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European Union

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## PROPOSAL

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From: Secretary-General of the European Commission,  
signed by Mr Jordi AYET PUIGARNAU, Director

date of receipt: 16 December 2015

To: Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of  
the European Union

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No. Cion doc.: COM(2015) 661 final - ANNEX 1

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Subject: ANNEX DECISION OF THE EEA JOINT COMMITTEE NO .../2015 OF  
amending Annex XX (Environment) to the EEA Agreement to the proposal  
for a Council Decision on the position to be adopted, on behalf of the  
European Union, in the EEA Joint Committee concerning an amendment to  
Annex XX (Environment) to the EEA Agreement (CO2 Emissions)

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Delegations will find attached document COM(2015) 661 final - ANNEX 1.

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Encl.: COM(2015) 661 final - ANNEX 1



Brussels, 16.12.2015  
COM(2015) 661 final

ANNEX 1

**ANNEX**

**DECISION OF THE EEA JOINT COMMITTEE NO .../2015  
OF**

**amending Annex XX (Environment) to the EEA Agreement**

**to the**

**proposal for a Council Decision**

**on the position to be adopted, on behalf of the European Union,  
in the EEA Joint Committee concerning an amendment to Annex XX (Environment) to  
the EEA Agreement  
(CO<sub>2</sub> Emissions)**

## ANNEX

### DECISION OF THE EEA JOINT COMMITTEE NO .../2015

of

#### amending Annex XX (Environment) to the EEA Agreement

THE EEA JOINT COMMITTEE,

Having regard to the Agreement on the European Economic Area (“the EEA Agreement”), and in particular Article 98 thereof,

Whereas:

- (1) Regulation (EC) No 443/2009 of the European Parliament and of the Council of 23 April 2009 setting emission performance standards for new passenger cars as part of the Community’s integrated approach to reduce CO<sub>2</sub> emissions from light-duty vehicles<sup>1</sup> is to be incorporated into the EEA Agreement.
- (2) Commission Regulation (EU) No 1014/2010 of 10 November 2010 on monitoring and reporting of data on the registration of new passenger cars pursuant to Regulation (EC) No 443/2009 of the European Parliament and of the Council<sup>2</sup> is to be incorporated into the EEA Agreement.
- (3) Commission Regulation (EU) No 63/2011 of 26 January 2011 laying down detailed provisions for the application for a derogation from the specific CO<sub>2</sub> emission targets pursuant to Article 11 of Regulation (EC) No 443/2009 of the European Parliament and of the Council<sup>3</sup> is to be incorporated into the EEA Agreement.
- (4) Commission Implementing Regulation (EU) No 725/2011 of 25 July 2011 establishing a procedure for the approval and certification of innovative technologies for reducing CO<sub>2</sub> emissions from passenger cars pursuant to Regulation (EC) No 443/2009 of the European Parliament and of the Council<sup>4</sup> is to be incorporated into the EEA Agreement.
- (5) Commission Implementing Regulation (EU) No 429/2012 of 22 May 2012 amending Regulation (EU) No 1014/2010 for the purpose of providing a common format for the notification of errors by manufacturers of passenger cars<sup>5</sup> is to be incorporated into the EEA Agreement.
- (6) Commission Implementing Regulation (EU) No 396/2013 of 30 April 2013 amending Regulation (EU) No 1014/2010 as regards certain requirements for the monitoring of

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<sup>1</sup> OJ L 140, 5.6.2009, p. 1.

<sup>2</sup> OJ L 293, 11.11.2010, p. 15.

<sup>3</sup> OJ L 23, 27.1.2011, p. 16.

<sup>4</sup> OJ L 194, 26.7.2011, p. 19.

<sup>5</sup> OJ L 132, 23.5.2012, p. 11.

CO<sub>2</sub> emissions from new passenger cars<sup>6</sup> is to be incorporated into the EEA Agreement.

