



**EUROPEAN COMMISSION**  
DIRECTORATE-GENERAL FOR HEALTH AND FOOD SAFETY  
Directorate G – Crisis management in food, animals and plants  
**Unit G2 - Animal Health and welfare**

Brussels, SANTE/7079/2016

## WORKING DOCUMENT

on the preparation of a Commission Delegated Regulation supplementing Regulation (EU) 2016/1012 ("Animal Breeding Regulation") as regards the content and format of zootechnical certificates issued for purebred breeding animals of the equine species contained in a single lifetime identification document for equidae

This document does not necessarily represent the views of the European Commission.

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## 1. PURPOSE AND OBJECTIVE OF THE DOCUMENT

This document is presenting a draft Commission Delegated Regulation which aims to establish the content and the format of the zootechnical certificate that is to be contained in a single lifetime identification document for equidae accompanying purebred breeding animals of the equine species traded within the Union.

Its purpose is to consult Member States' experts on the content of this draft Commission Delegated Regulation and obtain their comments.

## 2. LEGAL ASPECTS

The draft Commission Delegated Regulation is based on Regulation (EU) 2016/1012 of the European Parliament and of the Council ('Animal Breeding Regulation')<sup>1</sup>, and in particular Article 32(1) thereof.

The draft Commission Delegated Regulation aims to ensure that zootechnical certificate for purebred breeding animals of the equine species is streamlined, as regards content and administrative procedure, with the single lifetime identification document referred to in Article 114(1)(c) of Regulation (EU) 2016/429 of the European Parliament and of the Council ('Animal Health Law')<sup>2</sup>. This draft also takes into account the relevant provisions of Commission Implementing Regulation (EU) 2015/262<sup>3</sup> that are currently in force.

## 3. PROCEDURAL ASPECTS

The preparation of delegated acts by the Commission shall include the consultation of experts designated by the Member States and of experts designated by Iceland, Norway and Switzerland.

The invitation for an expert group for the proposed draft delegated act has been sent to the aforementioned addressees and was simultaneously transmitted to the European Parliament, the Council Secretariat, the 28 Permanent Representations of the Member States and the Missions of Iceland, Norway and Switzerland to the EU.

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<sup>1</sup> Regulation (EU) 2016/1012 of the European Parliament and of the Council of 8 June 2016 on zootechnical and genealogical conditions for the breeding, trade in and entry into the Union of purebred breeding animals, hybrid breeding pigs and the germinal products thereof and amending Regulation (EU) No 652/2014, Council Directives 89/608/EEC and 90/425/EEC and repealing certain acts in the area of animal breeding ('Animal Breeding Regulation') (OJ L 171, 29.6.2016, p. 66).

<sup>2</sup> Regulation (EU) 2016/429 of the European Parliament and of the Council on transmissible animal diseases and amending and repealing certain acts in the area of animal health ('Animal Health Law') (OJ L 84, 31.3.2016, p. 1).

<sup>3</sup> Commission Implementing Regulation (EU) 2015/262 of 17 February 2015 laying down rules pursuant to Council Directives 90/427/EEC and 2009/156/EC as regards the methods for the identification of equidae (Equine Passport Regulation) (OJ L 59, 3.3.2015, p. 1)

**4. DRAFT COMMISSION DELEGATED ACT SUPPLEMENTING REGULATION (EU) 2016/1012 ("ANIMAL BREEDING REGULATION") AS REGARDS THE CONTENT AND FORMAT OF ZOOTECHNICAL CERTIFICATES ISSUED FOR PUREBRED BREEDING ANIMALS OF THE EQUINE SPECIES CONTAINED IN A SINGLE LIFETIME IDENTIFICATION DOCUMENT FOR EQUIDAE**

Below is the exact wording of the draft Delegated Regulation to be formally adopted by the Commission and on which experts are invited to provide comments.

