



**EUROPEAN COMMISSION**  
DIRECTORATE-GENERAL FOR HEALTH AND FOOD SAFETY

Directorate G – Crisis management in food, animals and plants  
**Unit G2 - Animal Health and welfare**

Brussels, SANTE/7097/2016 rev.1

## WORKING DOCUMENT

on the preparation of a Commission Delegated Regulation supplementing Regulation (EU) 2016/1012 ("Animal Breeding Regulation") as regards the content and format of zootechnical certificates issued for purebred breeding animals of the equine species contained in a single lifetime identification document for equidae

This document does not necessarily represent the views of the European Commission.

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## 1. PURPOSE AND OBJECTIVE OF THE DOCUMENT

This document is presenting a draft Commission Delegated Regulation which aims to establish the content and the format of the zootechnical certificate that is to be contained in a single lifetime identification document for equidae accompanying purebred breeding animals of the equine species traded within the Union.

Its purpose is to consult Member States' experts on the content of this draft Commission Delegated Regulation and obtain their comments.

## 2. LEGAL ASPECTS

The draft Commission Delegated Regulation is based on Regulation (EU) 2016/1012 of the European Parliament and of the Council ('Animal Breeding Regulation')<sup>1</sup>, and in particular Article 32(1) thereof.

The draft Commission Delegated Regulation aims to ensure that zootechnical certificate for purebred breeding animals of the equine species is streamlined, as regards content and administrative procedure, with the single lifetime identification document referred to in Article 114(1)(c) of Regulation (EU) 2016/429 of the European Parliament and of the Council ('Animal Health Law')<sup>2</sup>. This draft also takes into account the relevant provisions of Commission Implementing Regulation (EU) 2015/262<sup>3</sup> that are currently in force.

## 3. PROCEDURAL ASPECTS

The preparation of delegated acts by the Commission shall include the consultation of experts designated by the Member States and of experts designated by Iceland, Norway and Switzerland.

The invitation for an expert group for the proposed draft delegated act has been sent to the aforementioned addressees and was simultaneously transmitted to the European Parliament, the Council Secretariat, the 28 Permanent Representations of the Member States and the Missions of Iceland, Norway and Switzerland to the EU.

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<sup>1</sup> Regulation (EU) 2016/1012 of the European Parliament and of the Council of 8 June 2016 on zootechnical and genealogical conditions for the breeding, trade in and entry into the Union of purebred breeding animals, hybrid breeding pigs and the germinal products thereof and amending Regulation (EU) No 652/2014, Council Directives 89/608/EEC and 90/425/EEC and repealing certain acts in the area of animal breeding ('Animal Breeding Regulation') (OJ L 171, 29.6.2016, p. 66).

<sup>2</sup> Regulation (EU) 2016/429 of the European Parliament and of the Council on transmissible animal diseases and amending and repealing certain acts in the area of animal health ('Animal Health Law') (OJ L 84, 31.3.2016, p. 1).

<sup>3</sup> Commission Implementing Regulation (EU) 2015/262 of 17 February 2015 laying down rules pursuant to Council Directives 90/427/EEC and 2009/156/EC as regards the methods for the identification of equidae (Equine Passport Regulation) (OJ L 59, 3.3.2015, p. 1)

**4. DRAFT COMMISSION DELEGATED ACT SUPPLEMENTING REGULATION (EU) 2016/1012 ("ANIMAL BREEDING REGULATION") AS REGARDS THE CONTENT AND FORMAT OF ZOOTECHNICAL CERTIFICATES ISSUED FOR PUREBRED BREEDING ANIMALS OF THE EQUINE SPECIES CONTAINED IN A SINGLE LIFETIME IDENTIFICATION DOCUMENT FOR EQUIDAE**

Below is the exact wording of the draft Delegated Regulation to be formally adopted by the Commission and on which experts are invited to provide comments.

