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FIN 838

#### COVER NOTE

From:	Mr Günther OETTINGER, Member of the European Commission
date of receipt:	26 October 2018
To:	Mr Hartwig LÖGER, President of the Council of the European Union
Subject:	Proposal for transfer of appropriations No DEC 30/2018 within Section III - Commission - of the general budget for 2018

Delegations will find attached Commission document DEC 30/2018.

Encl.: DEC 30/2018



EUROPEAN COMMISSION

BRUSSELS, 26/10/2018

GENERAL BUDGET - 2018

SECTION III - COMMISSION TITLES: 02, 05, 06, 08, 09, 18, 32

### TRANSFER OF APPROPRIATIONS N° **DEC 30/2018**

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#### **INTRODUCTION**

With the transfer no DEC 30/2018, the Commission proposes to move the appropriations related to the savings achieved on the administrative lines of the programmes Horizon 2020 (including subsidy line of the Innovation and Networks Executive Agency (INEA)), ITER (International Thermonuclear Experimental Reactor), CEF (Connecting Europe Facility), and ESI (Instrument for Emergency Support within the Union), to the operational lines of these programmes and the Asylum, Migration and Integration Fund as well as to the Fusion for Energy Joint Undertaking support expenditure line under the ITER programme.

## GENERAL BUDGET - 2018

## SECTION III - COMMISSION TITLES: 02, 05, 06, 08, 09, 18, 32

## TRANSFER OF APPROPRIATIONS N° DEC 30/2018

**FROM****CHAPTER - 0201** Administrative expenditure of the 'Internal market, industry, entrepreneurship and SMEs' policy area

ITEM - 02 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes -- Horizon 2020	Non-Diff	-615 928,00
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**CHAPTER - 0501** Administrative expenditure of the 'Agriculture and rural development' policy area

ITEM - 05 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes -- Horizon 2020	Non-Diff	-110 000,00
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ITEM - 05 01 05 02 External personnel implementing research and innovation programmes -- Horizon 2020	Non-Diff	-30 000,00
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ITEM - 05 01 05 03 Other management expenditure for research and innovation programmes -- Horizon 2020	Non-Diff	-100 000,00
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**CHAPTER - 0601** Administrative expenditure of the 'Mobility and transport' policy area

ITEM - 06 01 04 01 Support expenditure for Connecting Europe Facility (CEF) -- Transport	Non-Diff	-500 000,00
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ITEM - 06 01 06 01 Innovation and Networks Executive Agency -- Contribution from Connecting Europe Facility (CEF)	Non-Diff	-803 992,00
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**CHAPTER - 0801** Administrative expenditure of the 'Research and innovation' policy area

ITEM - 08 01 06 04 Innovation and Networks Executive Agency -- Contribution from Horizon 2020	Non-Diff	-453 131,00
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**CHAPTER - 0901** Administrative expenditure of the 'Communications networks, content and technology' policy area

ITEM - 09 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes -- Horizon 2020	Non-Diff	-2 000 000,00
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**CHAPTER - 1801** Administrative expenditure of the 'Migration and home affairs' policy area

ITEM - 18 01 04 05 Support expenditure for Emergency support within the Union	Non-Diff	-550 000,00
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**CHAPTER - 3201** Administrative expenditure in the 'Energy' policy area

ITEM - 32 01 05 23 Other management expenditure for research and innovation programmes -- ITER	Non-Diff	-790 000,00
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**TO****CHAPTER - 0204** Horizon 2020 -- Research relating to enterprises

ITEM - 02 04 03 01 Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials	Commitments	615 928,00
	Payments	615 928,00

**CHAPTER - 0509 Horizon 2020 -- Research and innovation related to agriculture**

ITEM - 05 09 03 01 Securing sufficient supplies of safe and high quality food and other bio-based products

