

Brussels, 27 October 2022 (OR. en)

14094/22

Interinstitutional File: 2022/0274(COD)

FRONT 392 VISA 167 COMIX 490 CODEC 1611

NOTE

From:	Presidency
To:	Delegations
Subject:	Proposal for a Decision of the European Parliament and of the Council on the non-recognition of Russian travel documents issued in occupied foreign regions
	 four-column table

Delegations will find in annex the four-column table on the above-mentioned Decision.

14094/22 BS/ml 1 JAI.1 **EN**

Proposal for a

DECISION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

on the non-recognition of Russian travel documents issued in occupied foreign regions

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
1.	Proposal for a Decision of the European Parliament and of the Council on the non-recognition of Russian travel documents issued in occupied foreign regions	Proposal for a Decision of the European Parliament and of the Council on the non-recognitionacceptance of Russian travel documents issued in ocupied foreign regions Ukraine and Georgia	Proposal for a Decision of the European Parliament and of the Council on the non-acceptance recognition of Russian travel documents issued in Ukraine and Georgia occupied foreign regions	
2.	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	
3.	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 77(2)(a) and (b) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 77(2)(a) and (b) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 77(2)(a) and (b) thereof,	
4.	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,	
5.	After transmission of the draft legislative act to the national	After transmission of the draft legislative act to the national	After transmission of the draft legislative act to the national	

14094/22 BS/ml 2
ANNEX JAI.1

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	parliaments,	parliaments,	parliaments,	
6.	Acting in accordance with the ordinary legislative procedure,	Acting in accordance with the ordinary legislative procedure,	Acting in accordance with the ordinary legislative procedure,	
7.	Whereas:	Whereas:	Whereas:	
8.	(1) In reaction to the illegal annexation of the Autonomous Republic of Crimea and the city of Sevastopol by the Russian Federation in 2014 and to its continued destabilising actions in eastern Ukraine, the European Union has already introduced economic sanctions in response to Russia's actions destabilising the situation in Ukraine, linked to the incomplete implementation of the Minsk Agreements; sanctions in respect of actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine; and sanctions in response to the illegal annexation of the Autonomous Republic of Crimea and the city of Sevastopol by the Russian Federation.	(1) In reaction to the illegal annexation of the Autonomous Republic of Crimea and the city of Sevastopol by the Russian Federation in 2014 and to its continued destabilising actions in eastern Ukraine, the European Union has already introduced economic sanctions in response to Russia's actions destabilising the situation in Ukraine, linked to the incomplete implementation of the Minsk Agreements; sanctions in respect of actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine; and sanctions in response to the illegal annexation of the Autonomous Republic of Crimea and the city of Sevastopol by the Russian Federation.	in 2014 and to its continued destabilising actions in eastern Ukraine, the European Union has already introduced economic sanctions in response to Russia's actions destabilising the situation in Ukraine, linked to the incomplete implementation of the Minsk Agreements; sanctions in respect of actions undermining or threatening the territorial integrity, sovereignty and independence of Ukraine; and sanctions in response to the illegal annexation of the Autonomous Republic of Crimea and the city of	
9.	(2) As a signatory of the Minsk agreements, the Russian Federation has had a clear and direct	agreements, the Russian Federation	agreements, the Russian Federation	

14094/22 BS/ml 3
ANNEX JAI.1 EN

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	responsibility to work towards finding a peaceful settlement of the conflict in line with these principles. With the decision to recognise the non-government controlled regions of eastern Ukraine as independent entities, the Russian Federation has clearly violated the Minsk agreements, which stipulate the full return of these areas to the control of the Ukrainian government.	responsibility to work towards finding a peaceful settlement of the conflict in line with these principles. With the decision to recognise the non-government controlled regions of eastern Ukraine as independent entities, the Russian Federation has clearly violated the Minsk agreements, which stipulate the full return of these areas to the control of the Ukrainian government.	responsibility to work towards finding a peaceful settlement of the conflict in line with these principles. With the decision to recognise the non-government controlled regions of eastern Ukraine as independent entities, the Russian Federation has clearly violated the Minsk agreements, which stipulate the full return of these areas to the control of the Ukrainian government.	
10.	(3) The decision of the Russian Federation to recognise the non-government-controlled areas of Donetsk and Luhansk oblast of Ukraine as independent entities and the ensuing decision to send Russian troops into these areas further undermines Ukraine's sovereignty and independence, constitute a severe breach of international law and international agreements, including the UN Charter, Helsinki Final Act, Paris Charter and the Budapest Memorandum.	(3) The That decision of the Russian Federation to recognise the non-government controlled areas of Donetsk and Luhansk oblast of Ukraine as independent entities and the ensuing decision to send Russian troops into these areas further undermines Ukraine's sovereignty and independence, constitute a severe breach of international law and international agreements, including the UN Charter, Helsinki Final Act, Paris Charter and the Budapest Memorandum.	(3) Thate decision of the Russian Federation to recognise the nongovernment controlled areas of Donetsk and Luhansk oblast of Ukraine as independent entities and the ensuing decision to send Russian troops into these areas further undermines Ukraine's sovereignty and independence, constitute a severe breach of international law and international agreements, including the UN Charter, Helsinki Final Act, Paris Charter and the Budapest Memorandum.	
11.		(3a) On 24 February 2022, the European Council, together with its international partners, condemned in the strongest possible terms Russia's unprovoked and unjustified	(3a) On 24 February 2022, the European Council, together with its international partners, condemned in the strongest possible terms Russia's unprovoked and	

