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## OUTCOME OF PROCEEDINGS

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From: Working Party on Combating Fraud  
To: Ad Hoc Working Party on the multiannual financial framework (MFF)  
Subject: Standard provisions on the protection of the union's financial interests in spending programmes under the new MFF (direct, indirect and shared management)

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1. As instructed by the Ad Hoc Working Party on MFF (AHWP MFF), the Working Party on Combating Fraud examined the standard provisions on the protection of the Union's financial in spending programmes under the new MFF (direct, indirect and shared management) at its meetings on 29 November and 12 December 2018 and agreed on the texts in ANNEXES 1 to 3.
2. In comparison to the previous programming period, the approach has been simplified. The obligations in relation to the protection of the financial interests of the Union are now regulated comprehensively in Article 129 of Regulation (EU, Euratom) No 2018/1046 of the European Parliament and of the Council<sup>1</sup> ("the Financial Regulation"), which applies to "any person or entity receiving Union funds". Accordingly, it suffices to recall those obligations in a Recital. Since persons or entities in third countries are not directly addressees of the Financial Regulation, a specific Article containing the equivalent obligations needs to be inserted in their regard.

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<sup>1</sup> Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

3. Therefore, the Working Party on Combating Fraud recommends to the AHWP MFF to instruct the competent Working Parties to include these agreed standard provisions in the legal bases as per the lists in ANNEXES 4 to 6 as follows:

- the wording of the Recital provided in ANNEX 1 is to be used in the proposals listed in ANNEX 4 (direct and indirect management in programmes NOT OPEN to third countries);
  - the wording of the two Recitals and the Article provided in ANNEX 2 is to be used in the proposals listed in ANNEX 5 (direct and indirect management in programmes OPEN to third countries);
  - the wording of the Recital provided in ANNEX 3 is to be used with the necessary adaptations in the proposals listed in ANNEX 6 (shared management programmes).
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**Standard Recital to be included in programmes under (in-)direct management where the programme is NOT OPEN to third countries**

"(X) In accordance with Regulations (EU, Euratom) 2018/1046<sup>1</sup> (the "Financial Regulation") and (EU, Euratom) No 883/2013 of the European Parliament and of the Council<sup>2</sup> and Council Regulations (Euratom, EC) No 2988/95<sup>3</sup>, (Euratom, EC) No 2185/96<sup>4</sup> and (EU) 2017/1939<sup>5</sup>, the financial interests of the Union are to be protected through proportionate measures, including the prevention, detection, correction and investigation of irregularities, including fraud, the recovery of funds lost, wrongly paid or incorrectly used, and, where appropriate, the imposition of administrative penalties. In particular, in accordance with Regulations (Euratom, EC) No 2185/96 and (EU, Euratom) No 883/2013, the European Anti-Fraud Office (OLAF) may carry out administrative investigations, including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the Union. In accordance with Regulation (EU) 2017/1939, the European Public Prosecutor's Office (the "EPPO") may investigate and prosecute offences against the financial interests of the Union, as provided for in Directive (EU) 2017/1371 of the European Parliament and of the Council<sup>6</sup>. In accordance with the

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<sup>1</sup> Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

<sup>2</sup> Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999, (OJ L 248, 18.9.2013, p. 1).

<sup>3</sup> Council Regulation (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests (OJ L 312, 23.12.95, p.1).

<sup>4</sup> Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p. 2).

<sup>5</sup> Council Regulation (EU) 2017/1939 of 12 October 2017 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office ("the EPPO") (OJ L 283, 31.10.2017, p. 1).

<sup>6</sup> Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ L 198, 28.7.2017, p. 29).

