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INFORMATION NOTE

From: General Secretariat of the Council
To: Permanent Representatives Committee/Council

Subject: Proposal for a Directive of the European Parliament and of the Council on seafarers amending Directives 2008/94/EC, 2009/38/EC, 2002/14/EC, 98/58/EC and 2001/23/EC
– Outcome of the European Parliament's first reading
(Strasbourg, 6 to 9 July 2015)

I. INTRODUCTION

In accordance with the provisions of Article 294 of the TFEU and the joint declaration on practical arrangements for the codecision procedure ¹, a number of informal contacts have taken place between the Council, the European Parliament and the Commission with a view to reaching an agreement on this dossier at first reading, thereby avoiding the need for second reading and conciliation.

In this context, the rapporteur, Elisabeth MORIN-CHARTIER (EPP, FR) presented a compromise amendment (amendment 24) to the proposal for Directive, on behalf of the Committee on Employment and Social Affairs. This amendment had been agreed during the informal contacts referred to above.

¹ OJ C 145, 30.6.2007, p.5

II. VOTE

When it voted on 8 July 2015, the plenary adopted amendment 24 to the proposal for a Directive. The Commission's proposal as thus amended constitutes the Parliament's first-reading position which is contained in its legislative resolution as set out in the Annex hereto².

The Parliament's position reflects what had been previously agreed between the institutions. The Council should therefore be in a position to approve the Parliament's position.

The act would then be adopted in the wording which corresponds to the Parliament's position.

² The version of the Parliament's position in the legislative resolution has been marked up to indicate the changes made by the amendments to the Commission's proposal. Additions to the Commission's text are highlighted in ***bold and italics***. The symbol "■" indicates deleted text.

(8.7.2015)

Seafarers *I**

European Parliament legislative resolution of 8 July 2015 on the proposal for a directive of the European Parliament and of the Council on seafarers amending Directives 2008/94/EC, 2009/38/EC, 2002/14/EC, 98/59/EC and 2001/23/EC (COM(2013)0798 – C7-0409/2013 – 2013/0390(COD))

(Ordinary legislative procedure: first reading)

The European Parliament,

- having regard to the Commission proposal to Parliament and the Council (COM(2013)0798),
- having regard to Article 294(2) and Article 153(2) of the Treaty on the Functioning of the European Union, pursuant to which the Commission submitted the proposal to Parliament (C7-0409/2013),
- having regard to Article 294(3) of the Treaty on the Functioning of the European Union,
- having regard to the opinion of 25 March 2014 of the European Economic and Social Committee³,
- having regard to the opinion of 3 April 2014 of the Committee of the Regions⁴,
- having regard to the undertaking given by the Council representative by letter of 13 May 2015 to approve Parliament's position, in accordance with Article 294(4) of the Treaty on the Functioning of the European Union,
- having regard to Rule 59 of its Rules of Procedure,
- having regard to the report of the Committee on Employment and Social Affairs and the opinion of the Committee on Fisheries (A8-0127/2015),

³ OJ C 226, 16.7.2014, p. 35.

⁴ OJ C 174, 7.6.2014, p. 50.

1. Adopts its position at first reading hereinafter set out;
2. Calls on the Commission to refer the matter to Parliament again if it intends to amend its proposal substantially or replace it with another text;
3. Instructs its President to forward its position to the Council, the Commission and the national parliaments.

Position of the European Parliament adopted at first reading on 8 July 2015 with a view to the adoption of Directive (EU) 2015/... of the European Parliament and of the Council amending Directives 2008/94/EC, 2009/38/EC and 2002/14/EC of the European Parliament and of the Council, and Council Directives 98/59/EC and 2001/23/EC as regards seafarers

(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 153(2)(b) *in conjunction with Article 153(1)(b) and (e) thereof*,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Having regard to the opinion of the European Economic and Social Committee⁵,

Having regard to the opinion of the Committee of the Regions⁶,

Acting in accordance with the ordinary legislative procedure⁷,

⁵ OJ C 226, 16.7.2014, p. 35.

⁶ OJ C 174, 7.6.2014, p. 50.

⁷ *Position of the European Parliament of 8 July 2015.*

Whereas:

- (1) Under Article 153 of the Treaty on the Functioning of the European Union (TFEU), the European Parliament and the Council may, in accordance with the ordinary legislative procedure, adopt, by means of directives, minimum requirements for gradual implementation aiming to improve the working conditions *and* the information and consultation of workers ■ . Such directives must avoid imposing *disproportionate costs, or* administrative, financial and legal constraints in a way that would hold back the creation and development of small and medium-sized undertakings, *which are the drivers of sustainable growth and jobs.*

- (2) *Directives* 2008/94/EC ⁸, ⁹ 2009/38/EC ⁹ *and* 2002/14/EC ¹⁰ of the European Parliament and of the Council *and* Council *Directives* 98/59/EC ¹¹ and ¹² 2001/23/EC ¹², either exclude *certain* seafarers from their scope or allow Member States to exclude them.
- (3) *In its Communication of 21 January 2009 entitled "Strategic goals and recommendations for the EU's maritime transport policy until 2018", the Commission emphasised the importance of establishing an integrated legal framework in order to make the maritime sector more competitive.*

⁸ *Directive 2008/94/EC of the European Parliament and of the Council of 22 October 2008 on the protection of employees in the event of the insolvency of their employer* (OJ L 283, 28.10.2008, p. 36).