14094/22 BS/ml 4
ANNEX JAI.1 EN

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		military aggression against Ukraine and expressed full solidarity with Ukraine and its people. Furthermore, the European Council demanded that Russia immediately cease its military actions, unconditionally withdraw all forces and military equipment from the entire territory of Ukraine and fully respect Ukraine's territorial integrity, sovereignty and independence within its internationally recognised borders to below. This position was reiterated by the European Council on 25 March 2022, 31 May 2022 and 24 June 2022.	against Ukraine and expressed full solidarity with Ukraine and its	
12.	(4) Such military aggression which takes place in a country bordering the European Union, such as that which has occurred in Ukraine and which has given rise to the restrictive measures, justifies measures designed to protect the essential security interests of the European Union and its Member States.	(4) Such A military aggression which takes place in a country bordering the European Union, such as that which has occurred in Ukraine and which has given rise to the restrictive measures, justifies measures designed to protect the essential security interests of the European Union and its Member	which takes place in a country bordering the European Union, such as that which has occurred in Ukraine and which has given rise to the restrictive measures, justifies measures designed to protect the essential security interests of the	

European Council conclusions 24 February 2022.

²

³

European Council conclusions 24 February 2022.

European Council conclusions 24 February 2022.

European Council conclusions 24 February 2022.

European Council conclusions of 25 March 2022, 31 May 2022 and 24 June 2022. 4

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		States.	States.	
13.	(5) Since the illegal annexation of the Crimean peninsula, Russia has issued Russian international passports to residents of Crimea. In May 2022, the Russian Federation introduced a simplified Russian naturalisation procedure for orphan children from the so-called Donetsk People's Republic and the so-called Luhansk People's Republic, as well as Ukraine. The decree also applies to children without parental care and legally incapable persons who are inhabitants of these two occupied regions. The systematic issuance of Russian passports in these occupied regions constitutes a further infringement of international law and Ukraine's territorial integrity, sovereignty and independence.	(5) Since the illegal annexation of the Crimean peninsula on 18 March 2014, Russia has issued Russian international passports to residents of Crimea. On 24 April 2019, the President of the Russian Federation signed a decree simplifying the procedure for obtaining Russian citizenship by residents of the non-Government controlled areas of Ukraine's Donetsk and Luhansk regions, including the issuance of Russian international passports to those residents. By means of the decree of 11 July 2022, the Russian Federation has extended the practice of handing out ordinary Russian international passports to other non-government-controlled areas of Ukraine, notably the Kherson and Zaporizhzhia regions. In May 2022, the Russian Federation introduced a simplified Russian naturalisation procedure for orphan children from the so-called "Donetsk People's Republic" and the so-called "Luhansk People's Republic", as well as Ukraine. The decree also applies to children without parental care and legally incapable persons	(5) Since the illegal annexation of the Crimean peninsula on 18 March 2014, Russia has issued Russian international passports to residents of Crimea. On 24 April 2019, the President of the Russian Federation signed a decree simplifying the procedure for obtaining Russian citizenship by residents of the non-Government controlled areas of Ukraine's Donetsk and Luhansk regions, including the issuance of Russian international passports to those residents. By means of the decree of 11 July 2022, the Russian Federation has extended the practice of handing out ordinary Russian international passports to other non-government-controlled areas of Ukraine, notably the Kherson and Zaporizhzhia regions. In May 2022, the Russian Federation introduced a simplified Russian naturalisation procedure for orphan children from the so-called Donetsk People's Republic and the so-called Luhansk People's Republic, as well as Ukraine. The decree also applies to children without parental care and	