**COMMISSION DELEGATED REGULATION (EU) .../...****of XXX**

**supplementing Regulation (EU) 2016/1012 ("Animal Breeding Regulation") as regards the content and format of zootechnical certificates issued for purebred breeding animals of the equine species contained in a single lifetime identification document for equidae**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) 2016/1012 of the European Parliament and of the Council of 8 June 2016 on zootechnical and genealogical conditions for the breeding, trade in and entry into the Union of purebred breeding animals, hybrid breeding pigs and the germinal products thereof and amending Regulation (EU) No 652/2014, Council Directives 89/608/EEC and 90/425/EEC and repealing certain acts in the area of animal breeding ('Animal Breeding Regulation')<sup>4</sup>, and in particular Article 32(1) thereof,

Whereas:

- (1) Article 30(4) of Regulation (EU) 2016/1012 provides that where purebred breeding animals, or their germinal products, that have been entered in a breeding book maintained by a breed society, are traded and where those purebred breeding animals, or the offspring produced from those germinal products, are intended to be entered in another breeding book, those purebred breeding animals, or their germinal products, should be accompanied by a zootechnical certificate.
- (2) Those zootechnical certificates may be issued by the breed society or by the competent authorities referred to in Articles 8(3) and 12(2)(a) of Regulation (EU) 2016/1012 that carry out a breeding programme on those purebred breeding animals and maintain the breeding book in which the purebred breeding animals are entered.
- (3) Article 30(6) of Regulation (EU) 2016/1012 specifies that zootechnical certificates should contain information set out in the relevant Parts and Chapters of Annex V to that Regulation.
- (4) By the way of derogation from Article 30(6), Article 32(1) of Regulation (EU) 2016/1012 provides that in the case of purebred breeding animals of the equine species, the information set out in Chapter I of Part 2 of Annex V shall be contained in a single lifetime identification document for equidae, the means of identification of equidae in the Union in accordance with Union animal health law, and empowers the Commission to adopt delegated acts concerning the content and format of such identification documents.
- (5) Therefore it is necessary to establish the content and the format of the zootechnical certificate that is to be contained in the single lifetime identification document for equidae by which equidae are to be identified in accordance with Article 114(1)(c) of Regulation (EU) 2016/429 of the European Parliament and of the Council<sup>5</sup> and which

<sup>4</sup> OJ L 171, 29.6.2016, p. 66.

<sup>5</sup> Regulation (EU) 2016/429 of the European Parliament and of the Council of 9 March 2016 on transmissible animal diseases and amending and repealing certain acts in the area of animal health ('Animal Health Law') (OJ L 84, 31.3.2016, p. 1).

must accompany purebred breeding animals of the equine species at all times including when they are traded within the Union.

- (6) Article 31(3) of Regulation (EU) 2016/1012 provides that where the results of performance testing or genetic evaluation are publicly available on a website, a reference to that website where those results can be accessed may be provided in the zootechnical certificate instead of those results. That possibility should be reflected in the zootechnical certificate for trade in purebred breeding animals of the equine species.
- (7) In accordance with point 1 of Part 3 of Annex I to Regulation (EU) 2016/1012, in addition to the identification requirements set out in point 1(f) of Part 2 of that Annex, purebred breeding animals of the equine species shall only be entered in a breeding book if they are identified by a covering certificate and, where required by the breeding programme, as 'foal at foot'. By way of derogation from that rule, a Member State or, if it so decides, its competent authority may authorise a breed society to enter purebred breeding animals of the equine species in the breeding book maintained by that breed society where those animals are identified by any other appropriate method that provides at least the same degree of certainty as a covering certificate such as parentage control based on DNA analysis or analysis of their blood groups, provided that that authorisation is in accordance with the principles established by the breed society which maintains the breeding book of the origin of that breed. Therefore, information on the covering certificate, on identification as 'foal at foot' and on results of parentage control should be reflected in the zootechnical certificate for purebred breeding animals of the equine species.
- (8) In accordance with point 3 of Chapter I of Part 1 of Annex II to Regulation (EU) 2016/1012, in addition to the rules set out in point 1(c) of that Chapter, a breed society that enters in its breeding book a purebred breeding animal of the equine species which has already been entered in a breeding book established by another breed society carrying out a breeding programme approved in accordance with Article 8(3), and, where applicable, Article 12 shall enter that purebred breeding animal under the identification number ascribed to it under Regulation (EU) 2016/429 which shall ensure the uniqueness and continuity of the identification of that animal and, except where a derogation has been agreed by the two breed societies concerned, under the same name, with an indication, in accordance with international agreements for the breed concerned, of the code of the country of birth. Therefore, the unique code of a purebred breeding animal of the equine species and its name as a part of its identification details should be included in the zootechnical certificate for trade in purebred breeding animals of the equine species to ensure the uniqueness and continuity of the identification of that animal.
- (9) The format and content of the individual identification number referred to in point 3 of Chapter I of Part 1 of Annex II to Regulation (EU) 2016/1012 cannot be decided until the detailed requirements for the means and methods of identification, including their application and use, have been adopted in accordance with Article 118(1)(a) of Regulation (EU) 2016/249. However, form fields should be provided in the zootechnical certificate to enter the unique life number assigned to purebred breeding animals of the equine species in accordance with Regulation (EU) 2015/262,

