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## INFORMATION NOTE

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From: General Secretariat of the Council  
To: Permanent Representatives Committee/Council

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Subject: Proposal for a Regulation of the European Parliament and of the Council on certain procedures for applying the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the former Yugoslav Republic of Macedonia, of the other part (codified text)  
- Outcome of the European Parliament's first reading  
(Strasbourg, 27 April to 30 April 2015)

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### I. INTRODUCTION

The rapporteur, Mr Andrzej DUDA (ECR - PL), presented a report, on behalf of the Committee on Legal Affairs, which proposed to take over the Commission proposal without amendment.

In accordance with the provisions of Article 294 of the TFEU and the joint declaration on practical arrangements for the codecision procedure <sup>1</sup>, informal contacts have taken place between the Council, the European Parliament and the Commission with a view to reaching an agreement on this dossier at first reading, thereby avoiding the need for second reading and conciliation.

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<sup>1</sup> OJ C 145, 30.6.2007, p. 5

## II. VOTE

Pursuant to Rule 103 of the European Parliament's Rules of Procedure, the report was adopted by means of a single vote, without amendment or debate.

The text adopted and the legislative resolution constitute the European Parliament's first reading position<sup>2</sup>, it reflects what had been agreed during the informal contacts referred to above.

The Council should therefore be in a position to approve the Parliament's position.

The act would then be adopted in the wording which corresponds to the Parliament's position.

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<sup>2</sup> The text adopted and the European Parliament's legislative resolution are set out in the Annex.

## **Stabilisation and Association Agreement with the Former Yugoslav Republic of Macedonia \*\*\*I**

**European Parliament legislative resolution of 29 April 2015 on the proposal for a regulation of the European Parliament and of the Council on certain procedures for applying the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Former Yugoslav Republic of Macedonia, of the other part (codified text) (COM(2014)0394 – C8-0041/2014 – 2014/0199(COD))**

**(Ordinary legislative procedure – codification)**

*The European Parliament,*

- having regard to the Commission proposal to the European Parliament and the Council (COM(2014)0394),
  - having regard to Article 294(2) and Article 207(2) of the Treaty on the Functioning of the European Union, pursuant to which the Commission submitted the proposal to Parliament (C8-0041/2014),
  - having regard to Article 294(3) of the Treaty on the Functioning of the European Union,
  - having regard to the Interinstitutional Agreement of 20 December 1994 - Accelerated working method for official codification of legislative texts<sup>3</sup>,
  - having regard to Rules 103 and 59 of its Rules of Procedure,
  - having regard to the report of the Committee on Legal Affairs (A8-0132/2015),
- A. whereas, according to the Consultative Working Party of the legal services of the European Parliament, the Council and the Commission, the proposal in question contains a straightforward codification of the existing texts without any change in their substance;
1. Adopts its position at first reading hereinafter set out;
  2. Instructs its President to forward its position to the Council, the Commission and the national parliaments.

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<sup>3</sup> OJ C 102, 4.4.1996, p. 2.

**P8\_TC1-COD(2014)0199**

**Position of the European Parliament adopted at first reading on 29 April 2015 with a view to the adoption of Regulation (EU) 2015/... of the European Parliament and of the Council on certain procedures for applying the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Former Yugoslav Republic of Macedonia, of the other part (codification)**

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 207(2) thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Acting in accordance with the ordinary legislative procedure<sup>4</sup>,

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<sup>4</sup> Position of the European Parliament of 29 April 2015.

Whereas:

- (1) Council Regulation (EC) No 153/2002<sup>5</sup> has been substantially amended several times<sup>6</sup>. In the interests of clarity and rationality, that Regulation should be codified.
- (2) A Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Former Yugoslav Republic of Macedonia, of the other part<sup>7</sup> ('SAA'), was signed in Luxembourg on 9 April 2001 and entered into force on 1 April 2004.
- (3) It is necessary to lay down the procedures for applying certain provisions of the SAA.
- (4) The SAA stipulates that certain products originating in the Former Yugoslav Republic of Macedonia may be imported into the Union at a reduced customs duty, within the limits of tariff quotas. It is therefore necessary to lay down provisions for the calculation of the reduced rates of customs duties.

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<sup>5</sup> Council Regulation (EC) No 153/2002 of 21 January 2002 on certain procedures for applying the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Former Yugoslav Republic of Macedonia, of the other part, and for applying the Interim Agreement between the European Community and the Former Yugoslav Republic of Macedonia (OJ L 25, 29.1.2002, p. 16).

<sup>6</sup> See Annex I.

<sup>7</sup> OJ L 84, 20.3.2004, p. 13.