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		who are inhabitants of these those two occupied regions. The systematic issuance of Russian passports in these those occupied regions constitutes a further infringement of international law and Ukraine's territorial integrity, sovereignty and independence. With regard to Georgia, the European Council on 1 September 2008, strongly condemned Russia's unilateral decision to recognise the independence of Abkhazia and South Ossetia and urged other countries not to recognise their independence. ⁵	legally incapable persons who are inhabitants of these those two occupied regions. The systematic issuance of Russian passports in these those occupied regions constitutes a further infringement of international law and Ukraine's territorial integrity, sovereignty and independence. With regard to Georgia, the European Council on 1 September 2008, strongly condemned Russia's unilateral decision to recognise the independence of Abkhazia and South Ossetia and urged other countries not to recognise their independence. 6	
14.		(5a) The Union and its Member States as well as Iceland, Norway, Switzerland and Liechtenstein have not recognised the illegal annexation and have condemned the illegal occupation of regions and territories of Ukraine by the Russian Federation. This concerns in particular the annexation of the Autonomous Republic of Crimea and the city of Sevastopol, occupation of Donetsk and Luhansk	(5a) The Union and its Member States as well as Iceland, Norway, Switzerland and Liechtenstein have not recognised the illegal annexation and have condemned the illegal occupation of regions and territories of Ukraine by the Russian Federation. This concerns in particular the annexation of the Autonomous Republic of Crimea and the city of Sevastopol, occupation of Donetsk and	

⁵

Presidency Conclusions of the extra ordinary European Council 1 September 2008 (12594/2/08 REV 2).

Presidency Conclusions of the extra ordinary European Council 1 September 2008 (12594/2/08 REV 2).

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		regions, but also further illegal occupation in the eastern and southern regions of Ukraine, namely the Kherson and Zaporizhzhia regions. Russian travel documents issued in those regions are not recognised or in the process of not being recognised by Member States as well as Iceland, Norway, Switzerland and Liechtenstein. The same applies to travel documents issued in the Georgian territories of Abkhazia and South Ossetia which are currently not under the control of the Georgian government ("breakaway territories").	Luhansk regions, but also further illegal occupation in the eastern and southern regions of Ukraine, namely the Kherson and Zaporizhzhia regions. Russian travel documents issued in those regions are not recognised or in process of not being recognised by Member States as well as Iceland, Norway, Switzerland and Liechtenstein. The same applies to travel documents issued in the Georgian territories of Abkhazia and South Ossetia which are currently not under the control of the Georgian government ("breakaway territories").	
15.	(6) Therefore, in view of the breaches of international law and military aggression against Ukraine by Russia, and in order to ensure a common visa policy and a common approach to checks to which persons crossing the external borders are subject, all Russian travel documents issued in or to persons resident in occupied foreign regions should not be recognised as valid travel documents for the purposes of issuing of a visa and of crossing the external borders.	(6) Therefore, in view of the breaches of international law and military aggression against Ukraine by Russia, and In order to ensure a common visa policy and a common approach to checks to which persons crossing the external borders are subject, all Russian travel documents issued in or to persons resident in occupied foreign regions or territories in Ukraine or breakaway territories in Georgia listed in the Annex to this decision should not be recognied accepted as valid travel	(6) Therefore, in view of the breaches of international law and military aggression against Ukraine by Russia, and iIn order to ensure a common visa policy and a common approach to checks to which persons crossing the external borders are subject, all Russian travel documents issued in or to persons resident in occupied regions or territories in Ukraine or breakaway territories in Georgia should not be accepted recognised as valid travel documents for the purposes of issuing	

14094/22 BS/ml 8
ANNEX JAI.1

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		documents for the purposes of issuing of a visa and of crossing the external borders. Member States should be able to make a derogation for persons who were Russian citizens on the date on which the Russian documents started to be issued in the respective occupied region or territory or in a breakaway territory or to descendants of such persons.	of a visa and of crossing the external borders. Member States should be able to make a derogation for persons who were Russian citizens on the date on which the Russian documents started to be issued in the respective occupied region or territory or in a breakaway territory or to descendants of such persons.	
16.		(6a) This decision does not affect Member States' competence for the recognition of travel documents.	(6a) This decision does not affect Member States' competence for the recognition of travel documents.	
17.		(6b) For reasons of legal certainty and transparency, the Commission should draw up, with the assistance of Member States, a list of not accepted Russian travel documents, per region or territory. The list to be drawn up by the Commission should include the dates from which those Russian travel documents started to be issued in those regions or territories and from which the travel documents issued after those dates should not be accepted.	(6b) For reasons of legal certainty and transparency, the Commission should draw up, with the assistance of Member States, , a list of not accepted Russian travel documents, per region or territory. The list to be drawn up by the Commission should include the dates from which those Russian travel documents started to be issued in those regions or territories and from which the travel documents issued after those dates should not be accepted.	
18.		The list should be adopted by means of an implementing act, be published	The list should be adopted by means of an implementing act, be	