**COMMISSION DELEGATED REGULATION (EU) .../...****of XXX**

**supplementing Regulation (EU) 2016/1012 ("Animal Breeding Regulation") as regards the content and format of zootechnical certificates issued for purebred breeding animals of the equine species contained in a single lifetime identification document for equidae**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) 2016/1012 of the European Parliament and of the Council of 8 June 2016 on zootechnical and genealogical conditions for the breeding, trade in and entry into the Union of purebred breeding animals, hybrid breeding pigs and the germinal products thereof and amending Regulation (EU) No 652/2014, Council Directives 89/608/EEC and 90/425/EEC and repealing certain acts in the area of animal breeding ('Animal Breeding Regulation')<sup>4</sup>, and in particular Article 32(1) thereof,

Whereas:

- (1) Article 30(4) of Regulation (EU) 2016/1012 provides that where purebred breeding animals, or their germinal products, that have been entered in a breeding book maintained by a breed society, are traded and where those purebred breeding animals, or the offspring produced from those germinal products, are intended to be entered in another breeding book, those purebred breeding animals, or their germinal products, should be accompanied by a zootechnical certificate.
- (2) Article 30(5) of Regulation (EU) 2016/1012 provides that where purebred breeding animals, or their germinal products, that have been entered in a breeding book maintained by a breeding body included in the list provided for in Article 34, enter the Union and where those purebred breeding animals, or the offspring produced from those germinal products, are intended to be entered in a breeding book maintained by a breed society, those purebred breeding animals, or their germinal products, should be accompanied by a zootechnical certificate.
- (3) Those zootechnical certificates may be issued by the breed society of dispatch of the purebred breeding animals or of the germinal products thereof, or by the breeding body listed in accordance with Article 34 of Regulation (EU) 2016/1012, that carries out breeding programmes on those purebred breeding animals, or by the competent authorities referred to in Article 8(3), or, where applicable, Article 12(2)(a) of that Regulation, or by the official service of the third country of dispatch.
- (4) Article 30(6) of Regulation (EU) 2016/1012 specifies that zootechnical certificates should contain information set out in the relevant Parts and Chapters of Annex V to that Regulation.
- (5) By the way of derogation from Article 30(6), Article 32(1) of Regulation (EU) 2016/1012 provides that in the case of purebred breeding animals of the equine species, the information set out in Chapter I of Part 2 of Annex V shall be contained in a single lifetime identification document for equidae, the means of identification of

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<sup>4</sup> OJ L 171, 29.6.2016, p. 66.

equidae in the Union in accordance with Union animal health law, and empowers the Commission to adopt delegated acts concerning the content and format of such identification documents.

- (6) Therefore it is necessary to establish the content and the format of the zootechnical certificate that is to be contained in the single lifetime identification document for equidae by which equidae are to be identified in accordance with Article 114(1)(c) of Regulation (EU) 2016/429 of the European Parliament and of the Council<sup>5</sup> and which must accompany purebred breeding animals of the equine species at all times including when they are traded within the Union.
- (7) Article 31(3) of Regulation (EU) 2016/1012 provides that where the results of performance testing or genetic evaluation are publicly available on a website, a reference to that website where those results can be accessed may be provided in the zootechnical certificate instead of those results. That possibility should be reflected in the zootechnical certificate for trade in purebred breeding animals of the equine species.
- (8) In accordance with point 1 of Part 3 of Annex I to Regulation (EU) 2016/1012, in addition to the identification requirements set out in point 1(f) of Part 2 of that Annex, purebred breeding animals of the equine species shall only be entered in a breeding book if they are identified by a covering certificate and, where required by the breeding programme, as 'foal at foot'. By way of derogation from that rule, a Member State or, if it so decides, its competent authority may authorise a breed society to enter purebred breeding animals of the equine species in the breeding book maintained by that breed society where those animals are identified by any other appropriate method that provides at least the same degree of certainty as a covering certificate such as parentage control based on DNA analysis or analysis of their blood groups, provided that that authorisation is in accordance with the principles established by the breed society which maintains the breeding book of the origin of that breed. Therefore, information on the covering certificate, on identification as 'foal at foot' and on results of parentage control should be reflected in the zootechnical certificate for purebred breeding animals of the equine species.
- (9) In accordance with point 3 of Chapter I of Part 1 of Annex II to Regulation (EU) 2016/1012, in addition to the rules set out in point 1(c) of this Chapter, a breed society that enters in its breeding book a purebred breeding animal of the equine species which has already been entered in a breeding book established by another breed society carrying out a breeding programme approved in accordance with Article 8(3), and, where applicable, Article 12 shall enter that purebred breeding animal under the identification number ascribed to it under Regulation (EU) 2016/429 which shall ensure the uniqueness and continuity of the identification of that animal and, except where a derogation has been agreed by the two breed societies concerned, under the same name, with an indication, in accordance with international agreements for the breed concerned, of the code of the country of birth. Therefore, the unique code of a purebred breeding animal of the equine species and its name as a part of its identification details should be included in zootechnical certificate for trade in purebred breeding animals of the equine species.

