



Council of the  
European Union

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**COVER NOTE**

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From:	Ms Kristalina GEORGIEVA, Vice-president of the European Commission
date of receipt:	2 December 2015
To:	Mr Pierre GRAMEGNA, President of the Council of the European Union
Subject:	Proposal for transfer of appropriations No DEC 42/2015 within Section III - Commission - of the general budget for 2015

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Delegations will find attached Commission document DEC 42/2015.

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Encl.: DEC 42/2015



BRUSSELS, 01/12/2015

GENERAL BUDGET - 2015  
SECTION III - COMMISSION TITLES: 13, 19, 20, 23, 40

**TRANSFER OF APPROPRIATIONS N° DEC 42/2015**

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**FROM**

**CHAPTER - 1305** Instrument for Pre-Accession Assistance -- Regional development and regional and territorial cooperation

ARTICLE - 13 05 02 Instrument for Pre-Accession Assistance (IPA) -- Completion of regional development component (2007 to 2013) Payments -10 190 925,00

ITEM - 13 05 63 02 Cross-border cooperation (CBC) -- Contribution from Heading 4 Commitments -1 042 689,00

**CHAPTER - 1903** Common Foreign and Security Policy (CFSP)

ITEM - 19 03 01 04 Other crisis management measures and operations Commitments -2 882 311,00

**CHAPTER - 2002** Trade policy

ARTICLE - 20 02 03 Aid for trade -- Multilateral initiatives Commitments -775 000,00

**CHAPTER - 2304** EU Aid Volunteers initiative

ARTICLE - 23 04 01 EU Aid Volunteers initiative -- Strengthening the Union's capacity to respond to humanitarian crises Commitments -7 300 000,00

**CHAPTER - 4002** Reserves for financial interventions

ARTICLE - 40 02 42 Emergency aid reserve Payments -1 809 075,00

**TO**

**CHAPTER - 2302** Humanitarian aid, food aid and disaster preparedness

ARTICLE - 23 02 01 Delivery of rapid, effective and needs-based humanitarian aid and food aid Commitments 12 000 000,00  
Payments 12 000 000,00

## I. DECREASE

### I.1

#### a) Heading

**13 05 02 - Instrument for Pre-Accession Assistance (IPA) -- Completion of regional development component (2007 to 2013)**

#### b) Figure at 26/11/2015

	<b>Payments</b>
1A Appropriation in budget (Initial Budget + AB)	272 864 063,00
1B Appropriation in budget (EFTA)	0,00
2 Transfers	0,00
3 Final appropriation for the year (1A+1B+2)	272 864 063,00
4 Utilisation of final appropriation	237 220 703,18
<b>5 Amount not used/available (3-4)</b>	<b>35 643 359,82</b>
<b>6 Requirements up to year-end</b>	<b>25 452 434,82</b>
<b>7 Proposed decrease</b>	<b>10 190 925,00</b>
8 Decrease as percentage of appropriation in budget (7/1A)	3,73 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

#### c) Receipts arising from recovery (carried over) (C5)

	<b>Payments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 26/11/2015	0,00
3 Rate of utilisation [(1-2)/1]	n/a

#### d) Detailed grounds for the transfer

Some payment claims are expected to arrive too late in the year to be paid still in 2015. Therefore, given the more urgent needs in the Humanitarian Aid policy area, an amount of EUR 10 190 925 can be made available for redeployment.

## I.2

### a) Heading

**13 05 63 02 - Cross-border cooperation (CBC) -- Contribution from Heading 4**

### b) Figure at 26/11/2015

	<b>Commitments</b>
1A Appropriation in budget (Initial Budget + AB)	17 541 590,00
1B Appropriation in budget (EFTA)	0,00
2 Transfers	0,00
3 Final appropriation for the year (1A+1B+2)	17 541 590,00
4 Utilisation of final appropriation	9 217 382,00
<b>5 Amount not used/available (3-4)</b>	<b>8 324 208,00</b>
<b>6 Requirements up to year-end</b>	<b>7 281 519,00</b>
<b>7 Proposed decrease</b>	<b>1 042 689,00</b>
8 Decrease as percentage of appropriation in budget (7/1A)	5,94 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### c) Receipts arising from recovery (carried over) (C5)

	<b>Commitments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 26/11/2015	0,00
3 Rate of utilisation [(1-2)/1]	n/a

### d) Detailed grounds for the transfer

The cross-border cooperation programmes Greece-Turkey and Cyprus-Turkey were not prepared by the partner countries and submitted to the Commission in due time this year. Therefore, a total amount of EUR 1 042 689 corresponding to the unused 2015 commitment appropriations under heading 4 (EUR 897 841 and EUR 144 848) can be made available for redeployment.