14094/22 BS/ml 9
ANNEX JAI.1

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		in the Official Journal of the European Union and be incorporated in the list of travel documents established under Decision No 1105/2011/EU of the European Parliament and of the Council ⁷ , and set out in the accompanying table of travel documents issued by third countries and territorial entities and which is publicly available online.	the European Union and be incorporated in the list of travel documents established under Decision No 1105/2011/EU of the	
19.	(7) Member States are and should remain competent for the recognition of travel documents for the purpose of issuing of a visa and of allowing the holder to cross the external borders where the Union has not taken a position thereon.	(7) Member States are and should remain competent for the recognition of travel documents for the purpose of issuing of a visa and of allowing the holder to cross the external borders where the Union has not taken a position thereon.	(7) Member States are and should remain competent for the recognition of travel documents for the purpose of issuing of a visa and of allowing the holder to cross the external borders where the Union has not taken a position thereon.	
20.	(8) The non-recognition of Russian travel documents issued in or to persons resident in occupied foreign regions for the purposes of issuing of a visa and of crossing the external borders should extend to all geographical regions, including the	(8) The non-recognition of Russian travel documents issued in or to persons resident in occupied foreign regions for the purposes of issuing of a visa and of crossing the external borders should extend to all geographical regions, including the	(8) The non recognition of Russian travel documents issued in or to persons resident in occupied foreign regions for the purposes of issuing of a visa and of crossing the external borders should extend to all geographical regions, including the	

Decision No 1105/2011/EU of the European Parliament and of the Council of 25 October 2011 on the list of travel documents which entitle the holder to cross the external borders and which may be endorsed with a visa and on setting up a mechanism for establishing this list (OJ L 287, 4.11.2011, p. 9).

Decision No 1105/2011/EU of the European Parliament and of the Council of 25 October 2011 on the list of travel documents which entitle the holder to cross the external borders and which may be endorsed with a visa and on setting up a mechanism for establishing this list (OJ L 287, 4.11.2011, p. 9).

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	Russian-occupied Georgian territories of Abkhazia and South Ossetia. The non-recognition does not affect the validity of such documents for other purposes, including as proof of identity in a national context.	Russian occupied Georgian territories of Abkhazia and South Ossetia. The non recognition does not affect the validity of such documents for other purposes, including as proof of identity in a national context.	Russian occupied Georgian territories of Abkhazia and South Ossetia. The non-recognition does not affect the validity of such documents for other purposes, including as proof of identity in a national context.	
21.	(9) For reasons of legal certainty and transparency, the Commission should make publicly available a list of Russian travel documents that are issued in or to persons resident in occupied foreign regions and which should not be recognised. This list should be incorporated in the list of travel documents established under Decision No 1105/2011/EU of the European Parliament and of the Council ⁹ , and the accompanying table of recognition that includes information on recognised and non-recognised travel documents and which is publicly available online.	(9) For reasons of legal certainty and transparency, the Commission should make publicly available a list of Russian travel documents that are issued in or to persons resident in occupied foreign regions and which should not be recognised. This list should be incorporated in the list of travel documents established under Decision No 1105/2011/EU of the European Parliament and of the Council ¹⁰ , and the accompanying table of recognition that includes information on recognised and non-recognised travel documents and which is publicly available online.	(9) For reasons of legal certainty and transparency, the Commission should make publicly available a list of Russian travel documents that are issued in or to persons resident in occupied foreign regions and which should not be recognised. This list should be incorporated in the list of travel documents established under Decision No 1105/2011/EU of the European Parliament and of the Council ¹¹ , and the accompanying table of recognition that includes information on recognised and non-recognised travel documents and which is publicly available online.	
22.	(10) This Decision does not affect the right to free movement of Union			

_

14094/22 BS/ml 11
ANNEX JAI.1

Decision No 1105/2011/EU of the European Parliament and of the Council of 25 October 2011 on the list of travel documents which entitle the holder to cross the external borders and which may be endorsed with a visa and on setting up a mechanism for establishing this list (OJ L 287, 4.11.2011, p. 9).

