



Council of the  
European Union

040297/EU XXVI. GP  
Eingelangt am 29/10/18

**Brussels, 29 October 2018  
(OR. en)**

**13692/18**

**FIN 839**

**COVER NOTE**

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From:	Mr Günther OETTINGER, Member of the European Commission
date of receipt:	29 October 2018
To:	Mr Hartwig LÖGER, President of the Council of the European Union
Subject:	Proposal for transfer of appropriations No DEC 31/2018 within Section III - Commission - of the general budget for 2018

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Delegations will find attached Commission document DEC 31/2018.

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Encl.: DEC 31/2018



BRUSSELS, 26/10/2018

GENERAL BUDGET - 2018  
SECTION III - COMMISSION TITLES: 23, 40

TRANSFER OF APPROPRIATIONS N° DEC 31/2018

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**FROM**

**CHAPTER** - 4002 Reserves for financial interventions

ARTICLE - 40 02 42 Emergency aid reserve

Commitments

-15 000 000,00

**TO**

**CHAPTER** - 2302 Humanitarian aid, food assistance and disaster preparedness

ARTICLE - 23 02 01 Delivery of rapid, effective and needs-based humanitarian aid and food assistance

Commitments

15 000 000,00

## **I. DECREASE**

### **I.1**

#### **a) Heading**

**40 02 42 - Emergency aid reserve**

#### **b) Figure at 18/10/2018**

	<b>Commitments</b>
1 Appropriations in budget (Initial Budget + AB)	344 600 000,00
2 Transfers	-279 497 884,00
3 Total appropriations for the year (1+2)	65 102 116,00
4 Appropriations already used	0,00
<b>5 Appropriations available (3-4)</b>	<b>65 102 116,00</b>
<b>6 Decrease proposed</b>	<b>15 000 000,00</b>
<b>7 Total appropriations up to year end (5-6)</b>	<b>50 102 116,00</b>
8 Decrease as percentage of appropriations in budget (6/1)	4,35 %
9 Decrease, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

#### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 18/10/2018	0,00
3 Implementation rate [(1-2)/1]	n/a

#### **d) Detailed grounds for the transfer**

According to Article 9 of the Multiannual Financial Framework, the Emergency Aid Reserve (EAR) is intended to allow for a rapid response to specific aid requirements of third countries following events which could not be foreseen when the budget was established; first and foremost for humanitarian operations, but also for civil crisis management and protection, and situations of particular pressure resulting from migratory flows at the Union's external borders, where circumstances so require.

## **II. INCREASE**

### **II.1**

#### **a) Heading**

**23 02 01 - Delivery of rapid, effective and needs-based humanitarian aid and food assistance**

#### **b) Figure at 18/10/2018**

	<b>Commitments</b>
1 Appropriations in budget (Initial Budget + AB)	1 026 028 642,00
2 Transfers	284 294 634,00
3 Total appropriations for the year (1+2)	1 310 323 276,00
4 Appropriations already used	1 162 694 634,00
<b>5 Appropriations available (3-4)</b>	<b>147 628 642,00</b>
<b>6 Increase requested</b>	<b>15 000 000,00</b>
<b>7 Total appropriations up to year end (5+6)</b>	<b>162 628 642,00</b>
8 Increase as percentage of appropriations in budget (6/1)	1,46 %
9 Increase, as a percentage of the final appropriation for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

#### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>
1 Appropriations available at start of the year	314,12
2 Appropriations available on 18/10/2018	314,12
3 Implementation rate [(1-2)/1]	0,00 %

#### **d) Detailed grounds for the transfer**

Uganda currently hosts over 1,4 million refugees including over 1 million from South Sudan and over 285 000 from the Democratic Republic of Congo (DRC). There has been a sharp increase in the number of new arrivals (136 000 since January 2018), which makes it the fastest growing refugee crisis in the world.

The hosting communities are not receiving sufficient support to respond to this significant increase in the number of refugees and the consequent needs and this creates tensions. In addition, there is a new Ebola outbreak in the border areas with DRC, with a high risk of spreading in Uganda.

Uganda's open refugee policy starts showing its limitations, as international assistance is significantly lagging behind: the South Sudanese Refugee Response Plan amounting to USD 1,3 billion, is funded at only 16% at regional level and the USD 869,7 million Uganda Integrated Refugee Response Plan is funded at only 21%.

Based on a thorough analysis of the needs, EUR 15,0 million in commitment appropriations is requested in addition to the EUR 24,0 million already programmed for this crisis in 2018. This funding would respond to urgent and acute needs of the most vulnerable populations (refugees and host communities) and to mitigate tensions between them. It will enable the provision of basic services, including multipurpose cash transfers, food assistance, health and water and sanitation.

As of 10 October, the overall implementation rate of commitment appropriations of the humanitarian aid chapter 23 02 was at 97%, while for payment appropriations it was at 82%. The remaining balance of EUR 22,0 million in the Operational Reserve needs to be preserved to cover urgent crises until the end of the year.

The Commission has considered, but has not been able to identify availabilities from other policy areas in Heading 4. The Commission therefore requests the mobilisation of the Emergency Aid Reserve for EUR 15,0 million in commitment appropriations.

## ANNEX

### COMMISSION TRANSFER PROPOSALS RELATED TO THE EMERGENCY AID RESERVE IN 2018

The table below shows the transfer proposals transmitted to the Budgetary Authority to date during 2018 which relate to the Emergency Aid Reserve (EAR), and the remaining amount of the EAR reserve following the approval of these proposals.

Transfer Ref	Content	Commitment Appropriations from 2018 Reserve (EUR)	Commitment Appropriations from Reserve carried-over (EUR)	Payment Appropriations from 2018 Reserve (EUR)
	<b>Initial appropriations</b>	<b>344.600.000</b>	<b>61.705.366</b>	<b>344.600.000</b>
DEC 07	Mobilisation of the EAR for additional humanitarian aid for the Rohingya crisis		23.403.250	15.021.500
DEC 08	Mobilisation of the EAR for additional humanitarian aid for Somalia	11.697.884	38.302.116	50.000.000
DEC 09	Mobilisation of the EAR for additional humanitarian aid for Yemen	40.000.000		40.000.000
DEC 12	Mobilisation of the EAR for additional humanitarian aid for Ethiopia	35.000.000		35.000.000
DEC 13	Mobilisation of the EAR for additional humanitarian aid for Democratic Republic of Congo	25.000.000		25.000.000
DEC 14	Mobilisation of the EAR for additional humanitarian aid for Sudan	10.000.000		10.000.000
DEC 15	Mobilisation of the EAR for additional humanitarian aid for Lake Chad Basin Countries: Chad, Cameroon and Nigeria	30.000.000		30.000.000
DEC 16	Mobilisation of the EAR for additional humanitarian aid for Afghanistan	20.000.000		20.000.000
DEC 25	Mobilisation of the EAR for additional humanitarian aid for Yemen	47.800.000		-
DEC 26	Mobilisation of the EAR for additional humanitarian aid for Sahel	50.000.000		-
DEC 27	Mobilisation of the EAR for additional humanitarian aid for Palestine	10.000.000		-
DEC 29	Mobilisation of the EAR for additional humanitarian aid for Venezuela	16.000.000		
DEC 31	Mobilisation of the EAR for additional humanitarian aid for Uganda	15.000.000		
	<b>Total of Proposals</b>	<b>310.497.884</b>	<b>61.705.366</b>	<b>225.021.500</b>
	<b>Remainder</b>	34.102.116	0	119.578.500
	<b>Total remainder of commitment appropriations</b>	34.102.116		