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<sup>5</sup> Regulation (EU) 2016/429 of the European Parliament and of the Council of 9 March 2016 on transmissible animal diseases and amending and repealing certain acts in the area of animal health ('Animal Health Law') (OJ L 84, 31.3.2016, p. 1).

- (10) In order to ensure the uniqueness of the identification number of an equine animal, the Universal Equine Life Number (UELN) system has been agreed world-wide between the major horse-breeding and competition organisations. It has been developed on the initiative of the World Breeding Federation for Sport Horses (WBFSH), the International Stud-Book Committee (ISBC), the World Arabian Horse Organization (WAHO), the European Conference of Arabian Horse Organisations (ECAHO), the Conférence Internationale de l'Anglo-Arabe (CIAA), the Fédération Equestre Internationale (FEI) and the Union Européenne du Trot (UET) and information on this system can be consulted on the UELN website<sup>6</sup>. Therefore, the individual identification number of a purebred breeding animal of the equine species, which is the unique code in accordance with Union animal health law on the identification and registration of animals, should be included in the zootechnical certificate for trade in purebred breeding animals of the equine species and should be UELN compatible,

HAS ADOPTED THIS REGULATION:

#### *Article 1*

The format of the zootechnical certificate for trade in purebred breeding animals of the equine species, provided for in Article 32(1) of Regulation (EU) 2016/1012, shall be presented in accordance with the model form set out in the Annex to this Regulation.

#### *Article 2*

1. The zootechnical certificate referred to in Article 1 shall be integral part of the single lifetime identification document issued for equidae in accordance with Article 114(1)(c) of Regulation (EU) 2016/429 of the European Parliament and of the Council.
2. By way of derogation from paragraph 1, Part II of the zootechnical certificate may be attached to the single life time identification document, provided a clear link has been established to Part I and to the single lifetime identification document through the unique code which must be compatible with the system of the Universal Equine Life Number.

#### *Article 3*

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 1 November 2018.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

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<sup>6</sup> <http://www.ueln.net>

Done at Brussels,

*For the Commission*  
*The President*  
*Jean- Claude JUNCKER [...]*



**ANNEX**

**Zootechnical certificate  
for trade in purebred breeding animals of the equine species  
(*Equus caballus* and *Equus asinus*)  
in accordance with Chapter I of Part 2 of Annex V to Regulation (EU) 2016/1012**

PART I

Information to be included as a specific Section in the single lifetime identification document

