



Council of the
European Union

Brussels, 1 October 2015
(OR. en)

12569/15

Interinstitutional File:
2015/0224 (NLE)

PECHE 327

PROPOSAL

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	30 September 2015
To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union
No. Cion doc.:	COM(2015) 467 final
Subject:	Proposal for a COUNCIL DECISION on the conclusion of a Sustainable Fisheries Partnership Agreement between the European Union and the Republic of Liberia and the Implementation Protocol thereto

Delegations will find attached document COM(2015) 467 final.

Encl.: COM(2015) 467 final



EUROPEAN
COMMISSION

Brussels, 30.9.2015
COM(2015) 467 final

2015/0224 (NLE)

Proposal for a

COUNCIL DECISION

on the conclusion of a Sustainable Fisheries Partnership Agreement between the European Union and the Republic of Liberia and the Implementation Protocol thereto

EXPLANATORY MEMORANDUM

1. CONTEXT OF THE PROPOSAL

On the basis of the relevant negotiating directives¹, the Commission conducted negotiations with the Government of Liberia with a view to concluding a new Sustainable Fisheries Partnership Agreement and an Implementation Protocol thereto between the European Union and the Republic of Liberia. Following these negotiations, a new Agreement and Protocol were initialled on 5 June 2015. They cover a period of five years from the date of their provisional application, i.e. from the date of their signature, as laid down in Article 15 of the Agreement and Article 12 of the Protocol.

The new Agreement will provide for a framework taking into account the priorities of the reformed Common Fisheries Policy and its external dimension, with a view to establishing a strategic partnership between the European Union and the Republic of Liberia.

The main objective of the new Protocol is to provide fishing opportunities to Union vessels in the Liberian fishing zone, on the basis of the best available scientific advice and respecting the recommendations of the International Commission for the Conservation of Atlantic Tunas (ICCAT), where applicable within the limits of the available surplus. The Commission based its position in part on the results of a forward-looking assessment, carried out by external experts, of whether a new Agreement and Protocol should be concluded. The aim is also to enhance cooperation between the European Union and the Republic of Liberia to promote a sustainable fisheries policy and sound exploitation of fisheries resources in Liberia's fishing zone, in the interests of both parties.

The Protocol provides for fishing opportunities in the following categories:

- 28 tuna seiners;
- 6 surface long-liners.

The Commission accordingly proposes that the Council, with the consent of the European Parliament, adopt by Decision the conclusion of this new Agreement and Protocol.

2. RESULTS OF EX-POST EVALUATIONS, STAKEHOLDER CONSULTATIONS AND IMPACT ASSESSMENTS

The interested parties were consulted during the ex-ante evaluation on a possible Sustainable Fisheries Partnership Agreement and Protocol between the European Union and the Republic of Liberia. Experts from the Member States and industry were also consulted in technical meetings. Furthermore, the Liberian fisheries authorities and stakeholders were consulted during a technical meeting. These consultations led to the conclusion that it would be beneficial for the EU and the Republic of Liberia to conclude a new Sustainable Fisheries Partnership Agreement and Protocol.

¹ Adopted by the 3324th meeting of the Council (ECOFIN) on 20 June 2014.

3. LEGAL ELEMENTS OF THE PROPOSAL

This procedure is being carried out in parallel with the procedures relating to the Council Decision authorising the signing, on behalf of the European Union, and provisional application of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of Liberia and the Implementation Protocol thereto, and the Council Regulation on the allocation of fishing opportunities between the EU Member States.

4. BUDGETARY IMPLICATIONS

The annual financial contribution is EUR 715 000 for the first year, EUR 650 000 for the second, third and fourth years, and EUR 585 000 for the fifth year, on the basis of:

(a) a reference tonnage of 6 500 tonnes, for which an amount linked to access has been set at EUR 357 500 for the first year, EUR 325 000 for the second, third and fourth years, and EUR 292 500 for the fifth year; and

(b) support for development of the sectoral fisheries policy of the Republic of Liberia, amounting to EUR 357 500 for the first year, EUR 325 000 for the second, third and fourth years, and EUR 292 500 for the fifth year. This support meets the objectives of the national fisheries policy and in particular the Republic of Liberia's needs in relation to scientific research, artisanal fisheries as well as fisheries monitoring, control and surveillance and the fight against illegal fishing.

