



**COUNCIL OF  
THE EUROPEAN UNION**

**Brussels, 6 November 2013  
(OR. en)**

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**FIN 699**

**COVER NOTE**

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From: Mr Janusz LEWANDOWSKI, Member of the European Commission  
date of receipt: 6 November 2013  
To: Mr Algimantas RIMKUNAS, President of the Council of the European Union

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Subject: Transfer of appropriations No DEC 36/2013 within Section III -  
Commission - of the general budget for 2013

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Delegations will find attached Commission's document DEC 36/2013.

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Encl.: DEC 36/2013



BRUSSELS, 04/11/2013

GENERAL BUDGET - 2013  
SECTION III - COMMISSION TITLE 19

TRANSFER OF APPROPRIATIONS N° **DEC 36/2013**

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EUR

**FROM**

**CHAPTER** - 1903 Common foreign and security policy (CFSP)

ARTICLE - 19 03 04 Emergency measures

Commitments - 8 000 000

**TO**

**CHAPTER** - 1906 Crisis response and global threats to security

ITEM - 19 06 01 01 Crisis response and preparedness (Instrument for Stability)

Commitments 8 000 000

## I. INCREASE

### a) Heading

#### 19 06 01 01 - Crisis response and preparedness (Instrument for Stability)

### b) Figures at 15/10/2013

|   | <b>Commitments</b> |
|---|--------------------|
| 1A. Appropriation in budget (initial budget + AB)   | 241 717 000        |
| 1B. Appropriation in budget (EFTA)  | 0                  |
| 2. Transfers  | -15 000 000        |
| <hr/>   |                    |
| 3. Final appropriation for the year (1A+1B+2)   | 226 717 000        |
| 4. Utilisation of final appropriation   | 141 827 405        |
| <hr/>   |                    |
| <b>5. Amount not used/available (3-4)</b>   | <b>84 889 595</b>  |
| <b>6. Requirements up to year-end</b>   | <b>92 889 595</b>  |
| <b>7. Increase proposed</b>   | <b>8 000 000</b>   |
| 8. Increase as percentage of appropriation in budget (7/1A)   | 3.31%              |
| 9. Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application | n/a                |

### c) Receipts arising from recovery (carried over) (C5)

|  | <b>Commitments</b> |
|--|--------------------|
| 1. Appropriation available at start of year  | 4 120 775          |
| 2. Appropriation available on the 15/10/2013 | 0                  |
| 3. Rate of utilisation [(1-2)/1]             | 100.00%            |

### d) Detailed grounds for the increase

In July, in order to cover the urgent needs related to the crisis in Syria, EUR 15 million in commitment appropriations was transferred from the Instrument for Stability (IfS) to article 23 02 01 - Humanitarian Aid (DEC 18). However, it is now necessary to reinforce the IfS for at least part of that amount – EUR 8 million – in order to cover the needs of projects, notably in Guinea and Ivory Coast.

After DEC 18, the commitment appropriations for 2013 remaining on the budget item 19 06 01 01 totalled EUR 226.7 million. However, between the measures already presented to the Political and Security Committee for a total amount of EUR 212 million, and the new crisis response measures in preparation for a further EUR 23 million, a reinforcement of EUR 8 million is needed in 2013.

## II. DECREASE

### a) Heading

#### 19 03 04 - Emergency measures

### b) Figures at 15/10/2013

|   | <b>Commitments</b> |
|---|--------------------|
| 1A. Appropriation in budget (initial budget + AB)   | 34 000 000         |
| 1B. Appropriation in budget (EFTA)  | 0                  |
| 2. Transfers  | -21 127 672        |
| <hr/>   |                    |
| 3. Final appropriation for the year (1A+1B+2)   | 12 872 328         |
| 4. Utilisation of final appropriation   | 0                  |
| <hr/>   |                    |
| <b>5. Amount not used/available (3-4)</b>   | <b>12 872 328</b>  |
| <b>6. Requirements up to year-end</b>   | <b>4 872 328</b>   |
| <b>7. Proposed decrease</b>   | <b>8 000 000</b>   |
| 8. Decrease as percentage of appropriation in budget (7/1A)   | 23.53%             |
| 9. Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) and (c) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application | n/a                |

### c) Receipts arising from recovery (carried over) (C5)

|  | <b>Commitments</b> |
|--|--------------------|
| 1. Appropriation available at start of year  | 0                  |
| 2. Appropriation available on the 15/10/2013 | 0                  |
| 3. Rate of utilisation [(1-2)/1]             | n/a                |

### d) Detail grounds for the transfer

According to the Inter-Institutional Agreement of 17 May 2006, the funds allocated to this budget article serve to cover unforeseen circumstances within other budget articles of the Common Foreign and Security Policy (CFSP) chapter 19 03.

After careful analysis of the remaining actions to be financed until the end of 2013, the Commission considers that an amount of EUR 8 million can be made available from the CFSP budget. This amount has been calculated taking into account the remaining operations and projects in non-proliferation and disarmament to be committed by the end of the year. The main reason why the CFSP budget of EUR 396 million cannot be fully used this year is the considerable downsizing of EULEX Kosovo, the largest mission, which has not been fully compensated in financial terms by other actions. As a result, EUR 8 million can be transferred to cover actions under the budget item 19 06 01 01 for crisis response under the Instrument for Stability.