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From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
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To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union
No. Cion doc.:	COM(2018) 361 final
Subject:	Draft amending budget No 4 to the general budget for 2018 accompanying the proposal to mobilise the European Union Solidarity Fund to provide assistance to Bulgaria, Greece, Lithuania and Poland

Delegations will find attached document COM(2018) 361 final.

Encl.: COM(2018) 361 final



Brussels, 31.5.2018
COM(2018) 361 final

**DRAFT AMENDING BUDGET No 4
TO THE GENERAL BUDGET 2018**

**Accompanying the proposal to mobilise the European Union Solidarity Fund
to provide assistance to Bulgaria, Greece, Lithuania and Poland**

Having regard to:

- the Treaty on the Functioning of the European Union, and in particular Article 314 thereof, in conjunction with the Treaty establishing the European Atomic Energy Community, and in particular Article 106a thereof,
- Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union¹, and in particular Article 41 thereof,
- the general budget of the European Union for the financial year 2018, as adopted on 30 November 2017²,
- the amending budget No°1/2018³, adopted on 30 May 2018,
- the draft amending budget No°2/2018⁴, adopted on 13 April 2018;
- the draft amending budget No 3/2018⁵, adopted on 23 May 2018.

The European Commission hereby presents draft amending budget No 4 to the general budget 2018 to the European Parliament and to the Council.

CHANGES TO THE STATEMENT OF REVENUE AND EXPENDITURE BY SECTION

The changes to the statement of revenue and expenditure by section are available on EUR-Lex (<http://eur-lex.europa.eu/budget/www/index-en.htm>). An English version of the changes to this statement is attached for information as a budgetary annex.

¹ OJ L 298, 26.10.2012, p. 1.
² OJ L 57, 28.2.2018, p. 1.
³ OJ L XXX, XX.XX.2018.
⁴ COM(2018) 227.
⁵ COM(2018) 310.

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1. INTRODUCTION

Draft Amending Budget (DAB) No 4 for the year 2018 accompanies the proposal⁶ to mobilise the European Union Solidarity Fund (EUSF) for an amount of EUR 33 992 206 to provide assistance to Bulgaria, Greece, Lithuania and Poland following natural disasters that took place in these Member States in the course of 2017:

- *Bulgaria*: flooding caused by unusual intense rainfall and violent storms in the south-eastern part of the country (25 and 26 October 2017);
- *Greece*: earthquake with a magnitude of 6,6 on the Richter scale affecting the South Aegean region between Bodrum (Turkey) and the island of Kos (Greece) followed by a multitude of aftershocks (20 July 2017);
- *Lithuania*: flooding caused by continuous rainfall during the summer and autumn 2017;
- *Poland*: exceptionally violent storms and heavy rainfall (between 9 and 12 August 2017).

DAB No 4/2018 proposes to enter the necessary appropriations in the general budget 2018, both in commitments and payments.

2. FINANCING FROM THE EUSF ALLOCATION 2018

In accordance with Article 10(1) of the MFF regulation, the total amount available for the mobilisation of the EUSF at the beginning of 2018 was EUR 421 142 057, being the sum of the remaining 2018 allocation of EUR 280 371 754 (i.e. EUR 574 342 834 minus EUR 293 971 080 already mobilised in 2017⁷), plus the remaining 2017 allocation of EUR 140 770 303 that remained unspent and was carried over to 2018.

The amount that may be mobilised at this stage of the year 2018 is EUR 173 389 397. This corresponds to the total amount available for the mobilisation of the EUSF at the beginning of 2018 (EUR 421 142 057), minus the retained amount of EUR 143 585 709 in order to respect the obligation of keeping aside 25 % of the 2018 annual allocation until 1 October 2018 as stipulated by Article 10(1) of the MFF regulation and minus EUR 104 166 951 mobilised for Greece, Spain, France and Portugal earlier this year⁸.

⁶ COM(2018)360, 31.5.2018.

⁷ Decision (EU) 2017/1599 of the European Parliament and of the Council of 13 September 2017 on the mobilisation of the European Union Solidarity Fund to provide assistance to Italy (OJ L 245, 23.9.2017) and the accompanying Amending Budget No 4/2017 (OJ L 330, 13.12.2017).

⁸ Proposal for a Decision of the European Parliament and of the Council on the mobilisation of the European Union Solidarity Fund to provide assistance to Greece, Spain, France and Portugal (COM(2018) 150, 22.2.2018) and the accompanying Draft Amending Budget No 1/2018 (COM(2018) 150, 22.2.2018).

EUSF financing summary table	Amount EUR
2017 allocation carried forward to 2018	140 770 303
2018 allocation	574 342 834
2018 allocation frontloaded in 2017 in accordance with Article 10(2) of the MFF regulation	-293 971 080 -----
Total available at the beginning of 2018	421 142 057
Minus already mobilised in 2018 for Greece, Spain, France and Portugal	-104 166 951
Minus 25% of the 2018 allocation retained	-143 585 709 -----
Maximum amount currently available (2017+2018 allocations)	173 389 397
Total amount of aid proposed to be mobilised for Bulgaria, Greece, Lithuania and Poland	- 33 992 206
Remaining availabilities until 1 October 2018	139 397 191

3. APPROPRIATIONS REQUESTED IN THE BUDGET OF 2018

On the basis of the applications from Bulgaria, Greece, Lithuania and Poland, the calculation of the financial contribution from the EUSF, based on the estimate of total direct damages, is set at EUR 33 992 206. The Commission proposes to amend the budget 2018 by increasing budget line 13 06 01 'Assistance to Member States in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy' by EUR 33 992 206 both in commitment and payment appropriations (see table below).