Proposal for a

COUNCIL DECISION

on the conclusion of a Sustainable Fisheries Partnership Agreement between the European Union and the Republic of Liberia and the Implementation Protocol thereto

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(2) in conjunction with Article 218(6) second subparagraph, point (a) and Article 218(7) thereof,

Having regard to the proposal from the European Commission,

Having regard to the consent of the European Parliament²,

Whereas:

- (1) The European Union and the Republic of Liberia negotiated a Sustainable Fisheries Partnership Agreement (hereinafter referred to as ‘the Agreement’) for a duration of five years, renewable by tacit agreement, and an Implementation Protocol thereto, granting Union vessels fishing opportunities in the waters over which the Republic of Liberia has sovereignty or jurisdiction in respect to fisheries.
- (2) The Agreement and Protocol were signed on [...] in accordance with Decision 2015/.../EU³ and will apply on a provisional basis from the date of their signature.
- (3) The Agreement and Protocol should be approved.
- (4) Article 8 of the Agreement establishes the Joint Committee responsible for monitoring its implementation. Furthermore, in accordance with the Protocol, the Joint Committee may approve certain amendments to the Protocol. In order to facilitate the approval of such amendments, the Commission should be empowered, subject to specific conditions, to approve them under a simplified procedure,

HAS ADOPTED THIS DECISION:

Article 1

The Sustainable Fisheries Partnership Agreement between the European Union and the Republic of Liberia and the Implementation Protocol thereto are hereby approved on behalf of the Union.

² OJ C , , p. .

³ OJ L [...], [...], p. [...].

The text of the Agreement and Protocol are attached to this Decision as Annexes I and II.

Article 2

The President of the Council shall designate the person(s) empowered to proceed, on behalf of the Union, to the notifications provided for in Article 16 of the Agreement and Article 13 of the Protocol, in order to express the Union's consent to be bound by the Agreement and the Protocol thereto.

Article 3

Subject to the provisions and conditions set out in Annex III to this Decision, the Commission shall be empowered to approve, on behalf of the Union, amendments to the Protocol adopted by the Joint Committee established under Article 8 of the Agreement.

Article 4

This Decision shall enter into force on the third day following that of its publication in the *Official Journal of the European Union*.

Done at Brussels,

*For the Council
The President*

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

- 1.1. Title of the proposal/initiative
- 1.2. Policy area(s) concerned in the ABM/ABB structure
- 1.3. Nature of the proposal/initiative
- 1.4. Objective(s)
- 1.5. Grounds for the proposal/initiative
- 1.6. Duration and financial impact
- 1.7. Management method(s) envisaged

2. MANAGEMENT MEASURES

- 2.1. Monitoring and reporting rules
- 2.2. Management and control system
- 2.3. Measures to prevent fraud and irregularities

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

- 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected
- 3.2. Estimated impact on expenditure
 - 3.2.1. *Summary of estimated impact on expenditure*
 - 3.2.2. *Estimated impact on operational appropriations*
 - 3.2.3. *Estimated impact on appropriations of an administrative nature*
 - 3.2.4. *Compatibility with the current multiannual financial framework*
 - 3.2.5. *Third-party contributions*
- 3.3. Estimated impact on revenue

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

1.1. Title of the proposal/initiative

Proposal for a Council Decision on the conclusion of a Sustainable Fisheries Partnership Agreement between the European Union and the Republic of Liberia and the Implementation Protocol thereto.