Commitments	240 000,00
Payments	240 000,00

**CHAPTER - 0602 European transport policy**

ITEM - 06 02 01 01 Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections

Commitments	803 992,00
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ARTICLE - 06 02 05 Support activities to the European transport policy and passenger rights including communication activities

Commitments	500 000,00
Payments	500 000,00

**CHAPTER - 0603 Horizon 2020 -- Research and innovation related to transport**

ITEM - 06 03 03 01 Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system

Commitments	453 131,00
Payments	453 131,00

**CHAPTER - 0904 Horizon 2020**

ITEM - 09 04 02 01 Leadership in information and communications technology

Commitments	2 000 000,00
Payments	2 000 000,00

**CHAPTER - 1803 Asylum and migration**

ITEM - 18 03 01 01 Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States

Commitments	550 000,00
Payments	550 000,00

**CHAPTER - 3202 Conventional and renewable energy**

ITEM - 32 02 01 01 Further integration of the internal energy market and the interoperability of electricity and gas networks across borders

Payments	267 998,00
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ITEM - 32 02 01 02 Enhancing Union security of energy supply

Payments	267 997,00
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ITEM - 32 02 01 03 Contributing to sustainable development and protection of the environment

Payments	267 997,00
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**CHAPTER - 3205 ITER**

ITEM - 32 05 01 01 Construction, operation and exploitation of the ITER facilities -- European Joint Undertaking for ITER -- Fusion for Energy (F4E) -- Support expenditure

Commitments	790 000,00
Payments	790 000,00

Line/Heading						
Initial Budget + AB (1)	Transfers (2)	Utilisation (3)	Available amount (4)=(1)+(2)-(3)	Transfer proposed (5)	Change (5/1)	Total (4±5)
<b>Non-Diff - 02 01 05 01 - Expenditure related to officials and temporary staff implementing research and innovation programmes -- Horizon 2020</b>						
8 326 928,00	-860 000,00	6 851 000,00	615 928,00	-615 928,00	-7,40 %	0,00
<b>Payment - 02 04 03 01 - Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials</b>						
80 820 296,00	-1 549 411,60	66 982 305,36	12 288 579,04	615 928,00	0,76 %	12 904 507,04
<b>Commitment - 02 04 03 01 - Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials</b>						
63 762 546,00	0,00	6 852 451,75	56 910 094,25	615 928,00	0,97 %	57 526 022,25
<b>Non-Diff - 05 01 05 01 - Expenditure related to officials and temporary staff implementing research and innovation programmes -- Horizon 2020</b>						
1 589 136,00	0,00	1 479 136,00	110 000,00	-110 000,00	-6,92 %	0,00
<b>Non-Diff - 05 01 05 02 - External personnel implementing research and innovation programmes -- Horizon 2020</b>						
442 216,00	20 000,00	432 216,00	30 000,00	-30 000,00	-6,78 %	0,00
<b>Non-Diff - 05 01 05 03 - Other management expenditure for research and innovation programmes -- Horizon 2020</b>						
400 000,00	-20 000,00	267 943,20	112 056,80	-100 000,00	-25,00 %	12 056,80
<b>Payment - 05 09 03 01 - Securing sufficient supplies of safe and high quality food and other bio-based products</b>						
154 885 244,00	0,00	116 078 160,57	38 807 083,43	240 000,00	0,15 %	39 047 083,43
<b>Commitment - 05 09 03 01 - Securing sufficient supplies of safe and high quality food and other bio-based products</b>						
235 755 857,00	0,00	72 635 962,92	163 119 894,08	240 000,00	0,10 %	163 359 894,08
<b>Non-Diff - 06 01 04 01 - Support expenditure for Connecting Europe Facility (CEF) -- Transport</b>						
2 000 000,00	0,00	657 860,11	1 342 139,89	-500 000,00	-25,00 %	842 139,89
<b>Non-Diff - 06 01 06 01 - Innovation and Networks Executive Agency -- Contribution from Connecting Europe Facility (CEF)</b>						
14 272 055,00	724 123,15	14 192 186,00	803 992,15	-803 992,00	-5,63 %	0,15
<b>Commitment - 06 02 01 01 - Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections</b>						
1 405 640 764,00	10 182 500,00	1 403 942 119,85	11 881 144,15	803 992,00	0,06 %	12 685 136,15
<b>Payment - 06 02 05 - Support activities to the European transport policy and passenger rights including communication activities</b>						
11 409 000,00	0,00	9 632 998,56	1 776 001,44	500 000,00	4,38 %	2 276 001,44
<b>Commitment - 06 02 05 - Support activities to the European transport policy and passenger rights including communication activities</b>						
10 821 000,00	0,00	4 217 565,08	6 603 434,92	500 000,00	4,62 %	7 103 434,92
<b>Payment - 06 03 03 01 - Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system</b>						
105 297 459,00	0,00	87 455 916,86	17 841 542,14	453 131,00	0,43 %	18 294 673,14
<b>Commitment - 06 03 03 01 - Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system</b>						
56 835 072,00	0,00	54 499 581,00	2 335 491,00	453 131,00	0,80 %	2 788 622,00
<b>Non-Diff - 08 01 06 04 - Innovation and Networks Executive Agency -- Contribution from Horizon 2020</b>						
6 854 609,00	0,00	6 401 478,00	453 131,00	-453 131,00	-6,61 %	0,00
<b>Non-Diff - 09 01 05 01 - Expenditure related to officials and temporary staff implementing research and innovation programmes -- Horizon 2020</b>						
42 126 000,00	-1 600 000,00	38 526 000,00	2 000 000,00	-2 000 000,00	-4,75 %	0,00
<b>Payment - 09 04 02 01 - Leadership in information and communications technology</b>						
793 276 000,00	0,00	199 984 232,38	593 291 767,62	2 000 000,00	0,25 %	595 291 767,62
<b>Commitment - 09 04 02 01 - Leadership in information and communications technology</b>						
725 189 515,00	0,00	640 026 987,91	85 162 527,09	2 000 000,00	0,28 %	87 162 527,09
<b>Non-Diff - 18 01 04 05 - Support expenditure for Emergency support within the Union</b>						
1 000 000,00	0,00	408 732,92	591 267,08	-550 000,00	-55,00 %	41 267,08