⁹ *Directive 2009/38/EC of the European Parliament and of the Council of 6 May 2009 on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees* (OJ L 122, 16.5.2009, p. 28).

¹⁰ *Directive 2002/14/EC of the European Parliament and of the Council of 11 March 2002 establishing a general framework for informing and consulting employees in the European Community* (OJ L 80, 23.3.2002, p. 29).

¹¹ *Council Directive 98/59/EC of 20 July 1998 on the approximation of the laws of the Member States relating to collective redundancies* (OJ L 225, 12.8.1998, p. 16).

¹² *Council Directive 2001/23/EC of 12 March 2001 on the approximation of the laws of the Member States relating to the safeguarding of employees' rights in the event of transfers of undertakings, businesses or parts of undertakings or businesses* (OJ L 82, 22.3.2001, p. 16).

- (4) *The existence of, and/or possibility of introducing, exclusions may prevent seafarers from fully enjoying their rights to fair and just working conditions and to information and consultation, or limit the full enjoyment of those rights. Insofar as the existence of, and/or possibility of introducing, exclusions is not justified on objective grounds and seafarers are not treated equally, provisions which allow such exclusions should be deleted.*
- (5) The present legal situation, *existing in part as a result of the specific nature of the seafaring profession*, gives rise to unequal treatment of the same category of workers by different Member States, according to whether or not they apply the exclusions and *optional exclusions* allowed by the *legislation in force*. A *significant* number of the Member States have made *no, or only* limited, use of *those optional* exclusions.

- (6) *In its Communication of 10 October 2007 entitled “An Integrated Maritime Policy for the European Union”, the Commission outlined that such a policy is based on the clear recognition that all matters relating to Europe’s oceans and seas are interlinked, and that sea-related policies must develop in a joined-up way if they are to achieve the desired results. -It also stressed the need for an increase in the number and quality of maritime jobs for citizens of the Union and the importance of improving working conditions on board, inter alia through investment in research, education, training, health and safety.*
- (7) *This Directive is in line with the Europe 2020 Strategy and its employment objectives and with the strategy set out by the Commission in its Communication of 23 November 2010 entitled "An Agenda for new skills and jobs: A European contribution towards full employment".*
- (8) *The so-called blue economy represents a substantial share of the Union economy in terms of jobs and gross added value.*
- (9) In accordance with Article 154(2) TFEU, the Commission has consulted the social partners at the *Union* level on the possible direction of Union action in this field.

- (10) *In the framework of their social dialogue, the social partners in the maritime sector have reached a common understanding which is of major importance for this Directive. That common understanding strikes a good balance between the need to improve seafarers' working conditions and the need to take proper account of the sector's specific features.*
- (11) *Considering the particular nature of the maritime sector and the particular working conditions of the workers affected by the exclusions deleted by this Directive, it is necessary to adapt some of the provisions of the Directives that are amended by this Directive to reflect the specificities of the sector concerned.*
- (12) Having regard to the technological developments of recent years, *in particular* as regards communications technology, the information and consultation requirements should be updated *and applied in the most appropriate manner, including by using new technologies for remote communication and by enhancing the availability of the internet and ensuring its reasonable use on board, in order to improve the implementation of this Directive.*

- (13) The rights of seafarers covered by this Directive ***which are afforded*** by the Member States in the national legislation implementing Directives 2008/94/EC, 2009/38/EC, 2002/14/EC, 98/59/EC and 2001/23/EC should ***not be affected***. ***The implementation of this Directive should not serve to justify any regression in relation to the situation which already prevails in each Member State.***
- (14) ***The Maritime Labour Convention of 2006 of the International Labour Organization aims to achieve both decent working and living conditions for seafarers by providing for health and safety standards, fair terms of employment and professional training, and secure fair competition for ship owners through its global application as well as to guarantee an international level playing field with regard to some, but not all, employees' rights, regardless of nationality or vessel flag. That Convention and Council Directive 2009/13/EC¹³ and Directives 2009/16/EC¹⁴ and 2013/54/EU¹⁵ of the European Parliament and of the Council lay down seafarers' rights to decent working conditions in a wide range of areas, provide coherent rights and protection at work for seafarers, and contribute to a level playing field including within the Union.***

¹³ Council Directive 2009/13/EC of 16 February 2009 implementing the Agreement concluded by the European Community Shipowners' Associations (ECSA) and the European Transport Workers' Federation (ETF) on the Maritime Labour Convention, 2006, and amending Directive 1999/63/EC (OJ L 124, 20.5.2009, p. 30).