1.2. Policy area(s) concerned in the ABM/ABB structure⁴

11. – Maritime Affairs and Fisheries

11.03 – Compulsory contributions to regional fisheries management organisations (RFMOs) and other international organisations and sustainable fisheries agreements (SFAs).

1.3. Nature of the proposal/initiative

The proposal/initiative relates to **a new action**

The proposal/initiative relates to **a new action following a pilot project/preparatory action⁵**

The proposal/initiative relates to **the extension of an existing action**

The proposal/initiative relates to **an action redirected towards a new action**

1.4. Objective(s)

1.4.1. *The Commission's multiannual strategic objective(s) targeted by the proposal/initiative*

The negotiation and conclusion of Sustainable Fisheries Partnership Agreements (SFPAs) with third countries meets the general objective of giving EU fishing vessels access to the fishing zones of third countries and developing a partnership with those countries with a view to strengthening the sustainable exploitation of fishery resources outside EU waters.

SFPAs also ensure consistency between the principles governing the Common Fisheries Policy and the commitments made under other European policies (sustainable use of third-country resources, combating illegal, unreported and unregulated (IUU) fishing, integration of partner countries into the global economy and better political and financial governance of fisheries).

⁴ ABM: activity-based management; ABB: activity-based budgeting.

⁵ As referred to in Article 54(2)(a) or (b) of the Financial Regulation.

1.4.2. *Specific objective(s) and ABM/ABB activity(ies) concerned*

Specific objective:

To contribute to sustainable fishing in non-EU waters, maintain a European presence in distant-water fisheries and protect the interests of the European fisheries sector and consumers by negotiating and concluding SFPAs with coastal states, consistent with other European policies.

ABM/ABB activity(ies) concerned

Maritime affairs and fisheries, to establish a governance framework for fishing activities carried out by European Union fishing vessels in third country waters (SFAs) (budget line 11.0301).

1.4.3. *Expected result(s) and impact*

Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.

The conclusion of the Agreement enables the establishment of a strategic fisheries partnership between the European Union and the Republic of Liberia. The conclusion of the Protocol will create fishing opportunities for Union vessels in the Liberian fishing zone.

The Protocol will also contribute to better management and conservation of fishery resources, through financial support (sectoral support) for the implementation of programmes adopted at national level by the partner country, in particular as regards monitoring and combating illegal fishing.

1.4.4. *Indicators of results and impact*

Specify the indicators for monitoring implementation of the proposal/initiative.

Rates of utilisation of fishing opportunities (annual uptake of fishing authorisations as a percentage of availability under the Protocol);

Gathering and analysing data on catches and the commercial value of the Agreement;

Contribution to employment and to added value in the EU and to stabilising the EU market (in aggregate with other SFPAs);

Number of technical meetings and meetings of the Joint Committee.

1.5. Grounds for the proposal/initiative

1.5.1. *Requirement(s) to be met in the short or long term*

There has never been an SFPA or Protocol between the European Union and the Republic of Liberia. A forward looking assessment, carried out by external experts, concluded that a new SFPA and Protocol between the EU and the Republic of Liberia would be beneficial for both parties.

It is intended that the new Agreement and Protocol will apply provisionally from the date of their signature in order not to delay the start of fishing operations.

The new Protocol will provide a framework for the fishing activities of the European fleet in the Liberian fishing zone and will authorise European vessel owners to apply for fishing licences allowing them to fish in that zone. In addition, the new Protocol enhances cooperation between the EU and the Republic of Liberia, with a view to promoting the development of a sustainable fishing policy. It provides, in particular, for vessels to be monitored via VMS and for the electronic transmission of catch data. The sectoral support available under the Protocol will help the Republic of Liberia with its national fisheries strategy, including the fight against IUU fishing.

1.5.2. Added value of EU involvement

As regards this new Agreement and Protocol, failure to act by the EU would allow the emergence of private agreements which would not guarantee sustainable fisheries. The European Union also hopes that with this Protocol, the Republic of Liberia will continue to cooperate effectively with the EU particularly as regards the fight against illegal fishing.