Line/Heading						
Initial Budget + AB (1)	Transfers (2)	Utilisation (3)	Available amount (4)=(1)+(2)-(3)	Transfer proposed (5)	Change (5/1)	Total (4±5)
<b>Payment - 18 03 01 01 - Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States</b>						
304 107 137,00	49 262 087,31	335 801 161,72	17 568 062,59	550 000,00	0,18 %	18 118 062,59
<b>Commitment - 18 03 01 01 - Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States</b>						
388 322 974,00	-29 527,12	165 421 458,96	222 871 987,92	550 000,00	0,14 %	223 421 987,92
<b>Non-Diff - 32 01 05 23 - Other management expenditure for research and innovation programmes -- ITER</b>						
1 110 000,00	0,00	320 000,00	790 000,00	-790 000,00	-71,17 %	0,00
<b>Payment - 32 02 01 01 - Further integration of the internal energy market and the interoperability of electricity and gas networks across borders</b>						
50 951 000,00	0,00	47 153 414,26	3 797 585,74	267 998,00	0,53 %	4 065 583,74
<b>Payment - 32 02 01 02 - Enhancing Union security of energy supply</b>						
50 217 000,00	0,00	46 350 284,08	3 866 715,92	267 997,00	0,53 %	4 134 712,92
<b>Payment - 32 02 01 03 - Contributing to sustainable development and protection of the environment</b>						
50 067 000,00	0,00	46 442 596,27	3 624 403,73	267 997,00	0,54 %	3 892 400,73
<b>Payment - 32 05 01 01 - Construction, operation and exploitation of the ITER facilities -- European Joint Undertaking for ITER -- Fusion for Energy (F4E) -- Support expenditure</b>						
48 016 981,00	0,00	48 016 981,00	0,00	790 000,00	1,65 %	790 000,00
<b>Commitment - 32 05 01 01 - Construction, operation and exploitation of the ITER facilities -- European Joint Undertaking for ITER -- Fusion for Energy (F4E) -- Support expenditure</b>						
48 016 981,00	0,00	48 016 981,00	0,00	790 000,00	1,65 %	790 000,00
<b>Total DECREASE Payments</b>				<b>0,00</b>		
<b>Total INCREASE Payments</b>				<b>5 953 051,00</b>		
<b>Total DECREASE Commitments</b>				<b>0,00</b>		
<b>Total INCREASE Commitments</b>				<b>5 953 051,00</b>		
<b>Total DECREASE Non-Diff</b>				<b>-5 953 051,00</b>		
<b>Total INCREASE Non-Diff</b>				<b>0,00</b>		

