



Council of the  
European Union

Brussels, 26 February 2019  
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**COVER NOTE**

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From:	Mr Günther OETTINGER, Member of the European Commission
date of receipt:	25 February 2019
To:	Mr Eugen Orlando TEODOROVICI, President of the Council of the European Union

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Subject:	Proposal for transfer of appropriations No DEC 02/2019 within Section III - Commission - of the general budget for 2019
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Delegations will find attached Commission document DEC 02/2019.

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Encl.: DEC 02/2019



BRUSSELS, 25/02/2019

GENERAL BUDGET - 2019  
SECTION III - COMMISSION TITLES: 22, 23, 40

TRANSFER OF APPROPRIATIONS N° DEC 02/2019

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**FROM**

**CHAPTER - 2202** Enlargement process and strategy

ITEM - 22 02 03 02 Support for economic, social and territorial development and related progressive alignment with the Union acquis	Payments	-64 000 000,00
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**CHAPTER - 4002** Reserves for financial interventions

ARTICLE - 40 02 42 Emergency aid reserve	Commitments	-45 897 884,00
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ARTICLE - 40 02 42 Emergency aid reserve (Approp carried over to commit upto 31/12)	Commitments	-34 102 116,00
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**TO**

**CHAPTER - 2302** Humanitarian aid, food assistance and disaster preparedness

ARTICLE - 23 02 01 Delivery of rapid, effective and needs-based humanitarian aid and food assistance (Approp carried over to commit upto 31/12)	Commitments	34 102 116,00
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ARTICLE - 23 02 01 Delivery of rapid, effective and needs-based humanitarian aid and food assistance	Commitments	45 897 884,00
	Payments	64 000 000,00

## I. DECREASE

### I.1

#### a) Heading

**22 02 03 02 - Support for economic, social and territorial development and related progressive alignment with the Union acquis**

#### b) Figures at 21/02/2019

	<b>Payments</b>
1 Appropriations in budget (Initial Budget + AB)	576 368 555,00
2 Transfers	0,00
3 Total appropriations for the year (1+2)	576 368 555,00
4 Appropriations already used	6 829 719,53
<b>5 Appropriations available (3-4)</b>	<b>569 538 835,47</b>
<b>6 Decrease proposed</b>	<b>64 000 000,00</b>
<b>7 Total appropriations up to year end (5-6)</b>	<b>505 538 835,47</b>
8 Decrease as percentage of appropriations in budget (6/1)	11,10 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

#### c) Receipts arising from recovery (carried over from previous year)

	<b>Payments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 21/02/2019	0,00
3 Implementation rate [(1-2)/1]	n/a

#### d) Detailed grounds for the decrease

Due to delays in the process for a continuation of the direct grant in the field of healthcare, the related payments will be postponed to 2020. Therefore, the initial payment forecast made in spring 2018 for the draft budget 2019 has been revised and EUR 128,0 million of payment appropriations can be made available.

## I.2

### a) Heading

**40 02 42 - Emergency aid reserve**

### b) Figures at 21/02/2019

	<b>Commitments</b>
1 Appropriations in budget (Initial Budget + AB)	298 775 000,00
2 Transfers	0,00
3 Total appropriations for the year (1+2)	298 775 000,00
4 Appropriations already used	0,00
<b>5 Appropriations available (3-4)</b>	<b>298 775 000,00</b>
<b>6 Decrease proposed</b>	<b>45 897 884,00</b>
<b>7 Total appropriations up to year end (5-6)</b>	<b>252 877 116,00</b>
8 Decrease as percentage of appropriations in budget (6/1)	15,36 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

### c) Receipts arising from recovery (carried over from previous year)

	<b>Commitments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 21/02/2019	0,00
3 Implementation rate [(1-2)/1]	n/a

### d) Detailed grounds for the decrease

According to article 9 of the Multiannual Financial Framework the Emergency Aid Reserve (EAR) is intended to allow for a rapid response to specific aid requirements of third countries following events which could not be foreseen when the budget was established; first and foremost for humanitarian operations, but also for civil crisis management and protection, and situations of particular pressure resulting from migratory flows at the Union's external borders, where circumstances so require.

### **I.3**

#### **a) Heading**

**40 02 42 - Emergency aid reserve (Approp carried over to commit upto 31/12)**

#### **b) Figures at 21/02/2019**

	<b>Commitments</b>
1 Appropriations in budget (Approp carried over to commit upto 31/12)	34 102 116,00
2 Transfers	0,00
3 Total appropriations for the year (1+2)	34 102 116,00
4 Appropriations already used	0,00
<b>5 Appropriations available (3-4)</b>	<b>34 102 116,00</b>
<b>6 Decrease proposed</b>	<b>34 102 116,00</b>
<b>7 Total appropriations up to year end (5-6)</b>	<b>0,00</b>
8 Decrease as percentage of appropriations in budget (6/1)	100,00 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

#### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>
1 Appropriations available at start of the year	0,00
2 Appropriations available on 21/02/2019	0,00
3 Implementation rate [(1-2)/1]	n/a

#### **d) Detailed grounds for the decrease**

According to article 9 of the Multiannual Financial Framework the Emergency Aid Reserve (EAR) is intended to allow for a rapid response to specific aid requirements of third countries following events which could not be foreseen when the budget was established; first and foremost for humanitarian operations, but also for civil crisis management and protection, and situations of particular pressure resulting from migratory flows at the Union's external borders, where circumstances so require.