- (7) Commission Regulation (EU) No 397/2013 of 30 April 2013 amending Regulation (EC) No 443/2009 of the European Parliament and of the Council as regards the monitoring of CO<sub>2</sub> emissions from new passenger cars<sup>7</sup> is to be incorporated into the EEA Agreement.
- (8) Regulation (EU) No 333/2014 of the European Parliament and of the Council of 11 March 2014 amending Regulation (EC) No 443/2009 to define the modalities for reaching the 2020 target to reduce CO<sub>2</sub> emissions from new passenger cars<sup>8</sup> is to be incorporated into the EEA Agreement.
- (9) Commission Delegated Regulation (EU) 2015/6 of 31 October 2014 amending Annex I to Regulation (EC) No 443/2009 of the European Parliament and of the Council in order to take into account the evolution of the mass of new passenger cars registered in 2011, 2012 and 2013<sup>9</sup> is to be incorporated into the EEA Agreement.
- (10) Regulation (EC) No 443/2009 repeals Decision No 1753/2000/EC of the European Parliament and of the Council<sup>10</sup> which is incorporated into the EEA Agreement and which is consequently to be repealed under the EEA Agreement.
- (11) Annex XX to the EEA Agreement should be amended accordingly,

HAS ADOPTED THIS DECISION:

#### *Article 1*

Annex XX to the EEA Agreement shall be amended as follows:

1. The text of point 21ae (Decision No 1753/2000/EC of the European Parliament and of the Council) is replaced by the following:

‘**32009 R 0443:** Regulation (EC) No 443/2009 of the European Parliament and of the Council of 23 April 2009 setting emission performance standards for new passenger cars as part of the Community’s integrated approach to reduce CO<sub>2</sub> emissions from light-duty vehicles (OJ L 140, 5.6.2009, p. 1), as amended by:

  - **32013 R 0397:** Commission Regulation (EU) No 397/2013 of 30 April 2013 (OJ L 120, 1.5.2013, p. 4),
  - **32014 R 0333:** Regulation (EU) No 333/2014 of the European Parliament and of the Council of 11 March 2014 (OJ L 103, 5.4.2014, p. 15),
  - **32015 R 0006:** Commission Delegated Regulation (EU) 2015/6 of 31 October 2014 (OJ L 3, 7.1.2015, p. 1).

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<sup>6</sup> OJ L 120, 1.5.2013, p. 1.

<sup>7</sup> OJ L 120, 1.5.2013, p. 4.

<sup>8</sup> OJ L 103, 5.4.2014, p. 15.

<sup>9</sup> OJ L 3, 7.1.2015, p. 1.

<sup>10</sup> OJ L 202, 10.8.2000, p. 1.

The provisions of the Regulation shall, for the purposes of this Agreement, be read with the following adaptations:

- (a) The following subparagraph shall be added in Article 7(2):

“If the pool includes only manufacturers in the EFTA States, the manufacturers shall file the information with the EFTA Surveillance Authority. If the pool includes at least one manufacturer in the EU Member States and at least one manufacturer in the EFTA States, the manufacturers shall file the information with the Commission and the EFTA Surveillance Authority.”

- (b) The following subparagraph shall be added in Article 7(3):

“The EFTA Surveillance Authority shall notify manufacturers in the EFTA States.”

- (c) The following subparagraph shall be added in Article 7(4):

“If the pool includes only manufacturers in the EFTA States, the manufacturers shall jointly inform the EFTA Surveillance Authority. If the pool includes or is extended to include at least one manufacturer in the EU Member States and at least one manufacturer in the EFTA States, the manufacturers shall jointly inform both the Commission and the EFTA Surveillance Authority.”

- (d) In Article 7(5), the words “Articles 81 and 82 of the Treaty” shall read “Articles 53 and 54 of the EEA Agreement” and the word “Community” shall read “EEA”.

- (e) In Article 7(7) and Article 10(1), the words “and the EFTA Surveillance Authority” shall be inserted after the word “Commission”.

- (f) The data reported by the EFTA States shall also be kept in the central register referred to in Article 8(4).

- (g) The following subparagraph shall be added in Article 8(4):

“The EFTA Surveillance Authority shall make the calculations set out in subparagraph 1 for manufacturers in the EFTA States and notify the manufacturers in accordance with the second subparagraph.”

- (h) Without prejudice to Protocol 1 to the Agreement, in Article 8(5) and (6), Article 11(3), (4) third and fourth subparagraphs, (5) and (6) and Article 12(4), the words “or the EFTA Surveillance Authority, as the case may be,” shall be inserted after the word “Commission”.

- (i) The following subparagraphs shall be added in Article 9(1):

“Where the manufacturer or pool manager is in an EFTA State, the EFTA Surveillance Authority shall impose the excess emissions premium.

The amounts of the excess emissions premium shall be distributed between the Commission and the EFTA Surveillance Authority proportionally to the share

of new passenger cars registered in the EU or in the EFTA States, respectively, relative to the total number of new passenger cars registered in the EEA.”