Decision No 1105/2011/EU of the European Parliament and of the Council of 25 October 2011 on the list of travel documents which entitle the holder to cross the external borders and which may be endorsed with a visa and on setting up a mechanism for establishing this list (OJ L 287, 4.11.2011, p. 9).

Decision No 1105/2011/EU of the European Parliament and of the Council of 25 October 2011 on the list of travel documents which entitle the holder to cross the external borders and which may be endorsed with a visa and on setting up a mechanism for establishing this list (OJ L 287, 4.11.2011, p. 9).

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	citizens and their respective family members, including the possibility for such family members to enter the territory of the Member States without a valid travel document within the meaning in particular of Directive 2004/38/EC and the agreements on free movement of persons concluded by the Union and the Member States, of the one part, and certain third countries, of the other part. Directive 2004/38/EC permits, under the conditions specified therein, restrictions to free movement on grounds of public policy, public security and public health.	citizens and their respective family members, including the possibility for such family members to enter the territory of the Member States without a valid travel document within the meaning in particular of Directive 2004/38/EC and the agreements on free movement of persons concluded by the Union and the Member States, of the one part, and certain third countries, of the other part. Directive 2004/38/EC permits, under the conditions specified therein, restrictions to free movement on grounds of public policy, public security and public health.	territory of the Member States without a valid travel document within the meaning in particular of Directive 2004/38/EC and the agreements on free movement of persons concluded by the Union and the Member States, of the one part,	
23.	(11) This Decision respects fundamental rights and observes the principles recognised in particular by the Charter of Fundamental Rights of the European Union. This Decision should not affect the right to asylum.	(11) This Decision respects fundamental rights and observes the principles recognised in particular by the Charter of Fundamental Rights of the European Union. This Decision should not affect the right to asylum.	(11) This Decision respects fundamental rights and observes the principles recognised in particular by the Charter of Fundamental Rights of the European Union. This Decision should not affect the right to asylum.	
24.	(12) Member States retain the possibility to allow holders of travel documents targeted by this Decision who have not exercised their right to apply for international protection to enter the territory of the Member States in individual cases, as provided	(12) As recalled in the Communication from the Commission on Providing operational guidelines for external border management to facilitate border crossings at the EU-Ukraine borders, Member State are free to	(12) This decision does not affect the Union asylum acquis and in particular the right to apply for international protection. Member States should also retain the possibility to allow holders of travel documents targeted by this Decision	

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	for in Articles 25 and 29 of Regulation (EC) No 810/2009 and Article 6(5) of Regulation (EU) No 2016/399.	authorise entry of third-country nationals who do not fulfil one or more of the conditions laid down in Article 6(1) of Regulation (EU) 2016/399 (entry conditions for third country nationals) to their territory on humanitarian grounds, on grounds of national interest or because of international obligations. This wide derogation should be applied in the current crisis to allow entry to all those fleeing the conflict in Ukraine. Member States retain the possibility to allow holders of travel documents targeted by this Decision who have not exercised their right to apply for international protection to enter the territory of the Member States in individual cases, as provided for in Articles 25 and 29 of Regulation (EC) No 810/2009 and Article 6(5) of Regulation (EU) No 2016/399.	who have not exercised their right to apply for international protection to enter the territory of the Member States in individual cases, as provided for in Articles 25 and 29 of Regulation (EC) No 810/2009 and Article 6(5) of Regulation (EU) No 2016/399.	
25.		(12a) In order to take into account relevant legal and political developments, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission to amend this Decision by adding or removing regions or territories included in the Annex. It		

14094/22 BS/ml 13
ANNEX JAI.1

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making ⁶ . In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.		
26.		(12b) In order to allow the Union to respond quickly in a rapidly evolving situation, it is appropriate to provide for the immediate application of the relevant delegated act amending the Annex to this Decision, where imperative grounds of urgency so require. Where the urgency procedure is followed, it is of particular importance that the Commission carry out appropriate		