- (5) The SAA specifies the products eligible for those tariff measures, the relevant volumes (and increases thereof), the applicable duties, periods of application and any eligibility criteria.
- (6) In the interest of simplicity and of timely publication of Regulations implementing Union tariff quotas, provision should be made for the Commission, assisted by the Committee established by Article 229 of Regulation (EU) No 1308/2013 of the European Parliament and of the Council<sup>8</sup>, to adopt the Regulations opening up and providing for the administration of the tariff quotas for ‘baby beef’ products.
- (7) Provision should be made for the Commission, assisted by the Committee established by Article 285 of Regulation (EU) No 952/2013 of the European Parliament and of the Council<sup>9</sup>, to adopt the Regulations opening and providing for the administration of tariff quotas which might be granted as a result of negotiations on further tariff concessions pursuant to Article 29 of the SAA.

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<sup>8</sup> Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

<sup>9</sup> Regulation (EU) No 952/2013 of the European Parliament and of the Council of 9 October 2013 laying down the Union Customs Code (OJ L 269, 10.10.2013, p. 1).

- (8) Duties should be totally suspended where preferential treatment results in *ad valorem* duties of 1 % or less, or in specific duties of EUR 1 or less.
- (9) The implementation of the bilateral safeguard clauses of the SAA requires uniform conditions for the adoption of safeguard and other measures. Those measures should be adopted in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council<sup>10</sup>.
- (10) The Commission should adopt immediately applicable implementing acts where, in duly justified cases relating to exceptional and critical circumstances arising within the meaning of point (b) of Article 37(4) and Article 38(4) of the SAA, imperative grounds of urgency so require,

HAVE ADOPTED THIS REGULATION:

Article 1  
Subject matter

This Regulation lays down certain procedures for the adoption of detailed rules for the implementation of certain provisions of the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Former Yugoslav Republic of Macedonia, of the other part (SAA).

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<sup>10</sup> Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p. 13).

Article 2  
Concessions on ‘baby beef’

Detailed rules for the implementation of Article 27(2) of the SAA, concerning the tariff quota for ‘baby beef’ products shall be adopted by the Commission in accordance with the examination procedure referred to in Article 12(4) of this Regulation.

Article 3  
Further concessions

If additional concessions for fishery products are granted within tariff quotas, pursuant to Article 29 of the SAA, detailed rules for the implementation of those tariff quotas shall be adopted by the Commission in accordance with the examination procedure referred to in Article 12(4) of this Regulation.



Article 4  
Tariff reductions

1. Subject to paragraph 2, rates of preferential duty shall be rounded down to the first decimal place.
2. Where the result of calculating the rate of preferential duty pursuant to paragraph 1 is one of the following, the preferential rate shall be considered a full exemption:
  - (a) 1 % or less in the case of *ad valorem* duties; or
  - (b) EUR 1 or less per individual amount in the case of specific duties.

Article 5  
Technical adaptations

Amendments and technical adaptations to the detailed rules on implementation adopted pursuant to this Regulation which are necessary following changes to the Combined Nomenclature codes and to the TARIC subdivisions, or arising from the conclusion of new agreements, protocols, exchanges of letters or other acts between the Union and the Former Yugoslav Republic of Macedonia, shall be adopted in accordance with the examination procedure referred to in Article 12(4).

## Article 6

### General safeguard clause and shortage clause

1. Where a Member State requests the Commission to take measures as provided for in Articles 37 and 38 of the SAA, it shall provide the Commission, in support of its request, with the information needed to justify it.
2. Where the Commission, at the request of a Member State or on its own initiative, finds that the conditions laid down in Articles 37 and 38 of the SAA are fulfilled, it shall:
  - (a) inform the Member States forthwith if acting on its own initiative or, if it is responding to a Member State's request, within five working days of the date of receipt of that request;
  - (b) consult the Committee referred to in Article 12(3) of this Regulation on the proposed measures;

- (c) at the same time inform the Former Yugoslav Republic of Macedonia and notify it of the opening of the consultations within the Stabilisation and Association Committee as provided for in Articles 37(4) and 38(3) of the SAA;
  - (d) at the same time provide the Stabilisation and Association Committee with all the information necessary for the consultations referred to in point (c).
3. On the completion of the consultations referred to in point (c) of paragraph 2, and if no other arrangement proves possible, the Commission may decide in accordance with the examination procedure referred to in Article 12(4) of this Regulation either not to act or to adopt appropriate measures provided for in Articles 37 and 38 of the SAA.

That Decision shall be notified forthwith to the Council and to the Stabilisation and Association Committee.