Financial Regulation, any person or entity receiving Union funds is to fully cooperate in the protection of the financial interests of the Union, grant the necessary rights and access to the Commission, OLAF, the EPPO in respect of those Member States participating in enhanced cooperation pursuant to Regulation (EU) 2017/1939, and the European Court of Auditors (ECA), and ensure that any third parties involved in the implementation of Union funds grant equivalent rights."<sup>(1)</sup><sup>(2)</sup>

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- (1) Regarding the proposal for a Regulation establishing the Instrument for Pre-accession Assistance (IPA III), this recital is complemented by the following sentence: "*Beneficiaries listed in Annex I should also report, without delay, the irregularities, including fraud, which have been the subject of a primary administrative or judicial finding to the Commission and keep the latter informed of the progress of administrative and legal proceedings. With a view to the alignment to good practices in Member States, this reporting should be done by electronic means, using the Irregularity Management System established by the Commission.*"
  - (2) Regarding the proposals marked with \*\* in Annex 4, this recital is complemented by the following sentence: "*For that reason, agreements with third countries and territories and with international organisations, and any contract or agreement resulting from the implementation of this Regulation, should contain provisions expressly empowering the Commission, the ECA and OLAF to conduct such audits, on-the-spot checks and inspections, in accordance with their respective competences and ensuring that any third parties involved in the implementation of Union funding grant equivalent rights.*"

**Standard Recitals and Article to be included in programmes under (in-)direct management  
where the programme is OPEN to third countries**

"(X) In accordance with Regulations (EU, Euratom) 2018/1046<sup>1</sup> (the "Financial Regulation") and (EU, Euratom) No 883/2013 of the European Parliament and of the Council<sup>2</sup> and Council Regulations (Euratom, EC) No 2988/95<sup>3</sup>, (Euratom, EC) No 2185/96<sup>4</sup> and (EU) 2017/1939<sup>5</sup>, the financial interests of the Union are to be protected through proportionate measures, including the prevention, detection, correction and investigation of irregularities, including fraud, the recovery of funds lost, wrongly paid or incorrectly used, and, where appropriate, the imposition of administrative penalties. In particular, in accordance with Regulations (Euratom, EC) No 2185/96 and (EU, Euratom) No 883/2013, the European Anti-Fraud Office (OLAF) may carry out administrative investigations, including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the Union. In accordance with Regulation (EU) 2017/1939, the European Public Prosecutor's Office (the "EPPO") may investigate and prosecute offences against the financial interests of the Union, as provided for in Directive (EU) 2017/1371 of the European Parliament and of the Council<sup>6</sup>. In

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<sup>1</sup> Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

<sup>2</sup> Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999, (OJ L 248, 18.9.2013, p. 1)

<sup>3</sup> Council Regulation (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests (OJ L 312, 23.12.95, p.1).

<sup>4</sup> Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p. 2).

<sup>5</sup> Council Regulation (EU) 2017/1939 of 12 October 2017 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office ("the EPPO") (OJ L 283, 31.10.2017, p. 1).

<sup>6</sup> Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ L 198, 28.7.2017, p. 29).

accordance with the Financial Regulation, any person or entity receiving Union funds is to fully cooperate in the protection of the financial interests of the Union, grant the necessary rights and access to the Commission, OLAF, the EPPO in respect of those Member States participating in enhanced cooperation pursuant to Regulation (EU) 2017/1939, and the European Court of Auditors (ECA), and ensure that any third parties involved in the implementation of Union funds grant equivalent rights.<sup>(1)</sup>

- (Y) Third countries which are members of the European Economic Area (EEA) may participate in Union programmes in the framework of the cooperation established under the Agreement on the European Economic Area<sup>1</sup>, which provides for the implementation of the programmes by a decision under that Agreement. Third countries may also participate on the basis of other legal instruments. A specific provision should be introduced in this Regulation to grant the necessary rights for and access to the authorizing officer responsible, OLAF and the ECA to comprehensively exert their respective competences."

*"Article X*

*Protection of the financial interests of the Union*

Where a third country participates in the Programme by a decision under an international agreement or by virtue of any other legal instrument, the third country shall grant the necessary rights and access required for the authorising officer responsible, OLAF and the ECA to comprehensively exert their respective competences. In the case of OLAF, such rights shall include the right to carry out investigations, including on-the-spot checks and inspections, as provided for in Regulation (EU, Euratom) No 883/2013."