## **II. INCREASE**

### **II.1**

#### **a) Heading**

**23 02 01 - Delivery of rapid, effective and needs-based humanitarian aid and food assistance (Approp carried over to commit upto 31/12)**

#### **b) Figures at 21/02/2019**

	<b>Commitments</b>
1 Appropriations in budget (Approp carried over to commit upto 31/12)	0,00
2 Transfers	0,00
3 Total appropriations for the year (1+2)	0,00
4 Appropriations already used	0,00
<b>5 Appropriations available (3-4)</b>	<b>0,00</b>
<b>6 Increase requested</b>	<b>34 102 116,00</b>
<b>7 Total appropriations up to year end (5+6)</b>	<b>34 102 116,00</b>
8 Increase as percentage of appropriations in budget (6/1)	n/a
9 Increase, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

#### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>
1 Appropriations available at start of the year	93 908,14
2 Appropriations available on 21/02/2019	28 001,14
3 Implementation rate $[(1-2)/1]$	70,18 %

#### **d) Detailed grounds for the increase**

Yemen is today the world's worst humanitarian crisis and according to the latest figures released in January 2019 continues to deteriorate. The total population in need of assistance is now 24,1 million (a 9% increase in the past year). Furthermore, the pace of deterioration is unprecedented: the number of people in acute need of assistance increased by 27% in the past year up to 14,3 million. At least 238 000 Yemenis are already suffering from famine according to the Integrated Food Security Phase Classification, while more than half of the country's 333 districts are now on the brink of famine.

Given these increasing needs, the Yemen Humanitarian Response Plan is likely to reach USD 4 175,0 billion which represents an increase of 41% compared to 2018. This is largely driven by a massive increase in food security needs, where the intension is to cover up to 12 million people per month compared to the current 8 million people.

In view of this unprecedented scale of the needs, EUR 80,0 million in commitment and EUR 64,0 million in payment appropriations is requested, in addition to the EUR 35,0 million already programmed for this crisis in 2018.

As of 15 February, the overall implementation rate of commitment appropriations of the humanitarian aid chapter 23 02 was at 93,3% of available appropriations, whereas the implementation in payment appropriations was at 4,9%.

The Operational Reserve for Humanitarian aid is expected to be used during the first semester of the year to cater for other humanitarian crises or sudden onset disasters.

The Commission has identified and proposes the redeployment of EUR 64,0 million in payment appropriations from the Instrument for Pre-accession (IPA). The Commission therefore requests the mobilisation of the Emergency Aid Reserve for EUR 80,0 million in commitment appropriations, of which EUR 34,1 million from the reserve carried-over.

## II.2

### a) Heading

#### **23 02 01 - Delivery of rapid, effective and needs-based humanitarian aid and food assistance**

### b) Figures at 21/02/2019

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations in budget (Initial Budget + AB)	1 353 430 635,00	1 309 586 500,00
2 Transfers	0,00	-141 475,00
3 Total appropriations for the year (1+2)	1 353 430 635,00	1 309 445 025,00
4 Appropriations already used	1 211 530 000,00	62 202 294,94
<b>5 Appropriations available (3-4)</b>	<b>141 900 635,00</b>	<b>1 247 242 730,06</b>
<b>6 Increase requested</b>	<b>45 897 884,00</b>	<b>64 000 000,00</b>
<b>7 Total appropriations up to year end (5+6)</b>	<b>187 798 519,00</b>	<b>1 311 242 730,06</b>
8 Increase as percentage of appropriations in budget (6/1)	3,39 %	4,89 %
9 Increase, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a	n/a

### c) Receipts arising from recovery (carried over from previous year)

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations available at start of the year	93 908,14	3 617 155,06
2 Appropriations available on 21/02/2019	28 001,14	294 094,98
3 Implementation rate [(1-2)/1]	70,18 %	91,87 %

### d) Detailed grounds for the increase

Yemen is today the world's worst humanitarian crisis and according to the latest figures released in January 2019 continues to deteriorate. The total population in need of assistance is now 24,1 million (a 9% increase in the past year). Furthermore, the pace of deterioration is unprecedented: the number of people in acute need of assistance increased by 27% in the past year up to 14,3 million. At least 238 000 Yemenis are already suffering from famine according to the Integrated Food Security Phase Classification, while more than half of the country's 333 districts are now on the brink of famine.

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## ANNEX

### COMMISSION TRANSFER PROPOSALS RELATED TO THE EMERGENCY AID RESERVE IN 2019

The table below shows the transfer proposals transmitted to the Budgetary Authority to date during 2019 which relate to the Emergency Aid Reserve (EAR), and the remaining amount of the EAR reserve following the approval of these proposals.

Transfer Ref	Content	Commitment Appropriations from Reserve carried-over (EUR)	Commitment Appropriations from 2019 Reserve (EUR)	Payment Appropriations from 2019 Reserve (EUR)
	<b>Initial appropriations</b>	<b>34.102.116</b>	<b>351.500.000</b>	<b>351.500.000</b>
DEC 02	Mobilisation of the EAR for additional humanitarian aid for Yemen	34.102.116	45.897.884	
DEC 03	Mobilisation of the EAR for additional humanitarian aid for Venezuela		50.000.000	
DEC 04	Mobilisation of the EAR for additional humanitarian aid for South Sudan		30.000.000	
	<b>Total of Proposals</b>	<b>34.102.116</b>	<b>125.897.884</b>	<b>0</b>
	<b>Remainder</b>	<b>0</b>	<b>225.602.116</b>	<b>351.500.000</b>
	<b>Total remainder of commitment appropriations</b>	<b>225.602.116</b>		