¹⁴ Directive 2009/16/EC of the European Parliament and of the Council of 23 April 2009 on port State control (OJ L 131, 28.5.2009, p. 57).

¹⁵ Directive 2013/54/EU of the European Parliament and of the Council of 20 November 2013 concerning certain flag State responsibilities for compliance with and enforcement of the Maritime Labour Convention, 2006 (OJ L 329, 10.12.2013, p. 1).

- (15) *The Union should strive to improve working and living conditions on board ships, and to exploit the potential for innovation in order to make the maritime sector more attractive to Union seafarers, including young workers.*
- (16) Since the objective of this Directive, *namely to improve the working conditions of seafarers and their information and consultation*, cannot be sufficiently achieved by the Member States *but can rather*, by reason of the scale and effects of the action, be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality as set out in that Article, this Directive does not go beyond what is necessary in order to achieve that objective.

- (17) *This Directive respects the fundamental rights and observes the principles recognised in the Charter of Fundamental Rights of the European Union, in particular the right to fair and just working conditions and to information and consultation within the undertaking. This Directive should be implemented in accordance with those rights and principles.*
- (18) *Directives 2008/94/EC, 2009/38/EC, 2002/14/EC, 98/59/EC and 2001/23/EC should therefore be amended accordingly,*

HAVE ADOPTED THIS DIRECTIVE:

Article 1

Amendment to Directive 2008/94/EC

Article 1(3) of *Directive 2008/94/EC* is replaced by the following:

- "3. Where such provision already applies in their national legislation, Member States may continue to exclude domestic servants employed by a natural person from the scope of this Directive."

Article 2

Amendments to Directive 2009/38/EC

Directive 2009/38/EC is amended as follows:

- (1) *in* Article 1, paragraph 7 is deleted;
- (2) *in Article 10(3), the following subparagraphs are added:*

"A member of a special negotiating body or of a European Works Council, or such a member's alternate, who is a member of the crew of a seagoing vessel, shall be entitled to participate in a meeting of the special negotiating body or of the European Works Council, or in any other meeting under any procedures established pursuant to Article 6(3), where that member or alternate is not at sea or in a port in a country other than that in which the shipping company is domiciled, when the meeting takes place.

Meetings shall, where practicable, be scheduled to facilitate the participation of members or alternates, who are members of the crews of seagoing vessels.

In cases where a member of a special negotiating body or of a European Works Council, or such a member's alternate, who is a member of the crew of a seagoing vessel, is unable to attend a meeting, the possibility of using, where possible, new information and communication technologies shall be considered."

Article 3

Amendment to Directive 2002/14/EC

Article 3(3) of Directive 2002/14/EC is deleted.

Article 4

Amendments to Directive 98/59/EC

Directive 98/59/EC is amended as follows:

- (1) *in Article 1(2), point (c) is deleted;*
- (2) *in Article 3(1), the following subparagraph is inserted after the second subparagraph* ■ *:*

"Where the projected collective redundancy concerns members of the crew of a seagoing vessel, the employer shall notify the competent authority of the State of the flag which the vessel flies."

■

Article 5
Amendment to Directive 2001/23/EC

█
Article 1(3) of Directive 2001/23/EC is replaced by the following:

"3. This Directive shall apply to **a** transfer of a seagoing vessel **that is part of a transfer of** an undertaking, business or part of an undertaking or business **within the meaning of paragraphs 1 and 2, provided that the transferee is situated, or the transferred undertaking, business, or part of an undertaking or business remains,** within the territorial scope of the Treaty.

This Directive shall not apply where the object of the transfer consists exclusively of one or more seagoing vessels."

█
Article 6

Level of protection

The implementation of this Directive shall under no circumstances constitute grounds for a reduction in the general level of protection of persons covered by this Directive, already afforded by the Member States in the fields covered by Directives 2008/94/EC, 2009/38/EC, 2002/14/EC, 98/59/EC and █ 2001/23/EC.

Article 7

Reporting by the Commission

The Commission, after consulting the Member States and the social partners at *the* Union level, shall submit a report to the European Parliament and to the Council on the implementation and application of Articles 4 and 5 *by ...* *.

Article 8

Transposition

1. Member States shall bring into force the laws, regulations and administrative provisions necessary to comply with this Directive *by ...* **. *They* shall immediately *inform* the Commission *thereof*.

When **█** Member States *adopt those measures, they* shall contain a reference to this Directive or *shall* be accompanied by such a reference on the occasion of their official publication. *The methods of making such reference shall be laid down by Member States.*

2. **█** Member States shall communicate to the Commission the text of the main provisions of national law which they adopt in the field covered by this Directive.

* *OJ: please insert the date - four years after the entry into force of this Directive.*

** *OJ: please insert the date - two years after the entry into force of this Directive.*

Article 9

Entry into force

This Directive shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

Article 10

Addressees

This Directive is addressed to the Member States.

Done at ,

For the European Parliament

For the Council

The President

The President