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(1) Regarding the proposal for a Decision amending Decision No 1313/2013/EU on Civil Protection Mechanism, this recital is complemented by the following sentence: "*For that reason, agreements with third countries and territories and with international organisations, and any contract or agreement resulting from the implementation of this Regulation, should contain provisions expressly empowering the Commission, the ECA and OLAF to conduct such audits, on-the-spot checks and inspections, in accordance with their respective competences and ensuring that any third parties involved in the implementation of Union funding grant equivalent rights.*"

<sup>1</sup> OJ L 1, 3.1.1994, p. 3.

**Standard Recital to be included in programmes under shared management<sup>1</sup>**

"(X) Member States should prevent, detect and deal effectively with any irregularities including fraud committed by beneficiaries. Moreover, in accordance with Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council<sup>2</sup> and Council Regulations (Euratom, EC) No 2988/95<sup>3</sup> and (Euratom, EC) No 2185/96<sup>4</sup>, the European Anti-Fraud Office (OLAF) may carry out administrative investigations, including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the Union. In accordance with Council Regulation (EU) 2017/1939<sup>5</sup>, the European Public Prosecutor's Office (the "EPPO") may investigate and prosecute offences against the financial interests of the Union, as provided for in Directive (EU) 2017/1371 of the European Parliament and of the Council<sup>6</sup>. Member States should take the necessary measures so that any person or entity receiving Union funds fully cooperates in the protection of the financial interests of the Union, grants the necessary rights and access to the Commission, OLAF, the EPPO in respect of those Member States participating in enhanced cooperation pursuant to Regulation (EU) 2017/1939, and the European Court of Auditors (ECA), and ensures that any third parties involved in the implementation of Union funds grant equivalent rights. Member States should report to the Commission on detected irregularities, including fraud, and on their follow-up, as well as on the follow-up of OLAF investigations."

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<sup>1</sup> The wording takes into account that the programme is managed by Member States.

<sup>2</sup> Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999, (OJ L 248, 18.9.2013, p. 1).

<sup>3</sup> Council Regulation (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests (OJ L 312, 23.12.95, p.1).

<sup>4</sup> Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p. 2).

<sup>5</sup> Council Regulation (EU) 2017/1939 of 12 October 2017 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office ("the EPPO") (OJ L 283, 31.10.2017, p. 1).

<sup>6</sup> Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ L 198, 28.7.2017, p. 29).



