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## INFORMATION NOTE

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| From:          | General Secretariat of the Council                                                                                                                                                                                                                                                                      |
| To:            | Delegations                                                                                                                                                                                                                                                                                             |
| No. Cion doc.: | COM(2017) 537 final                                                                                                                                                                                                                                                                                     |
| Subject:       | Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Directive 2014/65/EU on markets in financial instruments and Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)<br>- list of working papers (WK) distributed |

The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file:

| Reference            | Creation date | Subject                                                                                           |
|----------------------|---------------|---------------------------------------------------------------------------------------------------|
| WK 5631 2019<br>INIT | 03/05/2019    | Financial services files expected to undergo the Corrigendum Procedure in the European Parliament |
| WK 3793 2019<br>INIT | 15/03/2019    | Presidency Flash Note 14 March                                                                    |
| WK 3791 2019<br>INIT | 15/03/2019    | Agenda<br>(15 March 2019)                                                                         |
| WK 3753 2019<br>INIT | 14/03/2019    | The Volatility Adjustment in Solvency II<br>- Commission services non-paper                       |
| WK 3339 2019<br>INIT | 07/03/2019    | Agenda<br>(7 March 2019)                                                                          |
| WK 3304 2019<br>INIT | 07/03/2019    | EIOPA internal models<br>- thematic fiche                                                         |
| WK 3288 2019<br>INIT | 07/03/2019    | AML<br>- thematic fiche                                                                           |

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| WK 3286 2019<br>INIT  | 07/03/2019 | ESFS review - Key issues for final agreement                                                                                               |
| WK 2913 2019<br>INIT  | 28/02/2019 | 3rd Trilogue: Wednesday, 27 February 2019, DRAFT AGENDA                                                                                    |
| WK 2912 2019<br>INIT  | 28/02/2019 | Commission Non Paper ESFS Review                                                                                                           |
| WK 2910 2019<br>INIT  | 28/02/2019 | Working Party on Financial Services (ESFS review package)<br>AGENDA                                                                        |
| WK 2759 2019<br>REV 1 | 25/02/2019 | Working Party on Financial Services (ESFS review package –<br>AML part)<br>26 February 2019 - Agenda                                       |
| WK 2762 2019<br>INIT  | 25/02/2019 | Presidency note - (AML possible compromise)                                                                                                |
| WK 2759 2019<br>INIT  | 25/02/2019 | Working Party on Financial Services (ESFS review package –<br>non-AML part)<br>26 February 2019 - Agenda                                   |
| WK 2715 2019<br>INIT  | 25/02/2019 | PRESIDENCY FLASH NOTE                                                                                                                      |
| WK 2413 2019<br>ADD 1 | 19/02/2019 | ANNEX to Presidency Note - EP only and political vs. technical                                                                             |
| WK 2413 2019<br>INIT  | 19/02/2019 | Presidency Note - EP only and political vs. technical                                                                                      |
| WK 2412 2019<br>INIT  | 19/02/2019 | Presidency Note - AML                                                                                                                      |
| WK 2411 2019<br>INIT  | 19/02/2019 | Agenda<br>(20 February 2019)                                                                                                               |
| WK 2133 2019<br>INIT  | 13/02/2019 | Draft Agenda<br>ESFS Review - 2017/0230(COD), 2017/0231 (COD), 2017/0232<br>(COD)<br>1st Trilogue: Thursday, 14 February 2019, 09.00-12.00 |
| WK 1368 2019<br>INIT  | 30/01/2019 | Agenda<br>(31 January 2019)                                                                                                                |
| WK 1312 2019<br>INIT  | 30/01/2019 | Presidency Note                                                                                                                            |
| WK 320 2019<br>INIT   | 10/01/2019 | Presidency note                                                                                                                            |
| WK 316 2019<br>INIT   | 10/01/2019 | Agenda<br>(11 January 2019)                                                                                                                |
| WK 15668<br>2018 INIT | 17/12/2018 | ESAs review (non-AML) Presidency compromise proposal                                                                                       |

