-4)	2 928 285
6. Requirements up to year-end	2 229 285
7. Proposed decrease	699 000
8. Decrease as percentage of appropriation in budget (7/1A)	6.53%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Non-Diff.
1. Appropriation available at start of year	140 155
2. Appropriation available on the 12/06/2014	2 781
3. Rate of utilisation [(1-2)/1]	98.02%

d) Detail grounds for the transfer

See introduction.

II.C

a) Heading

10 01 05 13 - Other management expenditure for Research and Innovation programmes - Euratom programme

b) Figures at 12/06/2014

	Non-Diff.
1A. Appropriation in budget (initial budget + AB)	38 707 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	-1 661 600
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3. Final appropriation for the year (1A+1B+2)	37 045 400
4. Utilisation of final appropriation	24 074 245
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5. Amount not used/available (3-4)	12 971 155
6. Requirements up to year-end	10 971 155
7. Proposed decrease	2 000 000
8. Decrease as percentage of appropriation in budget (7/1A)	5.17%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Non-Diff.
1. Appropriation available at start of year	1 114 929
2. Appropriation available on the 12/06/2014	340 309
3. Rate of utilisation [(1-2)/1]	69.48%

d) Detail grounds for the transfer

See introduction.

II.D

a) Heading

10 01 05 14 - Other expenditure for new major research infrastructures - Euratom programme

b) Figures at 12/06/2014

	Non-Diff.
1A. Appropriation in budget (initial budget + AB)	13 647 000
1B. Appropriation in budget (EFTA)	0
2. Transfers	0
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3. Final appropriation for the year (1A+1B+2)	13 647 000
4. Utilisation of final appropriation	2 000 000
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5. Amount not used/available (3-4)	11 647 000
6. Requirements up to year-end	0
7. Proposed decrease	11 647 000
8. Decrease as percentage of appropriation in budget (7/1A)	85.34%
9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

c) Receipts arising from recovery (carried over) (C5)

	Non-Diff.
1. Appropriation available at start of year	0
2. Appropriation available on the 12/06/2014	0
3. Rate of utilisation [(1-2)/1]	n/a

d) Detail grounds for the transfer

See introduction.