<b>DIRECT AND INDIRECT MANAGEMENT OF EU FUNDS - SPENDING PROGRAMMES NOT OPEN TO THIRD COUNTRIES</b>				
	<b>COMM ref. /date</b>	<b>Title of the proposal</b>	<b>Provisions concerned</b>	<b>Management mode</b>
1	COM(2018) 369 final (2018/0194 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting for the period 2021-2027 (the "Pericles IV programme")	Recital (14)	DM
2	COM(2018) 387 final (2018/0212 (COD))	Proposal for a Regulation of the European Parliament and of the Council on the establishment of a European Investment Stabilisation Function	Recital (34)	DM
3	COM(2018) 391 final (2018/0213 (COD))	Proposal for a Regulation of the European Parliament and of the Council on the establishment of the Reform Support Programme	Recital (47)	DM + IM
4	COM(2018) 445 final (2018/0235 (NLE))	Proposal for a Council Decision amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it	Recital (12)	IM
5	COM(2018) 460 final (2018/0243 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Neighbourhood, Development and International Cooperation Instrument**	Recital (44)	DM+ IM
6	COM(2018) 461 final (2018/0244 (CNS))	Proposal for a Council Decision on the Association of the Overseas Countries and Territories with the European Union including relations between the European Union on the one hand, and Greenland and the Kingdom of Denmark on the other ("Overseas Association Decision")**	Recital (36)	DM + IM
7	COM(2018) 462 final (2018/0245 (NLE))	Proposal for a Council Regulation establishing a European Instrument for Nuclear Safety complementing the Neighbourhood, Development and International Cooperation Instrument on the basis of the Euratom Treaty**	Recital (16)	DM + IM
8	COM(2018) 465 final (2018/0247 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (IPA III)*	Recital (31)	DM + IM
9	COM(2018) 466 final (2018/0251 (NLE))	Proposal for a Council Regulation establishing the nuclear decommissioning assistance programme of the Ignalina nuclear power plant in Lithuania (Ignalina programme); and repealing Council Regulation (EU) No 1369/2013	Recital (7)	IM
10	COM(2018) 467 final (2018/0252 (NLE))	Proposal for a Council Regulation establishing a dedicated financial programme for decommissioning of nuclear facilities and management of radioactive waste, and repealing Council Regulation (Euratom) No 1368/2013	Recital (12)	DM + IM
11	COM(2018) 474 final (2018/0258 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing, as part of the Integrated Border Management Fund, the instrument for financial support for customs control equipment	Recital (23)	DM
<p>* Regarding this proposals the recital on PIF provisions is complemented by the following sentence in order to take into account the specificity of IPA III: <i>"Beneficiaries listed in Annex I should also report, without delay, the irregularities, including fraud, which have been the subject of a primary administrative or judicial finding to the Commission and keep the latter informed of the progress of administrative and legal proceedings. With a view to the alignment to good practices in Member States, this reporting should be done by electronic means, using the Irregularity Management System, established by the Commission."</i></p> <p>** Regarding these proposals the recital on PIF provisions is complemented by the following sentence with a view to explaining how the obligations of the Financial Regulation should be implemented in the field of external action.: <i>"For that reason, agreements with third countries and territories and with international organisations, and any contract or agreement resulting from the implementation of this Regulation, should contain provisions expressly empowering the Commission, the ECA and OLAF to conduct such audits, on-the-spot checks and inspections, in accordance with their respective competences and ensuring that any third parties involved in the implementation of Union funding grant equivalent rights."</i></p>				



<b>DIRECT AND INDIRECT MANAGEMENT OF EU FUNDS - SPENDING PROGRAMMES OPEN TO THIRD COUNTRIES</b>				
	<b>Commission reference</b>	<b>Title of the proposal</b>	<b>Provisions concerned</b>	<b>Management mode</b>
1	COM(2018) 366 final (2018/0190 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Creative Europe programme (2021 to 2027) and repealing Regulation (EU) No 1295/2013	Recitals (18) and (33) Article 11	DM+IM
2	COM(2018) 367 final (2018/0191 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing "Erasmus": the Union programme for education, training, youth and sport and repealing Regulation (EU) No 1288/2013	Recitals (37) and (50) Article 28	DM+IM
3	COM(2018) 383 final (2018/0207 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Rights and Values programme	Recitals (24) and (25) Article 17	DM+IM
4	COM(2018) 384 final (2018/0208 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Justice programme	Recitals (22) and (23) Article 15	DM+IM
5	COM(2018) 385 final (2018/0209 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EU) No 1293/2013	Recitals (30) and (35) Article 6(2)	DM+IM
6	COM(2018) 386 final (2018/0211 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the EU Anti-Fraud Programme	Recitals (18) and (19) Article 6	DM +IM
7	COM(2018) 434 final (2018/0227 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Digital Europe programme for the period 2021-2027	Recitals (3) and (48) Article 28	DM+IM
8	COM(2018) 435 final (2018/0224 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination	Recital (32) Article 49	DM+IM
9	COM(2018) 437 final (2018/0226 (NLE))	Proposal for a Council Regulation establishing the Research and Training Programme of the European Atomic Energy Community for the period 2021-2025 complementing Horizon Europe – the Framework Programme for Research and Innovation	Recital (18) Article 17(2) and (4)	DM+IM
10	COM(2018) 438 final (2018/0228 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Connecting Europe Facility and repealing Regulations (EU) No 1316/2013 and (EU) No 283/2014	Recitals (38) and (40) Article 26	DM+IM
11	COM(2018) 439 final (2018/0229 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the InvestEU Programme	Recitals (43) and (44) Article 25	DM + IM
12	COM(2018) 440 final (2018/0230 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the European Solidarity Corps programme and repealing [European Solidarity Corps Regulation] and Regulation (EU) No 375/2014	Recitals (32) and (47) Article 27	DM + IM