### **I.3**

#### **a) Heading**

**19 03 01 04 - Other crisis management measures and operations**

#### **b) Figure at 26/11/2015**

	<b>Commitments</b>
1A Appropriation in budget (Initial Budget + AB)	85 250 000,00
1B Appropriation in budget (EFTA)	0,00
2 Transfers	0,00
3 Final appropriation for the year (1A+1B+2)	85 250 000,00
4 Utilisation of final appropriation	23 689 445,47
<b>5 Amount not used/available (3-4)</b>	<b>61 560 554,53</b>
<b>6 Requirements up to year-end</b>	<b>58 678 243,53</b>
<b>7 Proposed decrease</b>	<b>2 882 311,00</b>
8 Decrease as percentage of appropriation in budget (7/1A)	3,38 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

#### **c) Receipts arising from recovery (carried over) (C5)**

	<b>Commitments</b>
1 Appropriations available at start of the year	8 005 554,53
2 Appropriations available on 26/11/2015	0,00
3 Rate of utilisation $[(1-2)/1]$	100,00 %

#### **d) Detailed grounds for the transfer**

Due to the delay in the adoption of the Council decision for the establishment of the Kosovo Specialist Chambers, there is an amount of EUR 51 million in commitment appropriations that can be redeployed for other needs. EUR 2.9 million of that amount can be made available in the context of this transfer.

## **I.4**

### **a) Heading**

**20 02 03 - Aid for trade -- Multilateral initiatives**

### **b) Figure at 26/11/2015**

	<b>Commitments</b>
1A Appropriation in budget (Initial Budget + AB)	4 802 000,00
1B Appropriation in budget (EFTA)	0,00
2 Transfers	-27 000,00
3 Final appropriation for the year (1A+1B+2)	4 775 000,00
4 Utilisation of final appropriation	900 000,00
<b>5 Amount not used/available (3-4)</b>	<b>3 875 000,00</b>
<b>6 Requirements up to year-end</b>	<b>3 100 000,00</b>
<b>7 Proposed decrease</b>	<b>775 000,00</b>
8 Decrease as percentage of appropriation in budget (7/1A)	16,14 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### **c) Receipts arising from recovery (carried over) (C5)**

	<b>Commitments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 26/11/2015	0,00
3 Rate of utilisation [(1-2)/1]	n/a

### **d) Detailed grounds for the transfer**

The amount available in commitment appropriations relates to contributions to two international organisations (Codex Alimentarius and International Plant Protection Convention) which have been cancelled in 2015. Both organisations only recently informed the Commission that they were looking for a new partnership with more focus on capacity building. As a result, commitment appropriations for an amount of EUR 0.8 million can be made available for redeployment.

## **I.5**

### **a) Heading**

**23 04 01 - EU Aid Volunteers initiative -- Strengthening the Union's capacity to respond to humanitarian crises**

### **b) Figure at 26/11/2015**

	<b>Commitments</b>
1A Appropriation in budget (Initial Budget + AB)	13 868 000,00
1B Appropriation in budget (EFTA)	0,00
2 Transfers	0,00
3 Final appropriation for the year (1A+1B+2)	13 868 000,00
4 Utilisation of final appropriation	6 568 000,00
<b>5 Amount not used/available (3-4)</b>	<b>7 300 000,00</b>
<b>6 Requirements up to year-end</b>	<b>0,00</b>
<b>7 Proposed decrease</b>	<b>7 300 000,00</b>
8 Decrease as percentage of appropriation in budget (7/1A)	52,64 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### **c) Receipts arising from recovery (carried over) (C5)**

	<b>Commitments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 26/11/2015	0,00
3 Rate of utilisation [(1-2)/1]	n/a

### **d) Detailed grounds for the transfer**

The tendering procedures for the implementation of the 2015 Annual Work Programme were affected by difficulties which led to a reduction of the amounts contracted. An amount of EUR 7.3 million in commitment appropriations will not be used and can, therefore, be made available for redeployment.

## **I.6**

### **a) Heading**

**40 02 42 - Emergency aid reserve**

### **b) Figure at 26/11/2015**

	<b>Payments</b>
1A Appropriation in budget (Initial Budget + AB)	150 000 000,00
1B Appropriation in budget (EFTA)	0,00
2 Transfers	-131 110 746,00
3 Final appropriation for the year (1A+1B+2)	18 889 254,00
4 Utilisation of final appropriation	0,00
<b>5 Amount not used/available (3-4)</b>	<b>18 889 254,00</b>
<b>6 Requirements up to year-end</b>	<b>17 080 179,00</b>
<b>7 Proposed decrease</b>	<b>1 809 075,00</b>
8 Decrease as percentage of appropriation in budget (7/1A)	1,21 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### **c) Receipts arising from recovery (carried over) (C5)**

	<b>Payments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 26/11/2015	0,00
3 Rate of utilisation [(1-2)/1]	n/a

### **d) Detailed grounds for the transfer**

According to article 9 of the Multiannual Financial Framework the Emergency Aid Reserve is intended to allow for a rapid response to specific aid requirements of third countries following events which could not be foreseen when the budget was established; first and foremost for humanitarian operations, but also for civil crisis management and protection, and situations of particular pressure resulting from migratory flows at the Union's external borders, where circumstances so require.