14094/22 BS/ml 14
ANNEX JAI.1 EN

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		consultations during its preparatory work, including consultations at expert level.		
27.	is to strengthen the functioning of the common visa policy and the Schengen area. Those objectives cannot be achieved by Member States acting alone. Therefore, introducing an obligation to not recognise certain travel documents for the purposes of issuing of a visa and of crossing the external borders at Union level is necessary. The Union may therefore adopt measures in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Decision does not go beyond that what is necessary in order to achieve the objectives.	is to strengthen the functioning of the common visa policy and the Schengen area. Those objectives cannot be achieved by Member States acting alone. Therefore, introducing an obligation to not recognise accept certain travel documents for the purposes of issuing of a visa and of crossing the external borders at Union level is necessary. The Union may therefore adopt measures in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Decision does not go beyond that what is necessary in order to achieve the objectives.	(13) The objective of this Decision is to strengthen the functioning of the common visa policy and the Schengen area. Those objectives cannot be achieved by Member States acting alone. Therefore, introducing an obligation to not accept recognise certain travel documents for the purposes of issuing of a visa and of crossing the external borders at Union level is necessary. The Union may therefore adopt measures in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Decision does not go beyond that what is necessary in order to achieve the objectives.	
28.	(14) In accordance with Articles 1 and 2 of the Protocol No 22 on the Position of Denmark annexed to the Treaty on European Union and to the Treaty on the Functioning of the European Union, Denmark is not taking part in the adoption of this	(14) In accordance with Articles 1 and 2 of the Protocol No 22 on the Position of Denmark annexed to the Treaty on European Union and to the Treaty on the Functioning of the European Union, Denmark is not taking part in the adoption of this	Treaty on the Functioning of the	

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	Decision and is not bound by it or subject to its application. Given that this Decision builds upon the Schengen <i>acquis</i> , Denmark shall, in accordance with Article 4 of that Protocol, decide within a period of six months after the Council has decided on this Decision whether it will implement it in its national law.	Decision and is not bound by it or subject to its application. Given that this Decision builds upon the Schengen <i>acquis</i> , Denmark shall, in accordance with Article 4 of that Protocol, decide within a period of six months after the Council has decided on this Decision whether it will implement it in its national law.	subject to its application. Given that	
29.	(15) This Decision constitutes a development of the provisions of the Schengen <i>acquis</i> in which Ireland does not take part ¹² ; Ireland is therefore not taking part in the adoption of this Decision and is not bound by it or subject to its application.	development of the provisions of the Schengen <i>acquis</i> in which Ireland does not take part ¹³ ; Ireland is	development of the provisions of the Schengen <i>acquis</i> in which Ireland does not take part ¹⁴ ; Ireland is therefore not taking part in the adoption of this Decision and is not	
30.	(16) As regards Iceland and Norway, this Decision constitutes a development of the provisions of the Schengen <i>acquis</i> within the meaning of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the	(16) As regards Iceland and Norway, this Decision constitutes a development of the provisions of the Schengen <i>acquis</i> within the meaning of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the		

_

14094/22 BS/ml 16
ANNEX JAI.1

This Decision falls outside the scope of the measures provided for in Council Decision 2002/192/EC of 28 February 2002 concerning Ireland's request to take part in some of the provisions of the Schengen acquis (OJ L 64, 7.3.2002, p. 20).

This Decision falls outside the scope of the measures provided for in Council Decision 2002/192/EC of 28 February 2002 concerning Ireland's request to take part in some of the provisions of the Schengen acquis (OJ L 64, 7.3.2002, p. 20).

This Decision falls outside the scope of the measures provided for in Council Decision 2002/192/EC of 28 February 2002 concerning Ireland's request to take part in some of the provisions of the Schengen acquis (OJ L 64, 7.3.2002, p. 20).

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen <i>acquis</i> ¹⁵ which fall within the area referred to in Article 1, points A and B of Council Decision 1999/437/EC ¹⁶ .	association of those two States with the implementation, application and development of the Schengen acquis ¹⁷ which fall within the area referred to in Article 1, points A and	association of those two States with the implementation, application and development of the Schengen <i>acquis</i> ¹⁹ which fall within the area referred to in Article 1, points A and	
31.	(17) As regards Switzerland, this Decision constitutes a development of the provisions of the Schengen <i>acquis</i> within the meaning of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and	Decision constitutes a development of the provisions of the Schengen <i>acquis</i> within the meaning of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the	of the provisions of the Schengen <i>acquis</i> within the meaning of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss	

OJ L 176, 10.7.1999, p. 36.

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen acquis (OJ L 176, 10.7.1999, p. 31).

OJ L 176, 10.7.1999, p. 36.

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen acquis (OJ L 176, 10.7.1999, p. 31).

OJ L 176, 10.7.1999, p. 36.

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen acquis (OJ L 176, 10.7.1999, p. 31).

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	development of the Schengen <i>acquis</i> ²¹ which fall within the area referred to in Article 1, points A and B, of Decision 1999/437/EC read in conjunction with Article 3 of Council Decision 2008/146/EC ²² .	$acquis^{23}$ which fall within the area	acquis ²⁵ which fall within the area referred to in Article 1, points A and B, of Decision 1999/437/EC read in	
32.	(18) As regards Liechtenstein, this Decision constitutes a development of the provisions of the Schengen acquis within the meaning of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss	Decision constitutes a development of the provisions of the Schengen <i>acquis</i> within the meaning of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss	of the provisions of the Schengen acquis within the meaning of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss	

OJ L 53, 27.2.2008, p. 52.

