



Council of the  
European Union

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FIN 302

**COVER NOTE**

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From:	Mr Günther OETTINGER, Member of the European Commission
date of receipt:	10 April 2018
To:	Ms Marinela PETROVA, President of the Council of the European Union
Subject:	Proposal for transfer of appropriations No DEC 08/2018 within Section III - Commission - of the general budget for 2018

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Delegations will find attached Commission document DEC 08/2018.

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Encl.: DEC 08/2018



BRUSSELS, 10/04/2018

GENERAL BUDGET - 2018  
SECTION III - COMMISSION TITLES: 23, 40

TRANSFER OF APPROPRIATIONS N° DEC 08/2018

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**FROM**

**CHAPTER** - 4002 Reserves for financial interventions

ARTICLE - 40 02 42 Emergency aid reserve	Commitments	-11 697 884,00
	Payments	-50 000 000,00
ARTICLE - 40 02 42 Emergency aid reserve (Carry Over)	Commitments	-38 302 116,00

**TO**

**CHAPTER** - 2302 Humanitarian aid, food assistance and disaster preparedness

ARTICLE - 23 02 01 Delivery of rapid, effective and needs-based humanitarian aid and food assistance (Carry Over)	Commitments	38 302 116,00
ARTICLE - 23 02 01 Delivery of rapid, effective and needs-based humanitarian aid and food assistance	Commitments	11 697 884,00
	Payments	50 000 000,00

## **I. DECREASE**

### **I.1**

#### **a) Heading**

**40 02 42 - Emergency aid reserve**

#### **b) Figure at 27/03/2018**

	<b>Commitments</b>	<b>Payments</b>
1 Appropriation in budget (Initial Budget + AB)	344 600 000,00	344 600 000,00
2 Transfers	0,00	0,00
3 Final appropriation for the year (1+2)	344 600 000,00	344 600 000,00
4 Utilisation of final appropriation	0,00	0,00
<b>5 Amount not used/available (3-4)</b>	<b>344 600 000,00</b>	<b>344 600 000,00</b>
<b>6 Requirements up to year-end</b>	<b>332 902 116,00</b>	<b>294 600 000,00</b>
<b>7 Proposed decrease</b>	<b>11 697 884,00</b>	<b>50 000 000,00</b>
8 Decrease as percentage of appropriation in budget (7/1)	3,39 %	14,51 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a	n/a

#### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations available at start of the year	0,00	0,00
2 Appropriations available on 27/03/2018	0,00	0,00
3 Rate of utilisation [(1-2)/1]	n/a	n/a

#### **d) Detailed grounds for the transfer**

According to article 9 of the Multiannual Financial Framework, the Emergency Aid Reserve (EAR) is intended to allow for a rapid response to specific aid requirements of third countries following events which could not be foreseen when the budget was established; first and foremost for humanitarian operations, but also for civil crisis management and protection, and situations of particular pressure resulting from migratory flows at the Union's external borders, where circumstances so require.

## **I.2**

### **a) Heading**

**40 02 42 - Emergency aid reserve (Carry Over)**

### **b) Figure at 27/03/2018**

	<b>Commitments</b>
1 Appropriation in budget (Carry Over)	61 705 366,00
2 Transfers	0,00
3 Final appropriation for the year (1+2)	61 705 366,00
4 Utilisation of final appropriation	0,00
<b>5 Amount not used/available (3-4)</b>	<b>61 705 366,00</b>
<b>6 Requirements up to year-end</b>	<b>23 403 250,00</b>
<b>7 Proposed decrease</b>	<b>38 302 116,00</b>
8 Decrease as percentage of appropriation in budget (7/1)	62,07 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 27/03/2018	0,00
3 Rate of utilisation [(1-2)/1]	n/a

### **d) Detailed grounds for the transfer**

Same justification as for the previous line (please see page 2).