DIRECT AND INDIRECT MANAGEMENT OF EU FUNDS - SPENDING PROGRAMMES OPEN TO THIRD COUNTRIES				
	Commission reference	Title of the proposal	Provisions concerned	Management mode
13	COM(2018) 441 final (2018/0213 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Programme for single market, competitiveness of enterprises, including small and medium-sized enterprises, and European statistics and repealing Regulations (EU) No 99/2013, (EU) No 1287/2013, (EU) No 254/2014, (EU) No 258/2014, (EU) No 652/2014 and (EU) 2017/826	Recitals (56) and (79) Article 19	DM+IM
14	COM(2018) 442 final (2018/0232 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the "Customs" programme for cooperation in the field of customs	Recital (18) Article 16	DM
15	COM(2018) 443 final (2018/0233 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the "Fiscalis" programme for cooperation in the field of taxation	Recital (17) Article 16	DM
16	COM(2018) 447 final (2018/0236 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the space programme of the Union and the European Union Agency for the Space Programme and repealing Regulations (EU) No 912/2010, (EU) No 1285/2013, (EU) No 377/2014 and Decision 541/2014/EU	Recitals (23) and (24) Article 26	DM+IM
17	COM(2018) 476 final (2018/0256 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the European Defence Fund	Recitals (38) and (39) Article 34	DM
18	COM(2019)125 final (2019/0070 (COD))	Proposal for a Decision of the European Parliament and of the Council amending Decision No 1313/2013/EU of the European Parliament and of the Council on a Union Civil Protection Mechanism *	N/A	DM
* Decision No 1313/2013/EU on the Union Civil Protection Mechanism provides for the possibility to cooperate with and grant financial assistance to (non-participating) third countries and international and regional organisations. Therefore, for this proposal the first recital (X) in Annex 2 is complemented by the additional sentence: <i>"For that reason, agreements with third countries and territories and with international organisations, and any contract or agreement resulting from the implementation of this Regulation, should contain provisions expressly empowering the Commission, the ECA and OLAF to conduct such audits, on-the-spot checks and inspections, in accordance with their respective competences and ensuring that any third parties involved in the implementation of Union funding grant equivalent rights."</i>				

SHARED MANAGEMENT PROGRAMMES					
	REFERENCE	Title of the proposal	Management mode	Provisions concerned	Comments
1	COM(2018) 372 final (2018/0197 (COD))	Proposal for a Regulation of the European Parliament and of the Council on the European Regional Development Fund and on the Cohesion Fund	SM	No PIF provision	No need for PIF provisions, as it is covered by the CPR proposal.
2	COM(2018) 374 final (2018/0199 (COD))	Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments	SM + IM	Recital 31	No need for the shared management part of the PIF provisions, as it is covered by the CPR proposal. However, there is a need to insert the standard PIF recital provided in Annex 1 for the (in-)direct part.
3	COM(2018) 375 final (2018/0196 (COD))	Proposal for a Regulation of the European Parliament and of the Council laying down common provisions on the European Regional Development Fund, the European Social Fund Plus, the Cohesion Fund, and the European Maritime and Fisheries Fund and financial rules for those and for the Asylum and Migration Fund, the Internal Security Fund and the Border Management and Visa Instrument	SM	Recital (58)	The wording is to take into account the specific role of Member States in the funds under shared management.
4	COM(2018) 380 final (2018/0202 (COD))	Proposal for a Regulation of the European Parliament and of the Council on the European Globalisation Adjustment Fund (EGF)	SM+DM	Recitals (33) and (34)	The wording takes into account the specific role of Member States in the funds under shared management.  However, as the proposal foresees direct management of funds as well, the standard PIF recital provided in Annex 1 is also to be inserted.
5	COM(2018) 382 final (2018/0206 (COD))	Proposal for a Regulation of the European Parliament and of the Council on the European Social Fund Plus (ESF+)	SM+DM+IM	Recitals (48) and (49) Article 34	The shared management part of the programme is covered by the CPR proposal.  However, as the proposal foresees direct and indirect management as well, and is open to certain third countries, the standard PIF provisions provided in Annex 2 are to be inserted.
6	COM(2018) 390 final (2018/010 (COD))	Proposal for a Regulation of the European Parliament and of the Council on the European Maritime and Fisheries Fund and repealing Regulation (EU) No 508/2014 of the European Parliament and of the Council	SM+DM+IM	Recital (47)	Shared management funds are covered by the CPR proposal.  However, as the proposal foresees direct management of funds as well, the standard PIF recital provided in Annex 1 is to be inserted.