14094/22 BS/ml 18

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

OJ L 53, 27.2.2008, p. 52.

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

OJ L 53, 27.2.2008, p. 52.

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	Confederation's association with the implementation, application and development of the Schengen <i>acquis</i> ²⁷ which fall within the area referred to in Article 1, points A and B, of Decision 1999/437/EC read in conjunction with Article 3 of Council Decision 2011/350/EU ²⁸ .	implementation, application and development of the Schengen <i>acquis</i> ²⁹ which fall within the area referred to in Article 1, points A and	development of the Schengen <i>acquis</i> ³¹ which fall within the area referred to in Article 1, points A and B, of Decision 1999/437/EC read in	
33.	Decision constitutes an act building upon, or otherwise relating to, the Schengen <i>acquis</i> within, respectively, the meaning of Article 3(2) of the 2003 Act of Accession, Article 4(2) of the 2005 Act of Accession and Article 4(2) of the 2011 Act of	an act building upon, or otherwise relating to, the Schengen <i>acquis</i> within, respectively, the meaning of Article 3(2) of the 2003 Act of	Romania and Croatia, Article 1, point (a) of this Decision constitutes an act building upon, or otherwise relating to, the Schengen <i>acquis</i> within, respectively, the meaning of Article 3(2) of the 2003 Act of Accession, Article 4(2) of the 2005	

OJ L 160, 18.6.2011, p. 21.

14094/22 BS/ml 19
ANNEX JAI.1

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

OJ L 160, 18.6.2011, p. 21.

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

OJ L 160, 18.6.2011, p. 21.

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
	(b) constitutes an act building upon, or otherwise relating to, the Schengen <i>acquis</i> within, respectively, the meaning of Article 3(1) of the 2003 Act of Accession, Article 4(1) of the 2005 Act of Accession and Article 4(1) of the 2011 Act of Accession.	the 2011 Act of Accession, whereas Article 1, point (b) constitutes an act building upon, or otherwise relating to, the Schengen <i>acquis</i> within, respectively, the meaning of Article 3(1) of the 2003 Act of Accession, Article 4(1) of the 2005 Act of Accession and Article 4(1) of the 2011 Act of Accession.	the 2011 Act of Accession, whereas Article 1, point (b) constitutes an act building upon, or otherwise relating to, the Schengen <i>acquis</i> within, respectively, the meaning of Article 3(1) of the 2003 Act of Accession, Article 4(1) of the 2005 Act of Accession and Article 4(1) of the 2011 Act of Accession.	
34.	(20) Due to the urgency of the situation and the ongoing illegal Russian presence in foreign regions, this Decision should enter into force on the first day following that of its publication,	(20) Due to the urgency of the situation and the ongoing illegal Russian presence in foreign regions, this Decision should enter into force on the first day following that of its publication,	(20) Due to the urgency of the situation and the ongoing illegal Russian presence in foreign regions, this Decision should enter into force on the first day following that of its publication,	
35.	HAVE ADOPTED THIS DECISION:	HAVE ADOPTED THIS DECISION:	HAVE ADOPTED THIS DECISION:	
36.	Article 1	Article 1	Article 1	
37.	Russian travel documents issued in or to persons resident in occupied foreign regions shall not be recognised as valid travel documents for the following purposes:	Russian travel documents issued in or to persons resident in Russian occupied foreign the regions or territories in Ukraine or breakaway territories in Georgia outside Russia's internationally accepted borders mentioned listed in the Annex shall not be recognised accepted as valid travel documents for the following purposes:	Russian travel documents issued in or to persons resident in Russian-occupied regions or territories in Ukraine or breakaway territories in Georgia which are currently not under the control of the Georgian government shall not be accepted recognised as valid travel documents for the following purposes:	