## **II. INCREASE**

### **II.1**

#### **a) Heading**

**23 02 01 - Delivery of rapid, effective and needs-based humanitarian aid and food assistance (Carry Over)**

#### **b) Figure at 27/03/2018**

	<b>Commitments</b>
1 Appropriation in budget (Carry Over)	0,00
2 Transfers	0,00
3 Final appropriation for the year (1+2)	0,00
4 Utilisation of final appropriation	0,00
<b>5 Amount not used/available (3-4)</b>	<b>0,00</b>
<b>6 Requirements up to year-end</b>	<b>38 302 116,00</b>
<b>7 Proposed increase</b>	<b>38 302 116,00</b>
8 Increase as percentage of appropriation in budget (7/1)	n/a
9 Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a

#### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>
1 Appropriations available at start of the year	314,12
2 Appropriations available on 27/03/2018	314,12
3 Rate of utilisation [(1-2)/1]	0,00 %

#### **d) Detailed grounds for the transfer**

In Somalia, additional funding of EUR 50 million, beyond the EUR 39 million foreseen in the annual 2018 planning, is now required to avoid a famine in 2018, due to the unprecedented occurrence of four consecutive failed rainy seasons. Latest reports show significant deterioration of the food security situation in the first semester of 2018, as the number of people in emergency phase of malnutrition have increased tenfold compared to the same period last year, from 80 000 to 800 000. Famine was averted in 2017 only thanks to massive financial commitments, early humanitarian response and good coordination among donors.

The additional funding of EUR 50 million in commitment and payment appropriations will allow for multipurpose cash transfers to help treat severe acute malnutrition of children under five, as well as funding basic health services, emergency food assistance, water supply and livelihood protection.

As of 21 March, the overall implementation rate of commitment appropriations of the humanitarian aid chapter 23 02 was at 83.5%, while for payment appropriations it was at 13.8%. The remaining balance of EUR 77 million in the Operational Reserve, after taking into account commitments currently being processed, needs to be preserved to cover urgent crises until the end of the year. An equal reinforcement in commitment and payment appropriations is needed, since it is expected that the payment appropriations currently available in the budget will be fully used for the currently planned actions.

The Commission has also considered, but could not identify, further availabilities from other policy areas in Heading 4. It therefore requests the mobilisation of the Emergency Aid Reserve in the amount of EUR 50 million in commitment and payment appropriations.

## **II.2**

### **a) Heading**

#### **23 02 01 - Delivery of rapid, effective and needs-based humanitarian aid and food assistance**

### **b) Figure at 27/03/2018**

	<b>Commitments</b>	<b>Payments</b>
1 Appropriation in budget (Initial Budget + AB)	1 026 028 642,00	1 040 825 501,00
2 Transfers	0,00	0,00
3 Final appropriation for the year (1+2)	1 026 028 642,00	1 040 825 501,00
4 Utilisation of final appropriation	849 400 000,00	153 262 950,58
<b>5 Amount not used/available (3-4)</b>	<b>176 628 642,00</b>	<b>887 562 550,42</b>
<b>6 Requirements up to year-end</b>	<b>188 326 526,00</b>	<b>937 562 550,42</b>
<b>7 Proposed increase</b>	<b>11 697 884,00</b>	<b>50 000 000,00</b>
8 Increase as percentage of appropriation in budget (7/1)	1,14 %	4,80 %
9 Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 26(1)(b) of the Financial Regulation, calculated in accordance with Article 14 of the Rules of Application	n/a	n/a

### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations available at start of the year	314,12	91 116,59
2 Appropriations available on 27/03/2018	314,12	0,00
3 Rate of utilisation [(1-2)/1]	0,00 %	100,00 %

### **d) Detailed grounds for the transfer**

Same justification as for the previous line (please see pages 4 and 5).

## ANNEX

### COMMISSION TRANSFER PROPOSALS RELATED TO THE EMERGENCY AID RESERVE IN 2018

The table below shows the transfer proposals transmitted to the Budgetary Authority to date during 2018 which relate to the Emergency Aid Reserve (EAR), and the remaining amount of the EAR reserve following the approval of these proposals.

Transfer Ref	Content	Commitment Appropriations from 2018 Reserve (EUR)	Commitment Appropriations from Reserve carried-over (EUR)	Payment Appropriations from 2018 Reserve (EUR)
DEC 07	Mobilisation of the EAR for additional humanitarian aid for the Rohingya crisis		23 403 250	15 021 500
DEC 08	Mobilisation of the EAR for additional humanitarian aid for Somalia	11 697 884	38 302 116	50 000 000
DEC 09	Mobilisation of the EAR for additional humanitarian aid for Yemen	40 000 000		40 000 000
	<b>Total of Proposals</b>	51 697 884	61 705 366	105 021 500
	<b>Remainder</b>	292 902 116	0	239 578 500
	<b>Total remainder of commitment appropriations</b>	292 902 116		