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| WK 15561 2018<br>INIT | 13/12/2018 | Presidency compromise proposal on AML                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| WK 15436 2018<br>INIT | 11/12/2018 | Presidency compromise proposal MIFID                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| WK 15423 2018<br>INIT | 11/12/2018 | AML compromise proposal non-paper                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| WK 15422 2018<br>INIT | 11/12/2018 | Presidency compromise                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| WK 15205 2018<br>INIT | 06/12/2018 | Presidency compromise text<br>Changes needed in the ESA review package related to MiFID2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| WK 15195 2018<br>INIT | 05/12/2018 | Presidency explanatory note                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| WK 15182 2018<br>INIT | 05/12/2018 | Amended proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority); Regulation (EU) No 1094/2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority); Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority); Regulation (EU) No 345/2013 on European venture capital funds; Regulation (EU) No 346/2013 on European social entrepreneurship funds; Regulation (EU) No 600/2014 on markets in financial instruments; Regulation (EU) 2015/760 on European long-term investment funds; Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts or to measure the performance of investment funds; Regulation (EU) 2017/1129 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market; and (EU) Directive 2015/849 on the prevention of the use of the financial system for the purposes of money-laundering or terrorist financing<br>- Presidency compromise |
| WK 15157 2018<br>INIT | 5/12/2018  | Presidency Note on ESFS: Preparation of the next COREPER                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| WK 15154 2018<br>INIT | 05/12/2018 | Working Party on Financial Services ESFS Review package 7 December Draft Agenda                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

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| WK 15119 2018<br>INIT  | 04/12/2018 | ESA AML compromise proposal                                                                                                                              |
| WK 14878 2018<br>REV 1 | 30/11/2018 | ESA Presidency compromise                                                                                                                                |
| WK 14878 2018<br>INIT  | 30/11/2018 | EBA Presidency compromise                                                                                                                                |
| WK 14877 2018<br>INIT  | 30/11/2018 | Draft Agenda<br>(3 December 2018)                                                                                                                        |
| WK 14751 2018<br>INIT  | 28/11/2018 | AML Compromise proposal<br>- Presidency explanatory note                                                                                                 |
| WK 14655 2018<br>INIT  | 27/11/2018 | AML Compromise proposal                                                                                                                                  |
| WK 14637 2018<br>INIT  | 27/11/2018 | Agenda<br>(30 November 2018)                                                                                                                             |
| WK 14180 2018<br>INIT  | 20/11/2018 | ESA review - Article 9b requests for investigation<br>Commission non-paper                                                                               |
| WK 14130 2018<br>INIT  | 19/11/2018 | Presidency explanatory note on changes to the permanent<br>internal committee on anti-money laundering (AML) and<br>countering terrorist financing (CFT) |
| WK 14014 2018<br>INIT  | 16/11/2018 | AML landing zone<br>- Annex                                                                                                                              |
| WK 14006 2018<br>INIT  | 16/11/2018 | AML landing zone<br>- Presidency explanatory note                                                                                                        |
| WK 14002 2018<br>INIT  | 16/11/2018 | Draft Agenda<br>(22 November 2018)                                                                                                                       |
| WK 13510 2018<br>INIT  | 07/11/2018 | Presidency proposal for compromise                                                                                                                       |
| WK 13417 2018<br>INIT  | 06/11/2018 | Draft Agenda<br>(12 November 2018)                                                                                                                       |
| WK 12844 2018<br>INIT  | 24/10/2018 | Structure of the discussion on agenda item 2                                                                                                             |
| WK 12724 2018<br>INIT  | 23/10/2018 | Commission Services Non-Paper                                                                                                                            |
| WK 12723 2018<br>INIT  | 23/10/2018 | Explanatory Note Annex                                                                                                                                   |
| WK 12721 2018<br>INIT  | 23/10/2018 | Presidency explanatory note regarding the amendments to the<br>main elements of a compromise                                                             |
| WK 12720 2018<br>INIT  | 23/10/2018 | Working Party on Financial Services ESFS Review package<br>26 October Draft Agenda                                                                       |

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| WK 10913 2018<br>INIT | 20/09/2018 | FR Non Paper Forebearance Mechanism                                                                     |
| WK 10911 2018<br>INIT | 20/09/2018 | Annex ESA landing zone                                                                                  |
| WK 10909 2018<br>INIT | 20/09/2018 | ESA Landing Zone - Non-paper on the main elements of a compromise                                       |
| WK 6005 2018<br>INIT  | 18/05/2018 | Working Party on Financial Services - ESFS Review package<br>23 May (10.00)<br>Draft Agenda             |
| WK 4024 2018<br>INIT  | 06/04/2018 | Draft Agenda - Working Party on Financial Services - ESFS<br>Review package                             |
| WK 208 2018<br>INIT   | 11/01/2018 | Draft Agenda - Working Party on Financial Services (ESFS<br>Review package) on January 17, 2018 (10:00) |
| WK 12548 2017<br>INIT | 06/11/2017 | Working Party on Financial Services - ESFS Review package on<br>November 13, 2017 (10.00)<br>- Agenda   |
| WK 11736 2017<br>INIT | 20/10/2017 | Review of the European Supervisory Authorities - presentation                                           |
| WK 11713 2017<br>INIT | 19/10/2017 | Agenda of the Working Party on Financial Services - ESFS<br>Review package on 18 October 2017           |

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