1. Name of issuing breed society ( <i>provide contact details and, where available, a reference to the website</i> )	
2. Name of breeding book	3. Name of breed
4. Name and commercial name of animal <sup>(1)</sup>	5. Individual UELN compatible identification number <sup>(2)</sup>  □□□-□□□-□□□□□□□□□□
6. Breeding-book No <sup>(3)</sup>	
7. Identification of animal <sup>(2)(4)</sup>	
7.1. Transponder code <sup>(1)</sup> Reading system ( <i>if not ISO 11784</i> ):..... <sup>(1)</sup> Bar-Code <sup>(1)</sup>	
7.2. Alternative method for identity verification <sup>(1)</sup>	
8. Date of birth of animal ( <i>use format dd/mm/yyyy</i> )	9. Country of birth of animal
10. Name, address and e-mail address <sup>(1)</sup> of breeder	

12. Pedigree <sup>(5)</sup>			
Sire Breeding-book No. and section	Paternal Grandsire Breeding-book No. and section		
	Paternal Granddam Breeding-book No. and section		
Dam Breeding-book No. and section	Maternal Grandsire Breeding-book No. and section		
	Maternal Granddam Breeding-book No. and section		
Done at:..... on: .....		Signature:.....	
<i>(insert place of issue)</i> <i>(insert date of issue)</i>			
Name and capacity of the signatory: .....			
<i>(insert in capital letters name and capacity of the individual<sup>(6)</sup> authorised by the issuing breed society to sign that certificate)</i>			
<p>(1) Keep empty if not applicable.</p> <p>(2) The individual identification number, which is the unique code referred to in Article 114(1)(a) of Regulation (EU) 2016/429 of the European Parliament and the Council, shall clearly identify the animal and the issuing body which issued the lifetime identification document and shall be UELN compatible.</p> <p>(3) Required if different from UELN compatible individual identification code.</p> <p>(4) Not needed if Part I of the zootechnical certificate is integral and indivisible part of the single lifetime identification document issued by a breed society.</p> <p>(5) If necessary include additional generations in Part II or use additional paper.</p> <p>(6) That individual shall be representative of the breed society or competent authority referred to in Article 30(2)(b) of Regulation (EU) 2016/1012.</p>			

PART II							
Information to be attached to the single lifetime identification document							
<p>1. Individual UELN compatible identification number<sup>(1)</sup></p> <p style="margin-left: 20px;">□□□-□□□-□□□□□□□□□□</p>	<p>2. Identification of animal<sup>(1)</sup></p> <p>2.1. Transponder code<sup>(2)</sup>      □□□ □□□ □□□ □□□ □□□</p> <p style="margin-left: 20px;">Reading system (if not ISO 11784): .....<sup>(2)</sup></p> <p style="margin-left: 20px;">Bar-Code<sup>(2)</sup></p>						
<p>3. Sex:</p>	<p>2.2. Alternative method for identity verification<sup>(2)</sup></p>						
<p>4. Class within the main section of the breeding book<sup>(2)</sup></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">Date (dd/mm/yyyy)</td> <td style="width: 50%; border: none;">Class within the main section</td> </tr> <tr> <td style="border: none;">.....</td> <td style="border: none;">.....</td> </tr> <tr> <td style="border: none;">.....</td> <td style="border: none;">.....</td> </tr> </table>	Date (dd/mm/yyyy)	Class within the main section	.....	.....	.....	.....	<p>5. Name, address and e-mail address<sup>(2)</sup> of owner</p>
Date (dd/mm/yyyy)	Class within the main section						
.....	.....						
.....	.....						
<p>6. Additional information<sup>(2)(3)(4)</sup></p> <p>6.1. Results of performance testing:</p> <p>6.2. Up-to-date results of the genetic evaluation carried out last on .....(insert date in format dd/mm/yyyy)</p> <p>6.3. Genetic defects and genetic peculiarities of animal in relation to the breeding programme</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">6.4. System of identity verification and result<sup>(2)(5)(6)</sup></td> <td style="width: 50%; border: none;">6.5. Results of parentage control<sup>(2)(5)(7)</sup></td> </tr> </table>		6.4. System of identity verification and result <sup>(2)(5)(6)</sup>	6.5. Results of parentage control <sup>(2)(5)(7)</sup>				
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