1.5.3. Lessons learned from similar experiences in the past

An analysis of past catches in the Liberian fishing zone and of recent catches within the framework of similar protocols in the region, as well as the assessments and scientific advice available, led the parties to set a reference tonnage for tuna and tuna-like species of 6 500 tonnes a year with fishing opportunities for 28 purse seiners and 6 surface long-liners. Sectoral support has been set at a relatively high level in order to take into account requirements in terms of building the capacity of the Liberian fisheries authorities and the priorities of the national fisheries strategy.

1.5.4. Compatibility and possible synergy with other appropriate instruments

Funds paid out under SFPAs constitute fungible revenue in the budgets of the third-country partners. However, allocating some of those funds for implementing measures as part of the country's sectoral policy is a condition for the conclusion and monitoring of SFPAs. These financial resources are compatible with other sources of funding from other providers of international funding for carrying out projects and/or programmes at national level in the fisheries sector.

1.6. Duration and financial impact

Proposal/initiative of **limited duration**

- Proposal/initiative in effect from 2015 to 2020
- Financial impact from 2015 to 2019

Proposal/initiative of **unlimited duration**

- Implementation with a start-up period from YYYY to YYYY,
- followed by full-scale operation.

1.7. Management mode(s) planned⁶

Direct management by the Commission

- by its departments, including by its staff in the Union delegations;
- by the executive agencies

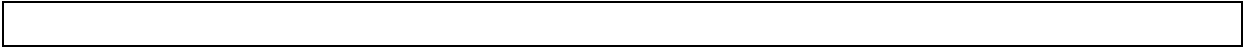
Shared management with the Member States

Indirect management by entrusting budget implementation tasks to:

- third countries or the bodies they have designated;
 - international organisations and their agencies (to be specified);
 - the EIB and the European Investment Fund;
 - bodies referred to in Articles 208 and 209 of the Financial Regulation;
 - public law bodies;
 - bodies governed by private law with a public service mission to the extent that they provide adequate financial guarantees;
 - bodies governed by the private law of a Member State that are entrusted with the implementation of a public-private partnership and that provide adequate financial guarantees;
 - persons entrusted with the implementation of specific actions in the CFSP pursuant to Title V of the TEU, and identified in the relevant basic act.
- *If more than one management mode is indicated, please provide details in the 'Comments' section.*

Comments

⁶ Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html



2. MANAGEMENT MEASURES

2.1. Monitoring and reporting rules

Specify frequency and conditions.

The Commission (DG MARE, in collaboration with its fisheries counsellor based in the region) will ensure regular monitoring of the implementation of the Protocol, particularly as regards the use by operators of fishing opportunities and catch data.

The SFPA Agreement provides for at least one annual meeting of the Joint Committee, at which the Commission and the Republic of Liberia review the implementation of the Agreement and Protocol and, if necessary, adjust the programming and, if applicable, the financial contribution.

2.2. Management and control system

2.2.1. Risk(s) identified

There is some risk in setting up a new fisheries Agreement and Protocol, particularly with regard to the amounts intended to finance the sectoral fisheries policy (under-programming).

2.2.2. Information concerning the internal control system set up

Extensive dialogue is planned on the programming and implementation of the sectoral policy laid down in the Agreement and the Protocol. Joint analysis of results, as referred to in Article 4 of the Protocol, also forms part of these control methods.

In addition, the Agreement and the Protocol contain specific clauses for their suspension, on certain conditions and in given circumstances.

2.2.3. Estimate of the costs and benefits of the controls and assessment of the expected level of risk of error

2.3. Measures to prevent fraud and irregularities

Specify existing or envisaged prevention and protection measures.