HAS ADOPTED THIS REGULATION:

*Article 1*

The content of the zootechnical certificate for trade in purebred breeding animals of the equine species, provided for in Article 32(1) of Regulation (EU) 2016/1012, shall be presented in accordance with the model form set out in the Annex to this Regulation.

*Article 2*

Parts I and II of the zootechnical certificate referred to in Article 1 shall be contained in the single lifetime identification document issued in accordance with Article 114(1)(c) of Regulation (EU) 2016/429 of the European Parliament and of the Council for purebred breeding animals of the equine species as follows:

- (a) Part I shall be a specific Section integrated in the indivisible single lifetime identification document;
- (b) Part II shall be
  - (i) either part of the specific Section referred to in point (a), in which case more than one page displaying that Part II are to be provided for update of the information; or
  - (ii) attached to the single lifetime identification document, in which case it shall be linked to Part I referred to in point (a) by entry of the individual identification number provided for in paragraph 3 of Chapter I of Part 1 of Annex II to Regulation (EU) 2016/1012.

*Article 3*

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 1 November 2018.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

*For the Commission*  
*The President*  
*Jean- Claude JUNCKER [...]*

**ANNEX****Zootechnical certificate in accordance with Chapter I of Part 2 of Annex V to Regulation (EU) 2016/1012  
for trade in purebred breeding animals of the equine species (*Equus caballus* and *Equus asinus*)**

## PART I

1. Name of issuing breed society or competent authority ( <i>provide contact details and, where available, a reference to the website</i> )	
2. Name of breeding book	3. Name of breed
4. Name and commercial name of animal <sup>(1)</sup> and code of country of birth <sup>(2)</sup>	5. Individual identification number <sup>(3)</sup>  □□□-□□□-□□□□□□□□□□
6. Breeding-book No <sup>(4)</sup>	
7. Identification of animal <sup>(1)(5)</sup>	
7.1. Transponder code <sup>(1)</sup> Reading system ( <i>if not ISO 11784</i> ): <sup>(1)</sup> Bar-Code <sup>(1)</sup> □□□ □□□ □□□ □□□ □□□	
7.2. Alternative method for identity verification <sup>(1)</sup>	
8. Date of birth of animal ( <i>use format dd/mm/yyyy</i> )	9. Country of birth of animal
10. Name, address and e-mail address <sup>(1)</sup> of breeder	
11. Pedigree <sup>(6)</sup>	