## **Justifications**

### **Decreased 02 01 05 01**

#### **Expenditure related to officials and temporary staff implementing research and innovation programmes – Horizon 2020**

The surplus of EUR 0.6 million is due to staff reduction in 2016-2017, and a slightly higher than expected vacancy rate in 2018.

### **Increased 02 04 03 01**

#### **Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials**

It is proposed to increase the line by EUR 0.6 million in commitment and payment appropriations.

The additional commitment appropriations will allow financing a high-quality project from the reserve list of the call for proposals on "Raw materials innovation for the circular economy: sustainable processing, reuse, recycling and recovery schemes". The additional payment appropriations will be used to cover higher than expected interim payments.

### **Decreased 05 01 05 01**

#### **Expenditure related to officials and temporary staff implementing research and innovation programmes -- Horizon 2020**

The surplus of EUR 0.1 million is due to actual salaries, promotions and expected indexation being lower than expected.

### **Decreased 05 01 05 02**

#### **External personnel implementing research and innovation programmes -- Horizon 2020**

The surplus of EUR 0.03 million is due to lower than expected average costs of the contractual agents and some recruitment delays.

### **Decreased 05 01 05 03**

#### **Other management expenditure for research and innovation programmes -- Horizon 2020**

The needs until the end of the year are lower than initially estimated, especially for the external meetings, and several workshops have been cancelled. Therefore, an amount of EUR 0.1 million can be made available.

### **Increased 05 09 03 01**

#### **Securing sufficient supplies of safe and high quality food and other bio-based products**

It is proposed to reinforce the budget line by EUR 0.24 million to finance additional high-quality projects from the reserve list of the 2018 call for proposals "Societal Challenge 2".

#### **Decreased 06 01 04 01**

##### **Support expenditure for Connecting Europe Facility (CEF) -- Transport**

The surplus of EUR 0.5 million is due to a smaller number of conferences and studies than originally planned. The remaining appropriations are sufficient to cover the needs up to year end (i.e. Trans-European transport Network (TEN-T) days, short studies, conferences and events).

#### **Decreased 06 01 06 01**

##### **Innovation and Networks Executive Agency -- Contribution from Connecting Europe Facility (CEF)**

It is proposed to decrease the administrative budget of the Innovation and Networks Executive Agency (INEA) by EUR 0.8 million in commitment and payment appropriations, due to delays in recruitment of contract agents.

#### **Increased 06 02 01 01**

##### **Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections**

The reinforcement by EUR 0.8 million in commitment appropriations will complement the appropriations currently available for the 2018 Multiannual Programme call for proposals (EUR 450.0 million), as calls for proposals under the Connecting Europe Facility (CEF) Transport are oversubscribed.