14094/22 BS/ml 20
ANNEX JAI.1 EN

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
38.	(a) the issuing of a visa in accordance with Regulation (EC) No 810/2009;	(a) the issuing of a visa in accordance with Regulation (EC) No 810/2009;	(a) the issuing of a visa in accordance with Regulation (EC) No 810/2009;	
39.	(b) the crossing of the external borders in accordance with Regulation (EU) 2016/399.	(b) the crossing of the external borders in accordance with Regulation (EU) 2016/399.	(b) the crossing of the external borders in accordance with Regulation (EU) 2016/399.	
40.		The Commission is empowered to adopt delegated acts in accordance with Article 2a to amend the Annex by adding or removing regions or territories, when this is necessary to take into account relevant legal and political developments.		
41.		Where, in the case of a rapidly evolving situation, imperative grounds of urgency so require, the procedure provided for in Article 2b shall apply to delegated acts adopted pursuant to this Article.		
42.		Article 1a	Article 2	
43.		By way of derogation from Article 1, a Russian travel document referred to in Article 1 may be accepted:	By way of derogation from Article 1, a Russian travel document referred to in Article 1 may be accepted if its holder was a Russian citizen before the dates indicated in the implementing act referred to in Article 3. This shall apply also to	

14094/22 BS/ml 21
ANNEX JAI.1

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
			descendants of such Russian citizen.	
44.		- if its holder was a Russian citizen before the dates indicated in the implementing act referred to in Article 2. This shall apply also to descendants of such Russian citizen;		
45.		- if its holder was a child or legally incapacitated person at the time that holder obtained Russian citizenship through the simplified naturalisation procedure under Russian law.		
46.		Member States may allow holders of travel documents covered by this Decision to enter the territory of the Member States in individual cases, as provided for in Articles 25 and 29 of Regulation (EC) No 810/2009 and Article 6(5) of Regulation (EU) 2016/399.	Member States may allow holders of travel documents covered by this Decision to enter the territory of the Member States in individual cases, as provided for in Articles 25 and 29 of Regulation (EC) No 810/2009 and Article 6(5) of Regulation (EU) 2016/399.	
47.		This decision shall not affect the Union asylum acquis and in particular the right to apply for international protection.		
48.	Article 2	Article 2	Article 2 <u>3</u>	

14094/22
ANNEX

BS/ml
22
EN

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
49.	The Commission shall establish a list of Russian travel documents referred to in Article 1.	The Commission shall establish draw up, with the assistance of the Member States, a list per region, territory or breakaway territory identified in the Annex of the Russian travel documents referred to in Article 1. That list shall indicate the dates from which those travel documents started being issued in the occupied regions or territories including breakaway territories.	The Commission shall establish draw up, with the assistance of Member States, a list per region, territory or breakaway territory of Russian the travel documents referred to in Article 1. That list shall indicate the dates from which those travel documents started being issued in the occupied regions or territories including breakaway territories.	
50.	That list shall be made available to the public as part of the list of travel documents established by Decision No 1105/2011/EU.	That list shall be adopted by means of an implementing act, be published in the Official Journal and be incorporated and made available to the public as part of in the list of travel documents established by Decision No 1105/2011/EU.	That list shall be adopted by means of an implementing act, be made available to the public as part of published in the Official Journal and be incorporated in the list of travel documents established by Decision No 1105/2011/EU.	
51.		Article 2a		
52.		1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.		
53.		2. The power to adopt delegated acts referred to in Article 1 shall be conferred on the Commission for a period of two years.		

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
54.		3. The delegation of power referred to in Article I may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.		
55.		4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.		
56.		5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.		
57.		6. A delegated act adopted pursuant to Article 1 shall enter into force only if no objection has been expressed either by the European		

14094/22 BS/ml 24
ANNEX JAI.1 EN

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		Parliament or by the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.		
58.		Article 2b		
59.		1. Delegated acts adopted under this Article shall enter into force without delay and shall apply as long as no objection is expressed in accordance with paragraph 2. The notification of a delegated act to the European Parliament and to the Council shall state the reasons for the use of the urgency procedure.		
60.		2. Either the European Parliament or the Council may object to a delegated act in accordance with the procedure referred to in Article 2a(6). In such a case, the Commission shall repeal the act immediately following the notification of the decision to object		

14094/22 BS/ml 25
ANNEX JAI.1

	Commission proposal 12132/22	EP plenary mandate 20/10/22	Council mandate 13527/22	Compromise text
		by the European Parliament or by the Council.		
61.	Article 3		Article 3 <u>4</u>	
62.	This Decision shall enter into force on the first day following that of its publication in the <i>Official Journal of the European Union</i> .		This Decision shall enter into force on the first day following that of its publication in the <i>Official Journal of the European Union</i> .	
63.		ANNEX		
64.		Ukraine		
		Autonomous Republic of Crimea and the city of Sevastopol		
		Donetsk region		
		Luhansk region		
		Kherson region		
		Zaporizhzhia region		
		Georgia		
		Abkhazia		
		South Ossetia		

14094/22 BS/ml 26
ANNEX JAI.1 EN