11.1. Sire Breeding-book No. and section	11.1.1. Paternal Grandsire Breeding-book No. and section	11.1.1.1. <sup>(1)</sup> Paternal Grand-Grandsire Breeding-book No. and section	
		11.1.1.2. <sup>(1)</sup> Paternal Grand-Granddam Breeding-book No. and section	
	11.1.2. Paternal Granddam Breeding-book No. and section	11.1.2.1. <sup>(1)</sup> Paternal Grand-Grandsire Breeding-book No. and section	
		11.1.2.2. <sup>(1)</sup> Paternal Grand-Granddam Breeding-book No. and section	
11.2. Dam Breeding-book No. and section	11.2.1. Maternal Grandsire Breeding-book No. and section	11.2.1.1. <sup>(1)</sup> Maternal Grand-Grandsire Breeding-book No. and section	
		11.2.1.2. <sup>(1)</sup> Maternal Grand-Granddam Breeding-book No. and section	
	11.2.2. Maternal Granddam Breeding-book No. and section	11.2.2.1. <sup>(1)</sup> Maternal Grand-Grandsire Breeding-book No. and section	
		11.2.2.2. <sup>(1)</sup> Maternal Grand-Granddam Breeding-book No. and section	
Done at: ..... on: ..... <i>(insert place of issue)</i> <i>(insert date of issue)</i>		Signature: .....	
Name and capacity of the signatory: ..... <i>(insert in capital letters name and capacity of the individual<sup>(7)</sup> authorised by the issuing breed society or competent authority to sign this part of the certificate)</i>			
<sup>(1)</sup> Keep empty if not applicable. <sup>(2)</sup> Enter country code where required by international agreements on the breed. <sup>(3)</sup> Individual identification number in accordance with point 3 of Chapter I of Part 1 of Annex II to Regulation (EU) 2016/1012, <sup>(4)</sup> Required if different from individual identification number. <sup>(5)</sup> Not required if Part I of the zootechnical certificate is integral part of the indivisible single lifetime identification document issued by a breed society. <sup>(6)</sup> If necessary include additional generations in Part II or use additional paper. <sup>(7)</sup> That individual shall be representative of the breed society or competent authority referred to in Article 30(2)(b) of Regulation (EU) 2016/1012.			



<p>7. Insemination/mating<sup>(10)(11)</sup></p> <p>7.1. Date <i>(use format dd/mm/yyyy)</i></p> <p>7.2. No of covering certificate: .....<sup>(12)</sup></p> <p>7.3. Identification of the fertilising male:</p> <p>7.3.1. Individual identification number<sup>(1)</sup>: <span style="float: right;">□□□-□□□-□□□□□□□□</span></p> <p>7.3.2. System of identity verification and result<sup>(3)(7)(8)</sup> <span style="float: right;">7.3.3. Results of parentage control<sup>(3)</sup></span></p>	
<p>Done at: ..... on: .....</p> <p style="text-align: center;"><i>(insert place of issue) (insert date of issue)</i></p> <p>Name and capacity of the signatory: .....</p> <p style="text-align: center;"><i>(insert in capital letters name and capacity of the individual<sup>(13)</sup> authorised by the issuing breed society or competent authority to sign this part of the certificate)</i></p>	<p>Signature:.....</p>
<p>(1) The individual identification number in accordance with point 3 of Chapter I of Part 1 of Annex II to Regulation (EU) 2016/1012.</p> <p>(2) Not required if information corresponds to information in point 7 of Part 1.</p> <p>(3) Keep empty if not applicable.</p> <p>(4) Required if different from point 2 of Part I.</p> <p>(5) If necessary use additional paper.</p> <p>(6) If that genetic information can be accessed on a website, a reference to that website may be provided instead.</p> <p>(7) Based on DNA analysis or analysis of its blood group.</p> <p>(8) Required in accordance with Article 22(1) of Regulation (EU) 2016/1012 for purebred breeding animals of the equine species used for the collection of semen for artificial insemination. May be required by breed societies in accordance with Article 22(2) of Regulation (EU) 2016/1012 for purebred breeding animals of the equine species used for the collection of oocytes and embryos.</p> <p>(9) If required by the breeding programme.</p> <p>(10) Required in the case of pregnant females. Information may be indicated in a separate document.</p> <p>(11) Delete as appropriate.</p> <p>(12) If not applicable, provide results of parental control in point 7.3.3.</p> <p>(13) That individual shall be a representative of the breed society or competent authority referred to in Article 30(2)(b) of Regulation (EU) 2016/1012.</p>	