The Commission undertakes to establish political dialogue and regular coordination with the Republic of Liberia with a view to improving the management of the Agreement and the Protocol and strengthening the EU's contribution to the sustainable management of resources. In any case, any payment which the Commission makes under an SFPA is subject to the Commission's standard rules and budgetary and financial procedures. This makes it possible, in particular, to fully identify the bank accounts of the third countries into which the financial contribution is paid. For this particular Protocol, Article 3(8) stipulates that the entire financial

contribution must be paid into an account of the Public Treasury account opened with the Central Bank of Liberia.

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Heading.....]	Diff./Non-diff. ⁷	from EFTA countries ⁸	from candidate countries ⁹	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
2	11.03.01 To establish a governance framework for fishing activities carried out by European Union fishing vessels in third country waters (SFAs)	Diff.	NO	NO	NO	NO
2	11.010401 Support expenditure for maritime affairs and fisheries — Non-operational administrative and technical assistance	Non-diff.	NO	NO	NO	NO

- New budget lines requested

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Heading..... ...]	Diff./Non-diff.	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
	[XX.YY.YY.YY]		YES/NO O	YES/NO	YES/NO O	YES/NO

⁷ Diff. = Differentiated appropriations / Non-diff. = Non-differentiated appropriations.

⁸ EFTA: European Free Trade Association.

⁹ Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

3.2. Estimated impact on expenditure

3.2.1. Summary of estimated impact on expenditure

EUR million (to three decimal places)

Heading of multiannual financial framework		Number 2	Sustainable Growth: natural resources					TOTAL
DG: <.....>			Year N ¹⁰ 2015	Year N+1 2016	Year N+2 2017	Year N+3 2018	Year N+4 2019	
• Operational appropriations								
Number of budget line 11.0301	Commitments	(1)	0,715	0,650	0,650	0,650	0,585	3,250
	Payments	(2)	0,715	0,650	0,650	0,650	0,585	3,250
Number of budget line	Commitments	(1a)						
	Payments	(2a)						
Appropriations of an administrative nature financed from the envelope of specific programmes ¹¹								
Number of budget line 11.010401		(3)	0,037	0,037	0,037	0,037	0,097	0,245
TOTAL appropriations for DG <.....>	Commitments	=1+1a +3	0,752	0,687	0,687	0,687	0,682	3,495
	Payments	=2+2a +3	0,752	0,687	0,687	0,687	0,682	3,495

¹⁰

Year N is the year in which implementation of the proposal/initiative starts.

¹¹

Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

• TOTAL operational appropriations	Commitments	(4)	0,715	0,650	0,650	0,650	0,650	0,585	3,250
	Payments	(5)	0,715	0,650	0,650	0,650	0,650	0,585	3,250
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes	Commitments	(6)	0,037	0,037	0,037	0,037	0,037	0,097	0,245
	Payments	=4+6	0,752	0,687	0,687	0,687	0,687	0,682	3,495
TOTAL appropriations under HEADING 2> of the multiannual financial framework	Commitments	=4+6	0,752	0,687	0,687	0,687	0,687	0,682	3,495
	Payments	=5+6	0,752	0,687	0,687	0,687	0,687	0,682	3,495

If more than one heading is affected by the proposal / initiative:

• TOTAL operational appropriations	Commitments	(4)							
	Payments	(5)							
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes	Commitments	(6)							
	Payments	=4+6							
TOTAL appropriations under HEADINGS 1 to 4 of the multiannual financial framework (Reference amount)	Commitments	=4+6							
	Payments	=5+6							

Heading of multiannual financial framework	5	‘Administrative expenditure’
---	----------	-------------------------------------

EUR million (to three decimal places)

	Year N	Year N+1	Year N+2	Year N+3	Year N+4	TOTAL
	2015	2016	2017	2018	2019	
DG: MARE						
• Human resources	0,113	0,113	0,113	0,113	0,113	0,565
• Other administrative expenditure	0,009	0,009	0,009	0,009	0,009	0,045
TOTAL DG MARE	0,122	0,122	0,122	0,122	0,122	0,610
Appropriations						