(j) The following subparagraphs shall be added in Article 9(3):

“The European Commission shall use its established means for collecting excess emissions premiums as stipulated in Commission Decision 2012/100/EU under paragraph 1 also in relation to the registrations of EFTA manufacturers based/registered in the EU.

The EFTA Surveillance Authority shall determine the means for collecting excess emissions premiums under paragraph 1. These means shall be based on the Commission’s means.”

(k) The following subparagraph shall be added in Article 9(4):

“For the EFTA States, the EFTA States shall determine the allocation of the amounts of the excess emissions premium.”

(l) Without prejudice to Protocol 1 to the Agreement, in Article 11(2) and (4) second subparagraph, the words “, or, in the case of a manufacturer in the EFTA States, to the EFTA Surveillance Authority,” shall be inserted after the word “Commission”.

(m) Without prejudice to Protocol 1 to the Agreement, in Article 12(3), the words “, or, in the case of a supplier or manufacturer in the EFTA States, to the EFTA Surveillance Authority” shall be inserted after the word “Commission”.

(n) This Regulation shall not apply to Liechtenstein.’

2. The following is inserted after point 21ae (Decision No 1753/2000/EC of the European Parliament and of the Council:

‘21aea.**32011 R 0063**: Commission Regulation (EU) No 63/2011 of 26 January 2011 laying down detailed provisions for the application for a derogation from the specific CO<sub>2</sub> emission targets pursuant to Article 11 of Regulation (EC) No 443/2009 of the European Parliament and of the Council (OJ L 23, 27.1.2011, p. 16).

The Regulation shall, for the purposes of this Agreement, be read with the following adaptations:

(a) Without prejudice to Protocol 1 to the Agreement, in Article 7(1), the words “, or the EFTA Surveillance Authority, as the case may be,” shall be inserted after the word “Commission”.

(b) Article 7(2) and the email set out in Annex I shall not apply as regards the EFTA Surveillance Authority.

21aeb.**32011 R 0725**: Commission Implementing Regulation (EU) No 725/2011 of 25 July 2011 establishing a procedure for the approval and certification of innovative technologies for reducing CO<sub>2</sub> emissions from passenger cars

pursuant to Regulation (EC) No 443/2009 of the European Parliament and of the Council (OJ L 194, 26.7.2011, p. 19).

The Regulation shall, for the purposes of this Agreement, be read with the following adaptation:

Without prejudice to Protocol 1 to the Agreement, in Articles 3(d), 4, 10, 11 and 12, the words “, or the EFTA Surveillance Authority, as the case may be,” shall be inserted after the word “Commission”.

21aec. **32010 R 1014**: Commission Regulation (EU) No 1014/2010 of 10 November 2010 on monitoring and reporting of data on the registration of new passenger cars pursuant to Regulation (EC) No 443/2009 of the European Parliament and of the Council (OJ L 293, 11.11.2010, p. 15), as amended by:

- **32012 R 0429**: Commission Implementing Regulation (EU) No 429/2012 of 22 May 2012 (OJ L 132, 23.5.2012, p. 11),
- **32013 R 0396**: Commission Implementing Regulation (EU) No 396/2013 of 30 April 2013 (OJ L 120, 1.5.2013, p. 1).

The provisions of the Regulation shall, for the purposes of this Agreement, be read with the following adaptations:

- (a) In Articles 8 and 9, the words “, or, in the case of a manufacturer in the EFTA States, to the EFTA Surveillance Authority,” shall be inserted after the word “Commission”.
- (b) Article 9(5) shall not apply as regards the EFTA Surveillance Authority.’

#### *Article 2*

The texts of Regulations (EC) No 443/2009, (EU) No 1014/2010, (EU) No 63/2011, (EU) No 397/2013 and (EU) No 333/2014 and Implementing Regulations (EU) No 725/2011, (EU) No 429/2012 and (EU) No 396/2013 and Delegated Regulation (EU) No 2015/6 in the Icelandic and Norwegian languages, to be published in the EEA Supplement to the *Official Journal of the European Union*, shall be authentic.

#### *Article 3*

This Decision shall enter into force on [...], provided that all the notifications under Article 103(1) of the EEA Agreement have been made\*.

#### *Article 4*

This Decision shall be published in the EEA Section of, and in the EEA Supplement to, the *Official Journal of the European Union*.

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\* [No constitutional requirements indicated.] [Constitutional requirements indicated.]

Done at Brussels, .

*For the EEA Joint Committee  
The President*

*The Secretaries  
to the EEA Joint Committee*