#### **Increased 06 02 05**

##### **Support activities to the European transport policy and passenger rights including communication activities**

The reinforcement of EUR 0.5 million will allow financing a complex study, more expensive than initially estimated, on the evaluation of Regulation (EU) 1315/2013 on Union Guidelines for the development of the trans-European transport network (TEN-T). The study covers a broad range of issues including the integration of new infrastructure components, such as digital infrastructure or facilities for clean mobility, automation or other innovative concepts. A vital aspect of the study concerns synergies between the three trans-European networks, namely energy, telecommunications and transport. All this will enhance the basis for the funding of TEN-T projects under the proposed Connecting Europe Facility (CEF) in the next MFF 2021-2027, notably by substantiating funding priorities. Thereby, it will strengthen the focus of future CEF calls and significantly contribute to high impact EU funding.

#### **Increased 06 03 03 01**

##### **Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system**

It is proposed to increase the line by EUR 0.45 million in commitment and payment appropriations.

The additional commitment appropriations will allow financing of one additional high-quality project under the largely oversubscribed 2018 Mobility for Growth call for proposals. The additional payment appropriations will cover higher than expected interim payments.

#### **Decreased 08 01 06 04**

##### **Innovation and Networks Executive Agency -- Contribution from Horizon 2020**

It is proposed to decrease the administrative budget of the Innovation and Networks Executive Agency (INEA) by EUR 0.45 million, due to delays in recruitment of contract agents.



#### **Decreased 09 01 05 01**

##### **Expenditure related to officials and temporary staff implementing research and innovation programmes -- Horizon 2020**

The surplus of EUR 2.0 million is due to a higher than expected vacancy rate in 2018, delays in recruitment of management positions and time lag between phasing out of staff in Brussels and planned increase in Luxembourg.

#### **Increased 09 04 02 01**

##### **Leadership in information and communications technology**

The additional amount of EUR 2.0 million will allow financing three high scored proposals from the reserve list of the call for proposals "H2020-ICT-2018-2" under the "Leadership in Enabling and Industrial Technologies - Information and Communication Technologies (LEIT-ICT) programme.

#### **Decreased 18 01 04 05**

##### **Support expenditure for Emergency support within the Union**

The surplus of EUR 0.55 million is due to lower than expected contract agents costs and evaluation costs, and the cancellation of a closing event.

#### **Increased 18 03 01 01**

##### **Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States**

The additional amount of EUR 0.55 million is needed to reinforce Emergency Assistance (EMAS): In light of the ongoing situation in the Mediterranean and bearing in mind the number and size of pending applications on emergency assistance from Member States, it is necessary to reinforce the emergency assistance to the maximum extent possible in 2018.

#### **Decreased 32 01 05 23**

##### **Other management expenditure for research and innovation programmes -- ITER**

After revision of the International Thermonuclear Experimental Reactor (ITER) milestones, an amount of EUR 0.8 million can be made available in commitment and payment appropriations.

#### **Increased 32 02 01 01**

##### **Further integration of the internal energy market and the interoperability of electricity and gas networks across borders**

The additional payment appropriations of EUR 0.3 million are needed to execute payments due by the end of 2018, including interim payments already received and the first pre-financing payments.

#### **Increased 32 02 01 02**

##### **Enhancing Union security of energy supply**

The additional payment appropriations of EUR 0.3 million are needed to execute payments due by the end of 2018, including interim payments already received and the first pre-financing payments

#### **Increased 32 02 01 03**

##### **Contributing to sustainable development and protection of the environment**

The additional payment appropriations of EUR 0.3 million are needed to execute payments due by the end of 2018, including interim payments already received and the first pre-financing payments.

#### **Increased 32 05 01 01**

##### **Construction, operation and exploitation of the ITER facilities -- European Joint Undertaking for ITER -- Fusion for Energy (F4E) -- Support expenditure**

The reinforcement by EUR 0.8 million in commitment and payment appropriations is needed to cover the salaries of the Fusion for Energy (F4E) staff: the 2018 budget for salaries was calculated with an expected vacancy rate of 6%, while since mid-2017 this vacancy rate was significantly reduced to 3%. The 2019 Draft Budget proposed by the Commission takes into account this low vacancy rate.