SHARED MANAGEMENT PROGRAMMES					
	REFERENCE	Title of the proposal	Management mode	Provisions concerned	Comments
7	COM(2018) 392 final (2018/0216 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing rules on support for strategic plans to be drawn up by Member States under the Common agricultural policy (CAP Strategic Plans) and financed by the European Agricultural Guarantee Fund (EAGF) and by the European Agricultural Fund for Rural Development (EAFRD) and repealing Regulation (EU) No 1305/2013 of the European Parliament and of the Council and Regulation (EU) No 1307/2013 of the European Parliament and of the Council	SM+DM	No PIF provision	No need for the standard PIF recital, as it is covered by the financing, management and monitoring of the CAP proposal.
8	COM(2018) 393 final (2018/0217 (COD))	Proposal for a Regulation of the European Parliament and of the Council on the financing, management and monitoring of the common agricultural policy and repealing Regulation (EU) No 1306/2013	SM+ DM	Recital (42)	The wording is to take into account the specific role of Member States in the funds under shared management and in particular regarding the financing, management and monitoring of the CAP.  For the CAP, taking into account the complexity of the rules and the significant number of small beneficiaries, the Commission for clarity opted for the introduction of specific PIF provisions in the corpus of the regulation (e.g Article 57).
9	COM(2018) 394 final (2018/0218 (COD))	Proposal for a Regulation of the European Parliament and of the Council amending Regulations (EU) No 1308/2013 establishing a common organisation of the markets in agricultural products, (EU) No 1151/2012 on quality schemes for agricultural products and foodstuffs, (EU) No 251/2014 on the definition, description, presentation, labelling and the protection of geographical indications of aromatised wine products, (EU) No 228/2013 laying down specific measures for agriculture in the outermost regions of the Union and (EU) No 229/2013 laying down specific measures for agriculture in favour of the smaller Aegean islands	SM + DM	No PIF provision	No need for the standard PIF recital, as it is covered by the financing, management and monitoring of the CAP proposal.
10	COM(2018) 471 final (2018/0248 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Asylum and Migration Fund	SM+DM	Recital (50)	Shared management funds are covered by the CPR proposal.  However, as the proposal foresees direct management of funds as well, and is open to certain third countries, the standard PIF provisions provided in Annex 2 are to be inserted.

SHARED MANAGEMENT PROGRAMMES					
	REFERENCE	Title of the proposal	Management mode	Provisions concerned	Comments
11	COM(2018) 472 final (2018/0250 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing the Internal Security Fund	SM+ DM + IM	Recital (40)	Shared management funds are covered by the CPR proposal. However, as the proposal provides for direct management of funds as well, the standard PIF recital set out in Annex 1 is to be inserted.
12	COM(2018) 473 final (2018/0249 (COD))	Proposal for a Regulation of the European Parliament and of the Council establishing, as part of the Integrated Border Management Fund, the instrument for financial support for border management and visa	SM + DM	Recital (52)	Shared management funds are covered by the CPR proposal. However, as the proposal provides for direct management of funds as well, the standard PIF recital set out in Annex 1 is to be inserted.