TOTAL appropriations under HEADING 5	(Total commitments = Total payments)					0,610
of the multiannual financial framework	0,122	0,122	0,122	0,122	0,122	

EUR million (to three decimal places)

	Year N ¹²	Year N+1	Year N+2	Year N+3	Year N+4	TOTAL
	2015	2016	2017	2018	2019	
TOTAL appropriations under HEADINGS 1 to 5	0,874	0,809	0,809	0,809	0,804	4,105
of the multiannual financial framework	0,874	0,809	0,809	0,809	0,804	4,105
Commitments						
Payments						

¹² Year N is the year in which implementation of the proposal/initiative starts.

3.2.2. Estimated impact on operational appropriations

- The proposal/initiative does not require the use of operational appropriations
- The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to three decimal places)

Indicate objectives and outputs	Type ¹³	Average cost	Year N	Year N+1	Year N+2	Year N+3	Year N+4	TOTAL			
			2015	2016	2017	2018	2019	Cost	No	Cost	
OUTPUTS											
SPECIFIC OBJECTIVE No 1¹⁴ ...											
- vessel licences	t/yr	15	0,357	0,325	0,325	0,325	0,292				1,625
- sectoral	annual	0,325	0,357	0,325	0,325	0,325	0,292				1,625
Subtotal for specific objective No 1			0,715	0,650	0,650	0,650	0,585				3,250
SPECIFIC OBJECTIVE No 2 ...											
- Output											
Subtotal for specific objective No 2											

¹³

Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).

¹⁴

As described in point 1.4.2. 'Specific objective(s) ...'

¹⁵

Price per tonne based on reference tonnage of 6500 tonnes / year: EUR 55 in the first year (total of EUR 357 500), EUR 50 in the second, third and fourth years (total of EUR 325 000 per year), and EUR 45 in the fifth year (total of EUR 292 500).

TOTAL COST	0,715	0,650	0,650	0,650	0,650	0,650	0,650	0,650	0,650	0,585							3,250
------------	-------	-------	-------	-------	-------	-------	-------	-------	-------	-------	--	--	--	--	--	--	-------

3.2.3. Estimated impact on appropriations of an administrative nature

3.2.3.1. Summary

- The proposal/initiative does not require the use of appropriations of an administrative nature
- The proposal/initiative requires the use of appropriations of an administrative nature, as explained below:

EUR million (to three decimal places)

	Year N ¹⁶ 2015	Year N+1 2016	Year N+2 2017	Year N+3 2018	Year N+4 2019			TOTAL
--	---------------------------------	---------------------	---------------------	---------------------	---------------------	--	--	-------

HEADING 5 of the multiannual financial framework								
Human resources	0,113	0,113	0,113	0,113	0,113			0,565
Other administrative expenditure	0,009	0,009	0,009	0,009	0,009			0,045
Subtotal HEADING 5 of the multiannual financial framework	0,122	0,122	0,122	0,122	0,122			0,610

Outside HEADING 5¹⁷ of the multiannual financial framework								
Human resources	0,031	0,031	0,031	0,031	0,031			0,155
Other expenditure of an administrative nature	0,006	0,006	0,006	0,006	0,066			0,090
Subtotal outside HEADING 5 of the multiannual financial framework	0,037	0,037	0,037	0,037	0,097			0,245

TOTAL	0,159	0,159	0,159	0,159	0,219			0,855
--------------	--------------	--------------	--------------	--------------	--------------	--	--	--------------

The appropriations required for human resources and other expenditure of an administrative nature will be met by appropriations from the DG that are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

¹⁶ Year N is the year in which implementation of the proposal/initiative starts.