## **II. INCREASE**

### **II.1**

#### **a) Heading**

**23 02 01 - Delivery of rapid, effective and needs-based humanitarian aid and food aid**

#### **b) Figure at 26/11/2015**

	<b>Commitments</b>	<b>Payments</b>
1A Appropriation in budget (Initial Budget + AB)	882 446 000,00	928 182 000,00
1B Appropriation in budget (EFTA)	0,00	0,00
2 Transfers	108 077 134,00	149 264 000,00
3 Final appropriation for the year (1A+1B+2)	990 523 134,00	1 077 446 000,00
4 Utilisation of final appropriation	973 858 134,00	919 066 103,25
<b>5 Amount not used/available (3-4)</b>	<b>16 665 000,00</b>	<b>158 379 896,75</b>
<b>6 Requirements up to year-end</b>	<b>28 665 000,00</b>	<b>170 379 896,75</b>
<b>7 Proposed increase</b>	<b>12 000 000,00</b>	<b>12 000 000,00</b>
8 Increase as percentage of appropriation in budget (7/1A)	1,36 %	1,29 %
9 Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a	n/a

#### **c) Receipts arising from recovery (carried over) (C5)**

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations available at start of the year	62 508,54	28 512,24
2 Appropriations available on 26/11/2015	0,00	0,00
3 Rate of utilisation [(1-2)/1]	100,00 %	100,00 %

#### **d) Detailed grounds for the transfer**

As of 26 November 2015 the overall implementation rate for the humanitarian aid chapter stood at 98% for commitment appropriations and at 84% for payment appropriations.

The initial amount of the Operational Reserve was set at EUR 176 million but at this point only EUR 18 million in commitment appropriations remains available. However, an amount of EUR 13 million is currently being processed to cope with emergencies in, amongst others, Yemen, Boko Haram affected countries, and in countries of Latin America/Caribbean region affected by the El Niño phenomenon, which are not eligible for funding from the European Development Fund. The remaining balance of EUR 5 million is planned to be used for other critical situations, such as in the region of the Great Lakes.

Since the spring of 2015 the dynamics of the conflict in Afghanistan has changed, with mainly Taliban ground offensives against government forces increasing in intensity and frequency. The attacks now include urban areas with high population density. As a result, the civilian casualties and population displacements are increasing. The situation in Afghanistan cannot be considered anymore as in a "stabilisation phase", but has reverted to an increasingly acute humanitarian crisis.

In order to meet the growing humanitarian needs and to alleviate suffering in view of the approaching winter, EUR 12 million in commitment and payment appropriations is required to cover the most urgent needs by the end of the year. The funds will be used to assist the additional 400 000 people affected by the escalation of the conflict in 2015 and those affected by the October 2015 earthquake. The priority sectors will be food assistance, water, sanitation and hygiene, shelter, non-food items and winterisation. Protection and health will also be addressed.

More information on the crisis is provided in the attached annex.

## AFGHANISTAN

<b>Total amount committed in 2014 for this crisis</b>	<b>EUR 31.5 million</b>
<b>Amount committed through the 2015 initial Humanitarian Implementation Plan related to this crisis</b>	<b>EUR 28.285 million</b>
Funds already committed this year for this crisis from the: - Operational Reserve - Emergency Aid Reserve	0 0
<b>Total amount from EU Humanitarian Aid budget committed in 2015 (i.e. total revised HIP and/or Emergency Decisions)</b>	<b>EUR 28.285 million</b>
Other EU budget funding (Development cooperation etc.)	EUR 200 million
Other donors ( <i>source Financial Tracking Service as of 17/11/2015</i> )	USD 275.7 million

Total needs	EUR 12 million
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<b>Timing</b>
Funds are needed immediately.

<b>Why the additional funding is needed?</b>
<p>There has been a drastic shift in the dynamics of the conflict in Afghanistan since the spring of 2015. Armed Opposition Groups (mainly Taliban) ground offensives against government forces have increased both in intensity and frequency. They now include urban centres with high population density. As a result, the civilian population is paying an increasing heavy toll through both civilian casualties and population displacements. The situation in Afghanistan cannot be considered anymore as in a "stabilisation phase", but has rather developed into an increasingly acute humanitarian crisis. In order to meet the growing humanitarian needs and to alleviate suffering in view of the approaching winter, EUR 12 million is required immediately to ensure that the most urgent needs are met.</p>

<b>What will be the increase used for?</b>
<p>The Commission has contributed to the Emergency Response Mechanism (ERM) for Afghanistan to respond to urgent needs. However, the grant agreements signed with ERM partners were based on trends from 2014. Under the current circumstances, the ERM partners' resources are almost fully exhausted.</p> <p>The additional funds will be used for urgent humanitarian needs of some additional 400 000 people affected by the escalation of the conflict in 2015 and for those affected by the October 2015 earthquake. The priority sectors are food assistance, water, sanitation and hygiene, shelter, non-food items and winterisation. Protection and health will also be addressed.</p>