That Decision shall be applicable immediately.

4. The consultations within the Stabilisation and Association Committee referred to in point (c) of paragraph 2 shall be deemed to be completed 30 days after the notification also referred to in that point.

#### Article 7

##### Exceptional and critical circumstances

Where exceptional and critical circumstances arise within the meaning of point (b) of Article 37(4) and Article 38(4) of the SAA, the Commission may take immediate measures as provided for in Articles 37 and 38 of the SAA, in accordance with the examination procedure referred to in Article 12(4) of this Regulation, or in cases of urgency, in accordance with Article 12(5) of this Regulation.

If the Commission receives a request from a Member State, it shall take a Decision thereon within five working days of receipt of the request.

## Article 8

### Safeguard clause for agricultural and fisheries products

Notwithstanding the procedures set out in Articles 6 and 7, necessary measures concerning agricultural and fisheries products on the basis of Articles 30 or 37 of the SAA or on the basis of provisions in the Annexes to the SAA covering those products as well as of Protocol 3 thereto, may be taken in accordance with procedures provided for by the relevant rules establishing the common organisation of the agricultural markets or markets in fishery and aquaculture products, or in specific provisions adopted pursuant to Article 352 of the Treaty and applicable to products resulting from the processing of agricultural and fisheries products, provided that the conditions established pursuant to Article 30 of the SAA or Article 37(3), (4) and (5) of the SAA are met.

## Article 9

### Dumping

In the event of a practice which is liable to warrant application by the Union of the measures provided for in Article 36(1) of the SAA, the introduction of anti-dumping measures shall be decided upon in accordance with the provisions laid down in Council Regulation (EC) No 1225/2009<sup>11</sup> and the procedure provided for in Article 36(2) of the SAA.

## Article 10

### Competition

1. In the event of a practice that may justify application by the Union of the measures provided for in Article 69 of the SAA, the Commission, after examining the case, on its own initiative or at the request of a Member State, shall decide whether such practice is compatible with the SAA. Where necessary it shall adopt safeguard measures in accordance with the examination procedure referred to in Article 12(4) of this Regulation, except in the cases of aid to which Council Regulation (EC) No 597/2009<sup>12</sup> applies, where measures shall be taken in accordance with the procedures laid down in that Regulation. Measures shall be taken only under the conditions set out in Article 69(5) of the SAA.

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<sup>11</sup> Council Regulation (EC) No 1225/2009 of 30 November 2009 on protection against dumped imports from countries not members of the European Community (OJ L 343, 22.12.2009, p. 51).

<sup>12</sup> Council Regulation (EC) No 597/2009 of 11 June 2009 on protection against subsidised imports from countries not members of the European Community (OJ L 188, 18.7.2009, p. 93).

2. In the event of a practice that may cause measures to be applied to the Union by the Former Yugoslav Republic of Macedonia on the basis of Article 69 of the SAA, the Commission, after examining the case, shall decide whether such practice is compatible with the principle set out in the SAA. Where necessary, it shall take appropriate decisions on the basis of criteria which result from the application of Articles 101, 102 and 107 of the Treaty.

#### Article 11

##### Fraud or failure to provide administrative cooperation

1. For the purpose of interpreting Article 42 of the SAA, failure to provide administrative cooperation as required for the verification of evidence of origin shall mean, *inter alia*:
  - (a) the absence of administrative cooperation, such as the failure to provide names and addresses of customs or government authorities responsible for issuing and checking certificates of origin, or specimens of stamps used to authenticate the certificates, or the failure to update that information where appropriate;

- (b) a repeated lack or inadequacy of action in verifying the originating status of products and the fulfilment of the other requirements of Protocol 4 to the SAA and identifying or preventing contravention of the rules of origin;
- (c) a repeated refusal to carry out, or undue delay in carrying out, at the request of the Commission, subsequent verification of the proof of origin and to communicate its results in time;
- (d) a repeated refusal to obtain, or undue delay in obtaining, the authorisation to conduct administrative and investigative cooperation missions in the Former Yugoslav Republic of Macedonia, in order to verify the authenticity of documents or the accuracy of information relevant for granting the preferential treatment granted under the SAA, or to carry out, or arrange for, appropriate inquiries to identify or prevent contravention of the rules of origin;



(e) a repeated failure to comply with the provisions of Protocol 5 to the SAA on mutual administrative assistance in customs matters insofar as it is relevant to the application of the trade provisions of the SAA.

2. Where the Commission, on the basis of information provided by a Member State or on its own initiative, finds that the conditions laid down in Article 42 of the SAA are fulfilled it shall:

(a) inform the Council;

(b) enter immediately into consultations with the Former Yugoslav Republic of Macedonia to find an appropriate solution as provided for in Article 42 of the SAA.