¹⁷ Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

3.2.3.2. Estimated requirements of human resources

- The proposal/initiative does not require the use of human resources.
- The proposal/initiative requires the use of human resources, as explained below:

Estimate to be expressed in full time equivalent units

	Year N 2015	Year N+1 2016	Year N+2 2017	Year N+3 2018	Year N+4 2019		TOTAL
• Establishment plan posts (officials and temporary staff)							
11 01 01 01 (Headquarters and Commission's Representation Offices)	0,099	0,099	0,099	0,099	0,099		0,495
11 01 01 02 (Delegations)							
XX 01 05 01 (Indirect research)							
10 01 05 01 (Direct research)							
• External staff (in Full Time Equivalent unit: FTE)¹⁸							
XX 01 02 01 (AC, END, INT from the 'global envelope')	0,014	0,014	0,014	0,014	0,014		0,070
XX 01 02 02 (AC, AL, END, INT and JED in the delegations)							
11 01 04 01 ¹⁹	- at Headquarters						
	- in Delegations	0,031	0,031	0,031	0,031	0,031	0,155
XX 01 05 02 (AC, END, INT - Indirect research)							
10 01 05 02 (AC, END, INT - Direct research)							
Other budget lines (specify)							
TOTAL	0,144	0,144	0,144	0,144	0,144		0,720

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary staff	<p>Monitoring and management of the process for the (re)negotiation of the SFPA and the approval of the result of the negotiations by the institutions; management of the current SFPA, including permanent operational and financial monitoring; on-going monitoring of the implementation of sectoral support, management of licences.</p> <p>Desk officer DG MARE + Head of Unit / Deputy Head of Unit + licence manager + secretarial staff:</p> <p>Overall estimate of 0,75 FTE / year</p> <p>Unit cost: EUR 132 000 / year</p>
-------------------------------	--

¹⁸ AC= Contract Staff; AL = Local Staff; END= Seconded National Expert; INT = agency staff; JED= Junior Experts in Delegations.

¹⁹ Sub-ceiling for external staff covered by operational appropriations (former 'BA' lines).

	<p>Calculation of costs: 0,75 FTE x EUR 132 000 / year</p> <p>Total costs: 99 000 => EUR 0,099 M</p>
External staff	<p>1) Financial assistant DG MARE:</p> <p>Overall estimate of 0,2 FTE / year</p> <p>Unit cost: EUR 70 000</p> <p>Calculation of costs: 0,2 FTE x 70 000 / year</p> <p>Total cost: EUR 14 000 => EUR 0,014 M</p> <p>2) Contractual agent in EU Delegation:</p> <p>Overall estimate of 0,25 FTE / year</p> <p>Unit cost: EUR 125 000</p> <p>Calculation of costs: 0,25 FTE x 125 000 / year</p> <p>Total cost: EUR 31 250 => EUR 0,031 M</p>

3.2.4. *Compatibility with the current multiannual financial framework*

- The proposal/initiative is compatible the current multiannual financial framework.
- The proposal/initiative will entail reprogramming of the relevant heading in the multiannual financial framework.

Explain what reprogramming is required, specifying the budget lines concerned and the corresponding amounts.

- The proposal/initiative requires application of the flexibility instrument or revision of the multiannual financial framework.

Explain what is required, specifying the headings and budget lines concerned and the corresponding amounts.

3.2.5. *Third-party contributions*

- The proposal/initiative does not provide for co-financing by third parties.
- The proposal/initiative provides for the co-financing estimated below:

Appropriations in EUR million (to three decimal places)

	Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
Specify the co-financing body								
TOTAL appropriations co-financed								

3.3. Estimated impact on revenue

- The proposal/initiative has no financial impact on revenue.
- The proposal/initiative has the following financial impact:
 - on own resources
 - on miscellaneous revenue

EUR million (to three decimal places)

Budget revenue line:	Appropriations available for the current financial year	Impact of the proposal/initiative ²⁰					Enter as many years as necessary to show the duration of the impact (see point 1.6)		
		Year N	Year N+1	Year N+2	Year N+3				
Article									

For miscellaneous 'assigned' revenue, specify the budget expenditure line(s) affected.

Specify the method for calculating the impact on revenue.

²⁰ As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 25 % for collection costs.