Amounts in EUR

Budget line	Name	Commitment appropriations	Payment appropriations
13 06 01	Assistance to Member States in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy	33 992 206	33 992 206
Total		33 992 206	33 992 206

4. SUMMARY TABLE BY MFF HEADING

Heading	Budget 2018 (incl. AB 1 & DAB 2-3/2018)		Draft Amending Budget 4/2018		Budget 2018 (incl. AB 1 & DAB 2-4/2018)	
	CA	PA	CA	PA	CA	PA
1. Smart and inclusive growth	77 533 697 652	66 624 486 101			77 533 697 652	66 624 486 101
<i>Of which under global margin for commitments</i>	<i>1 113 697 652</i>				<i>1 113 697 652</i>	
<i>Ceiling</i>	<i>76 420 000 000</i>				<i>76 420 000 000</i>	
<i>Margin</i>						
1a Competitiveness for growth and jobs	22 001 452 724	20 097 167 844			22 001 452 724	20 097 167 844
<i>Of which under global margin for commitments</i>	<i>762 452 724</i>				<i>762 452 724</i>	
<i>Ceiling</i>	<i>21 239 000 000</i>				<i>21 239 000 000</i>	
<i>Margin</i>						
1b Economic social and territorial cohesion	55 532 244 928	46 527 318 257			55 532 244 928	46 527 318 257
<i>Of which under global margin for commitments</i>	<i>351 244 928</i>				<i>351 244 928</i>	
<i>Ceiling</i>	<i>55 181 000 000</i>				<i>55 181 000 000</i>	
<i>Margin</i>						
2. Sustainable growth: natural resources	59 285 323 122	56 083 793 633			59 285 323 122	56 083 793 633
<i>Ceiling</i>	<i>60 267 000 000</i>				<i>60 267 000 000</i>	
<i>Margin</i>	<i>981 676 878</i>				<i>981 676 878</i>	
Of which: European Agricultural Guarantee Fund (EAGF) — Market related expenditure and direct payments	43 234 516 899	43 188 677 466			43 234 516 899	43 188 677 466
<i>Sub-ceiling</i>	<i>44 163 000 000</i>				<i>44 163 000 000</i>	
<i>EAGF Margin</i>	<i>927 833 101</i>				<i>927 833 101</i>	
3. Security and citizenship	3 493 241 199	2 980 707 175			3 493 241 199	2 980 707 175
<i>Of which under Flexibility Instrument</i>	<i>837 241 199</i>				<i>837 241 199</i>	
<i>Ceiling</i>	<i>2 656 000 000</i>				<i>2 656 000 000</i>	
<i>Margin</i>						
4. Global Europe	10 068 842 411	8 906 075 154			10 068 842 411	8 906 075 154
<i>Of which under global margin for commitments</i>	<i>243 842 411</i>				<i>243 842 411</i>	
<i>Ceiling</i>	<i>9 825 000 000</i>				<i>9 825 000 000</i>	
<i>Margin</i>						
5. Administration	9 665 513 627	9 666 318 627			9 665 513 627	9 666 318 627
<i>Ceiling</i>	<i>10 346 000 000</i>				<i>10 346 000 000</i>	
<i>Of which offset against Contingency margin</i>	<i>- 318 000 000</i>				<i>- 318 000 000</i>	
<i>Margin</i>	<i>362 486 373</i>				<i>362 486 373</i>	
Of which: Administrative expenditure of the institutions	7 579 920 627	7 580 725 627			7 579 920 627	7 580 725 627
<i>Sub-ceiling</i>	<i>8 360 000 000</i>				<i>8 360 000 000</i>	
<i>Of which offset against Contingency margin</i>	<i>- 318 000 000</i>				<i>- 318 000 000</i>	
<i>Margin</i>	<i>462 079 373</i>				<i>462 079 373</i>	
Total	160 046 618 011	144 261 380 690			160 046 618 011	144 261 380 690
<i>Of which under Flexibility Instrument</i>	<i>837 241 199</i>	<i>678 340 197</i>			<i>837 241 199</i>	<i>678 340 197</i>
<i>Of which under global margin for commitments</i>	<i>1 357 540 063</i>				<i>1 357 540 063</i>	
<i>Ceiling</i>	<i>159 514 000 000</i>	<i>154 565 000 000</i>			<i>159 514 000 000</i>	<i>154 565 000 000</i>
<i>Of which offset against Contingency margin</i>	<i>- 318 000 000</i>				<i>- 318 000 000</i>	
<i>Margin</i>	<i>1 344 163 251</i>	<i>10 981 959 507</i>			<i>1 344 163 251</i>	<i>10 981 959 507</i>
Other special Instruments	664 548 105	517 246 105	33 992 206	33 992 206	698 540 311	551 238 311
Grand Total	160 711 166 116	144 778 626 795	33 992 206	33 992 206	160 745 158 322	144 812 619 001