In addition, the Commission may:

- (a) call on the Member States to take such precautionary measures as are necessary in order to safeguard the Union's financial interests;
- (b) publish a notice in the *Official Journal of the European Union* stating that there are grounds for reasonable doubts about the application of the provisions relevant to the application of Article 42 of the SAA.

3. Pending a mutually satisfactory solution having been reached in the consultations referred to in point (b) of the first subparagraph of paragraph 2, the Commission may decide on other appropriate measures it deems necessary in accordance with Article 42 of the SAA, as well as with the examination procedure referred to in Article 12(4) of this Regulation.

Article 12  
Committee procedure

1. For the purpose of Article 2 of this Regulation, the Commission shall be assisted by the Committee for the Common Organisation of the Agricultural Markets established by Article 229 of Regulation (EU) No 1308/2013. That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011.
2. For the purpose of Article 4 of this Regulation, the Commission shall be assisted by the Customs Code Committee established by Article 285 of Regulation (EU) No 952/2013. That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011.
3. For the purpose of Articles 6, 7, 10 and 11 of this Regulation, the Commission shall be assisted by the Committee on Safeguards established by Article 3(1) of Regulation (EU) 2015/478 of the European Parliament and of the Council<sup>13</sup>. That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011.

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<sup>13</sup> Regulation (EU) 2015/478 of the European Parliament and of the Council of 11 March 2015 on common rules for imports (OJ L 83, 27.3.2015, p. 16).

4. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.
5. Where reference is made to this paragraph, Article 8 of Regulation (EU) No 182/2011, in conjunction with Article 5 thereof, shall apply.

Article 13  
Notification

Notification to the Stabilisation and Association Council and the Stabilisation and Association Committee, respectively, as required by the SAA shall be the responsibility of the Commission, acting on behalf of the Union.

Article 14

Repeal

Regulation (EC) No 153/2002 is repealed.

References to the repealed Regulation shall be construed as references to this Regulation and shall be read in accordance with the correlation table in Annex II.

Article 15

Entry into force

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at ...,

*For the European Parliament*

*For the Council*

*The President*

*The President*

## ANNEX I

### **Repealed Regulation with list of its successive amendments**

Council Regulation (EC) No 153/2002

(OJ L 25, 29.1.2002, p. 16)

Council Regulation (EC) No 3/2003

(OJ L 1, 4.1.2003, p. 30)

Regulation (EU) No 37/2014 of the European Parliament  
and of the Council

Only point 8 of the Annex

(OJ L 18, 21.1.2014, p. 1)

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**ANNEX II**  
**Correlation Table**

Regulation (EC) No 153/2002	This Regulation
Article 1	Article 1
Article 2	Article 2
Article 4	Article 3
Article 6	Article 4
Article 7	Article 5
Article 7a(1)	Article 6(1)
Article 7a(5), introductory wording	Article 6(2), introductory wording
Article 7a(5), first indent	Article 6(2)(a)
Article 7a(5), second indent	Article 6(2)(b)
Article 7a(5), third indent	Article 6(2)(c)
Article 7a(5), fourth indent	Article 6(2)(d)
Article 7a(6)	Article 6(3)
Article 7a(10)	Article 6(4)

Article 7b	Article 7
Article 7c	Article 8
Article 7d	Article 9
Article 7e	Article 10
Article 7f(1), introductory wording	Article 11(1), introductory wording
Article 7f(1), first indent	Article 11(1)(a)
Article 7f(1), second indent	Article 11(1)(b)
Article 7f(1), third indent	Article 11(1)(c)
Article 7f(1), fourth indent	Article 11(1)(d)
Article 7f(1), fifth indent	Article 11(1)(e)
Article 7f(2), first subparagraph, introductory wording	Article 11(2), first subparagraph, introductory wording
Article 7f(2), first subparagraph, first indent	Article 11(2), first subparagraph, point (a)
Article 7f(2), first subparagraph, second indent	Article 11(2), first subparagraph, point (b)
Article 7f(2), second subparagraph, introductory wording	Article 11(2), second subparagraph, introductory wording



Article 7f(2), second subparagraph, first indent	Article 11(2), second subparagraph, point (a)
Article 7f(2), second subparagraph, second indent	Article 11(2), second subparagraph, point (b)
Article 7f(3)	Article 11(3)
Article 7fa	Article 12
Article 7g	Article 13
—	Article 14
Article 8	Article 15
—	Annex I
—	Annex II